

KY COUNCIL ON POSTSECONDARY EDUCATION BUSINESS MEETING

Friday, June 28, 2019 - 9:00 AM
Midway University, LRC 207-209



**Denotes action to be taken by the Council*

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MINUTES

Council on Postsecondary Education

Type: Work Session
Date: April 25, 2019
Time: 4:00 p.m.
Location: Council on Postsecondary Education, Conference Room A

WELCOMING & CALL TO ORDER

The Council on Postsecondary Education met Thursday, April 25, 2019, at 4:00 p.m., ET, at the CPE offices in Frankfort, Kentucky. Chair Sherrill Zimmerman presided.

ATTENDANCE

Members in attendance: Ben Brandstetter, Lori Harper, Donna Moore, Kristi Nelson, OJ Oleka, Robert Staat, Brandon Wilson, Carol Wright, Sherrill Zimmerman, and Wayne Lewis.

Members not in attendance: Ron Beal, Kim Halbauer, Lucas Mentzer, Vidya Ravichandran, Shawn Reynolds, and Sebastian Torres.

CPE President Aaron Thompson attended the meeting and served as Secretary of the board, per the CPE Bylaws. Heather Faesy, CPE's senior associate for Board Relations, served as recorder of the meeting minutes.

OATH OF OFFICE

Franklin County Judge Executive, Huston Wells, administered the oath of office on new Council members, Mr. OJ Oleka and Dr. Brandon Wilson.

IMPROVING TRANSPERANCY ON COLLEGE COSTS

At its February 2018 meeting, the Council approved a resolution stating that comprehensive, transparent information about college costs, financial aid, and

student loans communicated regularly to students while in college can encourage them to borrow responsibly, finish a degree, and transition to a meaningful career. Further, it urged campus leaders to implement a financial literacy program at their campus that provides a regular and concise snapshot of students' cumulative debt, potential total payout, and potential monthly payments. Since that time, CPE staff have been in regular communications with the financial aid directors at each campus and the steps taken by the campuses were provided in the agenda materials.

To provide a broader viewpoint on the issue, four campus representatives attended the meeting and answered additional questions regarding the evolution of financial aid counselors over the last ten years, the process campuses take when packaging aid and awarding institutional aid, and the other efforts campuses are making to educate students about paying for college and the importance of not over-borrowing for college.

Campus representatives included:

- Bryan Erslan, Director of Student Financial Assistance and Scholarship at Eastern Kentucky University
- Doug Cleary, System Director of Financial Aid at Kentucky Community and Technical College System
- Sandra Neel, Executive Director of Student Financial Aid at University of Louisville
- Wendy Cain, Bursar at Murray State University

2019 LEGISLATIVE SESSION SUMMARY

Presenter: Travis Powell, Vice President & General Counsel, CPE

Mr. Powell presented a summary of the activity impacting postsecondary education during the regular 2019 legislative session. A written report was provided in the agenda materials.

Mr. Powell also briefed the Council on an update for the administrative regulations set to expire unless action is taken. HB 4 modified the originally set timeframe, and regulations that have not been updated since before March 1, 2013 must have taken by March 1, 2020 (instead of the originally notified date of July 1, 2019).

CPE MEETING STRUCTURE & COMMITTEE STRUCTURE

At the 2019 board retreat the Council expressed a desire to establish new procedures for the quarterly Council meetings as well as establish formal standing committees to allow more conversation and evaluation than is possible during business meetings. Following discussion, the Council came to a consensus on the following issues:

- Retain two-day quarterly Council meeting structure, with work sessions focused on specific issues of discussion identified by the board.
- Continue to hold Council meetings on campuses, but have at least one meeting at the CPE offices in Frankfort.
- Implement a consent agenda, as able.
- Establish a standing committee structure on a pilot basis: (1) Executive, (2) Finance, (3) Academic and Strategic Initiatives. This structure does not impact the Committee on Equal Opportunities, the Nominating Committee, or the CPE appointments on the KET board. Committees would meet a few weeks prior to the full Council meetings.

The Council will formalize the pilot standing committee structure at the business meeting on April 26. If successful, the Council will later adopt the structure in 2020 and amend the by-laws accordingly.

DINNER

The attending Council members and staff took a short break for an onsite dinner before continuing its discussions.

BOARD-IDENTIFIED PRIORITIES

At the 2019 board retreat the board identified five possible strategies to help close the gap between the state's current educational attainment trajectory and the needed trajectory to achieve the state's 60x30 goal.

1. Free tuition program for one or two years of college
2. Developing incentives for out-of-state students
3. Retaining students and understanding why students are dropping out

4. Developing tools and programs to facilitate the pathways between K-12 and postsecondary education.
5. Developing strategies to improve access and success of adult students

After the retreat, the Council asked staff to review each of the proposals and bring back information and data to the board responding to the following questions:

- Will this strategy help Kentucky accelerate progress on the 60x30 attainment goal?
- Is it feasible to implement the strategy given budget and other resource constraints?
- How should the various strategies be prioritized in terms of their return-on-investment and impact?

Discussion documents on each identified potential strategy were provided in the agenda materials. The information was pulled together by CPE staff and is intended to serve as background information to help stimulate conversation and ideas.

The Council discussed the data and information provided and asked staff to focus further research and possible implementation plans for the strategies involving out-of-state tuition and free tuition programs for one or two years of college. It was also agreed that the focus on student retention be embedded in all strategies, as applicable. The remaining two strategies are embedded in other activities already in progress and/or the previously mentioned board focus areas.

ADJOURNMENT

The Council adjourned for the evening at 8:00 p.m., ET, and were scheduled to reconvene for a business meeting on April 26, 2019 at 9:00 a.m. ET.

MINUTES REVIEWED AND APPROVED BY THE COUNCIL: _____

MINUTES

Council on Postsecondary Education

Type: Quarterly Business Meeting
Date: April 26, 2019
Time: 9:00 a.m.
Location: Council on Postsecondary Education, Conference Room A

CALL TO ORDER

The Council on Postsecondary Education met Friday, April 26, 2019, at 9:00 a.m., ET, at the CPE offices in Frankfort, Kentucky. Chair Sherrill Zimmerman presided.

ATTENDANCE

Members in attendance: Ben Brandstetter, Lori Harper, Donna Moore, Kristi Nelson, Vidya Ravichandran (teleconference), Robert Staat, Brandon Wilson, Carol Wright, Sherrill Zimmerman, and Wayne Lewis.

Members not in attendance: Ron Beal, Kim Halbauer, Lucas Mentzer, OJ Oleka, Shawn Reynolds, and Sebastian Torres.

CPE President Aaron Thompson attended the meeting and served as Secretary of the board, per the CPE Bylaws. Heather Faesy, CPE's senior associate for Board Relations, served as recorder of the meeting minutes.

COMMENTS FROM THE CHAIR

Chair Zimmerman called the meeting to order and welcomed everyone. She provided the audience with a brief summary of the work session from the previous day which included a discussion with four campus representatives on their efforts to improve student financial literacy, a discussion of how the Council can best work through standing committees and standardized board meeting formats, and furthered discussions on the board's priorities for 2019.

COMMENTS FROM THE PRESIDENT

Presenter: Aaron Thompson, CPE's President

President Thompson discussed a number of topics including the 2019 Student Success Summit; the feedback received thus far from the listening tour stops at Murray State University, Western Kentucky University, and Owensboro Community & Technical College; the activities of the latest Transfer meeting; the status of the midterm review of the statewide Strategic Agenda; an update on the continuing discussions and work toward the pension relief efforts on the campuses; and the promotion of Melissa Bell to Vice President of Academic Affairs.

APPROVAL OF MINUTES

The minutes of the February 21-22, 2019 meetings were approved as distributed.

2019-20 TUITION AND MANDATORY FEES (MuSU, NKU, WKU, KCTCS)

Presenters: Bill Payne, Vice President for Finance and Administration, CPE
Shaun McKiernan, Director of Finance and Budget, CPE

Tuition and Mandatory Fee recommendations for the 2018-20 academic years for the public four-year universities and KCTCS were adopted by the Council at its April 27, 2018 meeting. Those rates were:

For Universities

- Maximum base rate increase for resident undergraduate students of no more than 6.0% over two years, and no more than 4.0% in any one year.
- Market competitive rates for graduate and online courses.

For KCTCS

- Maximum increase for resident students of no more than \$12.00 per credit hour over two years, and no more than \$8.00 per credit hour in any one year.

The following 2019-20 tuition and mandatory fee proposals for Murray State University, Northern Kentucky University, Western Kentucky University, and Kentucky Community and Technical College System were submitted for approval by the Council:

Rate Category		2018-19 Base Rate Charges	Proposed 2019-20 Base Rate Charges	Percent Change
MuSU	Undergraduate - Pre Summer 2016 Admit			
	– Resident	\$8,592	\$8,676	1.0%
	– Nonresident	\$23,376	\$23,604	1.0%
	Undergraduate - 2016 Admit and After			
	– Resident	\$9,084	\$9,168	0.9%
	– Nonresident	\$24,540	\$24,792	1.0%
	Graduate			
– Resident	\$544 pch	\$544 pch	0.0%	
– Nonresident	\$800 pch	\$800 pch	0.0%	
NKU	Undergraduate			
	– Resident	\$9,648	\$9,912	2.7%
	– Nonresident	\$19,296	\$19,872	3.0%
	Graduate			
	– Resident	\$613 pch	\$613 pch	0.0%
– Nonresident	\$943 pch	\$943 pch	0.0%	
WKU	Undergraduate			
	– Resident	\$10,402	\$10,602	1.9%
	– Nonresident	\$26,296	\$26,296	0.0%
	Graduate			
	– Resident	\$596 pch	\$597 pch	0.2%
– Nonresident	\$888 pch	\$907 pch	2.1%	
KCTCS	Resident			
	– Per credit hour	\$169 pch	\$174 pch	3.0%
	– 30 credit hours	\$5,070	\$5,220	3.0%
	Nonresident – Contiguous County			
	– Per credit hour	\$338 pch	\$348 pch	3.0%
– 30 credit hours	\$10,140	\$10,440	3.0%	

MOTION: Ms. Nelson moved that the Council approve the 2019-20 tuition and mandatory fee rates for Murray State University, Northern Kentucky University, Western Kentucky University, and the Kentucky Community and Technical College System. Dr. Staat seconded the motion.

VOTE: The motion passed.

ASSET PRESERVATION FEE EXCEPTION REQUEST - MuSU

Presenters: Bill Payne, Vice President for Finance and Administration, CPE
Shaun McKiernan, Director of Finance and Budget, CPE

On February 2, 2018, the Council on Postsecondary Education approved an Asset Preservation Fee Exception Policy that allows institutions to request an exemption from a Council approved tuition and fee rate ceiling for an Asset Preservation Fee that meets certain policy provisions. The policy requires that a proposed fee and the project(s) it will support be approved by an institution's governing board, and that the fee's impact on total tuition and fee charges will be reasonable in the year in which the fee is imposed. Murray State University submitted an Asset Preservation Fee exception with the following elements:

- The amount of the proposed fee will be \$10.⁰⁰ per credit hour (capped at 12 credit hours per semester) when fully implemented in 2020-21. In 2019-20, the fee will be \$7.⁰⁰ per credit hour, or \$168.⁰⁰ annually for full-time students. The fee will not apply to dual-credit or on-line hours.
- All revenue generated from the proposed fee, estimated to be \$1 million in 2019-20 and \$1.6 million in 2020-21 and beyond, will be used to pay for Education and General (E&G) asset preservation projects, which will be reported annually to the Council. The fee will terminate in 25 years, at the end of 2043-44.

MOTION: Ms. Nelson moved that the Council approve a \$10.00 per-credit-hour Asset Preservation Fee, which will be phased in over two years, as proposed by Murray State University. Ms. Moore seconded the motion.

VOTE: The motion passed.

MEMORANDUM OF UNDERSTANDING – NONRESIDENT TUITION AT KENTUCKY STATE UNIVERSITY

Presenters: Bill Payne, Vice President for Finance and Administration, CPE
Shaun McKiernan, Director of Finance and Budget, CPE
Greg Rush, Senior Fellow, CPE

On March 7, 2019, KSU's Board of Regents approved a Memorandum of Understanding between the university and CPE. CPE staff suggested several

changes to the agreement that would allow KSU to charge nonresident students 1.5 times the published in-state tuition charge (plus any applicable fees) and permit KSU to award scholarships of up to \$1,500 per semester to nonresident students meeting certain geographic and academic criteria. The agreement is intended to increase enrollment and generate additional revenue, would facilitate the university's ability to maintain compliance with the Council's current nonresident tuition policy, and would be consistent with KSU's Management and Improvement Plan.

The proposed Memorandum of Understanding was provided in the agenda materials.

MOTION: Ms. Wright moved to approve the revised Memorandum of Understanding as submitted by CPE staff. Mr. Brandstetter seconded the motion.

VOTE: The motion passed. Mr. Brandstetter abstained from the vote.

NEW ACADEMIC PROGRAMS

Presenter: Melissa Bell, Vice President for Academic Affairs and Student Success

KRS 164.020 (15) empowers the Council on Postsecondary Education to define and approve the offering of all postsecondary education technical, associate, baccalaureate, graduate, and professional degree, certificate, or diploma programs in the public postsecondary education institutions.

University of Louisville

- 1) Masters of Science: Bioengineering
Program Contacts: Dr. Gina Bertocci, Professor & Endowed Chair
Dr. Beth Bohm, Provost

MOTION: Ms. Wright moved the Council approve the Masters of Science in Bioengineering at the University of Louisville. Ms. Moore seconded the motion.

VOTE: The motion passed.

University of Kentucky

- 2) Bachelor of Science/Bachelor of Arts: General Business
Program Contact: Dr. Paul Childs, Department Chair

MOTION: Ms. Nelson moved the Council approve the Bachelor of Science/
Bachelor of Arts of General Business at the University of Kentucky. Ms.
Harper seconded the motion.

VOTE: The motion passed.

- 3) Bachelor of Arts: Interdisciplinary Disability Studies
Program Contact: Dr. Kristin Maxwell, Clinical Assistant Professor

MOTION: Dr. Staat moved the Council approve the Bachelor of Arts
in Interdisciplinary Disability Studies at the University of Kentucky. Ms.
Nelson seconded the motion.

VOTE: The motion passed.

- 4) Master of Science: Science Translation and Outreach
Program Contact: Dr. Roger Brown, Director of Undergraduate Studies

MOTION: Ms. Nelson moved the Council approve the Master of Science in
Science Translation and Outreach at the University of Kentucky. Ms. Wright
seconded the motion.

VOTE: The motion passed.

- 5) Bachelor of Science: Sustainable Agriculture and Community Food Systems
Program Contact: Dr. Krista Jacobsen, Associate Professor

MOTION: Ms. Moore moved the Council approve the Bachelor of Science
in Sustainable Agriculture and Community Food Systems at the University of
Kentucky. Dr. Wilson seconded the motion.

VOTE: The motion passed.

Northern Kentucky University

- 6) Bachelor of Science: Engineering Physics
Program Contact: Dr. Sharmanthie Fernando, chair of the Department of Physics, Geology and Engineering Technology

MOTION: Mr. Brandstetter moved the Council approve the Bachelor of Science in Engineering Physics at Northern Kentucky University. Ms. Wright seconded the motion.

VOTE: The motion passed.

- 7) Masters of Science: Athletic Training
Program Contact: Dr. Rachele Vogelpohl, Interim department chair of Kinesiology and Health and Athletic Training Program

MOTION: Ms. Moore moved the Council approve the Masters of Science in Athletic Training at Northern Kentucky University. Ms. Harper seconded the motion.

VOTE: The motion passed.

COLLEGE READINESS INDICATORS

Presenter: Melissa Bell, Vice President for Academic Affairs and Student Success

KRS 164.020(8) requires that the Council on Postsecondary Education set minimum admission standards for students who wish to enroll at public postsecondary education institutions. 13 KAR 2:020 fulfills this obligation and advises prospective students, local school districts, and public postsecondary institutions on the minimum requirements for admission and course placement to facilitate transition into postsecondary education. At its June 2018 meeting, the Council approved amendments to 13 KAR 2:020. Those amendments were approved by the General Assembly effective November 2, 2018.

As part of the regulation, "Academic Readiness" is defined by the student demonstrating the requisite ability to succeed in credit-bearing coursework by meeting or exceeding the college readiness benchmarks adopted by the Council. CPE staff worked with campus representatives to determine the suitable college

readiness assessments and the corresponding scores that would appropriately determine college readiness.

MOTION: Ms. Nelson moved the Council approve the presented College Readiness Indicators for the 2019-20 academic year. Ms. Wright seconded the motion.

VOTE: The motion passed.

2019-20 CPE MEETING DATES

The following dates were proposed for approval for the 2019-20 academic year:

Interim work session	September 16, 2019
Fall Quarterly Meetings	October 30-31, 2019
Winter Quarterly Meetings	January 23-24, 2020
Spring Quarterly Meetings	April 23-24, 2020
Summer Quarterly Meetings and Annual Retreat	June 18-19, 2020

MOTION: Mr. Brandstetter moved the Council approve the meeting dates of the 2019-20 academic year. Ms. Nelson seconded the motion.

VOTE: The motion passed.

STANDING COMMITTEE STRUCTURE

Based on the Council's recommendations and comments, CPE staff presented the following standing committee structure on a pilot basis through June 2020:

- 1) Executive Committee: This committee would retain its current charge, including reviewing all agency budget matters, leading the appointment and evaluation of the agency CEO, managing the annual audit of the agency, and performing other activities assigned by the Council. Under this proposal, the Executive Committee have the added responsibilities of working with staff to plan the annual board retreat and review and approve the President's annual incentive plan (as appropriate).

- 2) Finance: This standing committee assume the work of the former tuition and budget development work groups, as well as lead other finance-related matters of interest to the board. Members of the committee would be responsible for reviewing all applicable data and providing recommendations to the full Council in the following areas: biennial budget request; tuition and mandatory fees; performance funding; capital projects; and college costs.
- 3) Academic and Strategic Initiatives: This committee would regularly review and oversee the progress toward the objectives of the statewide Strategic Agenda and efforts to achieve the state's 2030 educational attainment goal. This committee also would be responsible for the review and recommendation of new academic programs, receiving status updates on academic program reviews, and providing input on the development of statewide academic-related initiatives such as dual credit and transfer.

The Committee on Equal Opportunities, Nominating Committee and two appointments to the KET board would not be affected by the standing committee structure.

If the pilot structure is successful, the Council will later formally adopt it in 2020 and amend the by-laws accordingly.

MOTION: Ms. Wright moved the Council approve the proposed standing committee structure on a pilot basis through June 2020. Ms. Nelson seconded the motion.

VOTE: The motion passed.

COMMENTS FROM THE COMMISSIONER OF EDUCATION

Presenter: Wayne Lewis, Kentucky Commissioner of Education

Commissioner Lewis discussed the continuing partnership between CPE and KDE to improve teacher preparation and teacher quality. He also discussed the continuing challenge of making sure students are college and/or career ready at graduation.

2019 STATEWIDE STRATEGIC AGENDA PROGRESS REPORT

Presenters: Lee Nimocks, Chief of Staff and Vice President
David Mahan, Associate Vice President for Data and Research

In 2016, the Council adopted the statewide strategic agenda, “Stronger by Degrees: A Plan to Create a More Educated and Prosperous Kentucky.” The agenda includes a set of key performance metrics, with 2020-21 targets for the state and institutions.

The 2019 Progress Report offers a detailed look at statewide and institutional performance on these metrics since their adoption. Dr. Mahan and Ms. Nimocks briefed the Council on the highlights of the report and stated areas of concern and focus. Chair Zimmerman discussed the process of the midterm review that is taking place between April and June of 2020.

REPORTS FROM THE INSTITUTIONS

Reports from the institutions were provided in the agenda book.

EXECUTIVE SESSION

MOTION: Dr. Staat moved that the Council go into executive discussion to discuss a matter involving potential litigation pursuant to KRS 61.810(1)(c). Ms. Nelson seconded the motion.

VOTE: The motion passed.

Following the motion, everyone attending the meeting left the room. The Council entered into executive session at 10:50 a.m. and remained so for 50 minutes.

MOTION: Ms. Nelson moved that the Council go back into open session. Mr. Brandstetter seconded the motion.

VOTE: The motion passed.

Chair Zimmerman confirmed that no action was taken in executive session and all discussion pertained to the matter was identified in the motion.

ADJOURNMENT

The Council adjourned the meeting at 11:40 a.m., ET. The next scheduled meetings are June 27-28, 2019.

MINUTES REVIEWED AND APPROVED BY THE COUNCIL: _____

DRAFT

TITLE: Executive Committee Report

PRESENTER: Sherrill Zimmerman, CPE Chair

The Executive Meeting met on June 28, 2019. Chair Zimmerman will provide an update from the meeting (if any).

Additionally, Chair Zimmerman will make the following new committee appointments, effective May 15, 2019 (unless noted):

Academic & Strategic Initiatives Committee

- Lori Harper (chair)
- Lucas Mentzer
- Donna Moore
- OJ Oleka
- Vidya Ravichandran
- Bob Staat
- Brandon Wilson
- Sherrill Zimmerman
- Ben Brandstetter

Finance Committee

- Ben Brandstetter (chair)
- Kim Halbauer
- OJ Oleka
- Bob Staat
- Brandon Wilson
- Carol Wright
- Sherrill Zimmerman

Executive Committee

- Sherrill Zimmerman (chair)
- Ben Brandstetter
- Ron Beal
- Kim Halbauer
- Kristi Nelson

Committee on Equal Opportunities

- Kim Halbauer, effective July 1, 2019 (replacing Vidya Ravichandran)

Nominating Committee

- Kristi Nelson
- Carol Wright

TITLE: Finance Committee Report

PRESENTER: Ben Brandstetter, Chair of the Finance Committee

Committee Chair Brandstetter will present the report of the Finance Committee. The draft minutes from the June 14, 2019 meeting have been included as reference.

The committee is recommending approval by the full Council on the following:

- 2019-20 Tuition and mandatory fee rates for EKV, MoSU, UK and UL.
- 2019-20 Agency Operating Budget.
- KY-OH Reciprocity Agreements.
- Interim Capital Project for KCTCS.

DRAFT MINUTES
Council on Postsecondary Education

Type: Finance Committee Meeting
Date: June 14, 2019
Time: 2:00 p.m.
Location: Council on Postsecondary Education, Conference Room A

CALL TO ORDER

The Finance Committee met Friday, June 14, 2019, at 2:00 p.m., ET, at the CPE offices in Frankfort, Kentucky. Committee Chair Ben Brandstetter presided.

ATTENDANCE

Attendance in person: OJ Oleka and Robert Staat

Attendance by teleconference: Ben Brandstetter, Brandon Wilson, Carol Wright (audio only, non-voting), and Sherrill Zimmerman.

Did not attend: Kim Halbauer

Heather Faesy, CPE's senior associate for Board Relations, served as recorder of the meeting minutes.

FINANCE COMMITTEE AREAS OF OVERSIGHT

The Committee established the areas of oversight of the committee, which are the following:

- Review and recommend a biennial budget recommendation for the agency and public institutions;
- Review and recommend the annual tuition and fee setting policy and processes;
- Review and monitor the status and distributions in regards to performance funding;
- Oversee and make recommendations to the implementation of the agency budget; and

- Work with staff in regards to ongoing research and comparative analyses in areas such as higher education financing strategies, tuition and price comparisons, college affordability, and outcomes-based funding.

Committee approval and recommendation process: Unless delegated by the full Council, actions taken by the Committee shall serve as recommendations. The following process will be used:

- Action items ready for review shall go before the Committee, and campus representatives will attend to answer questions (as needed).
- The Committee will take action on the item. If passed, the motion will state the Committee recommends approval by full Council. If not approved, the Committee will ask that the staff consider removing the item for consideration, or it be presented to the full Council for discussion and vote.
- All items approved by the Committee will be presented to the full Council by the Committee chair. The Committee chair may make the motion for approval, or ask the Chair to make a motion. Either a consent motion or separate motions may also be made.

Items that were not reviewed by the Committee (due to late or emergency submission) will be formally proposed at the full Council meeting, and presented by the Council Chair and Council staff. As needed, campus representatives will attend the meeting to answer questions. There will be no formal recommendation by the Committee.

AGENCY 2019-20 ANNUAL OPERATING BUDGET

Presenter: Shaun McKiernan, Director of Finance and Budget

CPE staff presented the 2019-20 agency annual operating budget, including the major changes from the previous fiscal year. The details of the budget are included in the agenda materials, however the major components include:

Postsecondary Coordination	\$ 3.9 M	22%
Data Collection/Analysis	1.1 M	6%
Contract Administration	1.0 M	6%
Contract Spaces	6.0 M	34%
Ovarian Cancer Screenings	0.5 M	3%
Special Initiatives	1.3M	8%
<u>Technology Trust Fund</u>	<u>3.6 M</u>	<u>21%</u>
Total	\$17.4 M	100%

By request of the board members, staff provided additional clarification on how the cancer research and contract spaces funds are determined and distributed.

MOTION: Ms. Zimmerman moved the Committee recommend approval of the 2019-20 agency operating budget to the full Council at its June 28, 2019 meeting. Dr. Staat seconded the motion.

VOTE: The motion passed.

INTERIM CAPITAL PROJECT REQUEST - KCTCS

Presenters: Shaun McKiernan, Director of Finance and Budget

Staff presented the Kentucky Community and Technical College System's request for interim authorization of a capital project to renovate Southcentral Kentucky Community and Technical College's Building A, which will provide for HVAC upgrades, additional faculty offices, and expansion of the bookstore. The project will require \$3,000,000 in institutional resources (agency-restricted funds). Finance and Administration Cabinet personnel will oversee the design and implementation of the project. KCTCS's Board of Regents approved the project on June 15, 2018.

MOTION: Dr. Staat moved the Committee recommend approval of the project to the full Council at its June 28, 2019 meeting. Ms. Zimmerman seconded the motion.

VOTE: The motion passed.

CAMPUS 2019-20 TUITION AND FEE PROPOSALS

Presenters: Bill Payne, Vice President for Finance and Administration

Tuition and Mandatory Fee recommendations for the 2018-20 academic years for the public four-year universities and KCTCS were adopted by the Council at its April 27, 2018 meeting. Those rates were:

For Universities

- Maximum base rate increase for resident undergraduate students of no more than 6.0% over two years, and no more than 4.0% in any one year.
- Market competitive rates for graduate and online courses.

For KCTCS

- Maximum increase for resident students of no more than \$12.00 per credit hour over two years, and no more than \$8.00 per credit hour in any one year.

The below 2019-20 tuition and mandatory fee base rates for the University of Kentucky, the University of Louisville, Eastern Kentucky University, and Morehead State University were proposed. The full listing of all categories for each university were included in the agenda materials.

	Rate Category	2018-19 Base Rate Charges	Proposed 2019-20 Base Rate Charges	Percent Change
UK	Undergraduate			
	Lower Division			
	– Resident	\$12,070	\$12,360	2.4%
	– Nonresident	\$28,902	\$30,680	6.2%
	Upper Division			
	– Resident	\$12,420	\$12,360	-0.5%
	– Nonresident	\$29,296	\$30,680	4.7%
EKU	Graduate			
	– Resident	\$13,052	\$13,404	2.7%
	– Nonresident	\$31,364	\$32,564	3.8%
EKU	Undergraduate			
	– Resident	\$8,996	\$9,266	3.0%
	– Nonresident	\$18,774	\$19,338	3.0%
	Graduate			
	– Resident	\$550 pch	\$550 pch	0.0%
– Nonresident	\$800 pch	\$775 pch	-3.1%	
MoSU	Undergraduate			
	– Resident	\$8,818	\$9,038	2.5%
	– Nonresident	\$13,294	\$13,624	2.5%
	Graduate			
	– Resident	\$574 pch	\$574 pch	0.0%
– Nonresident	\$574 pch	\$574 pch	0.0%	
UofL	Undergraduate			
	– Resident	\$11,460	\$11,732	2.4%
	– Nonresident	\$27,082	\$27,758	2.5%
	Graduate			
	– Resident	\$12,684	\$13,000	2.5%
– Nonresident	\$26,454	\$27,114	2.5%	

Council staff discussed the reasons for the tuition increases over the last ten years due to the decrease in state appropriations. Staff also provided information on the history of the special use fee and asset preservation fees.

MOTION: Dr. Staat moved the Committee recommend approval of the proposed tuition and mandatory fee rates for the University of Kentucky, the University of Louisville, Eastern Kentucky University, and Morehead State University to the full Council at its June 28, 2019 meeting. Mr. Wilson seconded the motion.

VOTE: The motion passed.

KENTUCKY-OHIO RECIPROCITY AGREEMENTS

Presenters: Shaun McKiernan, Director of Finance and Budget

Staff presented the tuition reciprocity agreements between Kentucky and Ohio for the 2019-21 fiscal years. The Ohio agreements have two-year terms (pursuant to Ohio law), and the proposed renewals have only minimal changes from the agreements currently in place. The changes were highlighted in the agenda materials.

MOTION: Dr. Staat moved the Committee recommend approval of all three Kentucky-Ohio Reciprocity Agreements to the full Council at its June 28, 2019 meeting. Ms. Zimmerman seconded the motion.

VOTE: The motion passed.

NONRESIDENT STUDENT TUITION POLICY

Presenters: Bill Payne, Vice President for Finance and Administration

Staff presented preliminary suggested changes to the nonresident student tuition policy for discussion. The current policy requires:

- Average undergraduate nonresident student tuition and fee revenue to meet or exceed average direct cost of instruction and student services
- A difficult calculation that compares average revenue generated by nonresident undergraduate students to average instructional and student services expenditures related to all students.

The suggested changes would require average undergraduate nonresident student tuition and fee revenue to equal or exceed 130% of the in-state tuition and fee

sticker price. Institutions could request an exception to this policy through a memorandum of agreement process with the Council to address strategic institutional or state needs.

The potential policy changes require further review by the campus presidents and chief budget officers before any Committee or Council action is needed.

Mr. Oleka inquired whether average tuition and fee revenue amounts were available by campus for different groups of students (e.g., underrepresented minority students); Dr. Payne stated that the data is not currently available but that he would look into it.

DISCUSSION ON TUITION AND FEE SETTING SCHEDULE FOR 2020-21 ACADEMIC YEAR

Mr. Brandstetter asked that the Council consider approving the 2020-21 tuition and mandatory fee ceilings at the October 31, 2019 meeting. Dr. Payne stated he would initiate the discussion with the institutional presidents and budget officers as well as CPE staff and provide an update on those discussions at the next Committee meeting.

INCOME SHARE MODEL DISCUSSION & STAFF REQUEST

Mr. Brandstetter requested Council staff conduct a study on the income share agreement concept and potential funding scenarios. The resulting report should be no more than five pages and sent to the Finance Committee by July 15. The subject would then be placed on the next Finance Committee meeting in August 2019 for further exploration and discussion.

2020-22 BIENNIAL BUDGET RECOMMENDATION

Presenters: Bill Payne, Vice President for Finance and Administration
Shaun McKiernan, Director of Finance and Budget

Staff presented the work conducted thus far on developing a 2020-22 Biennial Budget Recommendation for the agency and public institutions. The agenda materials provided included the timeline and meeting schedule, a summary of the 2018-20 budget request, the preliminary priorities identified for 2020-22, the beginning base/mandated programs, and the funding component discussions. The Committee plans to meet again in August, September and October of 2019 for further discussions.

PERFORMANCE FUNDING

Presenters: Bill Payne, Vice President for Finance and Administration
Shaun McKiernan, Director of Finance and Budget

Staff provided a copy of the letter and spreadsheet distributed to Budget Director John Chilton for the performance distribution for fiscal year 2019-20. Additionally, the Committee was updated on the discussions of the stop loss provision and the recent proposals presented from campuses on potential changes to the framework. Mr. Brandstetter stated he would like additional data on the potential distributions for the next two years assuming the performance of each campus is consistent with the most recent year and no changes are made to the framework.

ADJOURNMENT

The Finance Committee adjourned the meeting at 4:15 p.m., ET.

**MINUTES REVIEWED AND APPROVED BY THE
FINANCE COMMITTEE: _____**

TITLE: 2019-20 Tuition and Mandatory Fee Recommendation, Eastern Kentucky University

RECOMMENDATION: The Finance Committee reviewed and approved this item on June 14, 2019 and recommends the full Council accept the proposed 2019-20 tuition and mandatory fee rates for Eastern Kentucky University.

PRESENTERS: Ben Brandstetter, Chair of Finance Committee
Bill Payne, Vice President for Finance and Administration, CPE
Shaun McKiernan, Director of Finance and Budget, CPE

SUPPORTING INFORMATION

In May, Eastern Kentucky University (EKU) officials submitted a tuition and fee proposal for academic year 2019-20 to the Council, along with tuition and fee revenue estimates for fiscal years 2018-19 and 2019-20. Council staff reviewed ECU's proposed rates and determined that they comply with the resident undergraduate rate ceiling adopted by the Council at its April 27, 2018 meeting. Upon approval by the Council, the proposed rates will establish maximum tuition and mandatory fee charges that may be assessed to students enrolling at ECU during fall semester 2019, spring semester 2020, and summer term 2020.

Tuition and Mandatory Fees

On April 27, 2018, the Council approved tuition and fee ceilings for Eastern Kentucky University for academic years 2018-19 and 2019-20 that limit the university's base rate increase for resident undergraduate students to no more than 6.0 percent over two years, with a maximum allowable increase in any one year of no more than 4.0 percent. At that same meeting, the Council voted to allow institutions to charge market competitive rates for graduate and online courses.

Base rates are defined as total tuition and fee charges minus any Special Use Fees and Asset Preservation Fees previously approved by the Council, and minus an agency bond fee at KCTCS (i.e., BuildSmart Investment Fee). Council staff deduct these fees from total tuition and fees before applying percent increase parameters, which keeps the fees at a fixed amount each year until they expire.

At the June 22, 2018 meeting, the Council approved a base rate increase of 0.0 percent in ECU's resident undergraduate tuition charges for academic year 2018-19, which would allow the university to raise its rate by as much as 4.0 percent in 2019-20, given the previously adopted ceiling. As can be seen in the table below, ECU is proposing a \$270.⁰⁰, or 3.0% increase in its resident undergraduate base rate for 2019-20. ECU's total tuition and fee charges include a Special Use Fee of \$300.⁰⁰ per year for full-time students (\$12.⁵⁰ per credit hour capped at 12 credit hours, or \$150.⁰⁰, per term) and an Asset Preservation Fee of \$300.⁰⁰ per year for full-time students (\$10 per credit hour capped at 15 credit hours per term, or \$150.⁰⁰, per term.)

ECU's Board approved the university's 2019-20 tuition and fee charges at its April 23, 2019 meeting.

EKU Annual Base Rate Comparison					
<u>Rate Category</u>	<u>Current 2018-19 Base Rate Charges</u>	<u>Proposed 2019-20 Base Rate Charges</u>	<u>Dollar Change</u>	<u>Percent Change</u>	
Undergraduate					
Resident	\$8,996	\$9,266	\$270	3.0%	
Nonresident	\$18,774	\$19,338	\$564	3.0%	
Graduate					
Resident	\$550 pch	\$550 pch	\$0	0.0%	
Nonresident	\$800 pch	\$775 pch	-\$25	-3.1%	
EKU Annual Tuition and Fee Charge Comparison					
<u>Rate Category</u>	<u>Current 2018-19 Tuition & Fee Charges</u>	<u>Proposed 2019-20 Tuition & Fee Charges</u>	<u>Dollar Change</u>	<u>Percent Change</u>	
Undergraduate					
Resident	\$9,596	\$9,866	\$270	2.8%	
Nonresident	\$19,374	\$19,938	\$564	2.9%	
Graduate					
Resident	\$570 pch	\$570 pch	\$0	0.0%	
Nonresident	\$820 pch	\$795 pch	-\$25	-3.1%	
<p>EKU's tuition and fee charges include a Special Use Fee of \$150.⁰⁰ per semester, or \$300.⁰⁰ per year for full-time students and an Asset Preservation Fee of \$10.⁰⁰ per credit hour for all students.</p>					

Attachment A contains additional categories of tuition and fee charges, including proposed per-credit-hour rates for part-time resident and nonresident undergraduate students, graduate students, and online students. Staff recommends approval of these additional categories of rates as proposed by the university.

Estimated Tuition Revenue

EKU officials estimate that their proposed 2019-20 tuition and mandatory fee charges for all categories of students (i.e., every academic level, residency, and full-time or part-time status) will generate about \$145.9 million in gross tuition and fee revenue, which is \$1.2 million less than is projected for the current year (see Attachment B).

EKU officials estimate that the university's Education and General (E&G) fixed costs will increase by about \$9.6 million between fiscal years 2018-19 and 2019-20, which represents an increase of about 3.6% over current year costs. This cost projection assumes that a 70% increase in Kentucky Employee Retirement System contribution rates, as called for in the 2018 enacted budget, does occur.

**Proposed 2019-20 Tuition and Mandatory Fee Charges
Eastern Kentucky University**

<u>Category</u>	<u>Fall 2019</u>	<u>Spring 2020</u>	<u>Annual 2019-20</u>	<u>Summer 2020</u>	<u>Winter 2019</u>
<i>Undergraduate</i>					
Resident					
Full-time (12 credit hours and above)	\$ 4,633	\$ 4,633	\$ 9,266		
Per Credit Hour	386	386		386	421
Nonresident					
Full-time (12 credit hours and above)	9,669	9,669	\$ 19,338		
Per Credit Hour	806	806		806	421
Nonresident - Targeted Areas					
Full-time	\$ 4,633	\$ 4,633	\$ 9,266		
Per Credit Hour	386	386		386	421
Online (non-program) Per Credit Hour	421	421		421	421
<i>Online Programs</i>					
General Education	409	409		409	409
Child and Family Studies	409	409		409	409
Corrections and Juvenile Justice	409	409		409	409
Emergency Medical Care	409	409		409	409
Fire Arson Explosion Investigation	409	409		409	409
Fire Protection Administration Online	409	409		409	409
Fire Protection and Safety Engineering Technology	409	409		409	409
Homeland Security	409	409		409	409
Occupational Safety	409	409		409	409
Paralegal	409	409		409	409
Police Studies	409	409		409	409
Political Science	409	409		409	409
Psychology	409	409		409	409
RN to BSN	460	460		460	460
Other Online Program	409	409		409	409
<i>Graduate - Master's</i>					
Resident					
Full-time					
Per Credit Hour	550	550		550	550
Nonresident					
Full-time					
Per Credit Hour	775	775		775	775
Online (non-program) Per Credit Hour	550	550		550	550
<i>Online Programs (per credit hour)</i>					
Adult, Juvenile and Community Corrections Leadership	409	409		409	409
Construction Management	409	409		409	409
Deaf and Hard of Hearing (DHH)	409	409		409	409
Director of Pupil Personnel Endorsement Program	409	409		409	409
Family Nurse Practitioner	409	409		409	409
Gifted Education	409	409		409	409
Instructional Leadership	409	409		409	409
Instructional Supervisor Endorsement Program	409	409		409	409
Interdisciplinary Early Childhood Education (IECE)	409	409		409	409
Learning and Behavior Disorders	409	409		409	409
Library Science	409	409		409	409
Literacy (Reading Writing)	409	409		409	409
Middle Grades Education	409	409		409	409
Music	409	409		409	409
Nursing Administration	409	409		409	409
Principal Certification Program	409	409		409	409
Psychiatric Mental Health Nurse Practitioner	409	409		409	409
Public Administration	409	409		409	409

<u>Category</u>	<u>Fall 2019</u>	<u>Spring 2020</u>	<u>Annual 2019-20</u>	<u>Summer 2020</u>	<u>Winter 2019</u>
Safety, Security and Emergency Management	409	409		409	409
Superintendent Endorsement Program	409	409		409	409
Other Online Program	409	409		409	409
<i>Graduate - Doctorate (per credit hour)</i>					
Doctorate in Education	575	575		575	575
Doctorate Nursing Practice	675	675		675	675
Doctorate in Occupational Therapy	675	675		675	675
Doctorate in Psychology	675	675		675	675
<i>EKU Now (High School)</i>					
Per Credit Hour	53	53		53	53
Asset Preservation - Per Credit Hour capped at 15/semester	10	10		10	10
Special Use Fee - Per Credit Hour capped at 15/semester	10	10		10	10

ATTACHMENT B

Estimated 2019-20 Gross Tuition and Mandatory Fee Revenue Eastern Kentucky University

<i>Category</i>	Estimated 2018-19	Estimated 2019-20
<i>Undergraduate</i>		
Resident		
Fall	\$ 47,900,000	\$ 48,000,000
Spring	\$ 41,112,200	\$ 41,112,000
Summer	\$ 1,900,000	\$ 1,900,000
Nonresident		
Fall	\$ 8,850,000	\$ 8,950,000
Spring	\$ 8,120,000	\$ 8,120,000
Summer	\$ 700,000	\$ 700,000
Targeted		
Fall	\$ -	\$ -
Spring	\$ -	\$ -
Summer	\$ -	\$ -
Online Courses		
Fall	\$ 646,000	\$ 646,000
Spring	\$ 856,100	\$ 856,100
Summer	\$ 695,000	\$ 695,000
Winter	\$ 4,900	\$ 4,900
Online Programs		
Fall	\$ 4,500,000	\$ 4,500,000
Spring	\$ 6,500,000	\$ 6,000,000
Summer	\$ 2,800,000	\$ 2,800,000
<i>Graduate - Master's</i>		
Resident		
Fall	\$ 3,427,200	\$ 3,429,000
Spring	\$ 3,359,500	\$ 3,359,500
Summer	\$ 1,363,500	\$ 1,363,500
Nonresident		
Fall	\$ 484,700	\$ 480,000
Spring	\$ 361,400	\$ 361,000
Summer	\$ 164,025	\$ 154,000

<i>Category</i>	Estimated 2018-19	Estimated 2019-20
Online Courses		
Fall	\$ 646,100	\$ 646,000
Spring	\$ 956,100	\$ 956,100
Summer	\$ 794,800	\$ 795,000
Winter	\$ 4,800	\$ 4,900
Online Programs		
Fall	\$ 400,000	\$ 400,000
Spring	\$ 483,000	\$ 483,000
Summer	\$ 803,000	\$ 800,000
<i>Graduate - Doctorate</i>		
Non residency		
Fall	\$ 676,800	\$ 876,000
Spring	\$ 664,200	\$ 170,000
Summer	\$ 351,100	\$ 309,000
Online Programs		
Fall	\$ 214,900	\$ 215,000
Spring	\$ 218,800	\$ 218,000
Summer	\$ 122,500	\$ 122,500
<i>Special Use Fee</i>	\$ 4,000,000	\$ 4,000,000
<i>Asset Preservation</i>	\$ 3,000,000	\$ 2,500,000
	<u>\$ 147,080,625</u>	<u>\$ 145,926,500</u>

TITLE: 2019-20 Tuition and Mandatory Fee Recommendation, Morehead State University

RECOMMENDATION: The Finance Committee reviewed and approved this item on June 14, 2019 and recommends the full Council accept the proposed 2019-20 tuition and mandatory fee rates for Morehead State University.

PRESENTERS: Ben Brandstetter, Chair of Finance Committee
Bill Payne, Vice President for Finance and Administration, CPE
Shaun McKiernan, Director of Finance and Budget, CPE

SUPPORTING INFORMATION

In early June 2019, Morehead State University (MoSU) officials submitted a tuition and fee proposal for academic year 2019-20 to the Council, along with tuition and fee revenue estimates for fiscal years 2018-19 and 2019-20. Council staff reviewed MoSU’s proposed rates and determined that they comply with the resident undergraduate rate ceiling adopted by the Council at its April 27, 2018 meeting. Upon approval by the Council, the proposed rates will establish maximum tuition and mandatory fee charges that may be assessed to students enrolling at MoSU during fall semester 2019, and during winter, spring, and summer terms, 2020.

Tuition and Mandatory Fees

On April 27, 2018, the Council approved tuition and fee ceilings for Morehead State University for academic years 2018-19 and 2019-20 that limit the university’s base rate increase for resident undergraduate students to no more than 6.0 percent over two years, with a maximum allowable increase in any one year of no more than 4.0 percent. At that same meeting, the Council voted to allow institutions to charge market competitive rates for graduate and online courses.

Base rates are defined as total tuition and fee charges minus any Special Use Fees and Asset Preservation Fees previously approved by the Council, and minus an agency bond fee at KCTCS (i.e., BuildSmart Investment Fee). Council staff deduct these fees from total tuition and fees before applying percent increase parameters, which keeps the fees at a fixed amount each year until they expire.

At the June 22, 2018 meeting, the Council approved a base rate increase of 0.0 percent in MoSU's resident undergraduate tuition charges for academic year 2018-19, which would allow the university to raise its rate by as much as 4.0 percent in 2019-20, given the previously adopted ceiling. As can be seen in the table below, MoSU is proposing a \$220.⁰⁰, or 2.5% increase in its resident undergraduate base rate for 2019-20. MoSU's total tuition and fee charges include a Special Use Fee of \$132.⁰⁰ per year for full-time students and an Asset Preservation Fee of \$120.⁰⁰

MoSU's Board approved the university's 2019-20 tuition and fee charges at its June 6, 2019 meeting.

MoSU Annual Base Rate Comparison						
<u>Rate Category</u>	<u>Current 2018-19 Base Rate Charges</u>	<u>Proposed 2019-20 Base Rate Charges</u>	<u>Dollar Change</u>	<u>Percent Change</u>		
Undergraduate						
Resident	\$8,818	\$9,038	\$220	2.5%		
Nonresident	\$13,294	\$13,624	\$330	2.5%		
Graduate						
Resident	\$574 pch	\$574 pch	\$0	0.0%		
Nonresident	\$574 pch	\$574 pch	\$0	0.0%		
MoSU Annual Tuition and Fee Charge Comparison						
<u>Rate Category</u>	<u>Current 2018-19 Tuition & Fee Charges</u>	<u>Proposed 2019-20 Tuition & Fee Charges</u>	<u>Dollar Change</u>	<u>Percent Change</u>		
Undergraduate						
Resident	\$9,070	\$9,290	\$220	2.4%		
Nonresident	\$13,546	\$13,876	\$330	2.4%		
Graduate						
Resident	\$584 pch	\$584 pch	\$0	0.0%		
Nonresident	\$584 pch	\$584 pch	\$0	0.0%		
<p>MoSU's tuition and fee charges include a Special Use Fee of \$66.⁰⁰ per semester, or \$132.⁰⁰ per year, and an Asset Preservation Fee of \$60.00 per semester, or \$120 annually, for full-time undergraduate students. For part-time and graduate students, fees are \$5.⁰⁰ per credit hour for each fee.</p>						

Attachment A contains additional categories of tuition and fee charges, including proposed per-credit-hour rates for part-time resident and nonresident undergraduate students, graduate students, and online students. Staff recommends approval of these additional categories of rates as proposed by the university.

Estimated Tuition Revenue

MoSU officials estimate that their proposed 2019-20 tuition and mandatory fee charges for all categories of students (i.e., every academic level, residency, and full-time or part-time status) will generate about \$63.5 million in gross tuition and fee revenue, which is \$3.7 million less than is projected for the current year (see Attachment B). MoSU officials estimate that the university's Education and General (E&G) fixed costs will increase by about \$4.3 million between fiscal years 2018-19 and 2019-20, which represents an increase of about 4% over current year costs. This cost projection assumes that a 70% increase in Kentucky Employee Retirement System contribution rates, as called for in the 2018 enacted budget, does occur.

ATTACHMENT A

**Proposed 2019-20 Tuition and Mandatory Fee Charges
Morehead State University**

<u>Category</u>	<u>Fall 2019</u>	<u>Spring 2020</u>	<u>Annual 2019-20</u>	<u>Winter & Summer 2020</u>
<i>Undergraduate</i>				
Resident				
Full-time	4,519	4,519	9,038	-
Per Credit Hour	378	378	-	378
Nonresident				
Full-time	6,812	6,812	13,624	6,878
Per Credit Hour	569	569	-	569
International				
Full-time	11,399	11,399	22,798	-
Per Credit Hour	952	952	-	952
<i>Graduate</i>				
Resident				
Full-time	-	-	-	-
Per Credit Hour	574	574	-	574
Nonresident				
Full-time	-	-	-	-
Per Credit Hour	574	574	-	574
International				
Full-time	-	-	-	-
Per Credit Hour	574	574	-	574
Asset Preservation Fee				
Full-time	60	60	-	-
Per Credit Hour	5	5	-	5
Special Use Fee				
Full-time	66	66	-	-
Per Credit Hour	5	5	-	5

ATTACHMENT B

Estimated 2019-20 Gross Tuition and Mandatory Fee Revenue Morehead State University

<u>Category</u>	<u>Estimated 2018-19</u>	<u>Estimated 2019-20</u>
<i>Undergraduate</i>		
Resident	46,267,803	45,545,405
Nonresident	9,226,210	8,859,641
International	2,910,578	1,665,923
<i>Graduate</i>		
Resident	6,132,749	5,029,599
Nonresident	902,087	710,226
International	155,530	120,671
Asset Preservation Fee	775,000	700,000
Special Use Fee	867,636	867,636
Total	<u><u>\$ 67,237,593</u></u>	<u><u>\$ 63,499,100</u></u>

TITLE: 2019-20 Tuition and Mandatory Fee Recommendation, University of Kentucky

RECOMMENDATION: The Finance Committee reviewed and approved this item on June 14, 2019 and recommends the full Council accept the proposed 2019-20 tuition and mandatory fee rates for University of Kentucky.

PRESENTERS: Ben Brandstetter, Chair of Finance Committee
Bill Payne, Vice President for Finance and Administration, CPE
Shaun McKiernan, Director of Finance and Budget, CPE

SUPPORTING INFORMATION

In early June, University of Kentucky (UK) officials submitted a tuition and fee proposal for academic year 2019-20 to the Council, along with tuition and fee revenue estimates for fiscal years 2018-19 and 2019-20. Council staff reviewed UK's proposed rates and determined that they comply with the resident undergraduate rate ceiling adopted by the Council at its April 27, 2018 meeting. Upon approval by the Council, the proposed rates will establish maximum tuition and mandatory fee charges that may be assessed to students enrolling at UK during fall semester 2019, and winter, spring and summer 2020 terms.

Tuition and Mandatory Fees

On April 27, 2018, the Council approved tuition and fee ceilings for the University of Kentucky for academic years 2018-19 and 2019-20 that limit the university's base rate increase for resident undergraduate students to no more than 6.0 percent over two years, with a maximum allowable increase in any one year of no more than 4.0 percent. At that same meeting, the Council voted to allow institutions to charge market competitive rates for graduate and online courses.

Base rates are defined as total tuition and fee charges minus any Special Use Fees and Asset Preservation Fees previously approved by the Council, and minus an agency bond fee at KCTCS (i.e., BuildSmart Investment Fee). Council staff deduct these fees from total tuition and fees before applying percent increase parameters, which keeps the fees at a fixed amount each year until they expire.

At the June 22, 2018 meeting, the Council approved a base rate increase of 2.5 percent in UK's resident undergraduate tuition charges for academic year 2018-19, which would allow the university to raise its rate by as much as 3.5 percent in 2019-20, given the previously adopted ceiling. As can be seen in the table below, for 2019-20, UK is proposing a \$290.⁰⁰, or 2.4% increase in annual resident lower division undergraduate rate and a \$60.⁰⁰ decrease in the annual resident upper division undergraduate rate. UK is effectively eliminating the difference between upper and lower division tuition and fee rate charges beginning 2019-20. UK does not currently charge a Special Use or Asset Preservation Fee.

UK's Board is expected to approve the university's 2019-20 tuition and fee charges at its June 21, 2019 meeting.

UK Annual Tuition and Fee Charge Comparison				
<u>Rate Category</u>	<u>Current 2018-19 Rate Charges</u>	<u>Proposed 2019-20 Rate Charges</u>	<u>Dollar Change</u>	<u>Percent Change</u>
Undergraduate				
Lower Division				
Resident	\$12,070	\$12,360	\$290	2.4%
Nonresident	\$28,902	\$30,680	\$1,778	6.2%
Upper Division				
Resident	\$12,420	\$12,360	-\$60	-0.5%
Nonresident	\$29,296	\$30,680	\$1,384	4.7%
Graduate				
Resident	\$13,052	\$13,404	\$352	2.7%
Nonresident	\$31,364	\$32,564	\$1,200	3.8%
UK has no Special Use Fee or Asset Preservation Fee at this time.				

Attachment A contains additional categories of tuition and fee charges, including proposed per-credit-hour rates for part-time resident and nonresident undergraduate students, graduate students, and online students. Staff recommends approval of these additional categories of rates as proposed by the university.

Estimated Tuition Revenue

UK officials estimate that their proposed 2019-20 tuition and mandatory fee charges for all categories of students (i.e., every academic level, residency, and full-time or part-time status) will generate about \$546.5 million in gross tuition and fee revenue, which is \$20.2 million more than is projected for the current year (see Attachment B). UK officials estimate that the university's Education and General (E&G) fixed costs will increase by about \$19.5 million between fiscal years 2018-19 and 2019-20, which represents an increase of about 1.5% over current year costs.

**Proposed 2019-20 Tuition and Mandatory Fee Charges
University of Kentucky**

<i>Category</i>	Fall 2019	Spring 2020	Annual 2019-20	Summer and Winter Terms 2020	Full Programs (Began in 2016-17)
<i>Undergraduate</i>					
Resident					
Full-time (12 credit hours and above)	\$ 6,180.00	\$ 6,180.00	\$ 12,360.00		
Per Credit Hour	\$ 501.00	\$ 501.00		\$ 501.00	
Nonresident					
Full-time (12 credit hours and above)	\$ 15,340.00	\$ 15,340.00	\$ 30,680.00		
Per Credit Hour	\$ 1,265.00	\$ 1,265.00		\$ 1,265.00	
Online (Per Credit Hour)*	\$ 601.00	\$ 601.00		\$ 601.00	
<i>Graduate**</i>					
Resident					
Full-time	\$ 6,702.00	\$ 6,702.00	\$ 13,404.00		
Per Credit Hour	\$ 726.00	\$ 726.00		\$ 726.00	
Nonresident					
Full-time	\$ 16,282.00	\$ 16,282.00	\$ 32,564.00		
Per Credit Hour	\$ 1,788.00	\$ 1,788.00		\$ 1,788.00	
<i>Master of Business Administration (MBA)</i>					
<i>Evening and part-time students (entered prior to Summer 2016)</i>					
Resident					
Full-time	\$ 7,752.00	\$ 7,752.00	\$ 15,504.00		
Per Credit Hour	\$ 842.00	\$ 842.00		\$ 842.00	
Nonresident					
Full-time	\$ 19,456.00	\$ 19,456.00	\$ 38,912.00		
Per Credit Hour	\$ 2,140.00	\$ 2,140.00		\$ 2,140.00	
<i>Master of Forensic Toxicology and Analytical Genetics</i>					
Resident and Nonresident					
Per Credit Hour	\$ 971.00	\$ 971.00		\$ 971.00	
<i>Master of Science in Finance</i>					
Resident					
Per Credit Hour	\$ 914.00	\$ 914.00		\$ 914.00	
Nonresident					
Per Credit Hour	\$ 1,269.00	\$ 1,269.00		\$ 1,269.00	
<i>Master or Graduate Certificate, Health Professional</i>					
Resident					
Full-time	\$ 7,223.00	\$ 7,223.00	\$ 14,446.00		
Per Credit Hour	\$ 784.00	\$ 784.00		\$ 784.00	
Nonresident					
Full-time	\$ 16,881.00	\$ 16,881.00	\$ 33,762.00		
Per Credit Hour	\$ 1,853.00	\$ 1,853.00		\$ 1,853.00	
<i>Master or Graduate Certificate, Professional</i>					
Resident					
Full-time	\$ 7,029.00	\$ 7,029.00	\$ 14,058.00		
Per Credit Hour	\$ 762.00	\$ 762.00		\$ 762.00	
Nonresident					
Full-time	\$ 16,659.00	\$ 16,659.00	\$ 33,318.00		
Per Credit Hour	\$ 1,833.00	\$ 1,833.00		\$ 1,833.00	

**Proposed 2019-20 Tuition and Mandatory Fee Charges
University of Kentucky**

<i>Category</i>	Fall 2019	Spring 2020	Annual 2019-20	Summer and Winter Terms 2020	Full Programs (Began in 2016-17)
<i>Professional Practice Doctoral</i>					
Resident					
Full-time	\$ 8,753.00	\$ 8,753.00	\$ 17,506.00		
Per Credit Hour	\$ 955.00	\$ 955.00		\$ 955.00	
Nonresident					
Full-time	\$ 22,519.00	\$ 22,519.00	\$ 45,038.00		
Per Credit Hour	\$ 2,483.00	\$ 2,483.00		\$ 2,483.00	
<i>Dentistry</i>					
Resident			\$ 35,228.00		
Nonresident			\$ 75,177.00		
<i>Reduced Curriculum load</i>					
Resident			\$ 18,376.00		
Nonresident			\$ 38,351.00		
<i>Doctor of Pharmacy</i>					
Resident			\$ 28,338.00		
Nonresident			\$ 52,631.00		
<i>Reduced curriculum load</i>					
Resident			\$ 14,931.00		
Non-Resident			\$ 27,078.00		
<i>Doctorate of Physical Therapy</i>					
Resident			\$ 21,766.00		
Nonresident			\$ 45,060.00		
<i>Law</i>					
Resident			\$ 24,723.00		
Nonresident			\$ 50,352.00		
<i>Medicine</i>					
<i>Students - entering class of fall 2014</i>					
Resident			\$ 34,888.00		
Nonresident			\$ 63,948.00		
<i>Students - entering class of fall 2015</i>					
Resident			\$ 35,929.00		
Nonresident			\$ 65,861.00		
<i>Students - entering class of fall 2016</i>					
Resident			\$ 37,716.00		
Nonresident			\$ 65,861.00		
<i>Students - entering class of fall 2017</i>					
Resident			\$ 38,472.00		
Nonresident			\$ 68,000.00		
<i>Students - entering class of fall 2018</i>					
Resident			\$ 38,920.00		
Nonresident			\$ 69,648.00		
<i>Students - entering class of fall 2019</i>					
Resident			\$ 40,042.00		
Nonresident			\$ 72,373.00		
<i>Reduced Curriculum load</i>					
Resident			\$ 20,783.00		
Nonresident			\$ 36,949.00		
<i>Master of Science in Accounting</i>					
Resident					\$ 22,524.00
Nonresident					\$ 30,524.00

**Proposed 2019-20 Tuition and Mandatory Fee Charges
University of Kentucky**

<i>Category</i>	Fall 2019	Spring 2020	Annual 2019-20	Summer and Winter Terms 2020	Full Programs (Began in 2016-17)
<i>Master of Business Administration (MBA)</i>					
<i>One-Year, Full-Time</i>					
Resident					\$ 35,417.00
Nonresident					\$ 41,487.00
<i>Professional Evening Two-Years (full-time)</i>					
Students - entering classes of Fall 2016 & 2017					
Resident					\$ 34,622.00
Nonresident					\$ 39,622.00
Students - entering classes of Fall 2018					
Resident					\$ 35,551.00
Nonresident					\$ 41,070.00
Students - entering classes of Fall 2019					
Resident					\$ 36,537.00
Nonresident					\$ 42,605.00
<i>Professional Evening Three-Years (part-time)</i>					
Students - entering classes of Fall 2016 & 2017					
Resident					\$ 33,458.00
Nonresident					\$ 38,458.00
Students - entering classes of Fall 2018					
Resident					\$ 34,416.00
Nonresident					\$ 39,935.00
Students - entering classes of Fall 2019					
Resident					\$ 35,889.00
Nonresident					\$ 41,957.00
<i>UK-UofL Joint Executive Master in Business Administration</i>					
					\$ 67,500.00

*Beginning fall 2019, undergraduate courses offered fully online (i.e., Internet, web-based) will be assessed using the Undergraduate Online Learning Rate. These courses will be assessed tuition and mandatory fees per credit hour, separate and in addition to tuition and mandatory fees for any other courses.

**For graduate and professional program students enrolled exclusively in online courses (i.e., Internet, web-based) will be assessed using the applicable resident tuition rate regardless of residency status.

ATTACHMENT B

Estimated 2019-20 Gross Tuition and Mandatory Fee Revenue University of Kentucky

<u>Category</u>	<u>Estimated 2018-19</u>	<u>Estimated 2019-20</u>
<i>Undergraduate</i>		
Resident	\$ 164,925,500	\$ 162,595,200
Nonresident	184,297,100	187,605,300
Online	n/a	13,471,400
<i>Graduate</i>		
Resident	31,754,100	32,349,600
Nonresident	45,992,900	46,230,700
Online*	n/a	n/a
<i>First-Professional</i>		
Resident	37,384,900	42,571,300
Nonresident	27,506,400	27,622,000
Mandatory Fees	34,365,000	34,011,000
Total	<u><u>\$ 526,225,900</u></u>	<u><u>\$ 546,456,500</u></u>

Note: Insert additional rows and categories as needed, so that total estimated tuition and mandatory fee revenue for all categories of students (i.e., every academic level, residency, and full-time or part-time status) is reflected in the bottom row.

*Tuition revenue from online graduate course offerings is not separately recorded. Graduate students enrolled in only online courses are assessed the Kentucky resident rate regardless of residency status. A Distance Learning Fee of \$10 per credit hour is assessed for all courses offered in a non-traditional format.

TITLE: 2019-20 Tuition and Mandatory Fee Recommendation, University of Louisville

RECOMMENDATION: The Finance Committee reviewed and approved this item on June 14, 2019 and recommends the full Council accept the proposed 2019-20 tuition and mandatory fee rates for University of Louisville.

PRESENTERS: Ben Brandstetter, Chair of Finance Committee
Bill Payne, Vice President for Finance and Administration, CPE
Shaun McKiernan, Director of Finance and Budget, CPE

SUPPORTING INFORMATION

In early June, University of Louisville (UofL) officials submitted a tuition and fee proposal for academic year 2019-20 to the Council, along with tuition and fee revenue estimates for fiscal years 2018-19 and 2019-20. Council staff reviewed UofL’s proposed rates and determined that they comply with the resident undergraduate rate ceiling adopted by the Council at its April 27, 2018 meeting. Upon approval by the Council, the proposed rates will establish maximum tuition and mandatory fee charges that may be assessed to students enrolling at UofL during fall semester 2019, and during spring and summer terms 2020.

Tuition and Mandatory Fees

On April 27, 2018, the Council approved tuition and fee ceilings for the University of Louisville for academic years 2018-19 and 2019-20 that limit the university’s base rate increase for resident undergraduate students to no more than 6.0 percent over two years, with a maximum allowable increase in any one year of no more than 4.0 percent. At that same meeting, the Council voted to allow institutions to charge market competitive rates for graduate and online courses.

Base rates are defined as total tuition and fee charges minus any Special Use Fees and Asset Preservation Fees previously approved by the Council, and minus an agency bond fee at KCTCS (i.e., BuildSmart Investment Fee). Council staff deduct these fees from total tuition and fees before applying percent increase parameters, which keeps the fees at a fixed amount each year until they expire.

At the June 22, 2018 meeting, the Council approved a base rate increase of 3.5 percent in UofL's resident undergraduate tuition charges for academic year 2018-19, which would allow the university to raise its rate by as much as 2.4 percent in 2019-20, given the previously adopted ceiling. As can be seen in the table below, UofL is proposing a \$272.⁰⁰, or 2.4% increase in its resident undergraduate base rate for 2019-20. UofL's total tuition and fee charges include a Special Use Fee of \$98.⁰⁰ per semester or \$196.⁰⁰ per year for full-time students.

UofL's Board approved the university's 2019-20 tuition and fee charges at its March 1, 2019 meeting.

UofL Annual Base Rate Comparison				
<u>Rate Category</u>	<u>Current 2018-19 Base Rate Charges</u>	<u>Proposed 2019-20 Base Rate Charges</u>	<u>Dollar Change</u>	<u>Percent Change</u>
Undergraduate				
Resident	\$11,460	\$11,732	\$272	2.4%
Nonresident	\$27,082	\$27,758	\$676	2.5%
Graduate				
Resident	\$12,684	\$13,000	\$316	2.5%
Nonresident	\$26,454	\$27,114	\$660	2.5%
UofL Annual Tuition and Fee Charge Comparison				
<u>Rate Category</u>	<u>Current 2018-19 Tuition & Fee Charges</u>	<u>Proposed 2019-20 Tuition & Fee Charges</u>	<u>Dollar Change</u>	<u>Percent Change</u>
Undergraduate				
Resident	\$11,656	\$11,928	\$272	2.3%
Nonresident	\$27,278	\$27,954	\$676	2.5%
Graduate				
Resident	\$12,880	\$13,196	\$316	2.5%
Nonresident	\$26,650	\$27,310	\$660	2.5%
UofL's tuition and fee charges include a Special Use Fee of \$98. ⁰⁰ per semester, or \$196. ⁰⁰ per year.				

Attachment A contains additional categories of tuition and fee charges, including proposed per-credit-hour rates for part-time resident and nonresident undergraduate students, graduate students, and online students. Staff recommends approval of these additional categories of rates as proposed by the university.

Estimated Tuition Revenue

UofL officials estimate that their proposed 2019-20 tuition and mandatory fee charges for all categories of students (i.e., every academic level, residency, and full-time or part-time status) will generate about \$318.9 million in gross tuition and fee revenue, which is \$12.0 million more than is projected for the current year (see Attachment B).

UofL officials estimate that the university's Education and General (E&G) fixed costs will increase by about \$10.9 million between fiscal years 2018-19 and 2019-20, which represents an increase of about 2% over current year costs.

**Proposed 2019-20 Tuition and Mandatory Fee Charges
University of Louisville**

<i>Category</i>	Fall 2019	Spring 2020	Annual 2019-20	Summer 2020
<i>Undergraduate</i>				
Resident				
Full-time (12 credit hours and above)	\$ 5,866	\$ 5,866	\$ 11,732	\$ 5,866
Per Credit Hour	489	489		489
Nonresident				
Full-time (12 credit hours and above)	13,879	13,879	27,758	13,879
Per Credit Hour	1,157	1,157		1,157
Special Fort Knox Rate				
Per Credit Hour (includes online courses)	250	250		250
<i>Graduate</i>				
Resident				
Full-time	6,500	6,500	13,000	6,500
Per Credit Hour	723	723		723
Nonresident				
Full-time	13,557	13,557	27,114	13,557
Per Credit Hour	1,507	1,507		1,507
Activity Duty Military				
Per Credit Hour	250	250		250
Master's of Engineering in Engineering Management	690	690		690
Franchise Management Certificate	600	600		600
<i>Distance Education (per credit hour)</i>				
Undergraduate	539	539		539
Undergraduate - RN to Bachelor of Science in Nursing	375	375		375
Graduate	764	764		764
Graduate - Urban Planning & Public Admin	905	905		905
Graduate - Advanced Educator Preparation	573	573		573
Graduate - Franchise Management Certificate	800	800		800
Law, full-time	1,098	1,098		1,098
Law, part-time	914	914		914
<i>Professional MBA Cohort Program</i>				
Resident - 6 consecutive semesters including summer terms				
Full-time	5,333	5,333	16,000	5,333
Nonresident - 6 consecutive semesters including summer terms				
Full-time	5,333	5,333	16,000	5,333
<i>Full-time MBA Cohort Program</i>				
Resident - 13 month program				
Full-time	10,667	10,667	32,000	10,667
Nonresident - 13 month program				
Full-time	10,667	10,667	32,000	10,667
<i>IMBA Cohort Program</i>				
Resident - 6 consecutive semesters including summer terms				
Full-time	5,333	5,333	16,000	5,333
Nonresident - 6 consecutive semesters including summer terms				
Full-time	5,333	5,333	16,000	5,333
<i>Master's in Accountancy Cohort Program</i>				
Resident - 3 consecutive semesters including summer terms				
Full-time	8,333	8,333	25,000	8,333
Nonresident - 3 consecutive semesters including summer terms				
Full-time	8,333	8,333	25,000	8,333
<i>Master's in Business Analytics Program</i>				
Resident - 13 month program				
Full-time	10,000	10,000	30,000	10,000

**Proposed 2019-20 Tuition and Mandatory Fee Charges
University of Louisville**

<i>Category</i>	Fall 2019	Spring 2020	Annual 2019-20	Summer 2020
Nonresident - 13 month program Full-time	10,000	10,000	30,000	10,000
<i>Certificate Program in Accounting</i>				
Resident (7 undergraduate courses over 2-3 semesters) Full-time	6,450	6,450	12,900	NA
Nonresident (7 undergraduate courses over 2-3 semesters) Full-time	6,450	6,450	12,900	NA
<i>Ed. D. Practitioner</i>				
Resident - 9 consecutive semesters including summer terms Full-time	1,800	1,800	5,400	1,800
Nonresident - 9 consecutive semesters including summer terms Full-time	1,800	1,800	5,400	1,800
<i>M.S. in Human Resources and Organization Development</i>				
Resident - 6 consecutive semesters including summer terms Full-time	2,750	2,750	8,250	2,750
Nonresident - 6 consecutive semesters including summer terms Full-time	2,750	2,750	8,250	2,750
<i>Urban Planning and Public Administration Programs</i>				
Resident Full-time	7,400	7,400	14,800	7,400
Nonresident Full-time	14,457	14,457	28,914	14,457
<i>Advanced Educator Preparation Master's and Graduate Programs</i>				
Resident Full-time	4,875	4,875	9,750	4,875
Per Credit Hour	542	542		542
<i>Law</i>				
Resident Full-time	11,217	11,217	22,434	11,217
Per Credit Hour	1,122	1,122		1,122
Nonresident Full-time	20,922	20,922	41,844	20,922
Per Credit Hour	2,093	2,093		2,093
<i>Medicine</i>				
Resident	20,380	20,380	40,760	NA
Nonresident	30,991	30,991	61,982	NA
<i>Dentistry</i>				
Resident	17,249	17,249	34,498	NA
Nonresident	35,985	35,985	71,970	NA
Special Use Fee	98	98	196	98
Doctoral / Masters Candidacy	1,446	1,446	2,892	1,446

ATTACHMENT B

**Estimated 2019-20 Gross Tuition and Mandatory Fee Revenue
University of Louisville**

<u>Category</u>	<u>Estimated 2018-19</u>	<u>Estimated 2019-20</u>
<i>Undergraduate</i>		
Resident	\$ 117,796,333	\$ 117,510,000
Nonresident	35,103,052	36,610,000
Online	27,251,938	30,398,492
<i>Graduate</i>		
Resident	23,455,800	23,483,500
Nonresident	4,790,016	4,750,000
Online	10,825,008	12,902,524
<i>First-Professional</i>		
Resident	31,082,076	31,150,000
Nonresident	32,264,424	33,370,000
Other Tuition	12,400,000	16,123,126
Mandatory Fees	8,350,000	9,084,628
Student Recreation Center Special Use Fee	3,500,000	3,500,000
Total	<u>\$ 306,818,647</u>	<u>\$ 318,882,270</u>

Note: Insert additional rows and categories as needed, so that total estimated tuition and mandatory fee revenue for all categories of students (i.e., every academic level, residency, and full-time or part-time status) is reflected in the bottom row.

TITLE: 2019-20 Agency Operating Budget

RECOMMENDATION: The Finance Committee reviewed and approved this item on June 14, 2019 and recommends the full Council accept the proposed 2019-20 CPE Agency Operating Budget.

PRESENTERS: Ben Brandstetter, Chair of the Finance Committee
Bill Payne, Vice President for Finance and Administration, CPE
Shaun McKiernan, Director of Finance and Budget, CPE

SUPPORTING INFORMATION

Each year, staff asks the Council to review and approve the agency's revenue and expenditure budget for the upcoming fiscal year. Below is a description of CPE's budget as proposed for 2019-20. The enacted state budget for 2018-20 (HB 200), along with CPE's 2018-20 biennial budget request, submitted to the state budget office and Legislative Research Commission in November 2017, provide a framework for each fiscal year's Agency Operating Budget. Budgeted figures for the current year (fiscal year 2018-19) are included for comparison.

CPE General Fund Budget

In FY 2017-18, CPE's General Fund appropriation was reduced 5.1% through a mid-year Budget Reduction Order. This cut was applied strategically by the state budget office in consultation with CPE staff to minimize the impact on students, institutions, and key programs. Contract Spaces and the SREB Doctoral Scholars programs were spared from cuts, since participating students were already enrolled and had been awarded funds. Funding for the State Autism Training Center also was not reduced. Some Pass-Through programs in the CPE budget received a 50% reduction, in anticipation of phasing out these programs entirely. All of the General Fund cuts to programs were considered non-recurring.

For 2018-19 (the current year), like many areas of state government, CPE's agency General Fund budget was reduced 6.25%. This cut was applied to the original 2017-18 enacted budget. In addition, funding for several programs was eliminated in 2018-19, include the Professional Education Preparation Program (PEPP), Governor's Minority

Student College Preparation Program, and Washington Intern Program. As can be seen in the table below, this cut represents the seventh reduction that the agency has sustained over the past decade. Cumulatively, the impact of the cuts since 2010 is -28.2%.

Agency Operating Budget
 Change from Prior Year in Agency General Fund Appropriation
 Fiscal Years 2011-2019

<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>	<u>2019</u>
-5.00%	-3.00%	-8.40%	0.00%	-5.00%	-4.50%	0.00%	-5.10% *	-6.25%

**non-recurring*

In 2018-19, two areas of CPE’s budget were transferred to other entities: (1) the Science and Technology Program (primarily the Kentucky Science and Technology Corporation) and its funding were transferred to the Cabinet for Economic Development; and (2) funding for the State Autism Training Center was transferred to the University of Louisville, where that program is housed.

Additional funds were added to CPE’s budget to maintain the current number of Contract Spaces seats (for Kentucky residents pursuing degrees in veterinary medicine and optometry) and to provide funding to cover retirement system and technology cost increases.

Finally, state General Fund was added to fund ovarian cancer screenings, which previously had been funded with Tobacco Funds. Through an Executive Order, Kentucky Adult Education was transferred on December 16, 2018 to the Education and Workforce Development Cabinet. The table on the next page shows CPE’s original and revised current year (2018-19) General Fund budget and the 2019-20 budget by major category (allotment).

Council on Postsecondary Education
Agency General Fund Budget

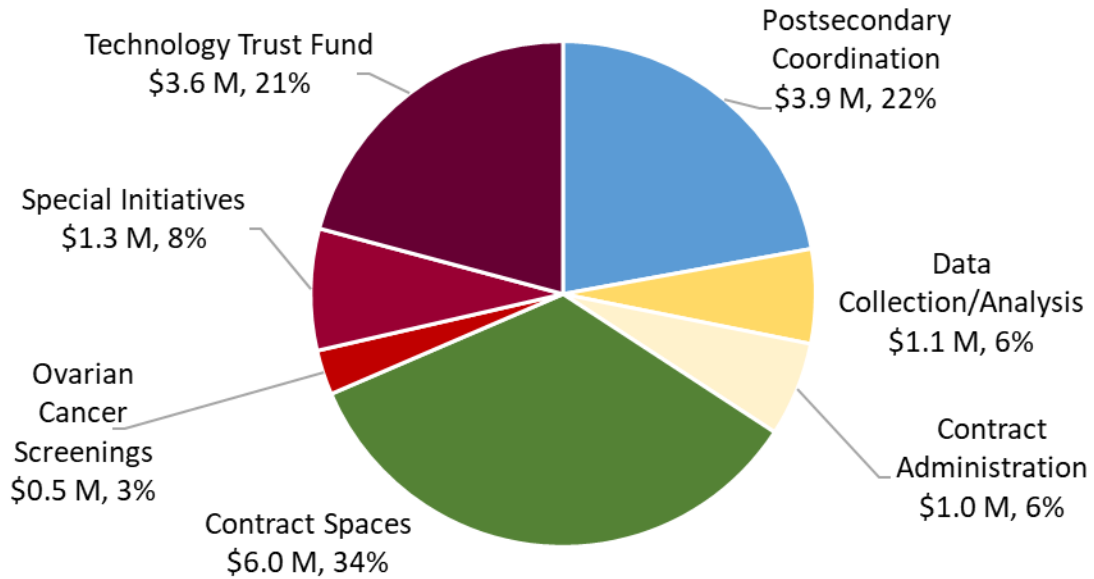
	A	B	C	(C/A)-1
	Original 2018-19	Revised 2018-19	Proposed 2019-20	Percent Change
Operations				
Enacted/Beginning Base	6,686,500	6,686,500	6,686,500	0%
KERS Cost Increases	689,900	689,900	750,400	9%
COT Cost Increases	250,000	250,000	250,000	0%
Reduction (Adult Education)		(840,500)	(1,728,000)	
Subtotal Operations	7,626,400	6,785,900	5,958,900	-22%
Contract Spaces	5,981,400	5,981,400	5,982,000	0%
Strategic Investments				
Adult Education	16,550,100	16,550,100	-	
Transfer to EWDC	-	(12,280,317)	-	
Subtotal Adult Education	16,550,100	4,269,783	-	-100%
Ovarian Cancer Screenings	500,000	500,000	500,000	0%
Special Initiatives (SB1)	1,351,300	1,351,300	1,355,300	0%
Technology Trust Fund	3,628,300	3,628,300	3,628,300	0%
Subtotal Strategic Investments	22,029,700	9,749,383	5,483,600	-75%
Total General Fund	35,637,500	22,516,683	17,424,500	-51%

Similar information is shown in the next chart which provides a breakdown of the Agency Operations budget, showing data collection, contract administration, and adult education statewide coordination as discrete units separate from the more traditional Postsecondary System coordination function.

Personnel expenses make up 82 percent of the total Operations budget. General Fund appropriations support 55 employees, down from 97 staff in 2007-08. Fourteen staff, down from 27 in fiscal 2007-08, work for Adult Education and are funded through the Agency Operations budget.

The category Technology Trust Fund includes funding for the Kentucky Virtual Library (KYVL) and Kentucky Postsecondary Education Network (KPEN).

**Kentucky Council on Postsecondary Education
2019-20 General Fund by Budget Category
Total = \$17.4 Million (M)**



CPE Tobacco Fund, Federal Funds, and Restricted Funds Budgets

In addition to state General Fund appropriations, the Council’s budget contains other sources of revenue, including tobacco settlement funds, cigarette tax revenue, federal grant funds, and agency receipts.

The next table provides a summary of the Council’s 2018-19 expenditure budgets for these funds and the 2019-20 proposed expenditure budgets. The enacted budget (HB 200) specifies the expenditure limits for each fund. Expenditure levels are also impacted by the availability of funds (carryforward plus current year receipts received).

Tobacco Fund Budget				
	A	B	C	(C/A)-1
	Original 2018-19	Revised 2018-19	Proposed 2019-20	Percent Change
Cancer Research (UK/UofL)				
Enacted/Beginning Base	7,000,000	7,000,000	6,686,500	-4%
Revenue Estimate Reduction		(143,975)		
	<u>7,000,000</u>	<u>6,856,025</u>	<u>6,686,500</u>	-4%
Federal Funds Budget				
	A	B	C	(C/A)-1
	Original 2018-19	Revised 2018-19	Proposed 2019-20	
Adult Education	12,322,000	12,322,000		
Transfer to EWDC		(8,978,125)		
Subtotal Adult Education	<u>12,322,000</u>	<u>3,343,875</u>	-	-100%
GEAR UP	-	2,000,000	4,000,000	
Improving Educator Quality	450,000	450,000	-	
	<u>450,000</u>	<u>2,450,000</u>	<u>4,000,000</u>	789%
Total Federal Funds	12,772,000	5,793,875	4,000,000	-69%
Restricted Funds Budget				
Cancer Research Match	4,110,000	4,110,000	4,110,000	0%
Adult Education (GED receipts)	300,000	300,000		
Transfer to EWDC	-	(253,811)		
Subtotal Adult Education	<u>300,000</u>	<u>46,189</u>	-	-100%
Licensure	362,000	362,000	313,300	-13%
Agency Operations	596,000	596,000	550,000	-8%
Total Restricted Funds	5,368,000	5,114,189	4,973,300	-7%

For the current biennium (the current year and next fiscal year), the enacted budget specifies that Tobacco Fund appropriations in CPE's budget are to fund cancer research at UK and UofL (divided equally), rather than funding the Lung Cancer Research Program. This change allows these institutions greater flexibility. Ovarian Cancer Screenings are funded with \$500,000 in state General Fund which was added to CPE's appropriation. Previously, screenings were funded with a carve-out of CPE Tobacco Funds.

The only program supported with Federal Funds in CPE's 2019-20 budget is GEAR UP. The Kentucky Adult Education grant was moved to the Education and Workforce Development Cabinet, and the Improving Educator Quality (IEQ) grant has closed and will not be renewed.

Restricted Funds support several areas of CPE's budget including the Cancer Research Match program, the Licensure program, and Agency Operations.

- Cigarette tax proceeds (one cent from each pack of cigarettes sold in Kentucky) fund the Cancer Research Match program, which goes to UK and UofL equally, provided that the institutions are able to match the disbursements with institutional funds spent on cancer research.
- The Council licenses all Kentucky non-profit and for-profit baccalaureate degree granting and above-institutions, and out-of-state public institutions operating or soliciting in the Commonwealth. The Licensure unit also has duties related to the State Authorization Reciprocity Agreement (SARA), which allows Kentucky public and licensed institutions to do business in other SARA states. Licensure revenue of about \$350,000 per year supports two full-time staff who ensure the Council's licensure duties are performed.
- Finally, the Council receives funds related to the administration of federal grants and is authorized to spend those funds. With CPE's award of the new GEAR UP grant, these receipts can be expected for the next several biennia.

CPE Other Funds

The Council manages several other state funds, including the Equine University Program Trust Fund, the Revolving Loan Fund, and funds housed in the Technology Trust Fund (namely, KYVL receipts and smaller grants). The Equine Program receives funds collected by the state related to pari-mutuel betting. The funding, about \$600,000 per year, is distributed to five institutions that have Equine programs (UK, UofL, MoSU, MuSU, and WKU) to help fund capital investments in their programs.

The Revolving Loan Fund was created to provide start-up funds for expensive information technology programs at Kentucky public colleges and universities. Three years ago, \$600,000 was spent from this fund for KPEN upgrades. Staff recommends Council approval to use the Revolving Loan Fund, if requests are made, to fund technology projects at postsecondary institutions or at the Council. CPE made the third

of four annual payments to reimburse the fund in 2018-19, and will make the fourth and final payment of \$150,000 in 2019-20.

Finally, smaller grants from private foundations and state government agencies, along with KYVL receipts and are housed in the Technology Trust Fund. These funds will be spent in 2019-20 as allowed by terms of the funding.

TITLE: Kentucky-Ohio Reciprocity Agreements

RECOMMENDATION: The Finance Committee reviewed and approved this item on June 14, 2019 and recommends the full Council accept the three proposed tuition reciprocity agreements with Ohio (valid July 1, 2019 through June 30, 2021).

PRESENTERS: Ben Brandstetter, Chair of Finance Committee
Bill Payne, Vice President for Finance and Administration, CPE
Shaun McKiernan, Director of Finance and Budget, CPE

SUPPORTING INFORMATION

Tuition reciprocity agreements are arrangements between two or more states where the citizens of a defined region in one state can enroll at identified institutions (or in selected programs) in another state (and vice versa) for a reduced tuition charge. Under such agreements, eligible citizens may also receive special treatment for admission purposes.

In general, advantages of reciprocity agreements include:

- Broader educational access and opportunity for citizens in a region;
- Reduction in unnecessary duplication of academic programs; and
- Cost savings to a home state by utilizing academic programs in other states.

Currently, Kentucky has separate tuition reciprocity agreements with Ohio, Tennessee, West Virginia, and Indiana. The agreements with Ohio will expire on June 30, 2019. Staff has worked with Ohio officials and participating Kentucky institutions to renegotiate these agreements in compliance with policies adopted by the Council. The proposed renewals have only minimal changes from the agreements currently in place.

Pursuant to Ohio law, the Ohio agreements have two-year terms. The current agreements with Ohio are scheduled to expire at the end of the academic year. The proposed renewals have only minor changes from the agreements in place for the last two years. Ohio officials have asked that Miami University’s regional campuses in Butler County, Ohio (Hamilton and Middletown), be added to the agreements since the two extension campuses meet the geographic criteria of the agreement (i.e. there are

located within two contiguous counties of the state line). Northern Kentucky University officials have requested that the exclusions of Criminal Justice and Environmental Science be removed from the agreement. The Kentucky Community and Technical College System asked that Maysville Community and Technical College be added to the Morehead and Ashland agreement, given the proximity of that college to the state border. All parties have agreed to these changes, since they simplify the agreements and provide more options for students.

Kentucky and Ohio have three separate agreements (Attachments B1, B2, B3). The lists below show participating institutions and eligible counties. Recommended changes are shown in italics and in bold.

All three of the Ohio agreements are for two years and will expire June 30, 2021. Each agreement contains provisions to make changes before the agreement's expiration with the consent of all parties.

Agreement 1

<u>KY Institutions</u>	<u>Eligible OH Counties</u>	
Northern Kentucky University	Adams County	Brown County
Gateway Community & Technical College	Butler County	Clermont County
	Clinton County	Fayette County
	Hamilton County	Highland County
	Warren County	
<u>OH Institutions</u>	<u>Eligible KY Counties</u>	
Cincinnati State Technical & Community College	Bracken County	Boone County
Clark State Community College	Campbell County	Carroll County
<i>Miami University Hamilton</i>	Gallatin County	Grant County
<i>Miami University Middletown</i>	Kenton County	Pendleton County
Southern State Community College		
University of Cincinnati		

Agreement 2

KY Institutions	Eligible OH Counties	
Ashland Community & Technical College	Adams County	Athens County
<i>Maysville Community & Technical College</i>	Brown County	Gallia County
Morehead State University – Morehead	Jackson County	Lawrence County
Morehead State University – Ashland	Meigs County	Pike County
	Scioto County	Vinton County

OH Institution	Eligible KY Counties	
Ohio University – Athens	Boyd County	Carter County
Ohio University – Southern	Elliot County	Fleming County
Rio Grande Community College	Greenup County	Lawrence County
Shawnee State University	Lewis County	Mason County
Southern State Community College	Rowan County	

Agreement 3

KY Institutions	Eligible OH Counties	
Maysville Community & Tech. College	Adams County	Brown County
	Clermont County	

OH Institutions	Eligible KY Counties	
University of Cincinnati – Clermont College	Bracken County	Lewis County
<i>Miami University Hamilton</i>	Mason County	Robertson County
<i>Miami University Middletown</i>		

The chart on the next page provides a comparison of Kentucky and Ohio student headcount, FTE students, and attempted student credit hours.

	<u>Headcount</u>	<u>FTE</u>	<u>Credit Hours</u>
Ohio in Kentucky 2017	1,317	794	23,825
Kentucky in Ohio 2017	1,598	1,037	31,112
KY Residents as % of Total:		57%	
Ohio in Kentucky 2018	1,207	721	21,613
Kentucky in Ohio 2018	1,625	1,069	32,091
KY Residents as % of Total:		60%	

In 2018, 60% of the residents who took advantage of the Kentucky-Ohio reciprocity agreements were from Kentucky.

TUITION RECIPROCITY AGREEMENT

Ashland Community and Technical College
[Maysville Community and Technical College](#)
Morehead State University-Morehead
Morehead State University-Ashland
And
Ohio University-Athens
Ohio University-Southern
Rio Grande Community College
Shawnee State University
Southern State Community College

In an effort to increase the college going rate and postsecondary opportunities in an underserved Appalachian region, this tuition reciprocity agreement is entered into between the Kentucky Council on Postsecondary Education, the Kentucky Community and Technical College System, the Ohio Department of Higher Education, the Boards of Trustees of Ashland Community and Technical College, Morehead State University-Morehead, Morehead State University-Ashland, Ohio University-Athens, Ohio University-Southern, Rio Grande Community College, Shawnee State University, and Southern State Community College pursuant to the provisions of Section 3333.17 of the Ohio Revised Code and in compliance with rules and procedures of the aforementioned parties.

I. Purpose

The general purpose of this tuition reciprocity agreement is to expand postsecondary educational opportunities in the region while limiting the cost of such expansion to the taxpayers of Ohio and Kentucky through collaboration among public institutions of higher education. The intended outcomes of this collaboration are to increase the availability of programs to residents of the region without needless duplication of educational effort and to promote efficient use of existing educational facilities and resources.

II. Terms

1. Duration and Termination

The agreement shall be effective beginning July 1, 201~~97~~ through June 30, 20~~2119~~¹⁹ and may be renewed prior to June 30, 20~~2119~~¹⁹ by mutual consent of all of the parties for a period of two years. As the agreement must coincide with the biennial budgets of the State of Ohio, the next renewal shall be for the term of July 1, 20~~2119~~¹⁹ to June 30, 202~~31~~³¹.

The agreement may be amended through mutual consent of all parties, providing the amendment is in writing and signed by all parties to the agreement prior to the effective date of the amendment.

- a. The parties may amend the agreement in the following manner. Amendments must be presented to each of the parties of this agreement for their consideration. Each Party of this agreement will then have sixty (60) days to respond in writing with a decision as to whether they approve/disapprove the proposed amendment to the Agreement. The responses will be sent to all parties in the agreement. After sixty (60) days, if all parties approve of the proposed amendment, the agreement will be amended. If all parties do not approve, the agreement will not be amended.

A review of this agreement may occur from time to time at the request of any Party hereto, provided all parties to this agreement are served with written notice of such request at least ninety (90) days prior to said review.

This agreement may be terminated by any of the participating institutions, Ohio Department of Higher Education, the Kentucky Community and Technical College System, or the Kentucky Council on Postsecondary Education on June 30 of any year, with at least ninety (90) days prior written notice to each of the parties to this agreement.

2. Kentucky Residents Eligibility/Ohio Programs

Ohio University-Athens, Ohio University-Southern, Rio Grande Community College, Shawnee State University, and Southern State Community College agree to accept at Ohio resident tuition rates any resident of Boyd, Carter, Elliott, Fleming, Greenup, Lawrence, Lewis, Mason, and Rowan counties of Kentucky who enrolls and who satisfies all regular admissions requirements (including those requirements of the specific program in which admission is being sought) in the programs specifically included in this Agreement. In this context, the word "program" may mean a workshop, a certificate program, an associate degree program, a baccalaureate degree program, and/or a graduate degree program.

All programs offered at Ohio University-Athens, Ohio University-Southern, Rio Grande Community College, and Shawnee State University are included in this Agreement.

The following program from Southern State Community College is included in the agreement: Medical Assisting Program offered at Shawnee State University.

3. Ohio Residents Eligibility/Kentucky Programs

Ashland Community and Technical College, Morehead State University-Morehead, and Morehead State University-Ashland agree to accept at Kentucky resident tuition rates any resident of Adams, Athens, Brown, Gallia, Jackson, Lawrence, Meigs, Pike, Scioto, and Vinton counties of Ohio who enrolls and who satisfies all regular admissions requirements (including those requirements of the specific program in which admission is being sought) in the programs not specifically excluded in this Agreement. In this context, the word “program” may mean a workshop, a certificate program, an associate degree program, a baccalaureate degree program, and/or a graduate degree program.

No programs have been excluded at Ashland Community and Technical College in this Agreement.

The following program from Morehead State University is excluded from the agreement: Master of Business Administration.

4. Resident Status

- a. During the period of this agreement, the Ohio Department of Higher Education will consider residents of Boyd, Carter, Elliott, Fleming, Greenup, Lawrence, Lewis, Mason and Rowan counties who attend Ohio University-Athens, Ohio University-Southern, Rio Grande Community College, Shawnee State University, and Southern State Community College under this agreement as qualifying for resident Ohio tuition and as Ohio residents for the purpose of allocating funds to Ohio University-Athens, Ohio University-Southern, Rio Grande Community College, Shawnee State University, and Southern State Community College.
- b. During the period of this agreement, the Kentucky Community and Technical College System and the Kentucky Council on Postsecondary Education will consider residents of Adams, Athens, Brown, Gallia, Jackson, Lawrence, Meigs, Pike, Scioto, and Vinton counties who attend Ashland Community and Technical College, Morehead State University-Morehead, and Morehead State University-Ashland under this agreement as qualifying for resident Kentucky tuition and as Kentucky residents for the purpose of allocating funds to Ashland Community and Technical College, Morehead State University-Morehead, and Morehead State University-Ashland.

5. Continued Eligibility

Once enrolled as a reciprocity student, each student demonstrating satisfactory academic performance under already existing academic standards and criteria of their institution will continue to receive reciprocity benefits under this agreement through graduation for the degree in which enrolled, as long as a reciprocity agreement exists. Student participation is subject to the terms and conditions of the reciprocity agreement in effect at the time of initial enrollment, and, in the event of termination, each student will be informed by the enrolling institution of his/her future status. If the agreement is terminated, participating institutions may agree at

that time to continue tuition reciprocity for students appropriately enrolled in eligible programs at the time of termination until the completion of their programs of study, subject to the biennial limitations as described in paragraph II.1.

6. Notice, Application, and Waiver

The availability of resident tuition rates under this agreement shall be advertised to applicants and/or to students of Ashland Community and Technical College, Morehead State University-Morehead, Morehead State University-Ashland, Ohio University-Athens, Ohio University-Southern, Rio Grande Community College, Shawnee State University, and Southern State Community College by any means deemed appropriate by those institutions.

All eligible students who want to receive resident tuition rates under this agreement must apply for such rates at the institution where they plan to enroll. Failure to apply in the manner required by each institution and in advance of enrollment will constitute a waiver of all rights under the terms of this agreement for that quarter or semester of enrollment and any preceding quarter or semester of enrollment for which no application was made. Each institution will develop a process for applicants to use in order to apply for resident tuition rates under this agreement.

7. Annual Report

By June 30 of each year, Ashland Community and Technical College, Morehead State University-Morehead, Morehead State University-Ashland, Ohio University-Athens, Ohio University-Southern, Rio Grande Community College, Shawnee State University, and Southern State Community College agree to provide annual reports on the enrollment and fiscal implications of the agreement to the other respective institutions, the Kentucky Council on Postsecondary Education, and the Ohio Department of Higher Education. Specific forms for the annual report may be prescribed by the state agencies.

III. Approval

This agreement is not effective unless and until approved by the Ohio Department of Higher Education pursuant to Section 3333.17 of the Ohio Revised Code and the Kentucky Council on Postsecondary Education.

IV. Counterparts

This agreement may be executed in counterparts, each counterpart agreement shall be deemed an original and all of which together shall constitute one in the same instrument.

TUITION RECIPROcity AGREEMENT
SIGNATURE PAGES

STATE AGENCIES

~~Robert L. King~~[Dr. Aaron Thompson](#), President

Kentucky Council on Postsecondary Education

Signed: _____

Date: _____

~~John Carey~~[Randy Gardner](#), Chancellor

Ohio Department of Higher Education

Signed: _____

Date: _____

[Dr. Jay Box](#), President

Kentucky Community & Technical College System

Signed: _____

Date: _____

INSTITUTIONS

[Kay Adkins](#)[Dr. Larry Ferguson](#), President

Ashland Community and Technical College [District](#)

Signed: _____

Date: _____

[Wayne Andrews](#)[Dr. Joseph A. Morgan](#), President

Morehead State University

Signed: _____

Date: _____

[Dr. Duane Nellis](#), President

Ohio University

Signed: _____

Date: _____

Kent Haley, Treasurer/Chief Financial Officer

Rio Grande Community College

Signed: _____

Date: _____

~~Rick Kurtz~~ [Dr. Jeff Bauer](#), [Interim](#) President

Shawnee State University

Signed: _____

Date: _____

[Dr.](#) Kevin Boys, President

Southern State Community College

Signed: _____

Date: _____

Draft

UNDERGRADUATE TUITION RECIPROCITY AGREEMENT

Northern Kentucky University
Gateway Community and Technical College
And
Cincinnati State Technical and Community College
Clark State Community College
[Miami University Hamilton](#)
[Miami University Middletown](#)
Southern State Community College
University of Cincinnati

This tuition reciprocity agreement is entered into between the Kentucky Council on Postsecondary Education and the Ohio Department of Higher Education, Cincinnati State Technical and Community College, Clark State Community College, Gateway Community and Technical College, the Kentucky Community and Technical College System, [Miami University Hamilton](#), [Miami University Middletown](#), Northern Kentucky University, Southern State Community College, and the University of Cincinnati, pursuant to the provisions of Section 3333.17 of the Ohio Revised Code and in compliance with rules and procedures of the aforementioned parties.

I. Purpose

The general purpose of this tuition reciprocity agreement is to expand postsecondary educational opportunities in the greater Cincinnati area while limiting the cost of such expansion to the taxpayers of Ohio and Kentucky through collaboration among public institutions of higher education. The intended outcomes of this collaboration are to increase the availability of programs to residents of the greater Cincinnati area without needless duplication of educational effort and to promote efficient use of existing educational facilities and resources.

II. Terms

1. Duration and Termination

The agreement shall be effective beginning July 1, 2019~~7~~, through June 30, 2021~~9~~, and may be renewed prior to June 30, 2021~~9~~, by mutual consent of all of the parties for a period of two years. As the agreement must coincide with the biennial budgets of the State of Ohio, the next renewal shall be for July 1, 2021~~9~~, to June 30, 2023~~4~~.

The agreement may be amended through mutual consent of all parties, providing the amendment is in writing and signed by all parties to the agreement prior to the effective date of the amendment.

- a. The parties may amend the agreement in the following manner. Amendments must be presented to each of the parties of this agreement for their consideration. Each party of this agreement will then have sixty (60) days to respond in writing with a decision as to whether they approve/disapprove of the proposed amendment to the agreement. The responses will be sent to all parties in the agreement. After sixty (60) days, if all parties approve of the proposed amendment, the agreement will be amended. If all parties do not approve, the agreement will not be amended.

A review of this agreement may occur from time to time at the request of any party hereto, provided all parties to this agreement are served with written notice of such request at least ninety (90) days prior to said review.

This agreement may be terminated by any of the participating institutions, the Ohio Department of Higher Education, or the Kentucky Council on Postsecondary Education on June 30 of any year, with at least ninety (90) days prior written notice to each of the parties to this agreement

2. Kentucky Residents' Eligibility for Ohio Programs

The participating Ohio institutions agree to accept at Ohio resident tuition rates any resident of Bracken, Boone, Campbell, Carroll, Gallatin, Grant, Kenton, and Pendleton Counties of Kentucky who enrolls and who satisfies all regular admission requirements (including those requirements of the specific program in which admission is sought) at the University of Cincinnati's two-year colleges (Clermont College, and Blue Ash College) or at Cincinnati State Technical and Community College or at Clark State Community College, [or at Miami University's Hamilton Campus, or at Miami University's Middletown Campus,](#) or at Southern State Community College in the associate degree programs not specifically excluded from this agreement.

In this section, the word "program" only means an associate degree program and the word "resident" means resident for the purpose of tuition determination as defined by the respective institution.

Majors and/or programs at the University of Cincinnati two-year colleges, Cincinnati State Technical and Community College, and Southern State Community College which are excluded from this agreement are the following two-year programs otherwise offered at Northern Kentucky University:

- (1) Clermont College: No exclusions
- (2) Blue Ash College: No exclusions
- (3) Cincinnati State Technical and Community College: no exclusions
- (4) Southern State Community College: no exclusions

Any program listed above as excluded may, by the joint written consent of the presidents of all four institutions, be included in this agreement.

The University of Cincinnati agrees to accept at Ohio resident tuition rates any resident of Boone, Bracken, Campbell, Carroll, Gallatin, Grant, Kenton, and Pendleton Counties of Kentucky who enrolls and who satisfies all regular baccalaureate admissions requirements (including those requirements of the specific program in which admission is being sought) at the University of Cincinnati in the programs specifically included in this Agreement.

The following baccalaureate degree programs at the University of Cincinnati which are included in this Agreement are the following: Architecture, Engineering, Honors PLUS (see provision below), Horticulture, Radiation Science, Interior Design, Industrial Design, Fashion Design, and Urban Planning.

In conformance with the limitations set forth in the bulleted item below, admission to the Honors PLUS baccalaureate degree program in the College of Business, University of Cincinnati, is subject to the following provision:

Reciprocity for the Honors PLUS program at the University of Cincinnati is limited to residents of the eligible Kentucky counties with a cap of fifty (50) students enrolled in courses at any one time.

In this section, the word "program" only means a baccalaureate degree program and the word "resident" means resident for the purpose of tuition determination as defined by the respective institution.

3. Ohio Residents' Eligibility for Kentucky Baccalaureate Degree Programs

Northern Kentucky University agrees to accept at Kentucky resident tuition rates any resident of Adams, Brown, Butler, Clermont, Clinton, Fayette, Hamilton, Highland, and Warren Counties of Ohio with an associate degree from the University of Cincinnati, Cincinnati State Technical and Community College, Clark State Community College, or Southern State Community College, or any student participating in an approved Degree Pathway Program at Cincinnati State Technical and Community College, who enrolls and who satisfies all regular transfer admissions requirements (including those requirements of the specific program in which admission is sought) in Northern Kentucky University baccalaureate degree programs not specifically excluded from this agreement.

In this section, the word "program" only means a baccalaureate degree program and the word "resident" means resident for the purpose of tuition determination as defined by the respective institution.

The majors and/or programs at Northern Kentucky University excluded from this agreement are:

- a. Early Childhood Education
- ~~b. Criminal Justice~~
- ~~c. Environmental Science~~
- ~~d. Nursing~~

Any major/program listed above as excluded may, by the joint written consent of the presidents of all four institutions, be included in this agreement.

4. Ohio Residents' Eligibility for Kentucky Associate Degree Programs

Gateway Community and Technical College agrees to accept at Kentucky resident tuition rates any resident of Adams, Brown, Butler, Clermont, Clinton, Hamilton, Highland, and Warren Counties of Ohio who enrolls and who satisfies all regular admission requirements (including those requirements of the specific program in which admission is sought) at Gateway Community and Technical College in the programs specifically included in this Agreement.

All programs, excluding nursing, at Gateway Community and Technical College are included in the agreement.

5. Ohio Residents' Eligibility/Enrollment Limitations for Kentucky Programs

In conformance with the limitations set forth in the bulleted item below, admission to the Business Management baccalaureate degree program in the College of Business, Northern Kentucky University, is subject to the following provision:

- a Reciprocity for the College of Business, Business Management program at Northern Kentucky University is limited to residents of the eligible Ohio counties with a cap of fifty (50) students enrolled in courses at any one time.

In this section, the word "program" only means a specific baccalaureate degree program and the word "resident" means resident for the purpose of tuition determination as defined by the respective institution.

6. New Program Eligibility

Any new program may be included in this agreement upon successful completion of the agreement's amendment process, as listed above.

In this section, the word "program" may mean a workshop, a certificate program, an associate degree program, or a baccalaureate degree program.

7. Resident Status

- a. During the period of this agreement, the Ohio Department of Higher Education will consider residents of Bracken, Boone, Campbell, Carroll, Gallatin, Grant, Kenton, and Pendleton Counties who attend the University of Cincinnati, Cincinnati State Technical and Community College, Clark State Community College, or Southern State Community College under this agreement as qualifying for Ohio resident tuition rates, and as Ohio residents for the purpose of allocating funds to the University of Cincinnati, Cincinnati State Technical and Community College, Clark State Community College, and Southern State Community College.
- b. During the period of this agreement, the Kentucky Council on Postsecondary Education will consider residents of Adams, Brown, Butler, Clermont, Clinton, Fayette, Hamilton, Highland, and Warren Counties who attend Northern Kentucky University or Gateway Community and Technical College under this agreement as qualifying for Kentucky resident tuition rates, and as reciprocity students for the purpose of allocating funds to Northern Kentucky University and Gateway Community and Technical College. The Kentucky Council on Postsecondary Education will also consider students attending Northern Kentucky University who are participating in an approved Degree Pathway Program with an associate degree from the University of Cincinnati, Cincinnati State Technical and Community College, Clark State Community College, or Southern State Community College as reciprocity students for the purpose of allocating funds to Northern Kentucky University.

8. Continued Eligibility

Once enrolled as a reciprocity student, each student demonstrating satisfactory academic performance under already existing standards and criteria of his/her institution will continue to receive reciprocity benefits under this agreement through graduation for the degree in which enrolled, as long as a reciprocity agreement exists. Student participation is subject to the terms and conditions of the reciprocity agreement in effect at the time of initial enrollment, and, in the event of termination, each student will be informed by the enrolling institution of his/her future status. If the agreement is terminated, participating institutions may agree at that time to continue tuition reciprocity for students appropriately enrolled in eligible programs at the time of termination until the completion of their programs of study, subject to the biennial limitations as described in paragraph 11.1.

9. Notice, Application, and Waiver

The availability of reciprocity tuition rates under this agreement shall be advertised to applicants and/or to student of Cincinnati State Technical and Community College, Clark State Community College, Gateway Community and Technical College, Southern State Community College, Northern Kentucky University and the University of Cincinnati by any means deemed appropriate by the respective institutions.

All students who want to receive reciprocity tuition rates under this agreement must apply for such rates at the institution where they plan to enroll.

Failure to so apply in the manner required by each institution and in advance of enrollment will constitute a waiver of all rights under the terms of this agreement for the quarter or semester of enrollment and any preceding quarter or semester of enrollment for which no application was made. Each institution will develop a process for applicants to use in order to apply for reciprocity tuition rates under this agreement.

These processes will be written and shared with each institution as well as with the Ohio Department of Higher Education and the Kentucky Council on Postsecondary Education. The process will also be made available to all potential applicants upon request.

In this section, the word "resident" means resident for the purpose of tuition determination as defined by the respective institution.

10. Annual Report

By June 30 of each year, Cincinnati State Technical and Community College, Clark State Community College, Gateway Community and Technical College, Northern Kentucky University, Southern State Community College, and the University of Cincinnati agree to provide annual reports on the enrollment and fiscal implications of the agreement to the other respective institutions, the Kentucky Council on Postsecondary Education, and the Ohio Department of Higher Education. Specific forms for the annual report may be prescribed by the state agencies.

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III. Approval

This agreement is not effective unless and until approved by Ohio Department of Higher Education pursuant to Section 3333.17 of the Ohio Revised Code and the Kentucky Council on Postsecondary Education.

IV. Counterparts

This agreement may be executed in counterparts, each counterpart agreement shall be deemed an original, and all of which together shall constitute one in the same instrument.

Draft

**TUITION RECIPROCITY AGREEMENT
SIGNATURE PAGE**

STATE AGENCIES

Dr. Aaron Thompson, President

Kentucky Council on Postsecondary Education

Signed: _____

Date: _____

Randy Gardner, Chancellor

Ohio Department of Higher Education

Signed: _____

Date: _____

Dr. Jay Box, President

Kentucky Community & Technical College System

Signed: _____

Date: _____

Robert L. King, President

Kentucky Council on Postsecondary Education

Signed: _____

Date: _____

John Carey, Chancellor

Ohio Department of Higher Education

Signed: _____

Date: _____

Jay Box, President

Kentucky Community & Technical College System

Signed: _____

Date: _____

Draft

INSTITUTIONS

~~Geoffrey S. Mearns~~[Dr. Ashish Vaidya](#), President
Northern Kentucky University

Signed: _____

Date: _____

~~Ed Hughes~~[Dr. Fernando Figueroa](#), President
Gateway Community and Technical College

Signed: _____

Date: _____

[Dr. Neville G. Pinto](#), President
University of Cincinnati

Signed: _____

Date: _____

[Dr. Monica Posey](#), President
Cincinnati State Technical and Community College

Signed: _____

Date: _____

[Dr. Jo Alice Blondin](#), President
Clark State Community College

Signed: _____

Date: _____

[Dr. Gregory P. Crawford, President](#)
[Miami University](#)

[Dr. Kevin Boys](#), President
Southern State Community College

Signed: _____

|

Date: _____

Draft

TUITION RECIPROCITY AGREEMENT
Maysville Community and Technical College
And
University of Cincinnati — Clermont College
[Miami University Hamilton](#)
[Miami University Middletown](#)

This tuition reciprocity agreement is entered into between the Kentucky Council on Postsecondary Education and the Ohio Department of Higher Education, the Kentucky Community and Technical College System, Maysville Community and Technical College, [Miami University Hamilton Campus](#), [Miami University Middletown Campus](#), and ~~and~~ the University of Cincinnati-Clermont College pursuant to the provisions of Section 3333.17 of the Ohio Revised Code and in compliance with rules and procedures of the aforementioned parties.

I. Purpose

The general purpose of this tuition reciprocity agreement is to expand postsecondary educational opportunities in the northern Kentucky and southern Ohio area while limiting the cost of such expansion to the taxpayers of Ohio and Kentucky through collaboration among public institutions of higher education. The intended outcomes of this collaboration are to increase the availability of programs to residents of Clermont, Adams, and Brown Counties in Ohio and Mason, Bracken, Lewis, and Robertson Counties in Kentucky without needless duplication of educational effort and to promote efficient use of existing educational facilities and resources.

II. Terms

1. Duration and Termination

The agreement shall be effective beginning July 1, 201~~97~~, through June 30, 20~~21~~~~19~~, and may be renewed prior to June 30, 20~~21~~~~19~~, by mutual consent of all of the parties for a period of two years. As the agreement must coincide with the biennial budgets of the State of Ohio, the next renewal shall be for the term of July 1, 20~~21~~~~19~~, to June 30, 20~~23~~~~1~~.

The agreement may be amended through mutual consent of all parties, providing the amendment is in writing and signed by all parties to the agreement prior to the effective date of the amendment.

- a. The parties may amend the agreement in the following manner. Amendments must be presented to each of the parties of this agreement for their consideration. Each party of this agreement will then have sixty (60) days to respond in writing with a decision as to whether they approve/disapprove of the proposed amendment to the agreement. The responses will be sent to all parties in the agreement. After sixty (60) days, if all parties approve of the proposed

amendment, the agreement will be amended. If all parties do not approve, the agreement will not be amended.

A review of this agreement may occur from time to time at the request of any party hereto, provided all parties to this agreement are served with written notice of such request at least ninety (90) days prior to said review.

This agreement may be terminated by any of the participating institutions, the Ohio Department of Higher Education, the Kentucky Community and Technical College System, or the Kentucky Council on Postsecondary Education on June 30 of any year, with at least ninety (90) days prior written notice to each of the parties to this agreement.

2. Kentucky Residents' Eligibility for Ohio Programs

[Miami University Hamilton Campus, Miami University Middletown Campus, and The University of Cincinnati-Clermont College](#) agrees to accept at Ohio resident tuition rates any resident of Bracken, Lewis, Mason, or Robertson Counties of Kentucky who enrolls and who satisfies all regular admission requirements (including those requirements of the specific program in which admission is sought) at the [Miami University Hamilton Campus, Miami University Middletown Campus, and The University of Cincinnati-Clermont College](#) in the programs not specifically excluded from this agreement. In this context, the word "program" may mean a workshop, a certificate program, and/or associate degree program.

3. Ohio Residents' Eligibility for Kentucky Programs

Maysville Community and Technical College agrees to accept at Kentucky resident tuition rates any resident of Adams, Brown, and Clermont Counties of Ohio who enrolls and who satisfies all regular admission requirements (including those requirements of the specific program in which admission is sought) at Maysville Community and Technical College in the programs not specifically excluded from this agreement. In this context, the word "program" may mean a workshop, a certificate program, and/or associate degree program.

4. New Program Eligibility

Any new program may be included in this agreement upon successful completion of the agreement's amendment process, as listed above. In this context, the word "program" may mean a workshop, a certificate program, and/or associate degree program.

5. Resident Status

- a. During the period of the agreement, the Ohio Department of Higher Education will consider residents of Bracken, Lewis, Mason, and Robertson Counties who attend the University of Cincinnati-Clermont College under this agreement as

qualifying for Ohio resident tuition rates and as Ohio residents for the purpose of allocating funds to the University of Cincinnati-Clermont College.

- b. During the period of this agreement, the Kentucky Community and Technical College System and the Kentucky Council on Postsecondary Education will consider residents of Adams, Brown, and Clermont Counties who attend Maysville Community and Technical College under this agreement as qualifying for Kentucky resident tuition rates and as Kentucky residents for the purpose of allocating funds to Maysville Community and Technical College.

6. Continued Eligibility

Once enrolled as a reciprocity student, each student demonstrating satisfactory academic performance under already existing standards and criteria of his/her institution will continue to receive reciprocity benefits under this agreement through graduation for the degree in which enrolled, as long as a reciprocity agreement exists. Student participation is subject to the terms and conditions of the reciprocity agreement in effect at the time of initial enrollment, and, in the event of termination, each student will be informed by the enrolling institution of his/her future status. If the agreement is terminated, participating institutions may agree at that time to continue tuition reciprocity for students appropriately enrolled in eligible programs at the time of termination until completion of their programs of study, subject to the biennial limitations as described in paragraph 11.1.

7. Notice, Application, and Waiver

The availability of resident tuition rates under this agreement shall be advertised to applicants and/or to students of Maysville Community and Technical College and the University of Cincinnati-Clermont College by any means deemed appropriate by those institutions.

All eligible students who want to receive resident tuition rates under this agreement must apply for such rates at the institution where they plan to enroll. Failure to apply in the manner required by each institution and in advance of enrollment will constitute a waiver of all rights under the terms of this agreement for that quarter or semester of enrollment and any preceding quarter or semester of enrollment for which no application was made. Each institution will develop a process for applicants to use in order to apply for resident tuition rates under this agreement.

8. Annual Report

By June 30 of each year, the Kentucky Community and Technical College System, Maysville Community and Technical College, and University of Cincinnati-Clermont College agree to provide annual reports on the enrollment and fiscal implications of the agreement to the other respective institutions, the Kentucky Council on Postsecondary Education, and the Ohio Department of Higher Education. Specific forms for the annual report may be prescribed by the state agencies.

III. Approval

This agreement is not effective unless and until approved by the Ohio Department of Higher Education pursuant to Section 3333.17 of the Ohio Revised Code and the Kentucky Council on Postsecondary Education.

IV. Counterparts

This agreement may be executed in counterparts, each counterpart agreement shall be deemed an original, and all of which together shall constitute one in the same instrument.

Draft

TUITION RECIPROCITY AGREEMENT SIGNATURE PAGES
STATE AGENCIES

Dr. Aaron Thompson, President
Kentucky Council on Postsecondary Education

Signed: _____ Date: _____

Randy Gardner, Chancellor
Ohio Department of Higher Education

Signed: _____ Date: _____

Dr. Jay Box, President
Kentucky Community & Technical College System

Signed: _____ Date: _____

~~Robert L. King, President
Kentucky Council on Postsecondary Education~~

~~Signed:~~

~~Date:~~

~~John Carey, Chancellor
Ohio Department of Higher Education~~

~~Signed: _____ Date:~~

~~Jay Box, President
Kentucky Community and Technical College System~~

~~Signed: _____~~

~~Date: _____~~

TUITION RECIPROCITY AGREEMENT SIGNATURE PAGES
INSTITUTIONS

[Dr. Steve Vacik, President](#)
Maysville Community and Technical College

Signed: _____ Date: _____

[Dr. Neville G. Pinto, President](#)
University of Cincinnati

Signed: _____ Date: _____

[Dr. Gregory P. Crawford, President](#)
[Miami University](#)

Signed: _____ Date: _____

TITLE: Interim Capital Project Request - Kentucky Community and Technical College System

RECOMMENDATION: The Finance Committee reviewed and approved this item on June 14, 2019 and recommends the full Council accept Kentucky Community and Technical College System's request for interim authorization of a capital project to renovate the Main Campus at Southcentral Kentucky Community and Technical College, using \$3,000,000 of agency restricted funds.

PRESENTERS: Ben Brandstetter, Chair of Finance Committee
Bill Payne, Vice President for Finance and Administration, CPE
Shaun McKiernan, Director of Finance and Budget, CPE

SUPPORTING INFORMATION

Kentucky Community and Technical College System (KCTCS) officials request authorization for an interim capital project to renovate Southcentral Kentucky Community and Technical College's Building A, which will provide for HVAC upgrades, additional faculty offices, expansion of the bookstore. The project will require \$3,000,000 in institutional resources (agency restricted funds). Finance and Administration Cabinet personnel will oversee the design and implementation of the project.

The project was approved by KCTCS's Board of Regents on June 15, 2018. Space in Building A was vacated when the new Instructional Complex was opened in fall 2018, which allows for this facility to be renovated and repurposed at this time. It was built in 1967 and has had no renovations since that time.

House Bill 592 (2018) created a new provision in KRS 164A.575, which allows public postsecondary institutions to authorize capital projects not specifically listed in the state budget as long as the projects are not funded with general fund appropriations, do not jeopardize funding for existing programs, and are reported by the institution to the Capital Projects and Bond Oversight Committee. The pertinent section of KRS 164A.575 is provided below:

- (15) Notwithstanding KRS 45.760, the governing board may authorize a capital construction project or a major item of equipment even though it is not specifically listed in any branch budget bill, subject to the following conditions and procedures:
- (a) The full cost shall be funded solely by non-general fund appropriations;
 - (b) Moneys specifically budgeted and appropriated by the General Assembly for another purpose shall not be allotted or re-allotted for expenditure on the project or major item of equipment. Moneys utilized shall not jeopardize any existing program and shall not require the use of any current general funds specifically dedicated to existing programs; and
 - (c) The institution's president, or designee, shall submit the project or major item of equipment to the Capital Projects and Bond Oversight Committee for review as provided by KRS 45.800.

The approval process for a capital project that exceeds \$1,000,000 is as follows:

- The project must be approved by an institution's board of trustees or regents;
- The project must be submitted to the Council on Postsecondary Education for review and action;
- If approved by the Council, projects at KCTCS and KSU are submitted to the Secretary of the Finance and Administration Cabinet for review and action, and subsequently submitted by the Secretary to the Capital Projects and Bond Oversight Committee for review;
- If approved by the Council, projects at EKV, MoSU, MuSU, NKU, UK, UofL, and WKU are submitted by the requesting institution to the Capital Projects and Bond Oversight Committee for review, and a copy is provided to the Finance and Administration Cabinet as information; and
- Following review and action by the appropriate agencies, the project may be initiated by the requesting institution.

Because this project was not previously approved by the Council in its biennial budget recommendation and it was not authorized in the enacted 2018-20 state budget (HB 200), Council approval is now required to authorize this project. KCTCS will not be debt financing any portion of this project; therefore, provisions of KRS 45.763 do not apply.

Following action by the full Council, staff will notify the president of KCTCS, the Secretary of the Finance and Administration Cabinet, and the Capital Projects and Bond Oversight Committee of the Council's recommendation concerning this project.



June 6, 2019

Mr. Aaron Thompson, President
Council on Postsecondary Education
1024 Capital Center Drive, Suite 320
Frankfort, KY. 40601

Re: Interim Authorization Request – Southcentral KY Community and Technical
College Main Campus Renovation

Dear President Thompson:

In accordance with House Bill 592, Kentucky Community and Technical College System (KCTCS) respectfully requests interim authorization for the Southcentral KY Community and Technical College for a renovation on the Main Campus. The total budget is \$3,000,000. This project will be funded with agency restricted funds.

With the new Instructional Complex that was opened in the fall of 2018, certain programs were moved into the new building allowing for vacant space in other buildings to be repurposed. Primarily, at this time, the funds will be used to renovate Building A for HVAC upgrades, a bookstore expansion and building additional faculty offices. The design and construction of the project will be implemented through the Finance and Administration Cabinet as a capital project.

KCTCS' Board of Regents approved this project on June 15, 2018.

Should you have any questions, please feel free to contact Ken Marks at 859-256-3594.

Sincerely,

A handwritten signature in blue ink that reads "W Followell". The signature is written in a cursive style.

Wendell Followell
Vice President

cc: Shaun McKiernan
President Jay Box
Carla Wright
Ken Marks
Sandy Adkins



Kentucky Community and Technical College System

300 North Main Street
Versailles, KY 40383
(859) 256-3100
kctcs.edu

TITLE: Academic and Strategic Initiatives Committee Report

PRESENTER: Lori Harper, Chair of the Academic & Strategic Initiatives Committee

Committee Chair Harper will present the report of the Academic & Strategic Initiatives Committee. The draft minutes from the June 14, 2019 meeting have been included as reference.

The committee is recommending approval by the full Council on the following:

- Six new academic programs.
- Revisions to the 2016-21 statewide strategic agenda.

MINUTES

Council on Postsecondary Education

Type: Academic & Strategic Initiatives Committee Meeting
Date: June 14, 2019
Time: 9:00 a.m.
Location: Council on Postsecondary Education, Conference Room A

CALL TO ORDER

The Academic & Strategic Initiatives (ASI) Committee met Friday, June 14, 2019, at 9:00 a.m., ET, at the CPE offices in Frankfort, Kentucky. Committee Chair Lori Harper presided.

ATTENDANCE

Members who attended in person: Lori Harper, OJ Oleka, Vidya Ravichandran, and Robert Staat

Members who attended by teleconference: Ben Brandstetter, Lucas Mentzer, Donna Moore, Brandon Wilson, and Sherrill Zimmerman.

Heather Faesy, CPE's senior associate for Board Relations, served as recorder of the meeting minutes.

COMMITTEE OVERSIGHT & OPERATIONS DISCUSSION

The Committee discussed several components of the committee and came to consensus on the following areas:

Areas of Responsibility: The Committee will review and oversee the progress toward the objectives of the statewide Strategic Agenda and efforts to achieve the state's 2030 educational attainment goal, including the metrics used to track that progress; review and recommend new academic programs to the full Council; receive status updates on academic program reviews; and provide input on the development and revision of various statewide academic- and student success-

related policies and initiatives. The Committee will also conduct ad hoc projects and provide oversight on special projects as assigned by the full Council.

Meeting Frequency: The Committee will meet approximately two weeks prior to each Council meeting, and each meeting should last no more than two hours. The Committee will convene for special meetings, as needed.

Committee approval and recommendation process: Unless delegated by the full Council, actions taken by the Committee shall serve as recommendations. The following process will be used:

- Action items ready for review shall go before the Committee, and campus representatives will attend to answer questions (as needed).
- The Committee will take action on the item. If passed, the motion will state the Committee recommends approval by full Council. If not approved, the Committee will ask that the staff consider removing the item for consideration, or it be presented to the full Council for discussion and vote.
- All items approved by the Committee will be presented to the full Council by the Committee chair. The Committee chair may make the motion for approval, or ask the Chair to make a motion. Either a consent motion or separate motions may also be made.

Items that were not reviewed by the Committee (due to late or emergency submission) will be formally proposed at the full Council meeting, and presented by the Council Chair and Council staff. As needed, campus representatives will attend the Council meeting to answer questions. There will be no formal recommendation by the Committee.

PROPOSED NEW ACADEMIC PROGRAMS FOR REVIEW & RECOMMENDATION

Presenter: Melissa Bell, Vice President for Academic Affairs and Student Success

KRS 164.020 (15) empowers the Council on Postsecondary Education to define and approve the offering of all postsecondary education technical, associate, baccalaureate, graduate, and professional degree, certificate, or diploma programs in the public postsecondary education institutions.

The ASI Committee has been delegated the responsibility reviewing new programs and providing a formal recommendation to the full Council regarding its approval.

University of Kentucky

1) Bachelor of Science: Consumer Economics and Personal Finance

Program Contact: Dr. Annie Weber, Asst Provost for Strategic Planning

Dr. Weber answered several questions from the Council specific to this program. Her answers were the following:

- The student demand estimates are based upon the demand at peer institution enrollment as well as current student interest.
- No additional faculty are needed.
- The financial counseling certificate program is an additional test the students may be able to sit for upon completion of the program.
- Estimated new tuition dollars do not account for current students realigning their degree path.
- The program may serve as a path toward a MBA program.

MOTION: Dr. Staat moved the Committee recommend approval of the program to the full Council for approval at its June 28, 2019 meeting. Mr. Oleka seconded the motion.

VOTE: The motion passed.

2) Bachelor of Arts: Public Policy

Program Contact: Dr. Eugenia Toma, Professor of Public Policy
Dr. Ron Zimmer, Professor, and Director of the Martin
School of Public Policy and Administration

The representatives answered several questions from the Council specific to this program. The answers were the following:

- Core content is multi-disciplined, including courses in science, public management, data analytics and calculus
- One additional faculty member will be needed, preferably someone with a PhD in Public Policy.

MOTION: Ms. Moore moved the Committee recommend approval of the program to the full Council for approval at its June 28, 2019 meeting. Ms. Zimmerman seconded the motion.

VOTE: The motion passed.

3) Master of Science: Data Science

Program Contact: Dr. Miroslaw Truscynski, Professor

The representative answered several questions from the Council specific to this program. The answers were the following:

- The projection of student demand was based upon the goal of starting a program without additional faculty and resources. As the program grows, they will add resources and faculty to meet student demand.
- The Department of Mathematics is not one of the three sponsoring units for the program, but math faculty have reviewed the program, are supportive of it and agree to accept its students in its math courses.
- The thesis option is not currently available due to the desire to make the degree more hands-on and focused on practical skills and experience.
- Internships and cooperative experiences are not currently built into the program.

MOTION: Mr. Mentzer moved the Committee recommend approval of the program to the full Council for approval at its June 28, 2019 meeting. Ms. Ravichandran seconded the motion.

VOTE: The motion passed.

Murray State University

4) Bachelor of Arts: Human Services

Program Contact: Dr. Mark Arant, Provost
Dr. Robert Pervine, Associate Provost
Dr. Susana Bloomdahl, Department Chair

The representative answered several questions from the Council specific to this program. The answers were the following:

- Because the program is being marketed heavily to students with some college credit and the online discount offered, the tuition being charged for attendance should not impede enrollment despite the employer demand and estimated salaries
- The degree is in the College of Education and Human Services.
- While the degree is completely online, there are practicums and field experiences embedded in the program that offer in-person interactions.

MOTION: Dr. Staat moved the Committee recommend approval of the program to the full Council for approval at its June 28, 2019 meeting. Mr. Oleka seconded the motion.

VOTE: The motion passed.

5) Bachelor of Arts: Culture and Language Studies

Program Contact: Dr. Mark Arant, Provost
Dr. Robert Pervine, Associate Provost

The representative answered several questions from the Council specific to this program. The answers were the following:

- The program is specific to the languages of French, German and Chinese. The languages of Spanish and Japanese are included in other programs.
- This program will consolidate three separate language programs into one program, and the former programs will close.
- With this program, each student will choose one of the three language tracks.
- While this program can be a stand-alone for graduates, it can also serve as a second major and enhancement to other majors and degree fields. The employer demand table reflects potential jobs for either scenario.

MOTION: Ms. Ravichandran moved the Committee recommend approval of the program to the full Council for approval at its June 28, 2019 meeting. Ms. Zimmerman seconded the motion.

VOTE: The motion passed.

Northern Kentucky University

6) Bachelor of Arts: Japanese

Program Contact: Dr. Caryn Connelly, Associate Professor

The representative answered several questions from the Council specific to this program. The answers were the following:

- The program is listed as 121 credit hours due to a 4-credit hour science lab course. The NKU graduation requirement is 120 credit hours.

- The faculty teaching the course include two adjunct professors and one lecturer. NKU will search for an additional tenure-track professor.

MOTION: Mr. Oleka moved the Committee recommend approval of the program to the full Council for approval at its June 28, 2019 meeting, pending NKU adjusting the program requirement to 120 credit hours. Dr. Staat seconded the motion.

VOTE: The motion passed.

Following the approval of the six programs, the Committee asked that future proposals included in the agenda materials include the additional information such as the curriculum that will be offered and the types of faculty that will teach the courses. The Committee also asked to know the programs that were initialized for approval but didn't make it through the beginning phases and brought before the Council. Finally, the Committee asked that they review programs several years after their approval and compare the projected revenues and expenses with the actual figures.

MIDTERM REVIEW OF 2016-21 STATEWIDE STRATEGIC AGENDA

Presenters: Lee Nimocks, Chief of Staff and Vice President
David Mahan, Associate Vice President for Data and Research

In 2016, the Council adopted the statewide strategic agenda, "Stronger by Degrees: A Plan to Create a More Educated and Prosperous Kentucky." The current agenda was adopted by the Council in June 2016 and will run through June 2021.

At the time of adoption, the Council advised staff that a mid-term review in 2019 would be required to adjust the agenda's objectives, strategies and metrics as necessary. In the spring of 2019, the Strategic Agenda Mid-Term Review Committee was formed and comprised of CPE staff, a representative from all the public universities, KCTCS, and the CPE chair. The group met several times to review the agenda and accompanying performance indicators, and made the following recommendations:

- Remove Objective 4, which states to "Improve the education and skill levels of Kentucky Adult Education students to prepare them for careers and/or postsecondary education." The removal suggestion is due to the

reorganization of Kentucky Adult Education to the Education and Workforce Development Cabinet.

- Revise the metric “Average Credit Hours” to measure “Median Credit Hours” to provide a more realistic view of credits to degree and remove outliers that skew that average.
- Add a metric to measure the enrollment of credential-seeking students. This is a metric already being calculated, and adding it to the strategic agenda emphasizes its importance as it relates to institutional health. The metric would be disaggregated as appropriate. Dual credit students would be included in a separate calculation.
- Add a disaggregation based on age groups for the agenda’s enrollment and completion metrics to emphasize the growing importance on enrolling and graduating more adult students.
- Remove the metrics that were intended to measure academic quality due to the inability to accurately track, collect, and report the data.

Additionally, the campuses are reviewing their 2021 institutional targets (particularly if an institution had met or exceeded projected performance on a particular metric) and determining whether any changes to those targets would be appropriate. Council staff will provide an update on those targets at the September work session.

MOTION: Mr. Oleka moved the Committee recommend approval of the proposed revisions to the full Council for approval at its June 28, 2019 meeting. Dr. Staat seconded the motion.

VOTE: The motion passed.

ADJOURNMENT

The ASI Committee adjourned the meeting at 11:15 a.m., ET.

**MINUTES REVIEWED AND APPROVED BY THE
ACADEMIC & STRATEGIC INITIATIVES COMMITTEE: _____**

TITLE: New Academic Programs

RECOMMENDATION: The Academic and Strategic Initiatives Committee reviewed and approved this item on June 14, 2019 and recommends the full Council accept the following proposed new academic programs.

University of Kentucky

1. Consumer Economics and Personal Finance, B.S.
2. Public Policy and Leadership, B.A.
3. Data Science, M.S.

Murray State University

4. Human Services, B.A.
5. Culture and Language Studies, B.A.

Northern Kentucky University

6. Japanese, B.S.

PRESENTER: Lori Harper, Chair of the Academic & Strategic Initiatives Committee
Melissa Bell, Vice President of Academic Affairs, CPE

SUPPORTING INFORMATION

KRS 164.020 (15) empowers the Council on Postsecondary Education to define and approve the offering of all postsecondary education technical, associate, baccalaureate, graduate, and professional degree, certificate, or diploma programs in the public postsecondary education institutions. Council staff has reviewed the proposed programs and recommends approval by the board.

See attached the proposed program summaries submitted by the institutions, which provide an overview of the program, its current market demand, expected revenues and expenditures, and whether the program creates any unnecessary duplication.

PROPOSED PROGRAM SUMMARY

Institution: University of Kentucky

Program Name: Consumer Economics and Personal Finance

Degree Designation: Bachelor of Science

Program Description

The Consumer Economics and Personal Finance program will provide students with the knowledge and skills to positively impact economic and financial decision making of individuals and families. The course work in consumer economics, personal finance, and financial counseling will provide students with a broad skill-set to assist consumers in making sound financial decisions and ultimately improving overall family economic well-being and financial security. Students will receive training that will allow for them to pursue careers in local government agencies such as the housing authority; state agencies such as health and human services; and federal agencies such as the Social Security Administration. For students who choose to pursue the AFC (Accredited Financial Counselor) certification option, careers are available as financial counselors in credit counseling agencies and non-profits. Additionally, program graduates will have a clear understanding of consumer issues and personal finance; this educational background will result in individuals capable of making healthy and informed family and personal financial decisions leading to responsible consumerism and financial independence for themselves and their families.

CIP Code: 19.0499

Credit Hours: 120

(Pending) Institutional Board Approval Date: 6/21/2019

Implementation Date: 8/19/2019

Student Demand

Year 1	Year 2	Year 3	Year 4	Year 5
10	20	30	40	50

Market Demand

Currently, an undergraduate program in Consumer Economics and Personal Finance does not exist in the Commonwealth of Kentucky. There are currently 120 to 130 students enrolled in the FAM undergraduate degree program. The new CEF program anticipates attracting a different student than the traditional FAM major. A similar southern land grant institution experienced a growth of 20 students/year following the

implementation of the financial counseling certificate program – we anticipate the same growth trajectory for the degree program. Additionally, the U.S. Department of Labor website demonstrates that there is an above average rate of growth in careers related to Consumer Economics and Personal Finance, notably that of personal financial advisor which has an anticipated growth rate of 30% from 2014 to 2024.

Employer Demand

	Regional	State	National
Type of Job	Credit Counselor		
Average Wage	\$40,131	\$36,538	\$39,842
# of Openings	84	61	3,879
Growth Projections	14.4%	21.9%	15.3%
Type of Job	Financial Aid Counselor/Specialist		
Average Wage	\$36,269	\$36,056	\$36,473
# of Openings	71	369	4,461
Growth Projections	14.4%	18.4%	15.3%
Type of Job	Financial Analyst		
Average Wage	\$59,901	\$59,468	\$61,898
# of Openings	1,367	694	120,520
Growth Projections	9.7%	12.4%	11.7%
Type of Job	Personal Financial Advisor		
Average Wage	\$44,801	\$47,791	\$48,651
# of Openings	631	377	54,272
Growth Projections	19.4%	19.4%	29.6%

Source: Burning Glass. [This software uses BLS, actual job postings, and proprietary data models to gather data on average wage, # of openings (within the last year), and growth projections (2019-2028).]

Academic Demand

N/A

Unnecessary Duplication

There are no similar programs at any Kentucky institution.

Cost

Projected Revenue over Next Five Years	\$3,482,728
Projected Expenses over Next Five Years	\$2,013,766

Will additional faculty be needed? No

Provide a budgetary rationale for creating this new program

There will be no new/additional costs associated with the program; however it is anticipated that by year five there would be \$650,973 new tuition dollars.

PROPOSED PROGRAM SUMMARY

Institution: University of Kentucky

Program Name: Public Policy

Degree Designation: Bachelor of Arts

Program Description

The Martin School's proposed undergraduate degree in Public Policy is a program focused on understanding how public policy decisions are made, how public policy can affect various members of society, and how leadership is exercised in the public and nonprofit sectors. Courses will examine the rationales for government interventions in markets; the purposes and goals of public policy; the processes by which problems are defined and priorities selected; the role of governmental, non-governmental, and transnational institutions in collective action; and the circumstances under which leaders are effective in mobilizing resources to advance a group's values and goals. All courses focus heavily on analytical and quantitative tools for understanding and measuring the effects of existing and proposed public policies. The undergraduate degree in Public Policy will give students an opportunity to develop their critical thinking skills, ethical standards, and communication skills.

CIP Code: 44.0501

Credit Hours: 120

Institutional Board Approval Date: 4/30/2019

Implementation Date: 8/16/2019

Student Demand

Year 1	Year 2	Year 3	Year 4	Year 5
0	30	75	175	200

Demand for undergraduate degrees is increasing as witnessed by peer institutions. For example, the University of North Carolina's Public Policy's undergraduate program for each of the past several years has graduated over 100 majors each academic year and has grown from approximately 180 undergraduate majors in 2009 to 417 undergraduate majors in 2017. The demand for such a major is not in doubt and we anticipate a great deal of interest given enrollment numbers in peer schools. For example, Indiana University has over 1500 students in its Bachelor of Public Affairs. The University of Virginia admits 75 students annually to its public policy major. The University of Arizona, Ohio State, and most elite private institutions also have high demand for undergraduate degrees in public policy. Despite its limited enrollment, the undergraduate major in

public policy in the Woodrow Wilson School is the fifth largest undergraduate major at Princeton, accounting for 8 percent of all undergraduates. About 10 percent of all undergraduates in Duke's College of Arts and Sciences have a first or second major in its public policy department. According to Conger, et. al., demand is growing for undergraduate degrees in public policy due to the multi-disciplinary approach to policy studies.

Market Demand

With a deep understanding of the issues of the day, many public policy majors go on to work in government, private, nonprofit, or other public service positions. Careers for undergraduates will be with federal, state, local governments, non-profit (NGO) organizations, political offices, law enforcement, policy-think tanks, for-profit organizations, public/private consulting firms, international agencies, etc. See 13 m for information about projected demand for jobs in these sectors.

Peer state schools such as Indiana University's School for Public and Environmental Policy report that 95% of undergraduates go on to employment or continued higher education upon receiving their degree with 51% making \$40,000 or higher and with 28% making \$50,000 or higher. Economic sector breakdown includes 81% in private sector, 9% government, and 10% nonprofit. See <https://spea.indiana.edu/career-development/about-us/ugrad-stats.html>.

Employer Demand:

	Regional	State	National
Type of Job	Policy Analysis and Planning (e.g., Policy Analysts/Economist)		
Avg. Wage	\$51,728	\$52,455	\$64,403
# Jobs (Postings)	48	42	5,204
Expected Growth	NA	30%	3.50%
Type of Job	Financial Regulation and Compliance (e.g., Regulatory Affairs Specialist)		
Avg. Wage	\$62,232	\$60,570	\$58,608
# Jobs	96	36	10,259
Expected Growth	9.50%	17.60%	3.30%
Type of Job	Human Services (e.g., Social/Human Services Manager)		
Avg. Wage	\$46,514	\$48,838	\$43,912
# Jobs	383	271	24,846
Expected Growth	16.10%	22.90%	9.50%

	Regional	State	National
Type of Job	Operations Managers (e.g., Operations Manager/Supervisor, Emergency Management Director)		
Avg. Wage	\$59,560	\$51,625	\$52,033
# Jobs	1,868	1,365	122,784
Expected Growth	11.40%	18%	7.10%

Source: Burning Glass. [This software uses BLS, actual job postings, and proprietary data models to gather data on average wage, # of openings (within the last year), and growth projections (2019-2028).]

Academic Demand

N/A

Unnecessary Duplication

Comparison of Objectives/Focus/Curriculum to Similar Programs

Morehead University only offers Public Policy as a track within their Government program. The public policy program at the University of Kentucky has a broader focus on social science disciplines and focuses solely on policy analytics as opposed to public administration or government

Comparison of Student Populations

The University of Kentucky serves the entire Commonwealth of Kentucky and would be the only university in the state to offer a bachelor's degree focused solely on Public Policy.

Access to Existing Programs

The University of Kentucky serves the entire Commonwealth of Kentucky and would be the only university in the state to offer a bachelor's degree focused solely on Public Policy.

Cost

Projected Revenue over Next Five Years	\$4,693,565
Projected Expenses over Next Five Years	\$1,764,250

Will additional faculty be needed? Yes, the program will require one new faculty member initially. It is anticipated that the program will increase enrollment at the University of Kentucky and that new tuition revenues will cover the cost of that faculty member. Expansion in the future will be contingent on enrollment.

Provide a budgetary rationale for creating this new program

In the United States, federal, state, and local government expenditure represents approximately 37 percent of gross domestic product. When considering the cost of government regulations in areas such as environmental protection and immigration, the role of the public -sector looms even larger in the U.S. Thirty years ago, there were few (if any) undergraduate programs in public policy. Among policy programs represented at the most recent meeting of the national association of policy schools (NASPAA), over half the program directors indicated their university had introduced an undergraduate degree. The demand for these degrees has grown with the growth in the public sector and the importance of performance metrics and program evaluation in the public and nonprofit sectors. With this growth comes the demand for trained employees in these sectors who can conduct policy analysis and recommend policy alternatives to leaders in these organizations and who can lead the organizations. The primary focus of the undergraduate major courses is to teach students how to design and analyze solutions to public policy problems, communicate those solutions, and effectively lead organizations in the public and nonprofit sectors.

Demand for undergraduate programs is demonstrated by enrollment numbers of undergraduates in peer public schools offering undergraduate majors in public policy, such as University of Arizona's School of Government and Public Policy with 2000+ enrolled, University of Virginia's Frank Batten School of Leadership and Public Policy capped at 75 students/year and over 200 applications annually, and Indiana University's School of Public and Environmental Affairs (SPEA) with 1500+ enrolled.²¹ Despite its limited enrollment, the undergraduate major in public policy in the Woodrow Wilson School is the fifth largest undergraduate major at Princeton, accounting for 8 percent of all undergraduates. About 10 percent of all undergraduates in Duke's College of Arts and Sciences have a first or second major in its public policy department.

Public policy is the third most popular undergraduate major at the University of Chicago. The Martin School anticipates attracting students to the University of Kentucky and to the Commonwealth who would have chosen to attend other universities in neighboring states and to elite universities without the offering of this degree.

PROPOSED PROGRAM SUMMARY

Institution: University of Kentucky

Program Name: Data Science

Degree Designation: Master of Science

Program Description

This two-year interdisciplinary program in Data Science (DS) will include courses from the core areas of computer science, biostatistics, and biomedical informatics, with optional courses from related domains such as statistics and mathematics, and from applied domains such as biomedical and pharmaceutical sciences. The program will only offer a non-thesis option (Plan B) requiring 33 hours of graduate level coursework. The thesis option (Plan A) will not be offered. The program aims to integrate interdisciplinary data science training involving domain-specific knowledge discovery from large heterogeneous datasets generated from research or available publicly. Fifteen course hours will come from core DS courses, including three credit hours from the DS 710 Data Science Seminar course and three credit hours from DS 711 MS Project in Data Science course. Six credit hours will come from foundational courses in bioinformatics, required for the concentration in Bioinformatics. The remaining twelve hours will be electives. As the goal is to prepare students for a career in Data Science with exposure to specific applied domain areas, a focused project, directed by student's advisor, will be required. The project will be developed and presented by the student to his or her graduate program committee as part of the course DS 711 MS project in Data Science.

The three sponsoring units for the MS in Data Science are: Department of Computer Science in the College of Engineering (represented by Miroslaw Truszczynski, CS DGS), Department of Biostatistics in the College of Public Health (represented by Heather Bush, Chair), and the Division of Biomedical Informatics in the College of Medicine (represented by Jin Chen, Interim Chief, and Hunter Moseley, Associate Director).

CIP Code: 11.0802

Credit Hours: 33

Institutional Board Approval Date: 4/30/2019

Implementation Date: 08/01/2019

Student Demand

Year 1	Year 2	Year 3	Year 4	Year 5
4	11	18	24	26

Market Demand

The national need for a fast-growing DS-trained workforce has been well-established. This is highlighted in the recent December 2016 McKinsey report, indicating very high demand for data scientists due to a 250,000 shortfall in the available workforce.

Therefore, the establishment of an MS in Data Science at UK is both compelling and timely. A careful examination of leading programs, including UCSD, NYU, Harvard, Columbia, and Virginia, indicates that the proposed graduate program is comparable to these programs and will provide equivalent or superior training. Moreover, our program has the flexibility to grow with this fast growing and highly dynamic field, especially with respect to applied disciplines. While new hires and courses are unnecessary for the proposed program, both will naturally occur as this field of study continues to grow.

Employer Demand:

	Regional	State	National
Type of Job	Data Scientists		
Average Wage	\$103,375	\$82,398	\$107,328
# of Openings	207	71	23,094
Growth Projections	NA	NA	10.5%
Type of Job	Data/Data Mining Analyst		
Average Wage	\$82,823	\$96,216	\$79,143
# of Openings	170	68	19,492
Growth Projections	10.3%	18.4%	3.3%
Type of Job	Database Administrator		
Average Wage	\$69,625	\$87,883	\$75,629
# of Openings	135	75	14,178
Growth Projections	14.7%	19.5%	11.2%
Type of Job	Database Architect		
Average Wage	\$100,304	\$100,085	\$83,941
# of Openings	149	58	10,504
Growth Projections	10.3%	18.4%	3.3%
Type of Job	Data Warehouse Specialist		
Average Wage	\$89,702	\$83,438	\$75,842
# of Openings	148	63	6,082
Growth Projections	10.3%	18.4%	3.3%

Source: Burning Glass. [This software uses BLS, actual job postings, and proprietary data models to gather data on average wage, # of openings (within the last year), and growth projections (2019-2028).]

Academic Demand

N/A

Unnecessary Duplication

There are no similar programs at any Kentucky public institution.

Cost

Projected Revenue over Next Five Years	\$1,284,000
Projected Expenses over Next Five Years	\$909,000

Will additional faculty be needed? No

Provide a budgetary rationale for creating this new program

The national need for a fast-growing DS-trained workforce has been well publicized. This is particularly highlighted in the recent December 2016 McKinsey report, indicating very high demand for data scientists due to a 250,000 shortfall in the available workforce. Therefore, the establishment of the MS in Data Science degree program at UK is both compelling and timely. A careful examination of leading programs, including UCSD, NYU, Harvard, Columbia, and Virginia, indicates that the proposed graduate program is comparable to these programs and will provide equivalent or superior training. Moreover, our program has the flexibility to grow with this rapidly expanding and highly dynamic field, especially with respect to applied disciplines. While no new hires or courses are required for the initiation of the proposed program, both will naturally occur as this field of study continues to grow.

The costs of implementing and running the MS in Data Science can be amply met with new funds generated by the program tuition income.

In addition, there are significant non-financial benefits, as implementing this graduate program will:

- Address the emerging and critical national need for MS-level experts in Data Science.
- Promote interdisciplinary research and team science at the University of Kentucky.

PROPOSED PROGRAM SUMMARY

Institution: Murray State University

Program Name: Human Services

Degree Designation: Bachelor of Arts

Program Description

The proposed 120 credit hour bachelor degree in Human Services will be a fully online degree. In addition to the university requirements, the program will require 36 credit hours in Human Services. The proposed program includes a supervised internship experience to apply learned principles and prepare graduates for a career in Human Services. The courses will be offered through a Learning Management System and will include synchronous and asynchronous assignments. The program objectives and curriculum have been developed to meet the Council for Standards in Human Services Education (CSHSE) accreditation standards.

An online undergraduate degree in human services will reach an audience not currently served in western Kentucky. The degree program serves as a bridge for bachelor's degree completion for students at MSU regional campuses, as well as students transferring from the Kentucky Community and Technical College System. The Human Services degree program is also designed to provide a re-entry point for prior non-completers.

A diverse array of personal life experiences brings students to the helping professions. A major in Human Services prepares graduates for entry-level positions in a wide variety of community services settings. Graduates are equipped to face both the everyday human struggle as well as the complex problems of an increasingly interwoven global society. Graduates gain the following skills necessary to work as a human services professional: professional dispositions of a human services worker, human development and learning theories, human relation skills, leadership and group engagement, ethical decision making, cross cultural engagement, and the basics skills related to program evaluation and advocacy. This program provides a rigorous course sequence aimed at bridging academic content with field-based experiential learning.

CIP Code: 44.0000 Human Services, General

Credit Hours: 120

Institutional Board Approval Date: 03/28/2019

Implementation Date: 08/20/2019

Student Demand

Year 1	Year 2	Year 3	Year 4	Year 5
25	30	50	80	100

Market Demand

The Baccalaureate in Human Services is a new fully online degree not offered by a public university in Kentucky. The purpose of creating this degree will be a new access point to higher education for those who have previously pursued a degree program, but stopped out. It also serves a bridge toward completion of a bachelor's degree to support those degree seekers who are not positioned to attend a physical location. Currently, The University of the Cumberlands offers an online degree in Human Services. Students seeking a general degree offering in Human Services do not have a public university option. Furthermore, the online degree option will attract students from outside of Kentucky to attend a Kentucky public university.

Employer Demand

	Regional	State	National
Type of Job	Community Health Worker		
Average Wage	\$28,060	\$31,795	\$38,370
# of Openings	110	1,000	67,800
Growth Projections			18%
Type of Job	Behavior Disorder Counselor		
Average Wage	\$42,190	\$40,990	\$43,300
# of Openings	220	5,000	194,200
Growth Projections			23%
Type of Job	Correctional Treatment Specialist		
Average Wage	Data not available	\$39,320	\$51,410
# of Openings	100	785	96,500
Growth Projections			6%
Type of Job	Human Services Assistant		
Average Wage	\$24,130	\$27,110	\$33,120
# of Openings	390	6,030	63,900
Growth Projections			16%
Type of Job	Mental Health Counseling (Path for Graduate School)		
Average Wage	\$42,190	\$35,600	\$43,300
# of Openings	220	790	63,300
Growth Projections			23%

	Regional	State	National
Type of Job	School Counselor (Path for Graduate School)		
Average Wage	\$42,190	\$58,770	\$55,410
# of Openings	220	3,150	36,700
Growth Projections			13%

Unless noted, the employer demand data are from the United State Department of Labor, Bureau of Labor Statistics, May 2018 Occupational Employment Statistics.

*Regional demand data from Kentucky Center for Statistics, 2014-2024 West Kentucky Occupational Outlook (<https://kystats.ky.gov/Content/Reports/2014-2024%20West%20Kentucky%20Occupational%20Outlook.pdf>)

Academic Demand

This degree is both a degree that seeks to fit graduates with careers in Kentucky and abroad; however, the Human Services degree will also serve as a bridge to the Graduate program in Clinical Mental Health Counseling, School Counseling, School Psychology, and Social Work.

Unnecessary Duplication

There are no similar programs at any public institutions in Kentucky.

Cost

Projected Revenue over Next Five Years	\$ 2,925,000
Projected Expenses over Next Five Years	\$ 556,573

Will additional faculty be needed? No

Provide a budgetary rationale for creating this new program

The proposed Human Services program utilizes existing faculty and technology resources to provide an in-demand program to community college students and non-completers. Faculty from programs with decreasing enrollment will be reassigned partially to the Human Services program, and we will use online course delivery and enhancement technologies that are utilized already at Murray State. The program will be funded through existing salary funds and increased revenue from student tuition. We anticipate that the Human Services program will positively affect enrollment in

graduate programs in Counseling at Murray State. In addition to preparing bachelor's students for immediate work in the region, the Human Services program is designed to prepare students for entry to graduate in Clinical Mental Health Counseling, School Counseling, and School Psychology.

PROPOSED PROGRAM SUMMARY

Institution: Murray State University

Program Name: Culture and Language Studies

Degree Designation: Bachelor of Arts

Program Description

The Culture and Language program allows students to become proficient in the oral and written traditions of French, German, and Chinese, while also exploring the cultures of speakers of these languages through courses in multiple subjects. These may include history, art, literature, geography, religion, and archaeology. Culture and Language studies is an interdisciplinary field that investigates the ways in which language and culture combine to define various ways of life. Combining the strengths of various disciplines, culture and language studies draws on methods and theories from literary studies, communications, history, cultural anthropology, and the arts. Students in the Culture and Language Studies program will develop skills to interact internationally with the many cultures around us.

CIP Code: 16.0199

Credit Hours: 120

Institutional Board Approval Date: June 7, 2019

Implementation Date: August 1, 2019

Student Demand

Year 1	Year 2	Year 3	Year 4	Year 5
6	12	18	24	30

Market Demand

- Currently, over 50% of our Global Language majors are double majors, as learning a foreign language works with virtually any degree field of study open to university students.
- Searching job websites on any given day will show a need for bilingual or multilingual speakers in a variety of fields. A bilingual search will find a need for over 125,00 jobs in the United States with French, German and Chinese requiring the need for 5,000-10,000 jobs. (indeed.com, linkedIn).
- Career fields such as healthcare, engineers, market research analyst, sales, translators, interpreters, education and manufacturing, tourism, social workers, insurance, property managers, counselors, administrative assistants are but a few examples of the fields looking for employees with bilingual language skills.

- According to the February 19, 2019 report by the Cabinet for Economic Development on Kentucky Facilities with Foreign Ownership. 507 Facilities in Kentucky have foreign ownership with 111,767 Full Time Employees.
- Chinese is one of the top three most spoken languages in the world.
- The list of 10 most spoken languages in the U.S. include Chinese, French and German.
- The six official languages of the United Nations also includes Chinese and French.
- French is on the rise and may exceed other languages as countries in the developing world, particularly those in Africa, grow.
- German is the 3rd largest language spoken Europe, is the country that holds the most international trade fairs, is the second largest scientific language, and is one of the world's largest economies.

Employer Demand

	Regional	State	National
Type of Job	Translator/Interpreter		
Average Wage	Data not Available	\$49,690	\$53,230
# of Openings	< 10	650	57,140
Growth Projections		1.079%	18%
Type of Job	Foreign Language Teacher K-12 / P2nd		
Average Wage	Data not Available	\$67,020	\$79,160
# of Openings	< 10	210	25,590
Growth Projections		8%	2.5%
Type of Job	Market Research		
Average Wage	\$55,950	\$58,190	\$70,960
# of Openings	210	4,650	638,200
Growth Projections			23%
Type of Job	HR Manager		
Average Wage	\$79,190	\$94,260	\$113,300
# of Openings	100	1,550	136,100
Growth Projections			9%

Unless otherwise noted, the employer demand data are from the United State Department of Labor, Bureau of Labor Statistics, May 2018 Occupational Employment Statistics.

**Regional demand data from Kentucky Center for Statistics, 2014-2024 West Kentucky Occupational Outlook (<https://kystats.ky.gov/Content/Reports/2014-2024%20West%20Kentucky%20Occupational%20Outlook.pdf>)*

Academic Demand

A graduate with a BA degree in Culture and Language Studies may enter the workforce following graduation. However, 50% of our graduates combine language with another field and may be required to pursue a higher-level degree to attain their career interests.

Unnecessary Duplication

Similar Program #1: University of Kentucky

Comparison of Objectives/Focus/Curriculum to Similar Programs

The primary difference between a BA in Culture and Language Studies and a BA in a Chinese, French or German language degree is the emphasis on culture in addition to languages. By combining both into one degree a student gets a more balanced look at the diverse cultural aspects of varying regional, national, and international communities. Combining the strengths of various disciplines, culture and language studies draws on methods and theories from literary studies, communications, history, cultural anthropology, and the arts. By working across the boundaries among these fields, this program allows for the exploration of different languages and cultures in today's world by understanding their similarities and differences through history. Rather than seeking answers that uselessly categorize whole peoples, cultural studies develops the tools that allows us to interact internationally with the many cultures around us.

Comparison of Student Populations

Our target population consists of students in our 18-county service region and bordering areas. Many students who want to pursue language studies at Murray State University are also interested in double-majoring in other degree programs at our university. Currently, over 50% of our Global Language majors are double majors, as learning a foreign language works with virtually any degree field of study open to university students.

Access to Existing Programs

Many of our target students need to attend a regional university in western Kentucky; they do not have the means to attend the University of Kentucky and/or they may not gain acceptance to University of Kentucky. In addition, we expect many of our prospective students will be interested in pursuing a double major in language and another program offered at Murray State. The new program will continue to serve university majors, minors, certificates and Bachelor of Arts/Science students as a career enhancing skill. This new major allows us to diversify by adding

Chinese, French and German as language and culture option thus increasing our department's proven success in attracting double majors.

Feedback from Other Institutions

Per an email exchange between Dr. Brent Menchinger, Chair of Global Languages at MSU and Dr. Jeanmarie Rouhier-Willoughby, Chair of Modern and Classical Languages at UK, the University of Kentucky does not object to MSU's proposed program.

Cost

Projected Revenue over Next Five Years	\$3,456,750
Projected Expenses over Next Five Years	\$1,059,645

Will additional faculty be needed? No

Provide a budgetary rationale for creating this new program

The proposed program will use existing faculty and facility resources. It will not require additional funding. The Culture and Language Studies program is designed to provide thorough coursework and experience in the language of study, as well as culture studies that enhance the understanding of the language and the societies that speak it. The interdisciplinary nature of the program allows experts in other departments to teach culture courses, and allows our department to focus our faculty resources on language instruction.

PROPOSED PROGRAM SUMMARY

Institution: Northern Kentucky University

Program Name: Japanese

Degree Designation: Bachelor of Arts

Program Description

The Japanese major program at the Northern Kentucky University will offer a wide range of courses on the language, literature, cinema, and culture of Japan. The program will feature Japanese language instruction from beginning to advanced levels. The program will also provide students the opportunity to improve their Japanese skills through the study abroad at NKU's partner universities in Japan. Several upper-level courses will be offered on a rotating basis, including Japanese Composition and Conversation, Business Japanese, Japanese Drama, and Japanese Cultural History. The program will also provide students vocational training including coursework in translation and interpretation in professional settings. Through the Japanese major curriculum, students will not only gain a well- balanced knowledge of Japanese literature and culture, they will also acquire important professional skills utilizing the target language.

CIP Code: 16.0302

ORIGINAL SUBMISSION – Credit Hours – 121

REVISED SUBMISSION 6/20/19 – Credit Hours - 120

*(*Note from CPE staff: The program was originally submitted with a required 121 credit hours even though NKU's general graduation requirements are only 120 credit hours. The Academic & Strategic Initiatives Committee approved the program for recommendation to the full Council pending the number of credit hours be revised to 120 hours to match the general graduation requirements. NKU has agreed to this term and it will be officially updated in the program inventory system upon approval.)*

Institutional Board Approval Date: 5/8/19

Implementation Date: 8/1/19

Student Demand

These are the number of majors we project having based on the major to minor ratios in our existing language programs in French, German and Spanish and a growth rate of approximately 10% each year. In both instances –number of majors and growth rate— we have been conservative in our estimates. For example, we project that 35% of our current Japanese minors will declare the major. In our existing major/minor language programs, the percentages are higher.

Year 1	Year 2	Year 3	Year 4	Year 5
25	27	30	33	36

Market Demand

Currently, NKU has a Japanese Language and Culture minor which consists of 21 credits. Most courses in the Japanese minor are language and culture courses. This program will enhance the Japanese minor. Not only will the new courses that have been developed as part of work on the major proposal expand the course offerings in the minor, the minor will also be modified to include more required coursework in Japanese. In this sense, the Japanese minor will end up looking more like our minor programs in French, German and Spanish. All of those minors required that students take seven courses in the language. Currently, the Japanese Language and Culture minor requires students to take five courses in Japanese, plus two electives in other disciplines. The Japanese major will add more courses on Japanese literature and film. These additional courses will not only expand their knowledge of Japan and its history and culture, it will also give them more exposure to reading, writing, listening and speaking in the language.

Employer Demand

Many of our jobs are international for Japanese Translators or Teachers of English to Japanese in the JET program, and do not fit into the table below. We have highlighted the employer demand opportunities in the information below.

Language majors, Japanese and otherwise, can work in Government, Social Service, Education, Scientific Fields, Business, Communications, Tourism and others. The Japanese minor is extremely popular with students majoring in programs in the College of Informatics (Computer Science, Electronic Media Broadcasting and Media Informatics specifically), as well as with students in the International Studies, Studio Arts, World Cultures and Theatre majors that are housed in the College of Arts & Sciences. From these examples, it can be seen that Japanese has a broad appeal for students in a range of disciplines. Our Japanese minors have gone on to teach English in Japan through the JET (Japanese English Teachers) Program. (Average salary: \$30K-40K plus health insurance). We have had one of our Japanese minors obtain a job working for the City of Osaka in Japan, another was employed by Rakuten Co. in Tokyo (average salary: \$60K to \$65K), and another by Altica Central in Japan (average salary \$30K-40K). There are also many Japanese manufacturing companies located in the Northern Kentucky and the broader tri-state region that offer various positions for English-Japanese bilinguals. For example: CSI Group in Lexington (IT specialist with

Japanese skills 70K-80K); Toyota Boshoku in Erlanger (Purchasing Executive Assistant with Japanese skills \$50K -60K); TOP Group in Berea (Japanese translator \$45K). On the national level, Honda Kaihatsu America, Inc.—the interpreting company affiliated with Honda— provides interpreter positions for their offices and plants across the country. Numerous other jobs in the US that require Japanese skills can be found at job search websites such as indeed.com and LinkedIn. Finally, our graduates have gone on to pursue graduate degrees at the University of Cincinnati, the University of Chicago, and Capella University.

Academic Demand

According to the survey done by the Japan Foundation in 2012, there are 4,270 teachers teaching the Japanese language to 155,939 students at 1,449 different institutions, an increase of 10.4% in the number of students since the 2009 survey. The popularity of Japanese language study in the world is consistent in the past 20 years, which is evident in the Japan Times article “More People Studying Japanese.” https://www.japantimes.co.jp/opinion/2013/07/13/editorials/more-people-studying-japanese/#.W_MejZM3nxQ. The article states that “Around the world, the number of institutions outside of Japan teaching Japanese rose by 7.5 percent and the number of Japanese teachers abroad increased by 28 percent.”

Additionally, Japanese is a growth area in the World Languages and Literatures Department and based on informal surveys and conversations we have had with students in the Japanese minor, we have determined that there is high interest among students to have a major program in Japanese at NKU. Currently, the NKU Japanese program is only offering courses focused on language and cultural topics. The creation of a Japanese major will allow us to expand the curriculum in Japanese to include courses in Japanese literature, film and translation.

Unnecessary Duplication

Similar Program #1: Murray State University

Comparison of Objectives/Focus/Curriculum to Similar Programs: Three of the core/required courses for the proposed Japanese major at Northern Kentucky University are similar to the core of the Japanese track of the Global Language major at Murray State: JPN 201: Intermediate Japanese I, JPN 202: Intermediate Japanese II and JPN 301 (or 302): Composition and Conversation I (or II—at NKU, this is a one semester course: JPN 304: Japanese Composition and Conversation).

Unlike the rest of the core/required courses in the Japanese major track at Murray, at NKU, this part of the proposed Japanese major core is focused on three distinct areas at the 400-level of study: linguistics, culture and literature, as follows: a linguistics-focused course (JPN 401: Japanese Phonetics and Pronunciation or JPN 402: Advanced Grammar & Syntax), an advanced culture topic course (JPN 480: Studies in Japanese Culture), and an advanced literature topic course (JPN 481: Studies in Japanese literature).

The majors at both institutions require a 3 credit interdisciplinary course (at Murray this is called “Required Supporting Course”) in English in another discipline. At Murray, the options are limited to four courses in three disciplines: Art, History and Political Science. At NKU, the options are more extensive and include courses those three disciplines, as well as Anthropology (e.g. Peoples of East and Southeast Asia), Cinema (e.g. Survey of World Cinema), Geography (e.g. Geography of Asia), Popular Culture (e.g. Japanese Popular Culture), and Philosophy (e.g. Eastern Philosophy).

The rest of the Japanese major track at Murray consists of electives (divided into two categories: Limited and Approved), whereas the remainder of the proposed Japanese major at NKU is more focused. In the NKU Japanese major, students must take two courses/6 hours of culture study courses and two courses/6 hours of literary study courses. In both categories, there are more focused course topic options than in the Japanese major track at Murray. Examples from the Culture Study category include: JPN 307: Introduction to Japanese Translation, JPN 325: Japanese Myth and Folklore and JPN 340: Business Japanese. Examples from the literary studies category include: JPN 320: Survey of Japanese Literature, JPN 322: Japanese Drama and JPN 323: Japanese Prose Fiction. In this sense, the Japanese major at NKU offers a wider variety of courses and courses with more focused topics.

Comparison of Student Populations: Murray State University, the only other regional comprehensive university in Kentucky with a Japanese major, had 83 students enrolled in Japanese courses at the beginning of fall 2018—only slightly more than half of NKU’s enrollments in Japanese courses.

Access to Existing Programs: MSU is 5 hours from Northern Kentucky in the western part of the state. At the beginning of fall 2018 semester, NKU and University of Cincinnati had similar student enrollments in Japanese courses even though the size of UC is three times larger than that of NKU (UC students: 43,691

[total], 100 enrolled in Japanese; NKU students: 15,738 [total], 145 enrolled in Japanese).

Feedback from Other Institutions: We contacted the chair of the Modern and Classical Languages, Literatures and Cultures Department of University of Kentucky and the chair of the Global Languages and Theatre Arts Department of Murray State University. From the answers obtained from UK and MSU, we learned that it is important to maintain high quality teaching, to provide frequent advising to students, to organize language related events, to do outreach the local communities, utilize university websites and social medias, and to collaborate with other offices and departments to promote a Japanese major.

Similar Program #2: University of Kentucky

Comparison of Objectives/Focus/Curriculum to Similar Programs: The proposed Japanese major at NKU is distinct from the Japanese Studies major at University of Kentucky because it requires more coursework in Japanese. The Common Core of the Japanese Studies major at UK consists of 4 courses/12 hours that include options of courses in Anthropology and History that are taught in English. The core of the proposed Japanese major at NKU will be 6 courses/18 hours, all of which are in Japanese. The remaining 6 courses/18 hours of our proposed Japanese major are all courses in Japanese except for a Japan/Asia-related interdisciplinary course in another department. At UK, the Japanese Studies Major electives are 4 courses/12 hours, with many options to take courses in English in other departments. So, overall, a distinctive feature of NKU's proposed Japanese major is more required coursework in Japanese.

Comparison of Student Populations: UK is a large R1 university with a student population that over two times larger than that of NKU. Over 80% of the current Japanese minors at NKU are from within a 50 mile or less radius of the university, with the majority of them being from a 25 mile or less radius. In other words, NKU is smaller and serves a more local population than UK.

Access to Existing Programs: MSU is 5 hours from Northern Kentucky in the western part of the state. At the beginning of fall 2018 semester, NKU and University of Cincinnati had similar student enrollments in Japanese courses even though the size of UC is three times larger than that of NKU (UC students: 43,691 [total], 100 enrolled in Japanese; NKU students: 15,738 [total], 145 enrolled in Japanese).

Feedback from Other Institutions: We contacted the chair of the Modern and Classical Languages, Literatures and Cultures Department of University of Kentucky and the chair of the Global Languages and Theatre Arts Department of Murray State University. From the answers obtained from UK and MSU, we learned that it is important to maintain high quality teaching, to provide frequent advising to students, to organize language related events, to do outreach the local communities, utilize university websites and social medias, and to collaborate with other offices and departments to promote a Japanese major.

Cost

Projected Revenue over Next Five Years	\$627,520.00
Projected Expenses over Next Five Years	\$439,522.85

Will additional faculty be needed? Yes, the Japanese program currently has one full-time and two part-time instructors. We have requested a second full-time position that has been tentatively approved for doing a search for an NTTR/non-tenure track renewable lecturer in AY 2019-2020 that would lead to having a second FT faculty member in Japanese starting in AY 2020-2021. Enrollment growth and tuition revenue will pay for the additional cost.

Provide a budgetary rationale for creating this new program

The new program will utilize existing resources and will provide an opportunity to pursue a Bachelor Degree to those students currently enrolled in our Japanese Minor. In student and employer demand above, it shows the increased interest in a Japanese major and as stated previously under Academic Demand, “the number of institutions outside of Japan teaching Japanese rose by 7.5 percent and the number of Japanese teachers abroad increased by 28 percent.”

Any increase in investment from the state due to the program will be offset by the tuition earned from the new students enrolled to this program.

TITLE: Midterm Review of 2016-21 Statewide Strategic Agenda

RECOMMENDATION: The Academic and Strategic Initiatives Committee reviewed and approved this item on June 14, 2019 and recommends the full Council accept the proposed revisions to the 2016-21 Statewide Strategic Agenda.

BACKGROUND

Per KRS 164.0203, the Council on Postsecondary Education is charged with developing a strategic agenda to further the goals set by the General Assembly and help guide the work of the Council and Kentucky's colleges and universities. The current agenda was adopted by the Council in June 2016 and will run through June 2021.

At the time of adoption, the Council advised staff that a mid-term review in 2019 would be required to adjust the agenda's objectives, strategies and metrics as necessary. In the spring of 2019, the Strategic Agenda Mid-Term Review Committee was formed and comprised of CPE staff, a representative from all the public universities, KCTCS, and the CPE chair. The group met several times to review the agenda and accompanying performance indicators, and have made the following recommendations for changes.

PROPOSED CHANGES

Strategic Agenda Objectives and Strategies: The committee reviewed the agenda's policy priority framework, 11 key objectives, and various strategies supporting the implementation of the work. The group supported maintaining the current focus on "Opportunity," "Success," and "Impact" as the key policy areas of focus that define the structure of the agenda. Further, the group agreed that the majority of objectives and supporting strategies in the agenda should remain without change. However, the group does recommend the removal of Objective 4 and its supporting strategies which address the role of Kentucky's adult education program. Kentucky Adult Education (KYAE) was reorganized out of the CPE in late 2018 and is now within the Education and Workforce Development Cabinet. The Council no longer has oversight responsibilities for KYAE, and consequently cannot directly influence the strategic direction or performance of this work.

Strategic Agenda Metrics: The agenda includes a number of key performance indicators that measure both institutional and state-level performance in priority areas. The group spent the majority of their time reviewing each of the metrics in detail to determine whether they are measuring performance effectively and whether methods of calculation need to be adjusted to better assess the performance of the system. It was the consensus of the committee to recommend the following mid-term adjustments to the strategic agenda metrics:

- **Average Credit Hours**: The committee recommended maintaining the metric, but changing the calculation to measure the median number of credit hours at graduation rather than the average number of credit hours. Students who transfer in with large numbers of credits or students in majors that require a higher number of courses due to accreditation standards often skew the average on this metric. The group felt the median rather than the average would provide a more realistic view of credits to degree at their respective institutions.
- **Enrollment**: The current strategic agenda accountability system does not include “enrollment” as a Key Performance Indicator. Because this is a key measure of institutional health and because enrollment is considered in the diversity planning process, the group recommends including it as a measure in the statewide agenda. The enrollment calculation should include credential-seeking students only, and a FTE (full-time equivalency) calculation would not be used. The group recommended dual credit students be counted in a separate calculation.
- **Adult Learners**: Given the growing importance in enrolling and graduating more adult students to achieve of Kentucky’s 60x30 educational attainment goal, the group recommended the agenda’s enrollment and completion metrics include a disaggregation based on age groups. This would supplement the current disaggregation counts of low-income and underrepresented minority students.
- **Quality Measures**: There was broad consensus within the committee that the quality measures, while well intentioned, were difficult to track, collect, report and provided limited value. The group recommends the metrics be removed from the current strategic agenda accountability structure. The group also recommended that the next agenda should include one or more academic quality metrics, and over the next two years, a set of recommendations to that end be developed.

The committee reviewed a number of other metrics and while there were no additional recommended changes at this time, the group did suggest a more thorough evaluation during the development of the next agenda. Those metrics included measures of work-based learning, graduation rates, affordability, and college readiness.

The committee discussed possible adjustments to 2021 institutional targets, particularly if an institution had met or exceeded projected performance on a particular metric. Campuses are currently reviewing to determine whether any changes would be appropriate at this time. A range of factors, including declining enrollment or changing student cohorts may preclude changes at this time. Council staff will work with campus representatives to finalize target changes, and will provide an overview of changes to targets at the board's September work session.

SUMMARY OF RECOMMENDATIONS

The following provides a concise summary of the recommendations for approval by the ASI Committee:

Agenda Language

1. Remove Objective 4 regarding the work being completed by Kentucky Adult Education/KY SKILLS U.

Metrics/Measures

2. Revise Average Credit Hours to measure Median Credit Hours.
3. Add Enrollment measurement – credential-seeking students only. Add separate count for dual credit enrollment.
4. Add disaggregate count by age for enrollment and completion metrics.
5. Remove quality metrics.

TITLE: Committee on Equal Opportunities Report and Overview of Annual Campus Diversity, Equity, and Inclusion Report Evaluation Process and Report on the 2018 Evaluations

DESCRIPTION: Council staff will provide an overview of the annual campus Diversity, Equity, and Inclusion Report evaluation process and report on the outcomes of the 2018 evaluations.

PRESENTERS: Shawn Reynolds, Chair of the Committee on Equal Opportunities
Travis Powell, Vice President and General Counsel
Dawn Offutt, Director of Initiatives for Diversity, Equity, & Inclusion

SUPPORTING INFORMATION

Kentucky Public Postsecondary Education Policy for Diversity, Equity and Inclusion is grounded on the premise that to truly prepare students for life and work in an increasingly diverse society, the public postsecondary institutions within the Commonwealth shall develop a plan to embrace diversity and equity within constitutional and legal parameters, commit to improving academic achievement for all students, create an inclusive campus environment, and produce culturally competent graduates for the workforce. The policy was adopted in September of 2016.

This spring, the Committee on Equal Opportunities oversaw the first annual evaluation of institutional progress toward meeting their equal educational opportunity goals set in the Policy. Committee Chair Reynolds and Council staff will present the results of that evaluating process. The following supplementary materials have been included in your BoardBook:

- A summary of the evaluation results for each institution.
- An example narrative report on strategy implementation, evaluation, and determination of next steps.

The CPE interactive data dashboard shows progress toward the quantitative goals, a link to which is: <http://cpe.ky.gov/data/diversity.html>.

Final Evaluation Scores 2017-18									
4-YEAR INSTITUTIONS									
GOALS	EKU	KSU	MoSU	MuSU	NKU	UK	UL	WKU	
QUANTITATIVE									
Undergraduate Enrollment	2	2	2	2	2	2	2	2	2
Graduate Enrollment	2	2	2	2	2	2	2	2	2
1st-2nd Year Retention (URM)	2	0	2	2	0	1	0	0	0
1st-2nd Year Retention (low-income)	0	1	1	2	0	1	0	0	0
6-year Graduation Rate (URM)	1	0	2	2	2	1	2	0	0
6-year Graduation Rate (low-income)	2	0	2	2	1	1	1	0	0
Degrees Conferred (URM)	2	0	2	2	2	2	2	2	2
Degrees Conferred (low-income)	0	0	0	0	0	1	1	1	1
Workforce Diversity	1	2	1	0	0	1	1	0	0
QUALITATIVE									
Opportunity									
Implementation	1	1	2	2	2	2	2	2	1
Effectiveness	1	1	1	1	2	1	2	1	1
Lessons Learned	1	1	2	1	2	2	1	1	1
Success									
Implementation	2	1	1	2	2	2	2	2	1
Effectiveness	2	1	1	1	1	1	1	1	1
Lessons Learned	2	0	2	1	1	1	1	1	1
Impact									
Implementation	2	0	0	2	2	1	2	1	1
Effectiveness	1	0	0	1	1	1	1	1	1
Lessons Learned	1	0	1	1	2	1	1	1	1
Minimum Required Score- 24/36	25	12	24	26	24	24	24	24	16
QUANTITATIVE									
	2			1		0			
	Annual target met/exceeded			Baseline exceeded		Value is below baseline			
QUALITATIVE									
	2			1		0			
	Meets/Exceeds expectations			Making progress		Does not meet expectations			

Final Evaluation Scores 2017-18

Final Evaluation Scores 2017-18									
KCTCS									
GOALS	ACTC	BSCTC	BCTC	ECTC	GCTC	HCTC	HenCC	HopCC	
QUANTITATIVE									
Undergraduate Enrollment	2	0	0	2	2	2	0	2	
1st-2nd Year Retention (URM)	0	1	2	0	1	2	2	0	
1st-2nd Year Retention (low-income)	2	2	2	2	2	0	2	2	
3-year Graduation Rate (URM)	2	1	2	0	0	2	2	2	
3-year Graduation Rate (low-income)	2	1	1	1	2	2	2	2	
Credentials Awarded (URM)	2	2	2	2	2	2	2	0	
Credentials Awarded (low-income)	2	2	2	2	2	2	0	0	
Workforce Diversity	1	0	1	0	1	1	2	1	
QUALITATIVE									
Opportunity									
Implementation	2	2	1	2	2	2	2	1	
Effectiveness	1	1	2	2	1	2	2	1	
Lessons Learned	2	2	1	2	1	2	2	2	
Success									
Implementation	1	2	2	2	2	2	1	1	
Effectiveness	1	1	2	2	2	2	1	1	
Lessons Learned	2	1	1	2	2	2	2	1	
Impact									
Implementation	1	2	1	2	2	2	2	2	
Effectiveness	1	1	1	2	1	2	2	2	
Lessons Learned	1	1	1	2	1	2	2	2	
Minimum Required Score- 22/34	25	22	24	27	26	31	28	22	
QUANTITATIVE									
	2			1			0		
	Annual target met/exceeded			Baseline exceeded			Value is below baseline		
QUALITATIVE									
	2			1			0		
	Meets/Exceeds expectations			Making progress			Does not meet expectations		

Final Evaluation Scores 2017-18

Final Evaluation Scores 2017-18									
KCTCS									
GOALS	JCTC	MadCC	MayCTC	OCTC	SomCC	SKYCTC	SoutheastCTC	WKCTC	
QUANTITATIVE									
Undergraduate Enrollment	2	2	2	2	2	0	2	0	
1st-2nd Year Retention (URM)	1	0	0	2	2	0	0	0	
1st-2nd Year Retention (low-income)	1	0	0	2	1	2	2	2	
3-year Graduation Rate (URM)	2	0	2	0	1	2	2	1	
3-year Graduation Rate (low-income)	2	2	2	2	2	2	2	1	
Credentials Awarded (URM)	2	2	2	2	2	2	2	2	
Credentials Awarded (low-income)	2	2	2	2	2	2	2	2	
Workforce Diversity	1	1	1	0	1	1	1	1	
QUALITATIVE									
Opportunity	2	2	1	2	2	2	1	2	
Implementation	2	1	1	1	2	1	1	2	
Effectiveness	1	1	1	1	2	1	2	2	
Lessons Learned									
Success	1	2	1	2	1	2	1	2	
Implementation	1	1	1	1	1	1	1	1	
Effectiveness	1	2	1	1	1	1	1	2	
Lessons Learned									
Impact	1	2	1	1	2	2	1	2	
Implementation	1	1	1	1	2	1	1	2	
Effectiveness	1	1	1	1	1	1	1	2	
Lessons Learned	24	22	20	23	27	23	23	26	
Minimum Required Score- 22/34									
QUANTITATIVE									
	2			1			0		
	Annual target met/exceeded			Baseline exceeded			Value is below baseline		
QUALITATIVE									
	2			1			0		
	Meets/Exceeds expectations			Making progress			Does not meet expectations		

Elizabethtown Community and Technical College – Success Strategy #3

#3 - Expand access to enhanced academic and student support services and resources.

How would you describe the effectiveness of this strategy? The institution shall provide an analysis of the effectiveness of each strategy with a sufficient level of detail. If a strategy was not fully implemented or implemented at all, a thorough analysis and justifiable explanation of why this did not occur should be provided.

Initial Submission

This strategy is effective in the retention of students and provides the students with the skills necessary to be successful. For the academic year, Student Support Services had 185 students use their services. The SMILE Center (Math tutoring lab) served 845 students; the Education Excellence Center provided services to 189 students with 690 individual contacts; and the Veterans and Military Center served 284 students with 1068 student contacts

Feedback from Review Team

The strategy was "Expand access to enhanced academic and student support services and resources." The response provided a breakdown of the number of students served by Student Support Services, The SMILE Center (Math tutoring lab), the Education Excellence Center, and the Veterans and Military Center, and stated "This strategy is effective in the retention of students..." Accordingly, please provide data that supports the correlation of each service to the effective retention of students.

Resubmission

Effectiveness of this strategy was measured a few ways. First, as reported by the leaders of campus resources, 51/126 students were NOT connected to any of the listed resources, showing that more than half of the Hispanic/Latino students were using services available to them. The second way to view success of enhancing student use of academic and support resources is by reviewing retention numbers. Retention for low-income students increased from its baseline year (47.1 to 49.4%). This increase exceeded the target of 48.5% for low-income. The success, however, was not equaled for ECTC's URM population. Retention decreased from its baseline year (43.9 to 39.7%) which did not reach the College's target of 42.7%. A third measure was GPA of students assisted in the Learning Lab. In the academic year 2017-2018, the Learning Lab assisted 1,218 students and 272 were URM (22.3% of population served). The cumulative GPA average of all students served in the learning Lab was 2.46. The African American GPA average was 1.97, the Hispanic/Latino was 2.05, American Indian/American Native was 2.85, Native Hawaiian/Pacific Islander was 2.10, and Two or more Races was 2.53.

NORTHERN KENTUCKY UNIVERSITY – OPPORTUNITY STRATEGY #1

Engage outreach efforts to URM students.

- 1. How did you implement this strategy with fidelity? (500 words or less) Fidelity is defined as faithfulness to the implementation of the committed strategies demonstrated by continued loyalty and support. Evidence of fidelity may include, but would not be limited to the following: dedicated staff, specific examples of student or staff participation, data collected on strategy inputs and outputs, participation rates of students, dedicated funding, development of implementation timetables and milestones achieved, and narrative descriptions of the implementation process.**

The Enrollment and Student Success Implementation Plan (ESSIP) was developed to diversify the university's enrollment profile, with attention to URM, low-income students and first-generation students. In 2017/18, the Office of Undergraduate Admission expanded its recruitment territories to include Cleveland, Columbus, Dayton, OH and Indianapolis, IN. Admissions hired three dedicated staff members (1 bi-lingual) to execute diverse recruitment initiatives, plan and host on campus and off campus programs (i.e. Latino College Fair, Multicultural Law Academy, Multicultural Leadership Programs, etc.) create partnerships with agencies that serve diverse populations (i.e. Black and Latino Achievers, Lincoln Foundation, Covington Partners, etc.) Overall, our team attended a total of 220 high school visits and 202 college fairs, hosted 287 on campus programs with 4573 students/guests attending. In 2017/18, NKU established MCTC2NKU with Maysville Technical Community College with the goal to support rural and low-income students. Benefits include assigned academic advisors at NKU and MCTC and up to four NKU classes at the MCTC tuition rate. In 2016/17, NKU established the JCTC2NKU program with the goal to support rural, low-income and URM students and to date we have 34 students involved in the program (1 Hispanic). NKU is committed to creating and supporting articulation/pathway agreements with KCTCS, Cincinnati State and Ivy Tech. Chemistry Department visited six Northern Kentucky (NKY) high schools to provide information about potential careers in chemistry – approximately 300 students participated. CINSAM (Center for Integrated Natural Science and Mathematics) hosted several summer camps for K-8 & Summer Academies for grades 9-12. A total of 168 students participated in the summer camps - 14% were eligible for free or reduced lunches and received scholarships, 36% were female, and 58 students were URM students (28% Hispanic; 28% who self-reported either as Black/African American or two or more races). The Department of World Languages (Arts & Sciences) in partnership with the Department of Teacher Education (College of Education & Human Services), translated their website into Spanish <https://inside.nku.edu/coehs/es/departamentos/departamento-de-formacion-de-maestros.html>. In Fall 2017, Computer Science hosted the Tristate Women in Computing Conference (TriWIC), which drew 200 students, including 47 NKU students. The Conference is a significant recruitment tool because of community colleges' participation, which offers the opportunity to strengthen relationships between NKU and community college faculty. Strengthening relationships results in an increase of transfer students. Numbers were not tracked during AY 2017-2018. In partnership with the Danny Collar Academy, the Center for Economic Education at Haile College of Business conducted outreach through financial literacy workshops to regional students in 13 school districts located in four states (913 students). COB experienced an increase in URM students in the Economics Major (4%), Finance Pre-

Major (4%), and Entrepreneurship Majors (5%). NKU's Community Connections Office coordinated campus tours for P-12 students to expose them to the opportunities of higher education – approximately 150 African American and Latinx 8th grade students visited NKU's campus. The College of Health Professions (CHP) formed the "Health Inequities Diversity Committee" to develop strategies for recruiting URM students and CHP Advisors attended recruitment efforts Cincinnati and Louisville (higher % of diversity).

2. How would you describe the effectiveness of this strategy? The institution shall provide an analysis of the effectiveness of each strategy with a sufficient level of detail. If a strategy was not fully implemented or implemented at all, a thorough analysis and justifiable explanation of why this did not occur should be provided.

New enrollment strategies typically take 2-5 years to produce enrollment growth. NKU's effort toward increasing our outreach to URM students led to enrollment growth from 12.9% (AY 17-18) to 13.1% (AY 18-19), moving us closer toward our target 2021 target of 14.0%. Specifically, our fall 2018 results show a 10% increase in applicants from the Cleveland, Dayton, and Indianapolis recruitment areas and the JCTC2NKU program, established in 16-17 with the goal to support rural, low-income and URM students resulted in 34 students involved in the program (1 Hispanic). We anticipate that the MCTCNKU program (AY 17-18) and the KCTSNKU program (18-19) will result in the transfer of URM and low-income students to NKU. In addition, NKU implemented a new CRM (Slate) in fall 2018, which will assist our enrollment funnel tracking from prospect to enrolled for undergraduate and graduate students. As described in the fidelity section, A&S, COI, and CINSAAAM have significantly expanded their outreach efforts from AY 16-17 to AY 17-18 and CHP initiated new efforts that will expand in the future. Evidence of these efforts in terms of enrollment will be available as the colleges implement methods for tracking the percentage of students who attend events and who enroll. Above-described efforts took place within a challenging environment. NKU was in a presidential leadership transition; three colleges had interim deans; three departments had interim chairs; and undergraduate programs had an interim vice provost.

3. What lessons were learned regarding this strategy and what are your next steps? Each institution shall identify lessons learned from the annual review process and describes in detail how it will incorporate results from the strategy analysis into the next year to address deficiencies and either improve or continue.

A significant lesson learned is the need to build the capacity of the institution for more effective reporting, assessing, and tracking progress of initiatives/programs at the department, division, and college levels. A next step will be to partner with the Assistant Vice Provost of Undergraduate Academic Affairs in the development of workshops to strengthen the capacity of units to articulate desired outcomes of specific programs, identify progress and outcome indicators, and track URM and student participation. The workshops will be based on a network model – individuals/teams trained will then educate their networks.



2018 Campus Diversity, Equity & Inclusion Evaluation Results

Kentucky Council on Postsecondary Education
June 28, 2019

Background

- 1982 – Office of Civil Rights found that Kentucky was in violation of Title VI of the Civil Rights Act of 1964 in failing to eliminate the vestiges of its former de jure racially dual system of public higher education.
- The Council’s predecessor agency, the Council on Higher Education, submitted a Desegregation Plan to the Office of Civil Rights.
- The Committee on Equal Opportunities was created to provide oversight on plan implementation and ensure that diversity initiatives were a priority on our campuses.
- 1992 – Senate Bill 398 - KRS 164.020 was amended to require the Council to “postpone the approval of any new program” if an institution did not meet its “equal educational opportunity goals as established by the Council.”
- For the next 25 years, the Council focused its efforts on increasing African American enrollment in college, enhancing Kentucky State University, and improving campus climate.

Background

- December 2008, the Office of Civil Rights released Kentucky from the remedial planning process.
- Council continued fulfillment of its statutory responsibility to set “equal educational opportunity goals” and created a new process for evaluation on progress toward goal fulfillment.
- New Policy focused on four (4) areas
 - Student Body Diversity
 - Closing of Achievement Gaps
 - Workforce Diversity
 - Campus Climate
- Institutions submitted plans to address these areas and progress was evaluated in enrollment diversity, retention and graduation rates of underrepresented minority (URM) students, degrees conferred to URM students, and the employment of URM faculty and staff.

New Policy for Diversity, Equity, and Inclusion – Overview

- 3 Focus Areas aligned with Strategic Agenda
 - Opportunity, Success, and Impact
- Embraces both qualitative and quantitative elements to evaluate progress toward meeting “equal educational opportunity goals
- Policy incorporated into Administrative Regulation 13 KAR 2:060
- New name to signify a focus beyond “diversity.”
- Additional focus on closing the achievement gap for low income students.
- New focus on producing “culturally competent” students, as well as faculty and staff.
 - “Culturally competent” individuals maintain:
 - An awareness of one’s own cultural worldview;
 - Knowledge of different cultural practices and worldviews; and
 - Cross-cultural skills to better interact with those from other cultures

New Policy for Diversity, Equity, and Inclusion – Overview

- Institutions required to submit a plan for Diversity, Equity, and Inclusion on their respective campuses that address each of the Policy's Focus Areas.
- In each Focus Area, institutions must set goal and identify strategies to obtain them.
- Plans must also identify an appropriate plan for assessment.
- Goals and plans were adopted by the Council and CEO.
 - Draft plans were submitted to and reviewed by dedicated review teams who provided substantive feedback before final plans were submitted for approval.

Opportunity (Recruitment and Enrollment of Diverse Students)

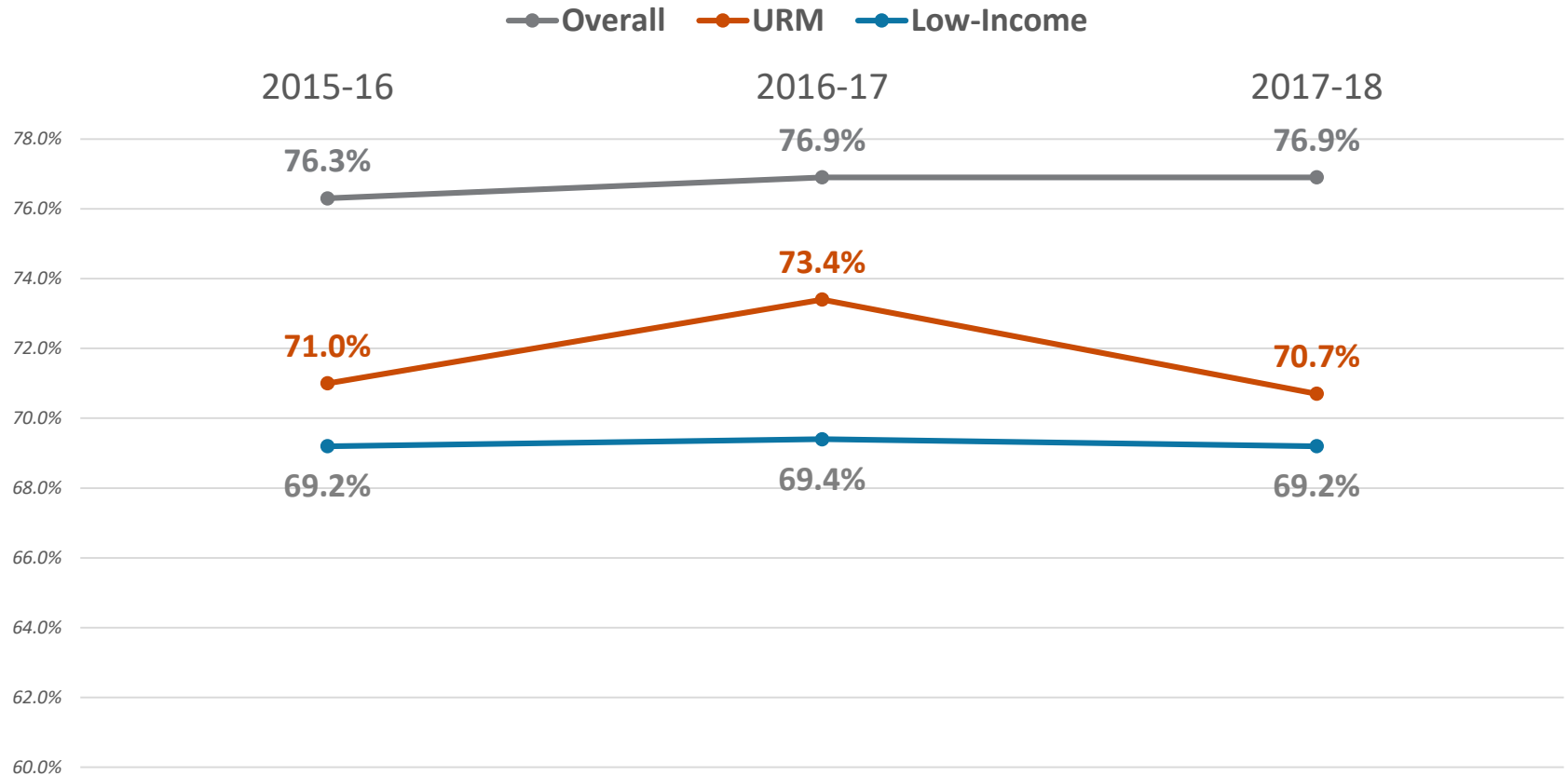
- Institutions focus on maintaining a diverse student body across multiple elements of diversity.
- The educational benefits of diversity are tremendous.
 - Breaks down stereotypes
 - Promotes cross-cultural understanding
 - Prepares students to work in a global economy
- Constitutional limitations for using race in admissions
- Goals set in the following areas as a percentage of overall population.
 - Undergraduate enrollment of African Americans, Hispanic, and overall URM
 - Graduate enrollment of URM
- Information provided about how opportunities and support were provided to other diverse students
- Strategies designed to increase enrollment diversity were identified.

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Success (Student Success)

Public Universities First-Year to Second-Year Retention Rates

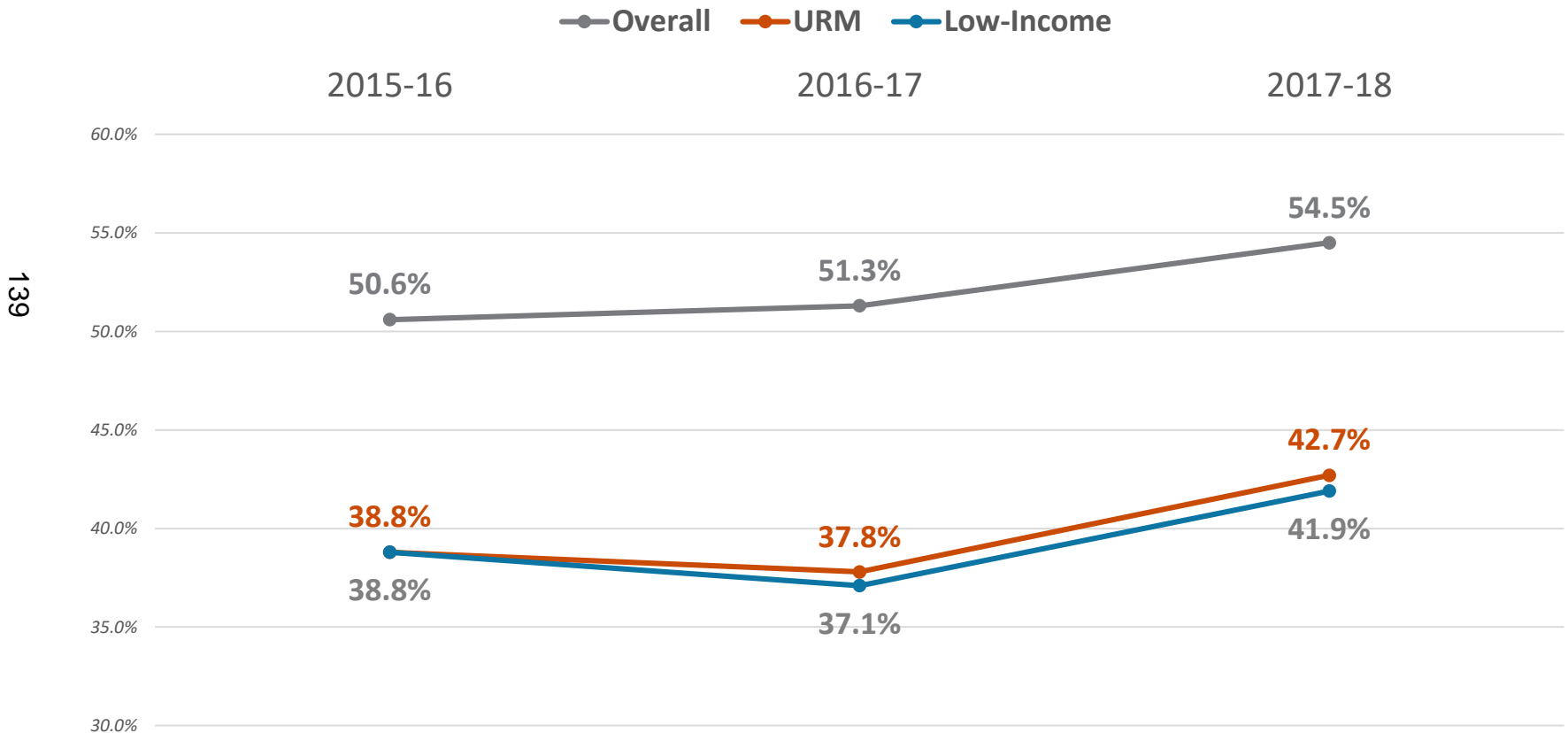


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Success (Student Success)

Public Universities Six-Year Graduation Rates

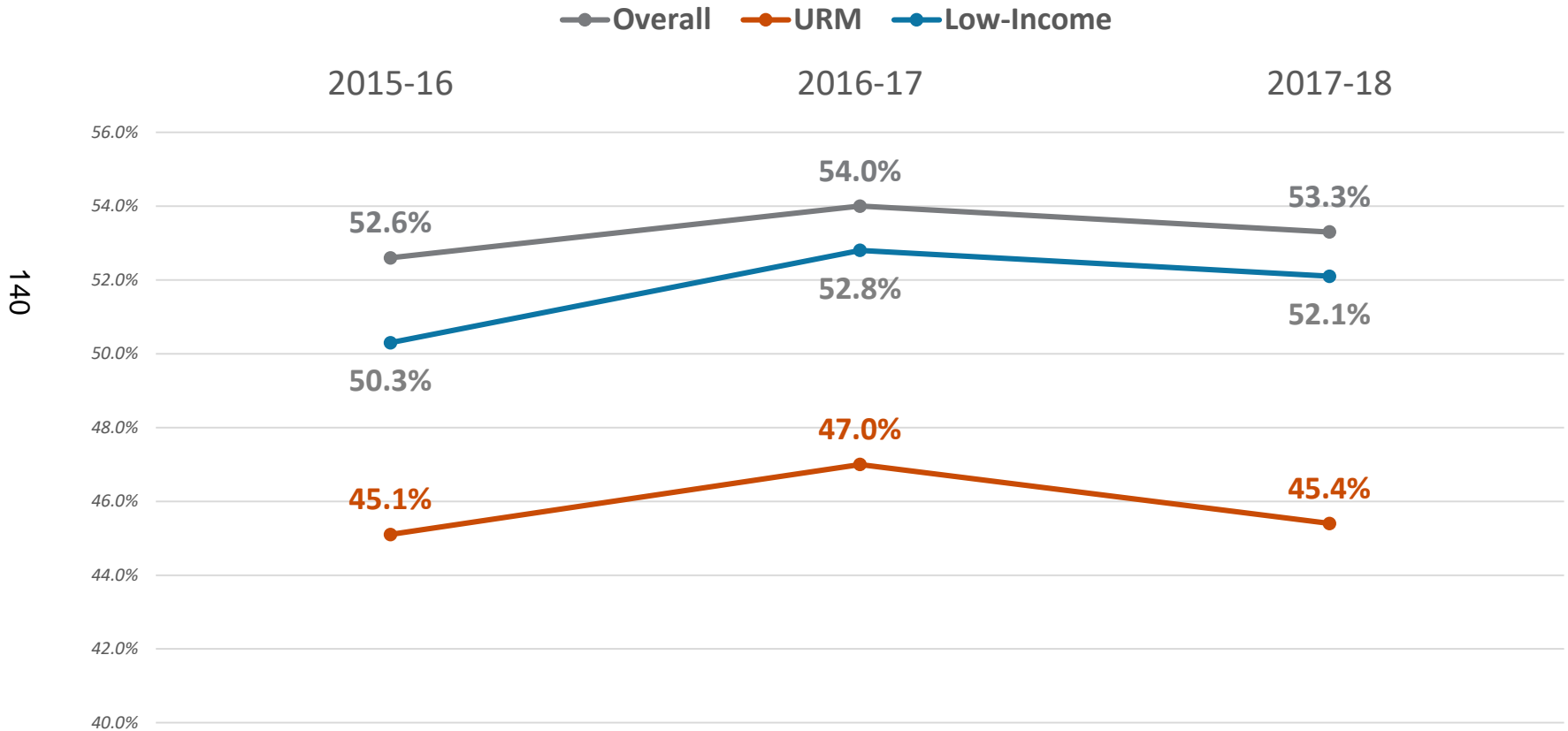


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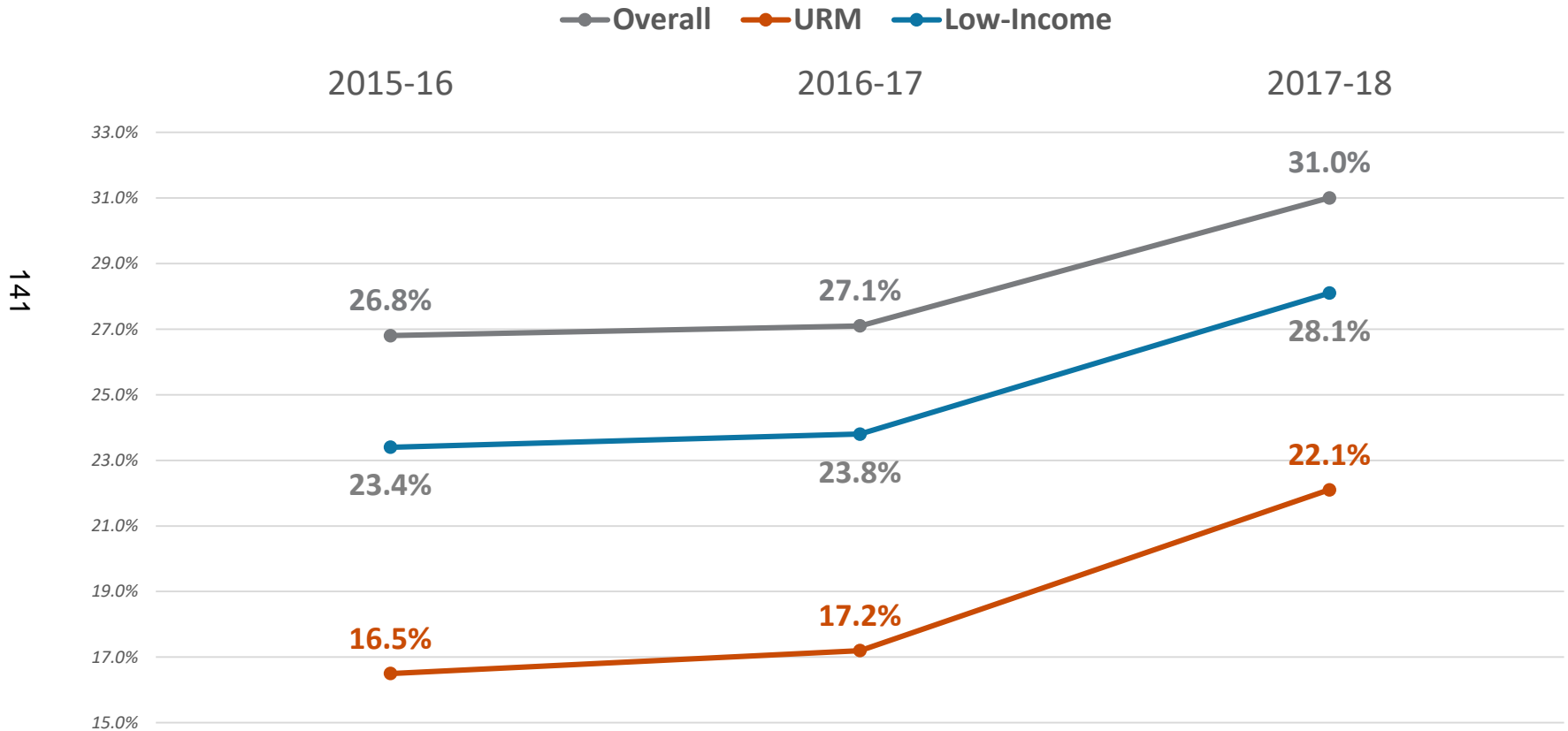
Success (Student Success)

KCTCS First-Year to Second-Year Retention Rates



Success (Student Success)

KCTCS Three-Year Graduation Rates



Success (Student Success)

- Goals set in the following areas for both URM and low income students.
 - 1st to 2nd year retention
 - 3-year graduation rates (for KCTCS institutions)
 - 6-year graduation rates (for universities)
 - Degrees/credentials conferred
- Strategies to meet these goals were identified
 - High Impact Practices
 - First-year seminars and experiences
 - Learning communities
 - Collaborative assignments and projects
 - Undergraduate research
 - Internships/co-ops
 - Service learning, community-based learning

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Impact (Campus Climate, Inclusiveness, and Cultural Competency)

- To fully realize the positive benefits of diversity, institutions must foster an inclusive and supportive environment for a diverse group of students.
- Opportunity to interact with diverse faculty and staff.
- Focus on cultural competency
 - Provides individuals with the knowledge, skills, and attitudes to increase their effectiveness in relating across cultural differences and prepares them for life in an increasingly diverse world.
 - Gain appreciation of their own cultural identities and awareness of the viewpoints of other cultures.
 - Beneficial for students as well as faculty and staff.
- Goals set in the following areas:
 - Increasing racial and ethnic diversity of faculty and staff.
 - Promoting equity and inclusion on campus in order to create a positive campus climate.
 - Produce culturally competent students, faculty, and staff.

Plan Development and Adoption

- Each institution created a plan to address the goals and strategies in the three focus areas.
- Draft plans were submitted and reviewed by review teams. Comments were provided.
- Plans and goals were approved by institutional boards, the CEO, and CPE.

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Diversity, Equity, and Inclusion Plan Report Evaluation

- Annual Report (2018)
 - Qualitative Report Submitted in March.
 - 2017-2018 Data Analyzed.
- Evaluated based on a Rubric Divided into 2 Sections
 - Quantitative
 - 18 possible points for Universities
 - 16 possible points for KCTCS Institutions
 - Qualitative
 - 18 possible points
 - Minimum Score for Eligibility to Offer New Programs
 - 24 for Universities
 - 22 for KCTCS Institutions

Diversity, Equity, and Inclusion Plan Report Evaluation – Quantitative

- Evaluation of progress toward targets set in the following areas:
 - Undergraduate and Graduate Enrollment*
 - 1st to 2nd Year Retention (URM and Low Income)
 - Graduation Rate (URM and Low Income)
 - Degrees Conferred/Credentials Awarded (URM and Low Income)
 - Workforce Diversity
- 9 areas for Universities and 8 areas for KCTCS Institutions*
- Scoring
 - 2 – Annual target met or exceeded.
 - 1 – Annual target not met, but value is greater than the 2015-2016 baseline.
 - 0 – Annual target not met and value is less than the 2015-2016 baseline.
- Maximum of 18 Points for Universities and 16 Points for KCTCS Institutions

Diversity, Equity, and Inclusion Plan Report Evaluation – Enrollment

- “Diversity” is defined as “people with varied human characteristics, ideas, world views, and backgrounds. Diversity in concept expects the creation by institutions of a safe, supportive, and nurturing environment that honors and respects those differences.”
- Enrollment Evaluation Standard
 - The institution shall demonstrate that the diversity of its student body provides its students with the opportunity to receive the educational benefits of diversity as described in the Policy. This may be substantiated by providing evidence that goals outlined in an institution’s plan were generally attained or significant progress was made toward those goals, that students have been provided the opportunity to interact with diverse peers both inside and outside the classroom, and through other means identified by the institution as supported by valid research. Progress toward any one goal shall not determine whether or not expectations have been met; an institution shall be evaluated based on the entirety of its report in this area.

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Diversity, Equity, and Inclusion Plan Report Evaluation – Enrollment

- Annual targets were set in the following areas
 - African American Undergraduate Enrollment
 - Hispanic Undergraduate Enrollment
 - URM Undergraduate Enrollment
 - URM Graduate Enrollment (universities)
- A narrative was provided by each campus to describe how the diversity of its student body provides its students with the opportunity to receive the educational benefits of diversity as described in the Policy.
- All elements were considered in the evaluation and a score of pass (2) or fail (0) was provided.
 - Universities were provided a score for both graduate and undergraduate diversity.

Diversity, Equity, and Inclusion Plan Report Evaluation – Qualitative

- 3 focus areas outlined in the Policy for Diversity, Equity, and Inclusion
 - Opportunity, Success, and Impact
- Each institution's plan identified strategies designed to meet the goals set forth in each of these focus areas.
- For each focus area, reports were evaluated on the following criteria:
 - Implementation of Strategies with Fidelity
 - Analysis of Strategy Effectiveness
 - Lessons Learned and Next Steps
- The 3 evaluation areas are each scored in the following manner:
 - 2- Meets or Exceeds Expectations
 - 1- Making Progress Toward Meeting Expectations
 - 0 – Does Not Meet Expectations
- Maximum of 18 Points
 - 3 policy areas, each with 3 evaluation areas and a maximum of 2 points in each category

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Overview of Reporting and Evaluation Process

- August 2018 – Reporting tool sent to institutions for verification of strategies
- December 2018 – Optional drafts due to CPE
- January 2019 – CPE gave feedback of drafts to institutions
- March 1, 2019 – Final report due to CPE
- March 15, 2019 – Review Teams Calibration Meeting
- March 15, 2019 – Reports Disseminated to Review Teams
- April 29, 2019 – Initial scores reported to CEO
- May 3, 2019 – Resubmission requests due to CPE
- June 3, 2019 – Revised reports due to CPE
- June 17, 2019 – Review Teams' reevaluations due
- June 21, 2019 – Institutions notified of score for revised report
- June 24, 2019 – Final scores reported to CEO

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Final Evaluation Scores for 2017-18: 4-Year Institutions

	EKU	KSU	MoSU	MuSU	NKU	UK	UL	WKU
<u>QUANTITATIVE</u>								
Undergraduate Enrollment	2	2	2	2	2	2	2	2
Graduate Enrollment	2	2	2	2	2	2	2	2
1st-2nd Year Retention (URM)	2	0	2	2	0	1	0	0
1st-2nd Year Retention (low-income)	0	1	1	2	0	1	0	0
6-year Graduation Rate (URM)	1	0	2	2	2	1	2	0
6-year Graduation Rate (low-income)	2	0	2	2	1	1	1	0
Degrees Conferred (URM)	2	0	2	2	2	2	2	2
Degrees Conferred (low-income)	0	0	0	0	0	1	1	1
Workforce Diversity	1	2	1	0	0	1	1	0
<u>QUALITATIVE</u>								
Opportunity								
Implementation	1	1	2	2	2	2	2	1
Effectiveness	1	1	1	1	2	1	2	1
Lessons Learned	1	1	2	1	2	2	1	1
Success								
Implementation	2	1	1	2	2	2	2	1
Effectiveness	2	1	1	1	1	1	1	1
Lessons Learned	2	0	2	1	1	1	1	1
Impact								
Implementation	2	0	0	2	2	1	2	1
Effectiveness	1	0	0	1	1	1	1	1
Lessons Learned	1	0	1	1	2	1	1	1
Minimum Required Score- 24/36	25	12	24	26	24	24	24	16

Final Evaluation Scores for 2017-18: KCTCS

	ACTC	BSCTC	BCTC	ECTC	GCTC	HCTC	HenCC	HopCC	JCTC	MadCC	MayCTC	OCTC	SomCC	SKYCTC	South east CTC	WKCTC
QUANTITATIVE																
Undergraduate Enrollment	2	0	0	2	2	2	0	2	2	2	2	2	2	0	2	0
1st-2nd Year Retention (URM)	0	1	2	0	1	2	2	0	1	0	0	2	2	0	0	0
1st-2nd Year Retention (low-income)	2	2	2	2	2	0	2	2	1	0	0	2	1	2	2	2
3-year Graduation Rate (URM)	2	1	2	0	0	2	2	2	2	0	2	0	1	2	2	1
3-year Graduation Rate (low-income)	2	1	1	1	2	2	2	2	2	2	2	2	2	2	2	1
Credentials Awarded (URM)	2	2	2	2	2	2	2	0	2	2	2	2	2	2	2	2
Credentials Awarded (low-income)	2	2	2	2	2	2	0	0	2	2	2	2	2	2	2	2
Workforce Diversity	1	0	1	0	1	1	2	1	1	1	1	0	1	1	1	1
QUALITATIVE																
Opportunity																
Implementation	2	2	1	2	2	2	2	1	2	2	1	2	2	2	1	2
Effectiveness	1	1	2	2	1	2	2	1	2	1	1	1	2	1	1	2
Lessons Learned	2	2	1	2	1	2	2	2	1	1	1	1	2	1	2	2
Success																
Implementation	1	2	2	2	2	2	1	1	1	2	1	2	1	2	1	2
Effectiveness	1	1	2	2	2	2	1	1	1	1	1	1	1	1	1	1
Lessons Learned	2	1	1	2	2	2	2	1	1	2	1	1	1	1	1	2
Impact																
Implementation	1	2	1	2	2	2	2	2	1	2	1	1	2	2	1	2
Effectiveness	1	1	1	2	1	2	2	2	1	1	1	1	2	1	1	2
Lessons Learned	1	1	1	2	1	2	2	2	1	1	1	1	1	1	1	2
Minimum Required Score-22/34	25	22	24	27	26	31	28	22	24	22	20	23	27	23	23	26

Final Evaluation Scores

The following institutions did not meet the minimum requirements:

- Kentucky State University
- Western Kentucky University
- Maysville Community and Technical College

Next Steps:

- Develop a performance improvement plan identifying specific strategies and resources dedicated to addressing deficiencies.
- CEO may recommend a site visit.
- Once the plan is improved, the institution may request a waiver to offer a new academic program if the institution can provide assurance that new program will not divert resources from improvement efforts.

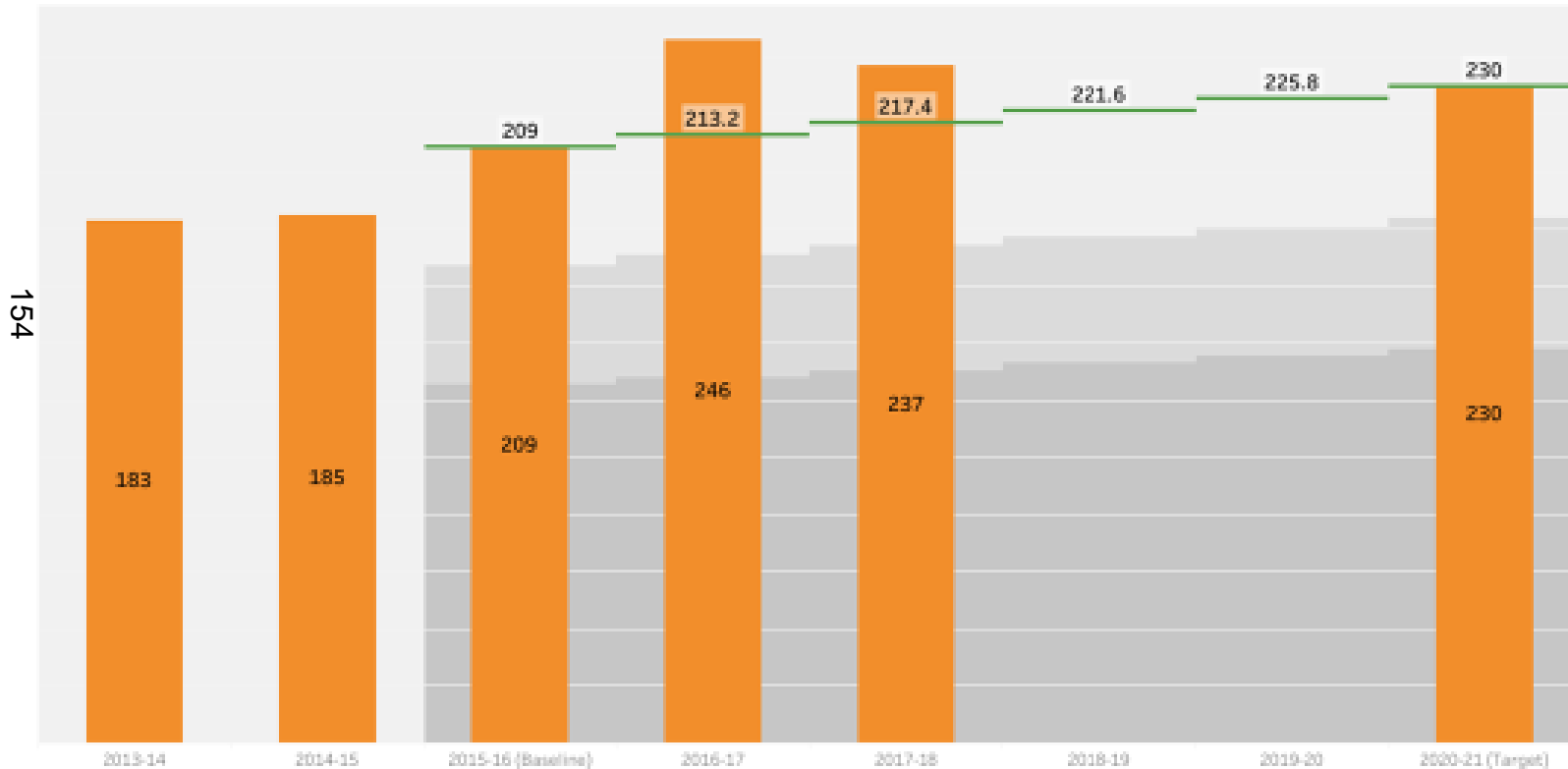
SAMPLE Dashboard – NKU URM Graduation

Diversity, Equity, and Inclusion Plan Metrics

Sector
4 Year Public

Institution
Northern Kentucky University

Metric
Degrees: URM, Bachelor



https://public.tableau.com/profile/kentucky.cpe#!/vizhome/Diversity_Projections/Diversity_1?publish=yes

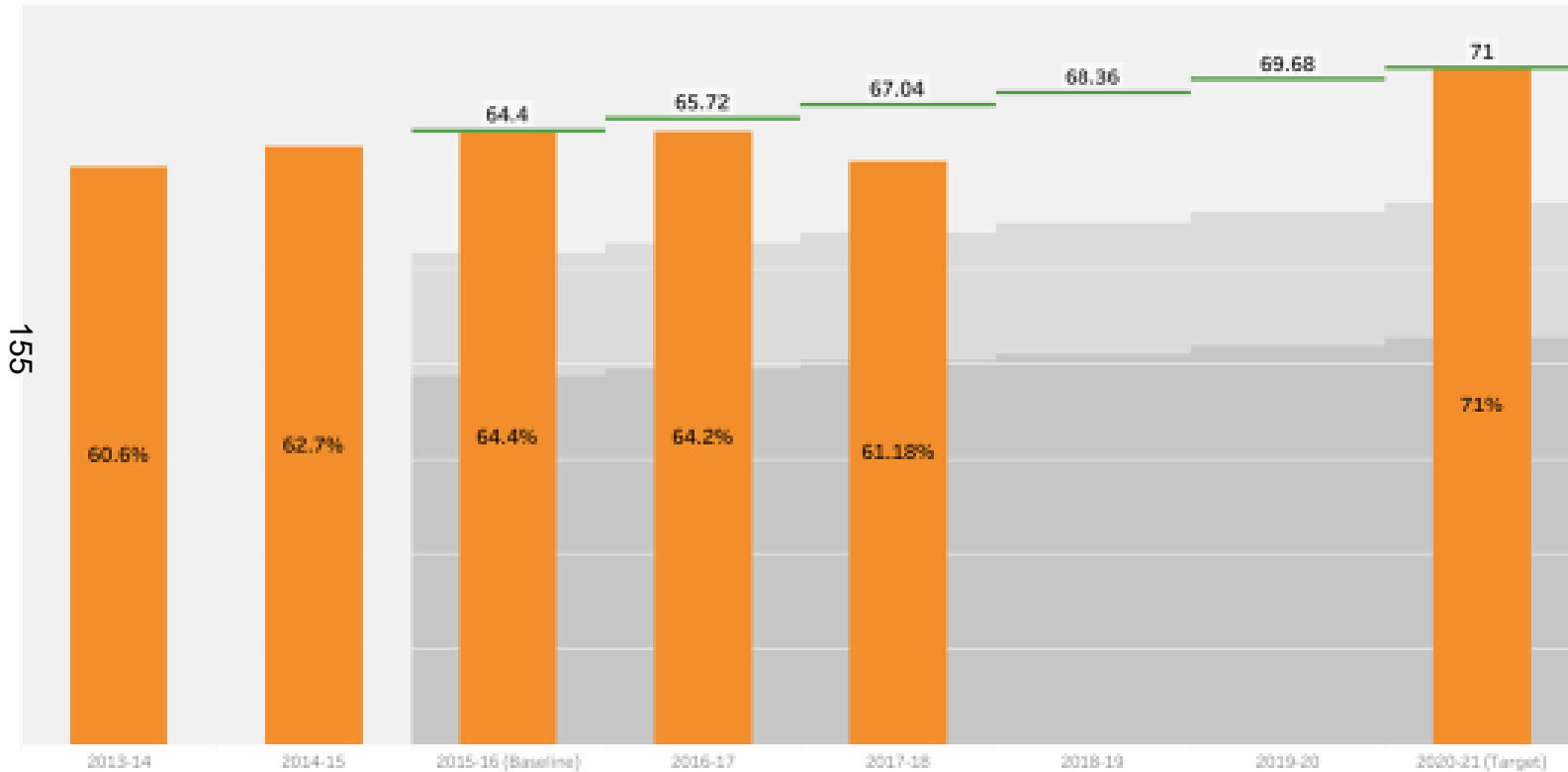
SAMPLE Dashboard – NKU LI Graduation

Diversity, Equity, and Inclusion Plan Metrics

Sector
4 Year Public

Institution
Northern Kentucky University

Metric
Retention: Low Income



https://public.tableau.com/profile/kentucky.cpe#!/vizhome/Diversity_Projections/Diversity_1?publish=yes

SAMPLE

Qualitative Report – NKU

Opportunity Strategy #1 – *Engage outreach efforts to URM students.*

- Implementation with Fidelity
 - Developed implementation plan
 - Hired dedicated staff, one of whom is bilingual
 - Created numerous partnerships
- Analysis of Effectiveness
 - Enrollment Growth from 12.9% (AY 17-18) to 13.1% (AY 18-19)
 - 10% increase in applicants from Dayton, OH
- Lessons Learned/Next Steps
 - Need to build capacity of institution for more effective reporting, assessing and tracking progress of initiatives
 - Partner with the Asst. Vice Provost of Undergraduate Academic Affairs to develop workshops to articulate desired outcomes of specific programs

SAMPLE

Qualitative Report - ECTC

- Initial report submission scored below the minimum requirement.
- The Analysis of Effectiveness section for strategies listed under Success initially received a 1.
- After the report was resubmitted and reevaluated, it received a 2.

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Lessons Learned/Next Steps

- Work with the CEO to develop performance improvement plans for institutions not meeting the minimum required scores.
- Provide professional development opportunities designed to aid institutions with analyzing strategies and writing reports.
- Begin preparing for the 2018-19 reporting process.
- Rollout a new reporting tool.

Travis Powell, Vice President and General Counsel

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Dawn C. Offutt, Director of Initiatives, Diversity, Equity and Inclusion

Dawn.Offutt@ky.gov



QUESTIONS?

TITLE: 13 KAR 1:020 Private College Licensing - Regulation Amendment

RECOMMENDATION: Staff recommends approval of the proposed amendment to 13 KAR 1:020, authorize staff to file the proposed administrative regulation, and authorize CPE Vice President and General Counsel [pursuant to KRS 13A.290(4) and KRS 13A.320(1)(b)] to make such changes on behalf of the Council as necessary to comply with KRS Chapter 13A and obtain adoption by the Administrative Regulation Review Subcommittee and the Interim Joint Committee on Education.

PRESENTERS: Travis Powell, Vice President & General Counsel

SUPPORTING INFORMATION

13 KAR 1:020 is Kentucky’s administrative regulation that establishes the standards and procedures for the licensing of private colleges in Kentucky.

Purpose of Licensure: The Council on Postsecondary Education is charged with protecting institutions and citizens of the Commonwealth against those colleges which resort to fraudulent practices, unfair competition, or substandard educational programs per KRS 164.947.

Licensure Authority: KRS 164.947(3) vests the authority to license with the president of the Council. This licensure authority covers:

- All private, for-profit and non-profit colleges offering a bachelor’s degree or above.
- Out-of-state private and public colleges, which operate in Kentucky.

If a for-profit or non-profit institution offers only below a bachelor’s degree, then the institution falls under the authority of the Commission on Proprietary Education per KRS 165A.340.

Licensure Activities: There are six main licensure activities:

1. Licensure of new colleges.
2. Changes to licenses, including new programs and off-campus sites.
3. Maintenance and renewals of licenses.
4. Annual data reporting.
5. Consumer complaints against licensed colleges.
6. Addressing institutions operating illegally or fraudulently in Kentucky without a license.

Proposed Amendment Language

- First, in-state colleges will be required to receive approval for an instructional site where 50% of an academic program is offered, rather than 25%.
- Second, colleges will be required to provide notice to the Council when certain measures of federal financial aid distress are triggered.
- Third, when those measures are triggered, in-state colleges using unrestricted cash to meet their unearned tuition coverage obligations will be required to obtain a surety bond or letter of credit or transfer the cash to the Council until those measures are no longer triggered.
- Fourth, the process for the Council to call in a letter of credit to protect student claims for unearned tuition is outlined.
- Fifth, colleges will be required to provide notice that they are the subject of any investigation, complaint, or disciplinary matter with any accreditors or state or federal agencies within 10 days of their receipt of notice.
- Sixth, by January 1, 2022, all licensed colleges shall maintain all student records in an electronic format that is searchable and readily transferable consistent with industry standards. New colleges seeking licensure shall meet this requirement as a part of initial licensure.
- Seventh, as part of the annual renewal application for licensure, colleges must provide documentation of any notice of disciplinary action, warning or probation from any state, federal, or accrediting agency within the past two years.
- And finally, technical revisions are being made.

Necessity of Proposed Revision to Regulation

- Moving the threshold of site approval for in-state colleges from 25% to 50% of a program being offered at a location aligns with accrediting agency requirements.
- Requiring notice, and in some instances, action, when certain measures of federal financial aid distress are triggered allows the Council to better secure repayment of unearned tuition for students and to allow the Council to intervene, if necessary, to better protect students in the event of potential institutional closure.
- Providing information related to action by a college's accreditors or other governmental agencies will allow the Council to know if those agencies have identified any issues with the college that might also be in violation of the Council's licensure requirements.
- Requiring that colleges maintain electronic student records, particularly transcripts, helps protect against the loss of records due to physical damage, helps ensure the orderly maintenance of such records, and makes them more easily transferrable to a designated receiver of the records in the event of closure.

Steps after approval

The process of revising an administrative regulation, established by the Legislative Research Commission, will be initiated with Council approval of this revised regulation. The proposed revised administrative regulation will go through a public hearing and review by the Administrative Regulation Review Subcommittee and the Interim Joint Committee on Education.

1 **COUNCIL ON POSTSECONDARY EDUCATION**

2 **(Amendment)**

3 **13 KAR 1:020. Private college licensing.**

4 RELATES TO: KRS 13B.005-13B.170, 164.020(23), 164.945, 164.946, 164.947,
5 164.992, 165A.320, 20 U.S.C. 1001 et. seq.

6 STATUTORY AUTHORITY: KRS 164.947(1), (2), 164.020(38) [~~164.020(37)~~]

7 NECESSITY, FUNCTION, AND CONFORMITY: KRS 164.947(1) requires the
8 Council on Postsecondary Education to promulgate an administrative regulation to estab-
9 lish the procedures for the licensing of colleges as defined in KRS 164.945. KRS 164.947
10 provides that religious instruction or training shall not be restricted. This administrative reg-
11 ulation establishes the private college licensing requirements and the requirements for reli-
12 gious in-state colleges to apply for an exemption to those licensing requirements.

13 Section 1. Definitions. (1) "Accredited" means the approval of an accrediting agency.

14 (2) "Accrediting agency" means a national or regional agency which evaluates colleges
15 and is recognized by the United States Department of Education, the Council on Higher
16 Education Accreditation, or the Council on Postsecondary Education.

17 (3) "Agent" means any person employed by a college to act as a solicitor, broker, or in-
18 dependent contractor to procure students for the college by solicitation in any form made at
19 any place other than the main campus of the college.

20 (4) "College" is defined by KRS 164.945(1).

21 (5) "Degree" is defined by KRS 164.945(2).

1 (6) "Diploma" is defined by KRS 164.946(3).

2 (7) "In-state college" means a college that is chartered by, organized within, and has its
3 principal location in Kentucky.

4 (8) "Net tuition and fees" means the total of tuition and mandatory fee revenue less insti-
5 tutional scholarships and fellowships.

6 (9) "Operating or soliciting" means having a physical presence within Kentucky and in-
7 cludes:

8 (a) An instructional or administrative site within Kentucky whether owned, leased, rent-
9 ed, or provided without charge;

10 (b) Instruction, whether theory or clinical, originating from or delivered within Kentucky
11 utilizing teachers, trainers, counselors, advisors, sponsors, or mentors;

12 (c) An agent, recruiter, in-state liaison personnel, institution, or business located in Ken-
13 tucky that advises, promotes, or solicits for enrollment, credit, or award of an educational or
14 occupational credential;

15 (d) An articulation agreement with a Kentucky licensed college or state-supported institu-
16 tion; or

17 (e) Advertising, promotional material, or public solicitation in any form that targets Ken-
18 tucky residents through distribution or advertising in the state.

19 (10) "Out-of-state college" means a college that is chartered, organized, or has its prin-
20 cipal location outside of Kentucky.

21 (11) "President" means the president of the Council on Postsecondary Education.

22 (12) "Unearned tuition" means the excess of cumulative collections of tuition and other
23 instructional charges over the cumulative amount of earned tuition and other institutional

1 charges prior to the first date of refund in accordance with the college's refund policy.

2 (13) "Unrestricted cash" means any cash or cash equivalents held by a college which
3 are available to cover payments to students for any unearned tuition.

4 Section 2. General Requirements. (1)(a) Except as provided in paragraph (b) of this
5 subsection or subsection (7) of this section, an in-state or out-of-state college that is oper-
6 ating or soliciting in Kentucky shall be licensed.

7 (b) If a college is operating or soliciting in Kentucky solely for on-ground instruction at a
8 location outside of Kentucky in which students leave Kentucky to attend, licensure shall not
9 be required.

10 (2)(a) An out-of-state college shall be licensed separately for each instructional site in
11 Kentucky.

12 (b) Except as provided in paragraph (c) of this subsection, an out-of-state college that is
13 operating or soliciting using on-line instruction to Kentucky residents shall be considered to
14 have an online campus which shall be licensed separately as an instructional site.

15 (c) Licensure shall not be required for an out-of-state college if the college:

16 1. Is only operating and soliciting under Section 1(9)(b) of this administrative regulation
17 solely due to a faculty member residing in Kentucky and providing online instruction to Ken-
18 tucky students; and

19 2. Has less than one (1) percent of its faculty members residing in Kentucky.

20 (3) A college awarding a certificate, diploma, associate degree, baccalaureate degree,
21 master's degree, doctoral degree, or other degree, whether the degree is earned or honor-
22 ary, shall be licensed. If a college's program is also required to be licensed or approved by
23 another state agency as well as the Council on Postsecondary Education, the president

1 shall attempt to coordinate the licensing function with that agency.

2 (4) A college shall offer only those programs, courses, and degrees, including honorary
3 degrees, specifically authorized in the license.

4 (5) If a college ceases offering a licensed program, course, or degree, the college shall
5 notify the president in writing and request that the program, course, or degree be removed
6 from the college's license.

7 (6) Providing false or misleading information shall be grounds for denial of a license, or
8 suspension or revocation of an existing license.

9 (7) A religious in-state college may operate or solicit in Kentucky if the college submits to
10 the council an Application for Religious In-State College Letter of Exemption per KRS
11 164.947(2). The institution shall submit an application each year by the anniversary of its
12 initial submission date. As part of the application, the institution shall verify compliance with
13 the requirements established in this subsection.

14 (a) The institution shall be nonprofit, owned, maintained, and controlled by a church or
15 religious organization which is exempt from property taxation under the laws of Kentucky.

16 (b) The name of the institution shall include a religious modifier or the name of a reli-
17 gious patriarch, saint, person, or symbol of the church.

18 (c) The institution shall offer only educational programs that prepare students for reli-
19 gious vocations as ministers or laypersons in the categories of ministry, counseling, theolo-
20 gy, religious education, administration, religious music, religious fine arts, media communi-
21 cations, or social work.

22 (d) The titles of degrees issued by the institution shall be distinguished from secular de-
23 gree titles by including a religious modifier that:

1 1. Immediately precedes, or is included within, any degree title, including an Associate of
2 Arts, Associate of Science, Bachelor of Arts, Bachelor of Science, Master of Arts, Master of
3 Science, Advanced Practice Doctorate, Doctor of Philosophy, or Doctor of Education de-
4 gree; and

5 2. Is placed on the title line of the degree, on the transcript, and wherever [~~whenever~~]
6 the title of the degree appears in official school documents or publications.

7 (e) The duration of all degree programs offered by the institution shall be consistent with
8 Section 8(8)(b) of this administrative regulation.

9 (f) The institution shall comply with the truth in advertising requirements established in
10 Section 8(11) of this administrative regulation.

11 (g)1. The institution shall disclose to each prospective student:

12 a. A statement of the purpose of the institution, its educational programs, and curricula;

13 b. A description of its physical facilities;

14 c. Its status regarding licensure;

15 d. Its fee schedule and policies regarding retaining student fees if a student withdraws;

16 e. Its refund policy on tuition and other instructional charges; and

17 f. A statement regarding the transferability of credits to and from other institutions.

18 2. The institution shall make the disclosures required by subparagraph 1. of this para-
19 graph in writing at least one (1) week prior to enrollment or collection of any tuition from the
20 prospective student. The required disclosures may be made in the institution's current cata-
21 log.

22 (h) The institution shall not seek to be eligible for state or federal financial aid.

23 Section 3. Licensure Application Procedures. (1) An application for a license shall be

1 submitted on the form entitled:

2 (a) Application for Licensure as an In-State, Non-Public Institution to Operate in the
3 Commonwealth of Kentucky Pursuant to 13 KAR 1:020, if the applicant is an in-state col-
4 lege; or

5 (b) Application for Licensure as an Out-of-State Institution to Operate in the Common-
6 wealth of Kentucky Pursuant to 13 KAR 1:020, if the applicant is an out-of-state college.

7 (2) An application shall be accompanied by a copy of the following:

8 (a) College charter;

9 (b) College catalog;

10 (c) College constitution and bylaws;

11 (d) Student enrollment application;

12 (e) Student contract or agreement;

13 (f)1. Documentation of accreditation, licensure, or approval by appropriate state, federal,
14 and accrediting agencies; and

15 2. Disclosure of any prior loss or denial of:

16 a. Accreditation with the dates and reason for the loss or denial; or

17 b. Licensure or approval by an agency in this state or another state with the dates and
18 reason for the loss or denial; ~~and~~

19 (g) Disclosure of any former names of the college with the dates each former name was
20 used.

21 Section 4. Site Visits. (1) Within ninety (90) working days of the receipt of a full and
22 complete application for a license, a supplementary application, or Application for Annual
23 Maintenance of License or for Renewal of License Pursuant to 13 KAR 1:020, the presi-

1 dent may conduct, or may have conducted, a site visit. Personnel conducting the site visit
2 shall possess the expertise appropriate to the type of college to be visited. The purpose of
3 a site visit shall be to make an assessment of a college using the standards for licensure
4 as set forth in Section 8 of this administrative regulation.

5 (2) The president may conduct, or may have conducted, an announced or unannounced
6 site visit of a licensed college during reasonable business hours to inspect the files, facili-
7 ties, and equipment as well as conduct interviews to determine the college's compliance
8 with this administrative regulation and KRS 164.945, 164.946, and 164.947.

9 (3) Failure to provide full access to the college's files, facilities, and equipment or pre-
10 vention of interviews shall be grounds for denial of a license, or suspension or revocation of
11 an existing license.

12 (4) Cost of site visits.

13 (a) Costs connected with a site visit and subsequent visits as may be necessary, such
14 as travel, meals, lodging, and consultant honoraria, shall be paid by the college.

15 (b) The estimated cost of the site visit shall be paid by the college prior to the site visit.

16 (c) The final settlement regarding actual expenses incurred shall be paid by the college
17 no later than thirty (30) days after receipt of the invoice.

18 (d) Failure to pay these costs shall be grounds for denial of a license, or suspension or
19 revocation of an existing license.

20 Section 5. Action on Licensure Application. (1) Within ninety (90) working days of the
21 completion of the site visit, or within sixty (60) working days of the submission of a com-
22 plete licensure application if a site visit is not conducted, the president shall do one (1) of
23 the following:

1 (a) Issue a license for a period of no less than one (1) year, nor more than two (2) years;

2 (b) Deny the application for a license;

3 (c) Notify the applicant college of deficiencies which shall be corrected before a license
4 is issued; or

5 (d) Issue a conditional license in accordance with subsection (3) of this section if the col-
6 lege has:

7 1. Not met all of the standards for licensure when ~~[at the time]~~ the application is filed;
8 and

9 2. Provided a written business plan to the president demonstrating it will meet the
10 standards for licensure within a period not to exceed two (2) years.

11 (2) If an institution fails to respond in writing to an official notification of deficiency within
12 sixty (60) working days, it shall submit a new application and fee, as required by Section 15
13 of this administrative regulation, to apply for licensure.

14 (3) A conditional license shall not exceed a period of two (2) years and shall include the
15 conditions the college shall meet in order for the college to progress toward and eventually
16 meet the standards for licensure, including when the college shall report progress to the
17 president and when the college shall be required to have satisfied all the conditions.

18 (a) The college's failure to satisfy the conditions within the specified timeframe shall:

19 1. Result in automatic revocation of the conditional license; or

20 2. Result in an extension of the conditional license based on a determination by the
21 president that the college is making progress in satisfying the conditions in response to the
22 college's written request for an extension with supporting justification.

23 (b) If the college satisfies all the conditions with the timeframe specified, the president

1 shall issue a license in accordance with subsection (1)(a) of this section.

2 Section 6. Supplementary Application Procedures. (1)(a) A Supplementary Application
3 for Change of Name of Institution Pursuant to 13 KAR 1:020 shall be submitted to the
4 council at least ninety (90) days prior to the effective date of a change in the name of a col-
5 lege.

6 (b) A Supplementary Application for Change of Location of Principal Location of a Col-
7 lege or Location of a Licensed Instructional Site in Kentucky Pursuant to 13 KAR 1:020
8 shall be submitted to the council at least ninety (90) days prior to the effective date of a
9 change in the principal location of a college or the location of a licensed instructional site in
10 Kentucky.

11 (c) A Supplementary Application for Change of Ownership or Governance Pursuant to
12 13 KAR 1:020 shall be submitted to the council at least ninety (90) days prior to the effec-
13 tive date of a change in ownership or governance of a college.

14 (d) An out-of-state college shall submit a Supplementary Application to Operate as an
15 Out-of-State Institution in the Commonwealth of Kentucky Pursuant to 13 KAR 1:020 at
16 least ninety (90) days prior to implementation of a change to offer an additional certificate,
17 diploma, or degree program, major, or other concentration or specialty at an instructional
18 site.

19 (e) A Supplementary Application to Operate as an In-State Nonpublic Institution in the
20 Commonwealth of Kentucky Pursuant to 13 KAR 1:020 shall be submitted by an in-state
21 college at least ninety (90) days prior to the effective date of:

22 1. A change to offer an additional certificate, diploma, or degree program, major, or oth-
23 er concentration or specialty at the main campus; or

1 2. The establishment of an instructional site away from the main campus of an in-state
2 college for the purpose of offering courses for college credit which comprise at least fifty
3 (50) percent [~~twenty-five (25) percent~~] of the course requirements for a degree program.

4 (f) A college shall submit a Supplementary Application for Administrative Site, Recruit-
5 ment Office, or Advising Center Pursuant to 13 KAR 1:020 at least ninety (90) days prior to
6 the establishment of an administrative site, recruitment office, or advising center in Ken-
7 tucky, or the change of location of a licensed administrative site, recruitment office, or ad-
8 vising center in Kentucky, if the site, office, or center is not part of a licensed instructional
9 site or proposed instructional site for which the college is seeking licensure.

10 (g) A college shall submit a Supplementary Application for Notification of Change in Ac-
11 creditation or Licensure Status Pursuant to 13 KAR 1:020 within thirty (30) days following
12 action by an accrediting agency or another state licensing agency which results in:

- 13 1. A college being placed in a probationary status;
- 14 2. A college losing accreditation or licensure; or
- 15 3. A college being denied accreditation or licensure.

16 (2) A site visit may be conducted as part of the supplementary application process in ac-
17 cordance with Section 4 of this administrative regulation.

18 (3) Failure to submit a complete and accurate supplementary application, if required,
19 shall be sufficient cause for denial of a license, or suspension or revocation of an existing
20 license. The president shall notify the college by registered mail, return receipt, of the deni-
21 al, suspension, or revocation of the college's license.

22 Section 7. Action on Supplementary Applications. (1) Within sixty (60) working days of
23 the submission of a complete supplementary application if a site visit is not conducted, or

1 within ninety (90) working days of the completion of a site visit, the president shall do one
2 (1) of the following:

3 (a) Approve the supplementary application and amend the current license without
4 changing the renewal date;

5 (b) Deny the supplementary application without amendment to the college's license;

6 (c) Suspend or revoke the college's license;

7 (d) Notify the applicant college of deficiencies which shall be corrected before the sup-
8 plementary application is approved and the license is amended; or

9 (e) Issue a conditional license in accordance with subsection (3) of this section if the col-
10 lege has:

11 1. Not met all of the standards for licensure when [~~at the time~~] the application is filed;
12 and

13 2. Provided a written business plan to the president demonstrating it will meet the
14 standards for licensure within a period not to exceed two (2) years.

15 (2) If an institution fails to respond in writing to an official notification of deficiency within
16 sixty (60) working days, it shall submit a new application to apply for licensure.

17 (3) A conditional license shall not exceed a period of two (2) years and shall include the
18 conditions the college shall meet in order for the college to progress toward and eventually
19 meet the standards for licensure, including when the college shall report progress to the
20 president and when the college shall be required to have satisfied all the conditions.

21 (a) The college's failure to satisfy the conditions within the specified timeframe shall:

22 1. Result in automatic revocation of the conditional license; or

23 2. Result in an extension of the conditional license based on a determination by the

1 president that the college is making progress in satisfying the conditions in response to the
2 college's written request for an extension with supporting justification.

3 (b) If the college satisfies all the conditions with the timeframe specified, the president
4 shall amend the current license in accordance with subsection (1)(a) of this section.

5 Section 8. Standards for Licensure. A college shall meet the requirements and stand-
6 ards established in this section in order to be licensed.

7 (1) Financial requirements. The college shall adhere to generally accepted accounting
8 practices and present evidence of financial stability, including the following:

9 (a) Financial statements including:

10 1. A statement of financial position of unrestricted net assets and liabilities, including
11 foundation and trust agreements;

12 2. An audit report prepared by an independent certified public accountant for each cor-
13 poration of the college; and

14 3. If available, audit reports for the past three (3) years;

15 (b) The name of a bank or other financial institution used by the college as a reference;

16 (c) A statement from the Kentucky Higher Education Assistance Authority related to pro-
17 grams administered by that agency and from the U.S. Department of Education related to
18 programs administered by that department that the college is in good standing; and

19 (d) An annual operating budget for the college.

20 (2) Agents. A college shall be responsible for the actions of its agents if [~~when~~] acting on
21 behalf of the college.

22 (3) Guarantee of refund of unearned tuition. A college shall guarantee the refund of any
23 unearned tuition held by the college as established in this subsection.

1 (a) Except as provided in paragraph (d) of this subsection, an in-state college shall:

2 1. Secure and maintain a surety bond equal to or in excess of the largest amount of un-
3 earned tuition held by the college at any time during the most recently completed fiscal
4 year, executed by a surety company qualified and authorized to do business in Kentucky,
5 and made payable to the Council on Postsecondary Education;

6 2. Maintain an unrestricted cash reserve equal to or in excess of the largest amount of
7 unearned tuition held by the college at any time during the most recently completed fiscal
8 year; or

9 3. Provide a letter of credit equal to or in excess of the largest amount of unearned tui-
10 tion held by the college at any time during the most recently completed fiscal year.

11 (b) An out-of-state college shall secure and maintain a surety bond:

12 1. That is:

13 a. Equal to or in excess of the largest amount of unearned tuition held by the college at
14 any time during the most recently completed fiscal year; and

15 b. At least \$10,000;

16 2. Executed by a surety company qualified and authorized to do business in Kentucky;
17 and

18 3. Made payable to the Council on Postsecondary Education.

19 (c) A college applying for a license for the first time shall estimate the amount of un-
20 earned tuition based on projected enrollment and tuition and other instructional charges.

21 (d) An in-state college licensed continuously by the council for:

22 1. Five (5) to ten (10) years shall maintain coverage by surety bond, letter of credit, or
23 unrestricted cash reserve for ten (10) percent of its annual total net tuition and fees collect-

1 ed by the college in its most recently completed fiscal year; or

2 2. Ten (10) years or more shall maintain coverage by surety bond, letter of credit, or un-
3 restricted cash reserve for five (5) percent of its annual total net tuition and fees collected
4 by the college in its most recently completed fiscal year.

5 (e) A college shall provide a letter from an independent certified public accountant con-
6 firming that the college is in compliance with this subsection.

7 (4) Notice required.

8 (a) If a surety bond is terminated, a college shall notify the president and the license
9 shall automatically expire with the bond unless a replacement bond is provided without a
10 lapse in bonding.

11 (b) An in-state college using an unrestricted cash reserve or letter of credit to satisfy the
12 provisions of subsection (3) of this section shall notify the president if the unrestricted cash
13 reserve or letter of credit falls below the required amount, and the college shall obtain a
14 surety bond for the required amount.

15 (c) A college shall notify the president within ten (10) days of the college receiving writ-
16 ten notice from the U.S. Department of Education of placement on heightened cash moni-
17 toring status or calculation of college's financial responsibility composite score at below
18 1.0. If an in-state college is using unrestricted cash reserve to satisfy subsection (3) of this
19 section, it shall within thirty (30) days of either event:

20 1. Obtain a surety bond or letter of credit in the required amount until the college is satis-
21 factorily removed from heightened cash monitoring status by the U.S. Department of Edu-
22 cation or the college's financial responsibility composite score is 1.0 or higher; or

23 2. Transfer the unrestricted cash reserve to the Council in the required amount to be

1 held on behalf of the college, which the Council shall return once the college is satisfactori-
2 ly removed from heightened cash monitoring status by the U.S. Department of Education,
3 the college's financial responsibility composite score is 1.0 or higher, or once all unearned
4 tuition claims have been paid.

5 (d) Upon notice to the college, the Council may call in a letter of credit upon any valid
6 claim of unearned tuition in the amount of the claim, or for the full amount of the letter if
7 necessary to protect access to those funds. If the full amount of the letter is called, the
8 Council shall return any funds remaining after claims have been paid, either to the bank or
9 the college, as appropriate, after one (1) year from the date of closure of the college.

10 (e) A college shall notify the president within ten (10) days of the college receiving notice
11 from an accrediting agency or any state or federal agency that the college is the subject of
12 any investigative action, complaint, or disciplinary matter with the accrediting agency or
13 state or federal agency.

14 (5) Personnel requirements.

15 (a) The college shall furnish information regarding the administrative officers, the direc-
16 tors, the owners, and the faculty, as required by the [appropriate] application form.

17 (b) The chief administrator shall hold at least an earned baccalaureate degree from an
18 accredited or licensed college and shall have sufficient experience to qualify for the posi-
19 tion.

20 (c) Faculty members.

21 1. For a course or program licensed by the council prior to January 1, 2014:

22 a. Effective until December 31, 2015, faculty members shall possess academic, scholar-
23 ly, and teaching qualifications similar to those required for faculty in accredited colleges

1 that offer degrees at comparable levels.

2 b. Effective beginning on January 1, 2016, faculty members shall meet the requirements
3 established in paragraph (d) of this subsection.

4 2. For a course or program not licensed by the council prior to January 1, 2014, faculty
5 members shall meet the requirements established in paragraph (d) of this subsection when
6 [~~at the time~~] the course or program is licensed.

7 (d) Faculty member qualifications.

8 1. Each degree possessed by a faculty member shall be from an institution accredited
9 by an accrediting agency recognized by the U.S. Department of Education or the Council
10 for Higher Education Accreditation.

11 2. To teach a certificate or diploma course, a faculty member shall have:

12 a. A bachelor's degree; or

13 b. A high school diploma or GED along with one (1) or more of the following:

14 (i) Completed a training or degree program in the applicable occupational area;

15 (ii) Demonstrated outstanding professional experience;

16 (iii) Demonstrated outstanding professional contributions to the discipline being taught;

17 or

18 (iv) Professional licensure or certification in the field.

19 3. To teach an associate degree course not designed for transfer to a baccalaureate
20 degree, a faculty member shall hold:

21 a. A bachelor's degree in the discipline being taught; or

22 b. An associate's degree in the discipline being taught along with one (1) or more of the
23 following:

- 1 (i) Demonstrated outstanding professional experience;
- 2 (ii) Demonstrated outstanding professional contributions to the discipline being taught; or
- 3 (iii) Professional licensure or certification in the field.
- 4 4. To teach a general education course, a faculty member shall hold:
- 5 a. A master's degree in the discipline being taught; or
- 6 b. A master's degree with a minimum of eighteen (18) graduate semester hours in the
- 7 discipline being taught.
- 8 5. To teach a baccalaureate course or an associate course designed for transfer to a
- 9 baccalaureate degree, a faculty member shall hold:
- 10 a. A master's degree in the discipline being taught;
- 11 b. A master's degree with a minimum of eighteen (18) graduate semester hours in the
- 12 discipline being taught; or
- 13 c. A baccalaureate degree in the discipline being taught along with one (1) or more of
- 14 the following:
- 15 (i) Demonstrated outstanding professional experience;
- 16 (ii) Demonstrated outstanding professional contributions to the discipline being taught; or
- 17 (iii) Professional licensure or certification in the field.
- 18 6. To teach a graduate course, a faculty member shall hold:
- 19 a. An earned doctorate or terminal degree in the discipline being taught or in a related
- 20 discipline; or
- 21 b. A master's degree in the discipline being taught along with one (1) or more of the fol-
- 22 lowing:
- 23 (i) Demonstrated outstanding professional experience;

1 (ii) Demonstrated outstanding professional contributions to the discipline being taught; or

2 (iii) Professional licensure or certification in the field.

3 (e) There shall be a sufficient number of full-time faculty to ensure continuity and stability
4 of the educational program.

5 (f) Teaching loads of faculty members shall be consistent with recognized educational
6 practices, and shall be appropriate to the field, the variety of courses assigned, class size,
7 and other related factors.

8 (6) Facilities and equipment.

9 (a) An instructional program shall be conducted in a facility in accordance with the re-
10 quirements specified on the ~~[appropriate]~~ application form.

11 (b) Enrollment shall not exceed the design characteristics of the facilities.

12 (c) A college shall have facilities and equipment that are:

13 1. Maintained and operated in compliance with the safety and health requirements set
14 forth in local, city, and county ordinances, and federal and state law; and

15 2. Adequate and appropriate for instruction in classrooms and laboratories consistent
16 with accrediting and licensing requirements.

17 (7) Library resources. The library shall ~~[be appropriate]~~ to support the programs offered
18 by the college in accordance with this subsection.

19 (a) A college, through ownership or formal agreements, shall provide and support stu-
20 dent and faculty access to adequate library collections, and to other learning and infor-
21 mation resources where courses and programs are offered. Library resources shall be ap-
22 propriate to the degree level offered by the college, and shall be sufficient to support all
23 educational, research, and public service programs.

1 (b) A college that does not provide its own library facilities, but instead relies on another
2 institution, shall demonstrate that it has permission to utilize the resources of the other in-
3 stitution, by providing a copy of the written agreement to the president with the [~~at the time~~
4 ~~of~~] license application, and prior to the offering of any courses.

5 (c) A college that is dependent on another college or library for library resources shall
6 make the extent of the dependence and the details of the agreements clear both to the
7 president and to students and faculty.

8 (d) Library expenditures, expressed as a percentage of the total educational and general
9 budget, shall be consistent with the percentage of library expenditures commonly observed
10 in accredited colleges of similar types.

11 (e) Library staff shall be qualified as required for accredited colleges of similar types.

12 (f) Sufficient seating and work space for a reasonable proportion of the faculty and stu-
13 dents to be accommodated at one (1) time shall be provided as observed in accredited col-
14 leges of similar types.

15 (g) The library shall provide a safe and secure physical and virtual environment condu-
16 cive to study and research [~~The physical environment of the library shall be conducive to~~
17 ~~reflective intellectual pursuits common to institutions of higher learning~~].

18 (8) Curriculum. Earned degrees awarded by a college shall be bona fide academic de-
19 grees and the courses offered in degree programs shall be of collegiate quality as deter-
20 mined by the president using the criteria established in this section.

21 (a)1. Except as provided in subparagraph 2. of this paragraph, a course offered in a de-
22 gree program shall be consistent with a course that is generally transferable for credit
23 among accredited colleges where the program is at a corresponding degree level, or for

1 credit toward the baccalaureate degree if a program is at the associate degree level.

2 2. A course may be offered that is not transferable based on the uniqueness of a pro-
3 gram.

4 (b) A college shall require a minimum of:

5 1. Sixty (60) student credit hours for an associate degree;

6 2. 120 student credit hours for a baccalaureate degree; or

7 3. Thirty (30) student credit hours for a post-baccalaureate, graduate, or first profession-
8 al degree.

9 (c) A minimum of twenty-five (25) percent of the student credit hours required for a de-
10 gree shall be earned through instruction offered by:

11 1. The college awarding the degree; or

12 2. A college that is:

13 a. A party to a joint, cooperative, or consortia agreement; and

14 b. Either:

15 (i) Licensed by the Council on Postsecondary Education; or

16 (ii) A Kentucky state-supported postsecondary education institution.

17 (d) A majority of the student credit hours required for a graduate degree may be met
18 through a joint, cooperative, or consortia agreement in which the instruction is offered by a
19 college that is:

20 1. A party to the agreement; and

21 2. Either:

22 a. Licensed by the Council on Postsecondary Education; or

23 b. A Kentucky state-supported postsecondary education institution.

1 (e) A college shall have a systematic program of curriculum revision in order to maintain
2 the general standards of accredited colleges with similar programs.

3 (f) A college shall have a program of evaluation that includes a periodic assessment of
4 the changes in student achievement.

5 (9) General education.

6 (a) A minimum of fifteen (15) student credit hours for associate degree programs and
7 thirty (30) student credit hours for baccalaureate degree programs shall be earned in gen-
8 eral education, including science, mathematics, social and behavioral sciences, and hu-
9 manities. A college which offers an interdisciplinary general education program, a block-
10 type program, or other unique general education program shall be considered to be in
11 compliance with the general education requirement if the president determines that the
12 program content and distribution are [appropriately] related to the degree and institutional
13 purposes.

14 (b) A new college, or any existing college which initiates a new associate degree or bac-
15 calaureate degree program or major, or other concentration or specialty, after March 5,
16 2010, shall comply fully from the outset with the general education requirements.

17 (10) Program supervision and instructional support. Regardless of location, type of pro-
18 gram, method of instruction, or other characteristics, an instructional program for which de-
19 gree credit is awarded shall include:

20 (a) Adequate supervision by the college; and

21 (b) Instructional [~~Other instructional~~] support necessary to maintain the program.

22 (11) Truth in advertising. A college shall meet the requirements established in this sub-
23 section regarding advertising.

1 (a) Advertisements, announcements, or promotional material of any kind which are dis-
2 tributed in Kentucky shall not contain any statements that are untrue, deceptive, or mis-
3 leading with respect to the college, its personnel, its services, or the content, accreditation
4 status, or transferability of its courses or degree programs.

5 (b) Advertisements, announcements, or other materials produced by or on behalf of the
6 college shall not indicate that the college is "supervised", "recommended", "endorsed", or
7 "accredited" by the Commonwealth of Kentucky, by the Council on Postsecondary Educa-
8 tion, or by any other state agency. A statement using the name of the Council on Postsec-
9 ondary Education, if any, shall be in exactly the following form, based on which statement
10 is applicable to the college:

11 1. "(Name of College) is licensed by the Kentucky Council on Postsecondary Educa-
12 tion."; or

13 2. "(Name of College) has a religious exemption from the Kentucky Council on Postsec-
14 ondary Education to operate or solicit in Kentucky."

15 (12) Recruitment and enrollment procedures. A college shall furnish the following to
16 each student prior to enrollment:

17 (a) The college's policies on grades, attendance, and conduct;

18 (b) A description of the instructional program;

19 (c) A detailed schedule of all charges, rentals, and deposits;

20 (d) The schedule of refunds of all charges, rentals, and deposits; and

21 (e) The student enrollment application, contract, or agreement.

22 (13) Student affairs.

23 (a) Students admitted to the college shall have completed a state-approved secondary

1 school program or its equivalent unless dually enrolled in high school.

2 (b) The college shall provide academic counseling by faculty or staff to each student
3 when admitted [~~at the time of admission~~] and throughout the program.

4 (c) The college shall make assistance and counseling available to each student who
5 completes a technical or vocational program for the purpose of assisting the student with
6 an appropriate job placement or with transfer.

7 (d) The college shall maintain sufficient records for each student to provide an under-
8 standing of his or her background, to record progress through the instructional program,
9 and for reference purposes. By January 1, 2022, the college shall maintain all student rec-
10 ords in an electronic format that is searchable and readily transferable consistent with in-
11 dustry standards. For a college not licensed by the Council prior to January 1, 2020, the
12 college shall meet this requirement when the college is licensed.

13 (e) Administrative officers of the college shall be knowledgeable of the federal and state
14 laws and administrative regulations concerning the disclosure of student information and
15 shall comply with those laws and administrative regulations.

16 (f) A college shall make provision for the maintenance of student records if the college
17 ceases operations in accordance with KRS 164.020(23). The location of student records
18 shall be approved in advance by the president.

19 (14) College policies.

20 (a) The college shall maintain records in an orderly manner and make them available for
21 inspection by the president or his or her designated representative.

22 (b) A catalog shall be published and distributed at least every two (2) years and shall in-
23 clude general information, administrative policies, and academic policies of the college in-

- 1 cluding:
- 2 1. General information:
- 3 a. Official name and address of the college, name of the chief administrative officers,
- 4 members of the governing body, and names of principal owners;
- 5 b. The college's calendar for the period covered by the catalog including beginning and
- 6 ending dates of each term or semester, registration and examination dates, legal holidays,
- 7 and other important dates;
- 8 c. Names of faculty, including relevant education and experience; and
- 9 d. Full disclosure of the philosophy and purpose of the college;
- 10 2. Administrative policies:
- 11 a. Admissions policies and procedures, applicable to the various programs, including
- 12 policies regarding granting of credit for previous education;
- 13 b. Policies and procedures regarding student conduct and behavior and the process for
- 14 dealing with cases which culminate in probation or dismissal;
- 15 c. Schedules for all tuition and instructional charges, and refund schedules for the tuition
- 16 and instructional charges;
- 17 d. Statement of financial aid available to students; and
- 18 e. Procedures for obtaining transcripts in a timely fashion and at reasonable cost; and
- 19 3. Academic policies, including:
- 20 a. Policy on class attendance;
- 21 b. Description of grading system;
- 22 c. Description of the degree, diploma, certificate, or other programs, including the course
- 23 requirements and the time normally required to complete each degree, diploma, certificate,

1 or other program; and

2 d. Full description of the nature and objectives of all degrees offered.

3 (c) Refund policy on tuition and other instructional charges. The refund policy shall meet
4 the minimum requirements established in this paragraph.

5 1. If tuition and other instructional charges are collected in advance of enrollment and
6 the student fails to enroll, the college shall retain not more than \$100, or not more than ten
7 (10) percent of the tuition and other instructional charges for a term or semester, whichever
8 is less.

9 2.a. Except as provided in clause b. of this subparagraph, tuition and other instructional
10 charges shall be charged by the enrollment period, and the student shall not be obligated
11 for tuition or other instructional charges relating to an enrollment period that had not begun
12 when the student withdrew.

13 b. The president may approve program tuition for a specific program at a college if a
14 student may only enroll at the beginning of the program sequence and shall remain in
15 phase. If program tuition is approved, the college shall refund tuition and other instructional
16 charges in accordance with its published refund policy that considers both the coursework
17 completed prior to withdrawal and the coursework that remains.

18 3. If a student withdraws from the college, or if a student fails to attend classes for a pe-
19 riod of thirty (30) days during which classes are in session, the college shall officially with-
20 draw the student from the college and shall refund an amount reasonably related to the pe-
21 riod for which the student is not enrolled and shall refund 100 percent of all other tuition
22 and other fees collected by the college for subsequent enrollment or registration periods
23 unless the student is enrolled in a program for which program tuition is charged as speci-

1 fied in subparagraph 2. of this paragraph.

2 a. After completion of fifty (50) percent of the enrollment period, the college shall not be
3 required to make refunds of tuition or other fees for that period.

4 b. In all other cases, including illness or accident, the college shall make a refund set-
5 tlement.

6 c. Refunds shall be made within thirty (30) days after notification of withdrawal has been
7 received by the college.

8 4. If a college is accredited by an accrediting agency which has a specific refund policy
9 which is more favorable to the student, that policy shall be followed.

10 5. An out-of-state college shall refund in accordance with this section unless its policy is
11 more favorable to the student, in which case the latter shall be followed.

12 Section 9. Failure to Apply for a License. (1) If a college which is subject to this adminis-
13 trative regulation fails to apply for a license, the president shall notify the college by regis-
14 tered mail of the requirement to obtain a license.

15 (2) If a license application is not then received within sixty (60) days of notification by the
16 president, the president shall require the chief administrative officer to appear for a hearing
17 as provided in Section 14 of this administrative regulation.

18 (3) If the chief administrative officer does not appear for the hearing, the president shall
19 refer the case to the appropriate county attorney for enforcement.

20 Section 10. Annual Maintenance of a College's License and Renewal of a College's Li-
21 cense. (1) A college shall submit an Application for Annual Maintenance of License or for
22 Renewal of License Pursuant to 13 KAR 1:020 to the president by April 1 of each year.

23 (a) In an odd numbered year, the application shall contain the following information:

- 1 1. Financial Information:
- 2 a. A statement from the Kentucky Higher Education Assistance Authority related to pro-
- 3 grams administered by that agency and from the United States Department of Education
- 4 related to programs administered by that department that the college is in good standing;
- 5 b. A letter prepared by an independent certified public accountant confirming that the
- 6 college is in compliance with Section 8(3) of this administrative regulation; [~~and~~]
- 7 c. Financial statement including assets and liabilities and an audit report prepared by an
- 8 independent certified public accountant within the last year.

9 2. Institutional information:

- 10 a. Name and address of college;
- 11 b. Chief executive officer's name, title, address, phone number, fax number, and email
- 12 address;
- 13 c. Institutional liaison's name, title, address, phone number, fax number, and email ad-
- 14 dress;
- 15 d. A current list of the college's agents;
- 16 e. Copies of articles of incorporation, charter, constitution, and by-laws if there have
- 17 been any changes to the documents within the last two (2) years; and
- 18 f. A copy of each articulation agreement the college has with a Kentucky licensed col-
- 19 lege or state-supported institution entered into or changed within the last two (2) years;

20 3. Accreditation status:

- 21 a. If the college is accredited by an accrediting agency, verification of the college's ac-
- 22 creditation status and documentation of any notice of disciplinary action, warning, or proba-
- 23 tion from any state, federal, or accrediting agency within the past two (2) years; or

- 1 b. If an in-state ~~[the]~~ college is not accredited by an accrediting agency, a statement in-
- 2 dicating its intention to receive accreditation and its timeline for attainment ~~[if, when, and~~
- 3 ~~from whom the college will seek accreditation]~~.
- 4 4. Tuition for the current enrollment period per credit hour, specifying semester hour,
- 5 quarter hour, or other basis, and per full-time student;
- 6 5. A copy of the college's current catalog;
- 7 6. For an in-state college, a list of all licensed instructional sites away from the main
- 8 campus of the in-state college for the purpose of offering courses for college credit which
- 9 comprise at least fifty (50) percent ~~[twenty-five (25) percent]~~ of the course requirements for
- 10 a degree program, including the name and title of the primary contact of the off-campus
- 11 site, address, phone number, and program or programs by CIP code offered at the site, or
- 12 course or courses if not offering an entire degree program at the site;
- 13 7. Program information:
- 14 a. Changes, if any, in program requirements for each program within the last two (2)
- 15 years including admission requirements, courses required, and the number of credit hours
- 16 required for the program or major;
- 17 b. Results of the most recent program evaluation;
- 18 c. Methods used to assess student achievement;
- 19 d. Results of the most recent assessment of student achievement; and
- 20 e. A list of programs withdrawn within the last two (2) years in which there are no longer
- 21 students enrolled including program title, degree level, CIP code, and address where the
- 22 program is no longer being offered;
- 23 8. Faculty information: Faculty credentials ~~[Vitae]~~ for each program faculty member em-

1 employed within the last two (2) years;

2 9. Facilities information: Verification of compliance with all applicable local, state, and
3 federal safety and fire codes; and

4 10. Library information regarding the library collection and budget, and lease, contract,
5 or letter of agreement authorizing use of another library collection, if any.

6 (b) In an even numbered year, the application shall only contain the information required
7 by paragraphs (a)1.b. and d., and (a)2.a., b., and c., of this subsection. An institution shall
8 provide any other information listed in paragraph (a) of this subsection upon request of the
9 council.

10 (2) The president may conduct, or may have conducted, a site visit as part of the annual
11 maintenance of a license or renewal of a license process in accordance with Section 4 of
12 this administrative regulation.

13 (3) Within ninety (90) working days of the submission of a complete and accurate Appli-
14 cation for Annual Maintenance of License or for Renewal of License Pursuant to 13 KAR
15 1:020 if a site visit is not conducted, or within ninety (90) working days of the completion of
16 a site visit, the president shall:

17 (a) Notify the college of any deficiencies which shall be corrected before the college's
18 license is maintained or renewed;

19 (b) Deny maintenance or renewal of the college's license;

20 (c) Maintain the college's license without changing the college's license renewal date;

21 (d) Renew the college's license to June 30 of the next year; or

22 (e) Issue a conditional license in accordance with subsection (4) of this section if the col-
23 lege has:

1 1. Not met all of the standards for licensure when [~~at the time~~] the application is filed;
2 and

3 2. Provided a written business plan to the president demonstrating it will meet the
4 standards for licensure within a period not to exceed one (1) year.

5 (4) A conditional license shall not exceed a period of one (1) year and shall include the
6 conditions the college shall meet in order for the college to progress toward and eventually
7 meet the standards for licensure, including when the college shall report progress to the
8 president and when the college shall be required to have satisfied all the conditions.

9 (a) The college's failure to satisfy the conditions within the specified timeframe shall:

10 1. Result in automatic revocation of the conditional license; or

11 2. Result in an extension of the conditional license based on a determination by the
12 president that the college is making progress in satisfying the conditions in response to the
13 college's written request for an extension with supporting justification.

14 (b) If the college satisfies all the conditions with the timeframe specified, the president
15 shall renew the license in accordance with subsection (3)(d) of this section.

16 (5) A college's failure to submit a complete and accurate Application for Annual Mainte-
17 nance of License or for Renewal of License Pursuant to 13 KAR 1:020 shall be grounds for
18 denial of a license, or suspension or revocation of an existing license, and the president
19 shall notify the college by registered mail, return receipt, of the denial, suspension, or revo-
20 cation of the college's license.

21 Section 11. Required Data Submission. (1) A licensed college shall submit student at-
22 tendance and performance data in an electronic format. The required data fields, the for-
23 mat and method of submission, and the dates for submission shall be in accordance with

1 the Licensure Compliance Reporting Manual.

2 (2) The president may conduct, or may have conducted, a site visit as part of the data
3 submission process in accordance with Section 4 of this administrative regulation.

4 (3) A college's failure to submit complete, timely, and accurate data shall be sufficient
5 grounds for denial of a license, or suspension or revocation of an existing license, and the
6 president shall notify the college by registered mail, return receipt, of the denial, suspen-
7 sion, or revocation of the college's license.

8 Section 12. License Expiration. (1) A license shall automatically expire if the college
9 ceases operating or soliciting.

10 (2) A college that ceases operating or soliciting shall comply with Section 8(13)(f) of this
11 administrative regulation and KRS 164.020(23).

12 Section 13. Consumer Complaint Procedure. A person with a complaint or grievance in-
13 volving misrepresentation against a college licensed under this administrative regulation
14 shall make a reasonable effort to resolve the complaint or grievance directly with the col-
15 lege. If a mutually satisfactory solution cannot be reached, the procedures established in
16 this section shall be followed.

17 (1) A person shall submit a written complaint to the president which contains evidence
18 relevant to the complaint and documentation that a reasonable effort was made to resolve
19 the complaint directly with the college.

20 (2) The president shall require an institution to file a written response setting forth the
21 relevant facts concerning the consumer complaint, including a statement on the current
22 status of the complaint, and any resolution of the complaint.

23 (3) The president shall review the facts as presented and may intervene to bring the

1 matter to a satisfactory conclusion through facilitation, but the facilitation shall not include
2 legal action on behalf of any party.

3 Section 14. Hearings and Appeals. (1) The president shall, for cause, require the chief
4 administrative officer, or other officers, of a college to appear for a hearing within thirty (30)
5 working days of notice in order to determine the facts if the president has determined that:

6 (a) There is sufficient cause for a suspension, a revocation of a license, or placement of
7 a college's license in a probationary status, based upon the college's failure to comply with
8 this administrative regulation; or

9 (b) A college which is subject to this administrative regulation fails to apply for a license.
10 ~~[there is sufficient cause for a suspension, a revocation of a license, or placement of a col-~~
11 ~~lege's license in a probationary status or if a college which is subject to this administrative~~
12 ~~regulation fails to apply for a license].~~

13 (2) The officer, or other officers, of the college may be accompanied at the hearing by
14 counsel of their own choosing and at their expense.

15 (3) Within thirty (30) working days after a hearing is held or if the college fails to appear
16 for the hearing, the president shall reach a determination and shall issue findings, in writ-
17 ing, to the council and to the chief executive officer of the college.

18 (4) If it is determined that the public interest requires that sanctions be imposed, the
19 president shall:

20 (a) Impose one (1) of the following sanctions:

21 1. Place the college's license in a probationary status for a designated period not to ex-
22 ceed one (1) year while deficiencies are being corrected;

23 2. Suspend the college's license for a period not to exceed one (1) year; or

1 3. Revoke the college's license; or

2 (b) Refer the case to other officials for appropriate legal action.

3 (5) A college which is sanctioned, whether the sanction is probation, suspension of li-
4 cense, or revocation of license, shall comply with the terms of the sanction.

5 (6) A college may appeal the actions of the president regarding the denial of issuance of
6 a license or license renewal or the imposition of sanctions according to the procedures es-
7 tablished in this subsection.

8 (a) A college shall notify the president of the intent to appeal an action within fourteen
9 (14) days of the receipt of the letter notifying the college of the action taken.

10 (b) The president shall request that the Office of Administrative Hearings appoint a hear-
11 ing officer who shall conduct an administrative hearing consistent with the provisions of
12 KRS 13B.005-13B.170.

13 (c) The appeal shall be presented in writing no later than sixty (60) days following the
14 receipt of notification of intent to appeal. The appeal shall be considered on the written
15 record alone.

16 (d) The appeals officer shall review findings of fact, consider testimony, draw conclu-
17 sions, and formulate a recommendation consistent with the facts and this administrative
18 regulation.

19 (e) Upon completion, the report of the appeals officer shall be forwarded to the college
20 and to the president of the Council on Postsecondary Education.

21 (f) Within thirty (30) working days of receiving the report of the appeals officer, the presi-
22 dent shall take one (1) of the following actions:

23 1. Issue a license;

- 1 2. Renew the license;
- 2 3. Impose one (1) of the sanctions authorized in this section; or
- 3 4. Refer the case to other officials for appropriate action.

4 Section 15. License Fees. (1) The president shall assess a fee in accordance with the
5 Kentucky Licensure Fee Schedule.

6 (2) Failure to pay a fee shall be sufficient grounds for denial of a license, or suspension
7 or revocation of an existing license.

8 Section 16. Incorporation by Reference. (1) The following material is incorporated by
9 reference:

10 (a) "Application for Licensure as an In-State, Non-Public Institution to Operate in the
11 Commonwealth of Kentucky Pursuant to 13 KAR 1:020", June 2013;

12 (b) "Application for Licensure as an Out-of-State Institution to Operate in the Common-
13 wealth of Kentucky Pursuant to 13 KAR 1:020", June 2013;

14 (c) "Supplementary Application for Change of Name of Institution Pursuant to 13 KAR
15 1:020", June 2013;

16 (d) "Supplementary Application for Change of Location of Principal Location of a College
17 or Location of a Licensed Instructional Site in Kentucky Pursuant to 13 KAR 1:020", June
18 2013;

19 (e) "Supplementary Application for Change of Ownership or Governance Pursuant to 13
20 KAR 1:020", June 2013;

21 (f) "Supplementary Application to Operate as an Out-of-State Institution in the Com-
22 monwealth of Kentucky Pursuant to 13 KAR 1:020", June 2013;

23 (g) "Supplementary Application to Operate as an In-State Nonpublic Institution in the

1 Commonwealth of Kentucky Pursuant to 13 KAR 1:020", July 2019 [~~June 2013~~];

2 (h) "Supplementary Application for Administrative Site, Recruitment Office, or Advising
3 Center Pursuant to 13 KAR 1:020", June 2013;

4 (i) "Supplementary Application for Notification of Change in Accreditation or Licensure
5 Status Pursuant to 13 KAR 1:020", June 2013;

6 (j) "Application for Annual Maintenance of License or for Renewal of License Pursuant to
7 13 KAR 1:020", June 2013;

8 (k) "Licensure Compliance Reporting Manual", September 8, 2009;

9 (l) "Kentucky Licensure Fee Schedule", June 2013; and

10 (m) "Application for Religious In-State College Letter of Exemption per KRS 164.947(2)",
11 September 2012.

12 (2) This material may be inspected, copied, or obtained, subject to applicable copyright
13 law, at the Kentucky Council on Postsecondary Education, 1024 Capital Center Drive,
14 Suite 320, Frankfort, Kentucky 40601, Monday through Friday, 8 a.m. to 4:30 p.m.

Date

Sherrill Zimmerman, Chair
Council on Postsecondary Education

APPROVED AS TO FORM:

Date

Travis Powell, VP & General Counsel
Council on Postsecondary Education

PUBLIC HEARING AND PUBLIC COMMENT PERIOD: A public hearing on this administrative regulation shall be held on August 22, 2019 at 10:00 a.m. at the Council on Postsecondary Education, 1024 Capital Center Drive, Suite 320, Frankfort, Kentucky, 40601 in Conference Room A. Individuals interested in being heard at this hearing shall notify this agency in writing five workdays prior to the hearing of their intent to attend. If no notification to attend the hearing is received by that date, the hearing may be cancelled.

This hearing is open to the public. Any person who wishes to be heard will be given an opportunity to comment on the proposed administrative regulation. A transcript of the public hearing will not be made unless a written request for a transcript is made.

If you do not wish to be heard at the public hearing, you may submit written comments on the proposed administrative regulation. Written comments shall be accepted until August 30, 2019.

Send written notification of intent to be heard at the public hearing or written comments on the proposed administrative regulation to the contact person.

CONTACT PERSON:

Sarah Levy
Executive Director of Postsecondary Licensing
Council on Postsecondary Education
1024 Capital Center Dr.
Suite 320
Frankfort, Kentucky 40601
Phone: 502.573.1555
Fax: 502.573.1535
Email: sarah.levy@ky.gov

REGULATORY IMPACT ANALYSIS
AND TIERING STATEMENT

Administrative Regulation 13 KAR 1:020. *Private College Licensing*

Contact person: Sarah Levy
Executive Director of Postsecondary Licensing
Council on Postsecondary Education
1024 Capital Center Drive, Suite 320
Frankfort, KY 40601
502.573.1555
sarah.levy@ky.gov
FAX: 502.573.1535

- (1) Provide a brief summary of:
 - (a) What this administrative regulation does: This administrative regulation sets the standards and rules related to the licensing of private postsecondary education institutions, both for profit and nonprofit, offering a bachelor's degree and above.
 - (b) The necessity of this administrative regulation: KRS 164.945 through 164.947 requires the Council on Postsecondary Education to license these institutions as a protection for Kentucky citizens and to protect bona fide institutions from those who engage in fraudulent practices, unfair competition, or substandard educational programs.
 - (c) How this administrative regulation conforms to the content of the authorizing statutes: KRS 164.947 states that the Council on Postsecondary Education, by regulation, shall adopt standards and procedures for the licensing of colleges.
 - (d) How this administrative regulation currently assists or will assist in the effective administration of the statutes: The administrative regulation sets out the standards institutions must meet in order to be licensed to operate in Kentucky. It also defines the process for new license applications, for amendments to licenses, and for license renewals.
- (2) If this is an amendment to an existing administrative regulation, provide a brief summary of:
 - (a) How the amendment will change this existing administrative regulation: First,

in-state colleges will be required to receive approval for an instructional site where 50% of an academic program is offered, rather than 25%. Second, colleges will be required to provide notice to the Council when certain measures of federal financial aid distress are triggered. Third, when those measures are triggered, in-state colleges using unrestricted cash to meet their unearned tuition coverage obligations will be required to obtain a surety bond or letter of credit or transfer the cash to the Council until those measures are no longer triggered. Fourth, the process for the Council to call in a letter of credit to protect student claims for unearned tuition is outlined. Fifth, institutions will be required to provide notice that they are the subject of any investigation, complaint, or disciplinary matter with any accreditors or state or federal agencies within 10 days of their receipt of notice. Sixth, by January 1, 2022 all licensed colleges shall maintain all student records in an electronic format that is searchable and readily transferable consistent with industry standards. New colleges seeking licensure shall meet this requirement as a part of initial licensure. Seventh, as part of the annual application for licensure, colleges must provide documentation of any notice of disciplinary action, warning or probation from any state, federal, or accrediting agency within in the past two years. And finally, technical revisions are being made.

- (b) The necessity of the amendment to this administrative regulation: Moving the threshold of site approval for in-state institutions from 25% to 50% of a program being offered at a location aligns with institutional accreditor requirements. Requiring notice, and in some instances action, when certain measures of federal financial aid distress are triggered allows the Council to better secure repayment of unearned tuition for students and to allow the Council to intervene, if necessary, to better protect students in the event of potential institutional closure. Providing information related to action by an institution's accreditors or other governmental agencies will allow the Council to know if those agencies have identified any issues with the institution that might also be in violation of the Council's licensure requirements. Requiring that institutions maintain electronic student records, particularly transcripts, helps

protect against the loss of records due to physical damage, helps ensure the orderly maintenance of such records, and makes them more easily transferrable in the event of closure.

- (c) How the amendment conforms to the content of the authorizing statutes: The amendment conforms with the requirements of KRS 164.947 by helping to ensure that licensed institutions provide a quality educational experience for Kentucky students.
 - (d) How the amendment will assist in the effective administration of the statutes: The amendment helps to better protect institutions in the event of school closure and to better track issues arising with other authorizing entities.
- (3) List the type and number of individuals, businesses, organizations, or state and local governments affected by this administrative regulation: The Council currently licenses 87 institutions with multiple licensed instructional sites and three (3) new initial licensure applications are currently under review.
- (4) Provide an assessment of how the above group or groups will be impacted by either the implementation of this administrative regulation, if new, or by the change if it is an amendment, including:
- (a) List the actions that each of the regulated entities identified in question (3) will have to take to comply with this administrative regulation or amendment: Colleges will be required to provide notice to the Council when certain measures of federal financial aid distress are triggered. When those measures are triggered, in-state colleges using unrestricted cash to meet their unearned tuition coverage obligations will be required to obtain a surety bond or letter of credit or transfer the cash to the Council until those measures are no longer triggered. As part of the annual application for licensure, colleges must provide documentation of any notice of disciplinary action, warning or probation from any state, federal, or accrediting agency within in the past two years. A college shall notify the president within ten (10) days of the college receiving notice from an accrediting agency or any state or federal agency that the college is the subject of any investigative action, complaint, or disciplinary matter with the accrediting agency or state or federal agency. Existing licensed colleges

will be required to digitize student records by January 1, 2022.

- (b) In complying with this administrative regulation or amendment, how much will it cost each of the entities identified in question (3): Costs will be incurred to digitize records if not already in digital format. From surveys conducted, licensed institutions have at least some records digitized. Costs will vary depending on how many paper records need to be scanned. If an institution hits one of those financial distress markers and are using unrestricted cash to cover unearned tuition, they will be required to pay a fee to obtain a surety bond. Most institutions already use these and it is our understanding that the cost is usually \$5,000 or less. Otherwise, costs of compliance should be minimal.
 - (c) As a result of compliance, what benefits will accrue to the entities identified in question (3): Digitizing student records will assist institutions in the protection, management, and organization of student records.
- (5) Provide an estimate of how much it will cost to implement this administrative regulation:
- (a) Initially: We estimate that there will be no additional cost in implementing the proposed changes to the regulation.
 - (b) On a continuing basis: We estimate that there will be no additional costs on a continuing basis to implement the proposed changes to the regulation.
- (6) What is the source of the funding to be used for the implementation and enforcement of this administrative regulation: License fees and General Fund appropriations.
- (7) Provide an assessment of whether an increase in fees or funding will be necessary to implement this administrative regulation, if new, or by the change if it is an amendment: No. Implementation of this amendment does not require an increase in fees or funding.
- (8) State whether or not this administrative regulation establishes any fees or directly or indirectly increases any fees: Yes. This regulation assesses fees but they will not be increased, directly or indirectly, by this amendment.
- (9) TIERING: Is tiering applied? No, tiering is not applied to this amendment.

FISCAL NOTE ON STATE OR LOCAL GOVERNMENT

Regulation No. 13 KAR 10:020. Private College Licensing.

Contact Person: Sarah Levy

1. What units, parts or divisions of state or local government (including cities, counties, fire departments, or school districts) will be impacted by this administrative regulation? CPE is responsible for implementation, but this regulation only applies to private colleges and universities.

2. Identify each state or federal statute or federal regulation that requires or authorizes the action taken by the administrative regulation. KRS 164.947 and 164.020(37)

3. Estimate the effect of this administrative regulation on the expenditures and revenues of a state or local government agency (including cities, counties, fire departments, or school districts) for the first full year the administrative regulation is to be in effect.

(a) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for the first year? We estimate revenue from university and college renewals at \$105,000 per year. In most prior years, these renewal fees combined with initial application fees were greater than expenses, creating a reserve to cover costs in case initial applications were lower than expected.

(b) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for subsequent years? See 3(a).

(c) How much will it cost to administer this program for the first year? Approximately \$355,500.

(d) How much will it cost to administer this program for subsequent years? Approximately \$355,500.

Note: If specific dollar estimates cannot be determined, provide a brief narrative to explain the fiscal impact of the administrative regulation.

Revenues (+/-):

Expenditures (+/-):

Other Explanation: N/A

Summary of Material Incorporated by Reference

1. The "Supplementary Application to Operate as an In-State Nonpublic Institution in the Commonwealth of Kentucky Pursuant to 13 KAR 1:020", June 2013, is revised to account for the change from 25% to 50% of a program offered at a site as the amount required for approval.

Commonwealth of Kentucky Council on Postsecondary Education

SUPPLEMENTARY APPLICATION TO OPERATE AS AN IN-STATE NONPUBLIC INSTITUTION IN THE COMMONWEALTH OF KENTUCKY PURSUANT TO 13 KAR 1:020 Edition July 2019 [~~2013~~]

This supplementary application shall be submitted when an already licensed in-state institution proposes:

- To offer an additional certificate, diploma, or degree program or major or other concentration or specialty at the main campus; or
- To establish an instructional site away from the main campus for the purpose of offering courses for college credit which comprise at least fifty (50) [~~twenty-five (25)~~] percent of the course requirements for a degree program.

Supplementary applications shall be submitted for each proposal at least 90 days prior to implementation and in electronic format to:

Council on Postsecondary Education
Attention: Director of Postsecondary Licensing
1024 Capital Center Drive, Suite 320
Frankfort, KY 40601-8204

SECTION I: INSTITUTIONAL INFORMATION

1. Name and Address of Institution
2. Chief Executive Officer: Name/Title/Address/Telephone/E-mail Address
3. Institutional Liaison with the Council on Postsecondary Education: Name/ Title/ Address/ Telephone/ E-mail Address

SECTION II: PROGRAM TO BE OFFERED ON THE MAIN CAMPUS

1. Name and CIP code of program and degree awarded (or specific course(s) if not offering entire program). State if the new program is at a new degree level or is a significant departure from previously licensed programs for the college.
2. Anticipated beginning date of proposed program
3. If accrediting/other licensing agency approval is required to implement the new program, provide verification of notification
4. Curriculum outline and course descriptions, if not in catalog
5. Sub-specialties offered within the program, if any
6. Delivery Mode:
 - a. 100% face-to-face (F2F);
 - b. 100% DL (online); and/ or
 - c. F2F and DL hybrid
7. If applicable, please identify appropriate Certificate Code:

- C - Undergraduate Certificate < 1 Year
- E - Undergraduate Certificate 1 - 2 Years
- G - Undergraduate Certificate 2 - 4 Years
- T - Post-Baccalaureate Certificate
- V - Post-Master's Certificate

8. If applicable, please identify appropriate Diploma Code:

- I - Diploma < 1 Year
- J - Diploma 1 - 2 Years
- K - Diploma 2 - 4 Years

9. Rationale for offering the proposed program

10. New program faculty vitae and faculty spreadsheet (*Form E attached* or traditional CV format containing at least the info required on Form E and Form F)

11. Additional facilities or equipment required to implement the program

12. Proposed additions to the library collection to support the program

SECTION III: PROGRAM TO BE OFFERED AT OFF-CAMPUS LOCATION

(Complete items 1-8 in Section II plus the following)

1. Date of approval by the main campus to offer the program, or course(s) if not offering entire program, at an off-campus location
2. Site where program is to be offered, including address and principal contact person (name, title, phone number, and e-mail address)
3. If accrediting/other licensing agency approval is required to implement the new program, provide verification of notification
4. Certification of the location and maintenance of any school records for the off-campus location
5. Verification that the facility complies with all local, state, or federal safety and fire codes
6. Availability of library services to support the program at the off-campus location

Attachments: Forms E and F

FORM E
FACULTY VITAE

NAME: _____

Date appointed to Undergraduate Faculty: _____ Graduate Faculty: _____ Rank: _____

Current Teaching Assignment: _____

Academic Preparation (for each college or university attended provide the following information):

Institution: _____ Location (City & State) _____

Degree: _____ Major _____ Dates: _____

Institution: _____ Location (City & State) _____

Degree: _____ Major _____ Dates: _____

Institution: _____ Location (City & State) _____

Degree: _____ Major _____ Dates: _____

Institution: _____ Location (City & State) _____

Degree: _____ Major _____ Dates: _____

College Teaching Experience (for each institution for which you have taught, provide the following information):

Institution: _____ Academic Rank _____ Teaching Fields _____ Dates: _____

Institution: _____ Academic Rank _____ Teaching Fields _____ Dates: _____

Institution: _____ Academic Rank _____ Teaching Fields _____ Dates: _____

Use additional pages to provide the information requested below.

Other Employment Related to Your Teaching Field (Include dates, position titles, position descriptions)

Publications, presentations, etc.

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TITLE: Annual Strategic Agenda Performance Briefing from the Association of Independent Kentucky Colleges and Universities (AIKCU)

DESCRIPTION: AIKCU will present their annual report to the Council

PRESENTERS: Gary Cox, President of AIKCU
Mason Dyer, Vice President of AIKCU

SUPPORTING INFORMATION

The Association of Independent Kentucky Colleges and Universities (AIKCU) leadership staff will provide the annual independent college briefing to the Council as directed by KRS 164.020(13). After a brief overview of the status of the sector, the report will focus on the results of AIKCU's Optimizing Academic Balance (OAB) initiative, a three-year grant-funded project to engage AIKCU institutions in a data-driven analysis of their core academic missions to help institutions better understand their academic business models and enhance their sustainability.

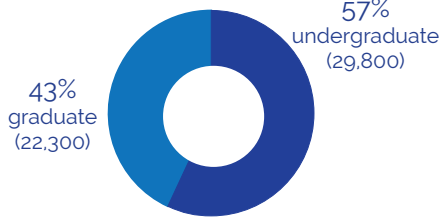
This is the first time this type of analysis has been done for a collective group of like institutions to provide comparative data. Thirteen of eighteen AIKCU members have now completed the process.

AIKCU leadership will be joined by Kenneth L. Hoyt, Ph.D., consultant and founding principal of the Higher Education Practice, to discuss overall findings from the OAB project and answer questions from the Council.

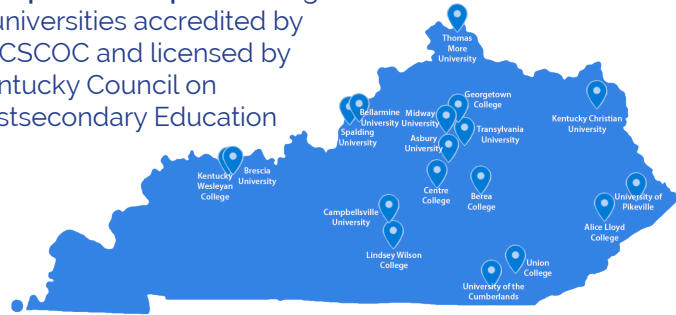
Kentucky's private nonprofit colleges

The Association of Independent Kentucky Colleges & Universities

52,000+
students



18 private nonprofit colleges & universities accredited by SACSCOC and licensed by Kentucky Council on Postsecondary Education



- | | | |
|---|---|---|
| Alice Lloyd College
Asbury University
Bellarmine University
Berea College
Brescia University
Campbellsville University | Centre College
Georgetown College
Kentucky Christian University
Kentucky Wesleyan College
Lindsey Wilson College
Midway University | Spalding University
Thomas More University
Transylvania University
Union College
University of Pikeville
University of the Cumberlands |
|---|---|---|

4,557 bachelor's degrees

7,500 employees

14:1 student to faculty ratio

\$700+ million annual economic impact in Kentucky

99% of full-time first-year students receive financial aid

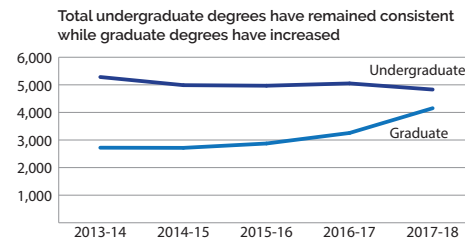
\$57 million in Kentucky Lottery-funded state student aid

\$316 million institutional student aid/discounts

\$16,400 average institutional scholarship/grant

\$32 million median endowment

UNDERGRADUATES



9,000 degrees & credentials awarded 2017-18

<3% of Kentucky higher education spending to Kentucky Tuition Grant (KTG)
1 in 5 bachelor's degrees awarded in Kentucky

MOST POPULAR PROGRAMS

- Undergraduate**
1. Business
 2. Health professions
 3. Public administration & social service
 4. Psychology
 5. Biological & biomedical sciences

- Graduate**
1. Education
 2. Computer & information sciences
 3. Business
 4. Health professions
 5. Psychology



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AIKCU Annual Status Update

to the Kentucky Council on Postsecondary Education
June 28, 2019



Association of Independent
Kentucky Colleges & Universities

AIKCU at a glance

- 18 private nonprofit institutions
- 52,000+ students
- 8,999 degrees and credentials in 2017-18; produce 1 in 5 Kentucky bachelor's degrees
- Kentucky resident students receive about \$57 million in Kentucky Lottery-funded state aid annually
- Diverse options for every learner: undergraduate and graduate programs; liberal arts and workforce-focused; traditional residential and distance delivery; dual credit, 18-24, and adult students (1 in 4 AIKCU bachelor's degrees awarded to students over age 25)
- 7,500 employees
- \$700+ million annual economic impact

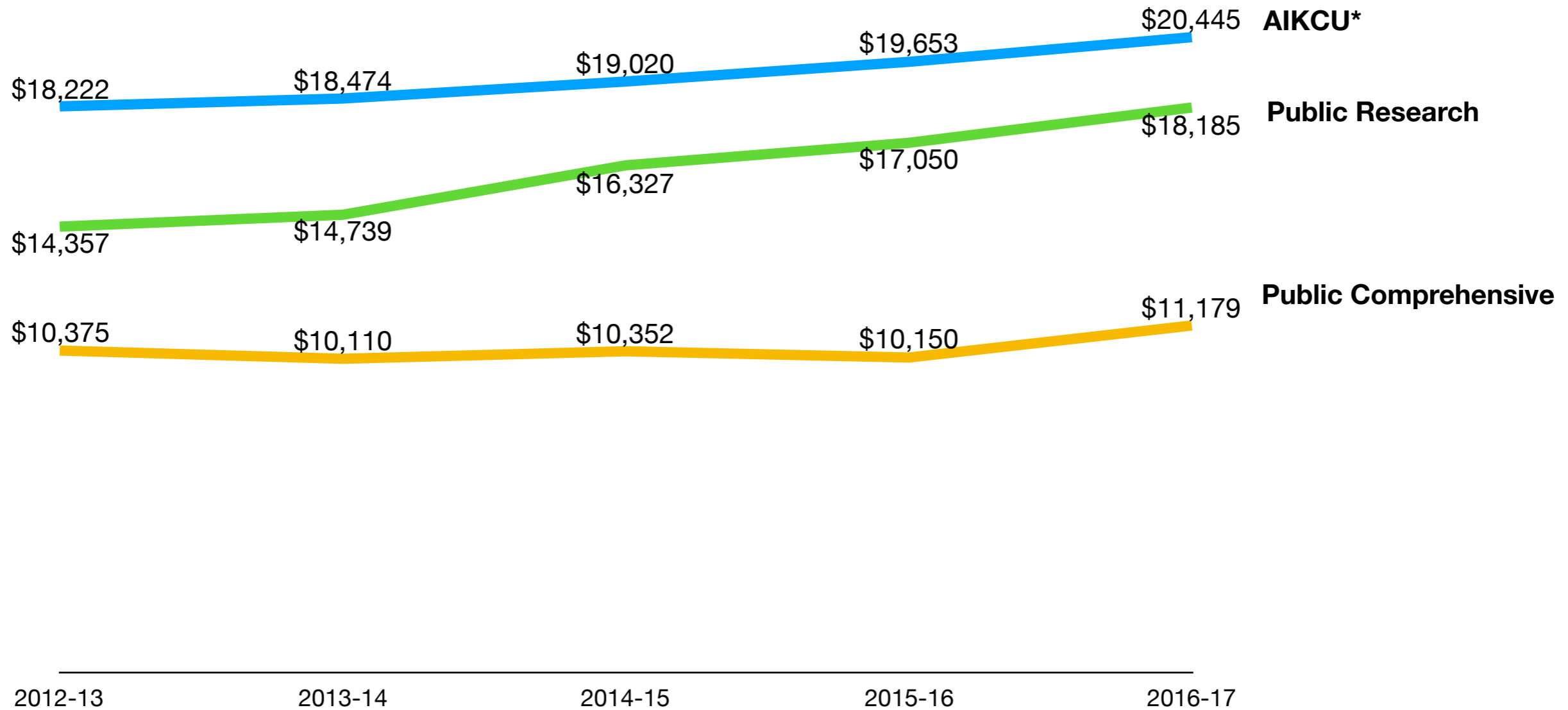
Entering a year of transition

2019-20 will see a new ALCU president and 6 new campus presidents

- Asbury University - Dr. Kevin J. Brown (beginning July 1)
- Georgetown College - TBA (as of 6/18)
- Kentucky Christian University - TBA (as of 6/18)
- Kentucky Wesleyan College - Dr. Gene Tice, Interim President
- Thomas More University - Joseph L. Chillo, LP.D. (began June 1)
- Transylvania University - Dr. John Norton Williams Jr., Interim President (beginning August 15)

Independent colleges are more affordable than many realize

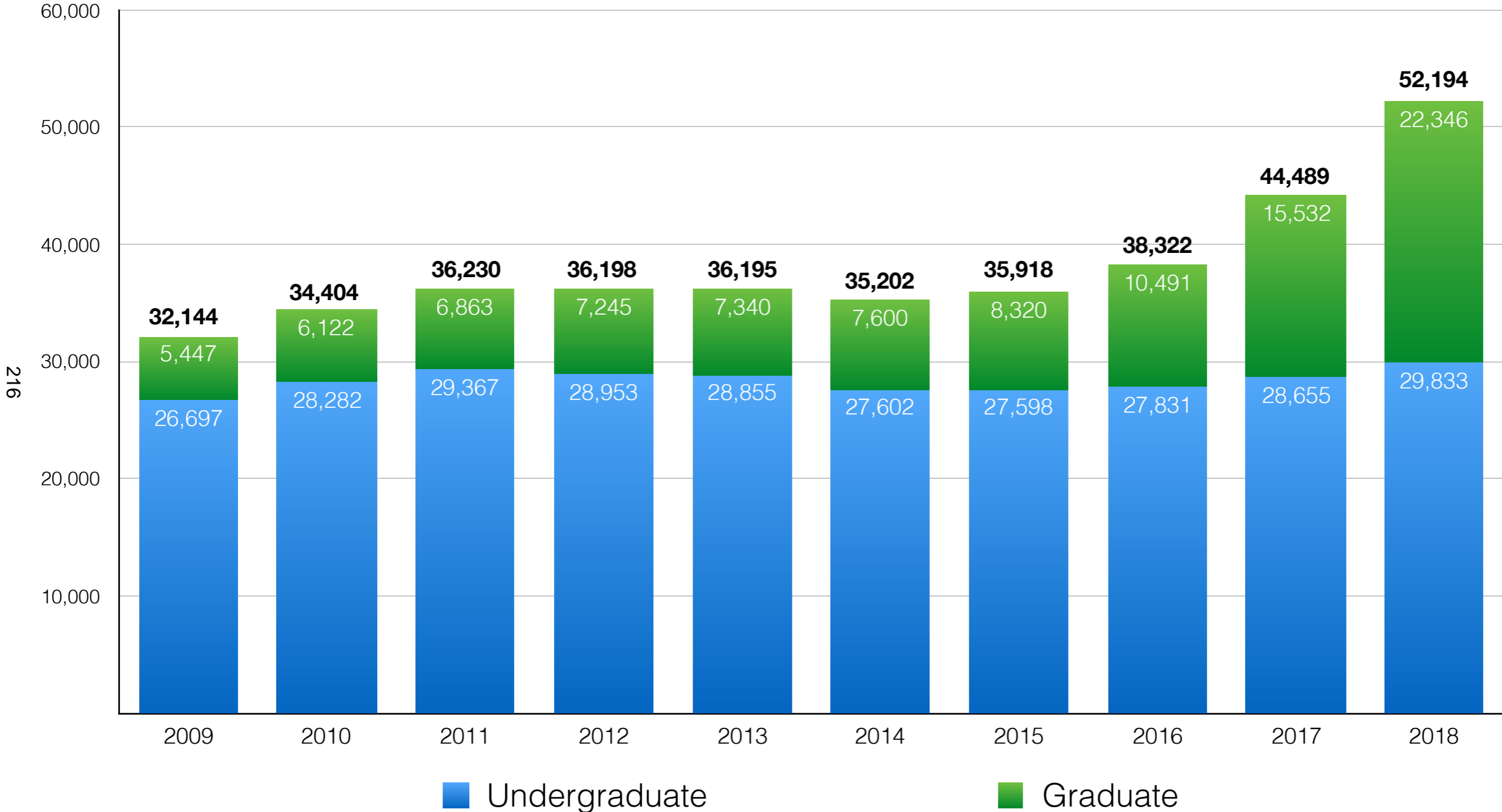
Average net price at Kentucky institutions



Source: IPEDS. Public university averages are for Kentucky resident students.
*AIKCU average excludes work colleges Alice Lloyd College and Berea College

Overall enrollments are up, driven by graduate enrollments

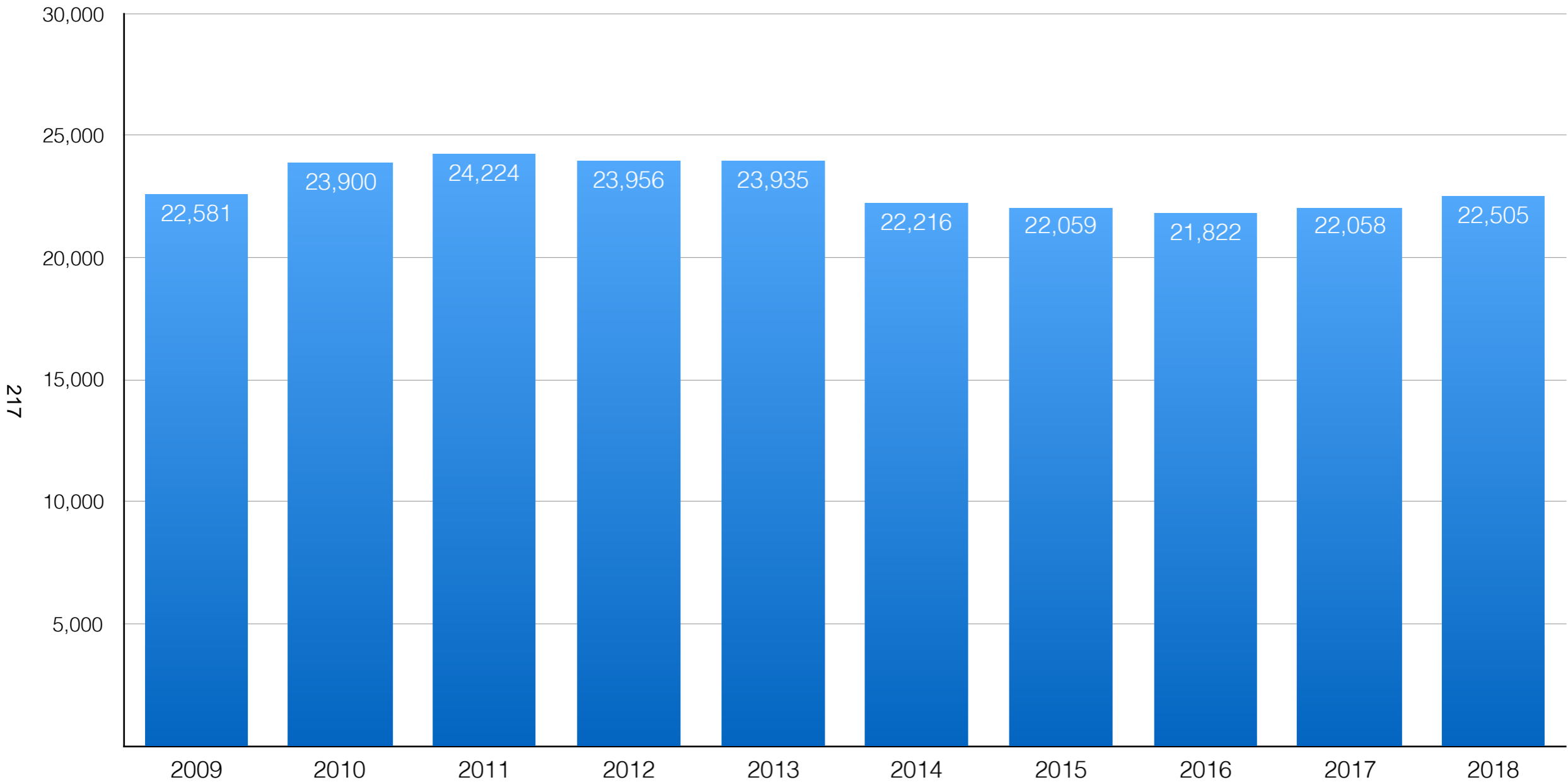
Fall total headcount enrollment at Kentucky nonprofit private colleges and universities, 2009-2018



Source: Kentucky Postsecondary Education Data System (KPEDS). Includes Mid-Continent University (closed June 2014) and St. Catharine College (closed June 2016).

Full-time undergraduate enrollment is essentially flat

Full-time undergraduate enrollment at Kentucky nonprofit private colleges and universities, 2009-2018

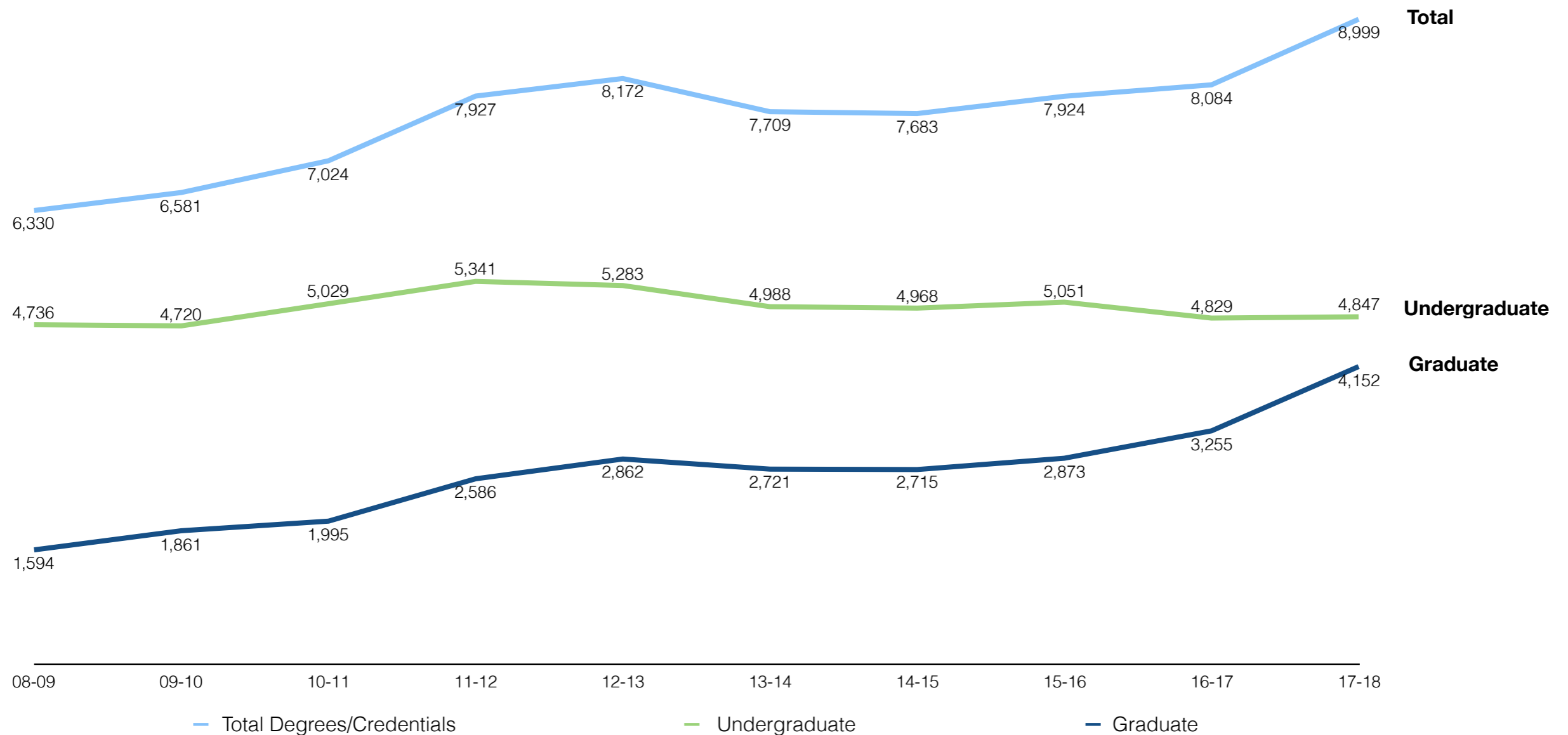


Fall 2018 FT UG enrollments were up 2% over Fall 2017, but do not expect significant growth

Source: Kentucky Postsecondary Education Data System (KPEDS). Includes Mid-Continent University (closed June 2014) and St. Catharine College (closed June 2016).

AIKCU members now produce almost as many graduate degrees as undergraduate degrees

AIKCU Degree production, 2008-09 to 2017-18



- 49% of 2017-18 master's/specialist degrees in education
- Master's degrees in computer and information science fields up 377% in 2017-18 (from 150 to 716) and continue to grow

AIKCU Optimizing Academic Balance Project

Report to the Council on Postsecondary Education
June 28, 2019



AIKCU has completed three rounds of Optimizing Academic Balance (OAB) analyses

- Funded by a generous grant from the James Graham Brown Foundation to support the long term financial viability of Kentucky's independent colleges
- Provides tools/information to help colleges remain competitive
- First time OAB has been conducted for a group of like institutions, providing comparative data
- 13/18 AIKCU members participated
- OAB uses institution's own data to measure program demand, SCH generated, and costs for each major
- Institutions had financial "skin in the game" and devoted significant staff resources
- Each campus receives a thorough report to inform institutional decision-making

Participating Institutions

Alice Lloyd College
Asbury University
Bellarmine University
Brescia University
Campbellsville University
Georgetown College
Kentucky Christian University
Lindsey Wilson College
Spalding University
Thomas More University
Transylvania University
Union College
University of Pikeville

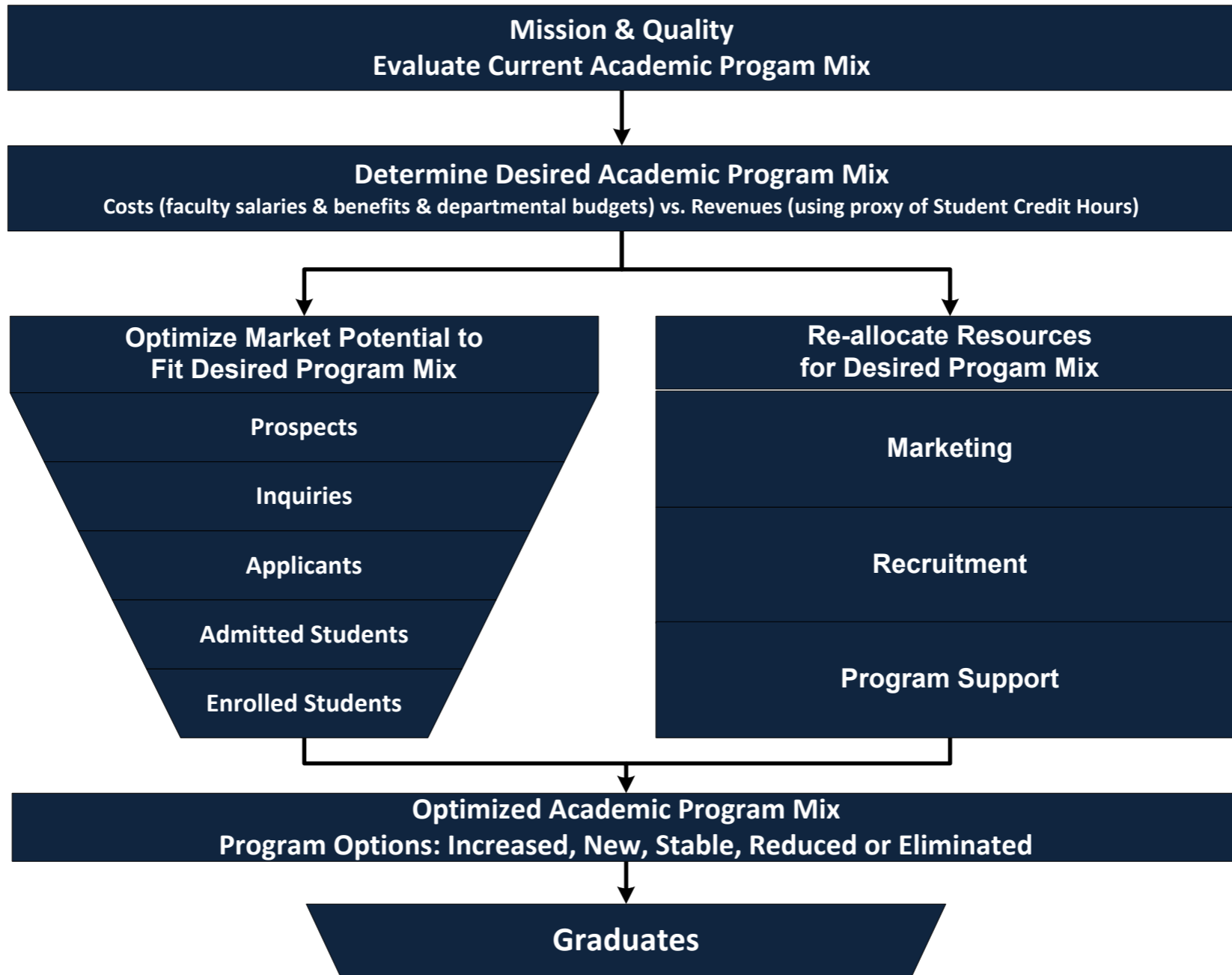


Best Practices
Strategic Solutions

Optimizing Academic Balance (OAB) provides colleges and universities with effective tools to use in making crucial academic decisions needed to stay competitive. In the context of your institutional mission, quality, market potential, cost and revenue, OAB:

*Examines the long-term viability of each college/university major;
Identifies opportunities for academic program growth or expansion;
Identifies areas where costs may need to be contained or reduced;
Identifies majors that are having student success problems;
Provides knowledge you may use to redirect resources where needed most to increase enrollment, maximize the value of the curriculum and strengthen institutional viability.*

Reshaping Your Curriculum to Grow the Bottom Line
Optimizing Academic Balance: Mission, Quality, Market Potential, Cost and Revenue



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Best Practices
Strategic Solutions

Detailed reports delivered to campus stakeholders

Figure 16: From Where Do Our Inquiries Interested in Particular Subjects Come?

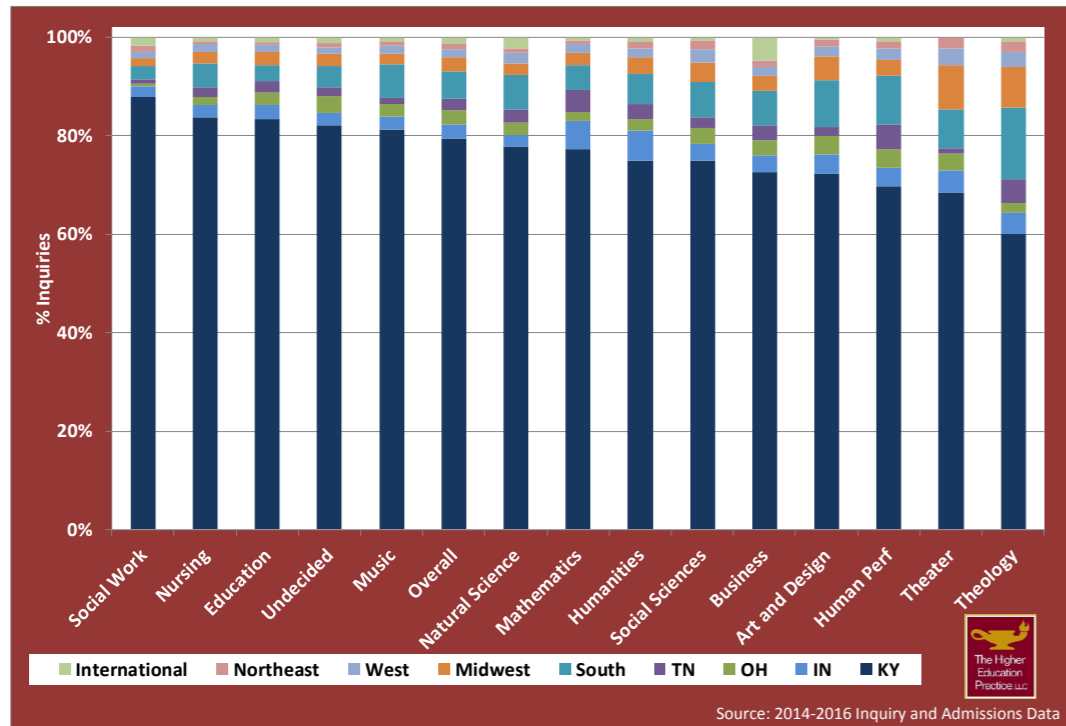


Figure 24: External & Internal Demand & Cost/SCH Vary Substantially by Subject Area Sorted by Cost / SCH

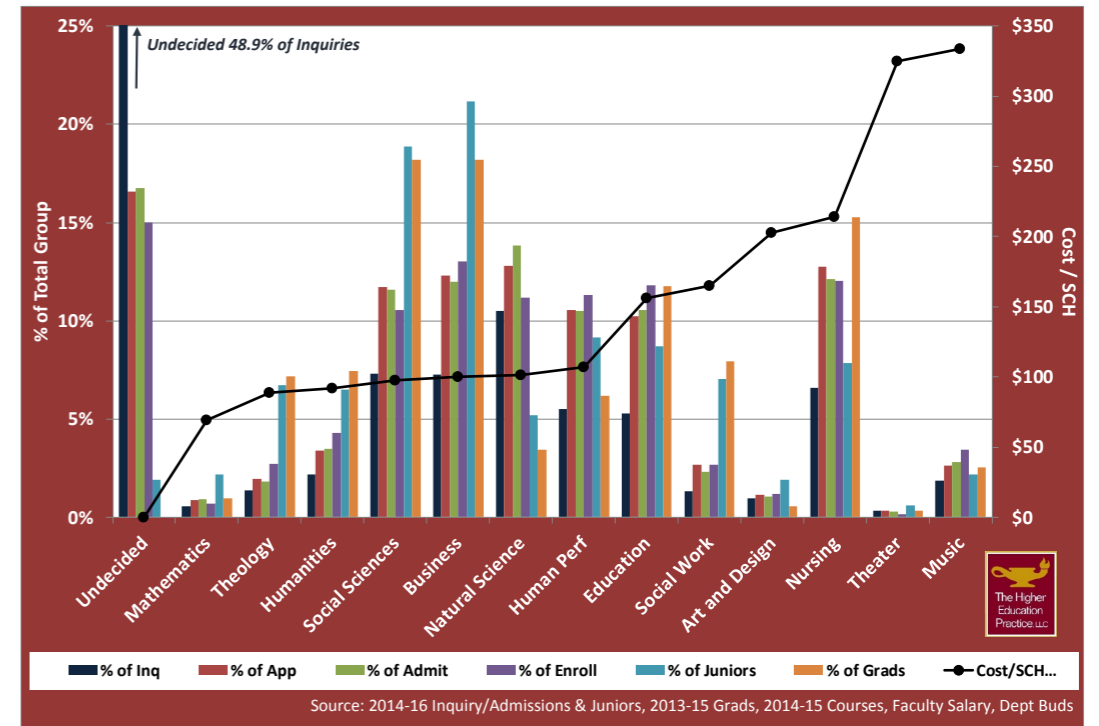


Figure 2: Range of Total Cost per Student Credit Hour (SCH) - Sorted by Width of Range

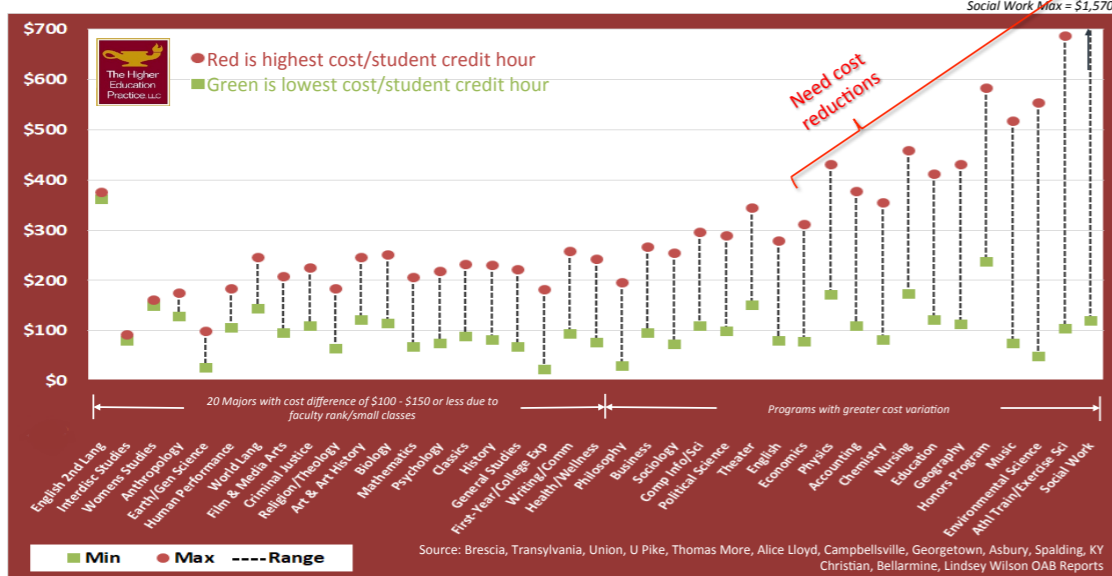
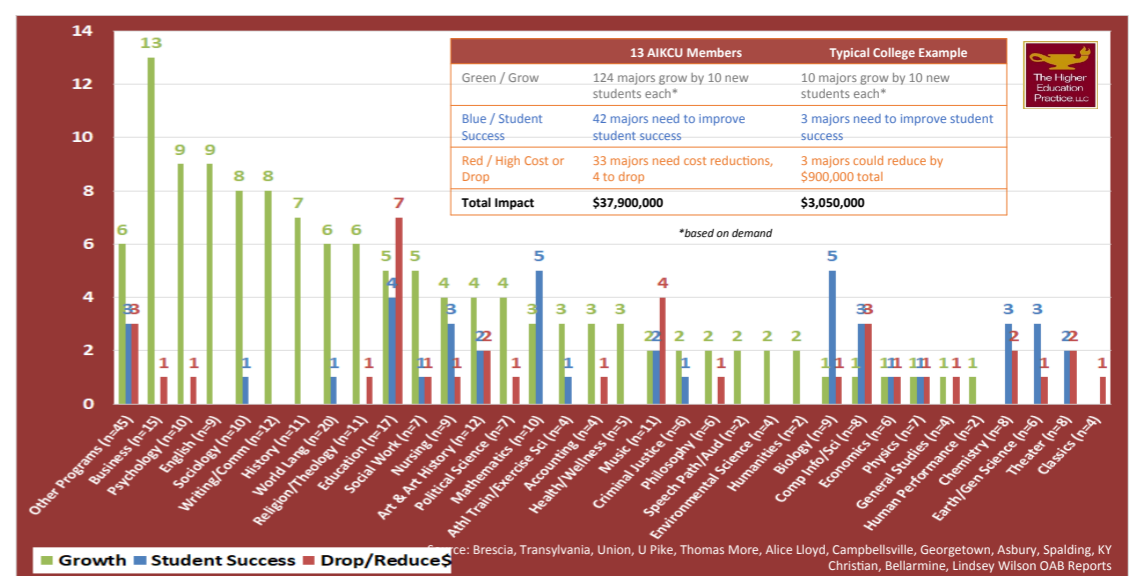
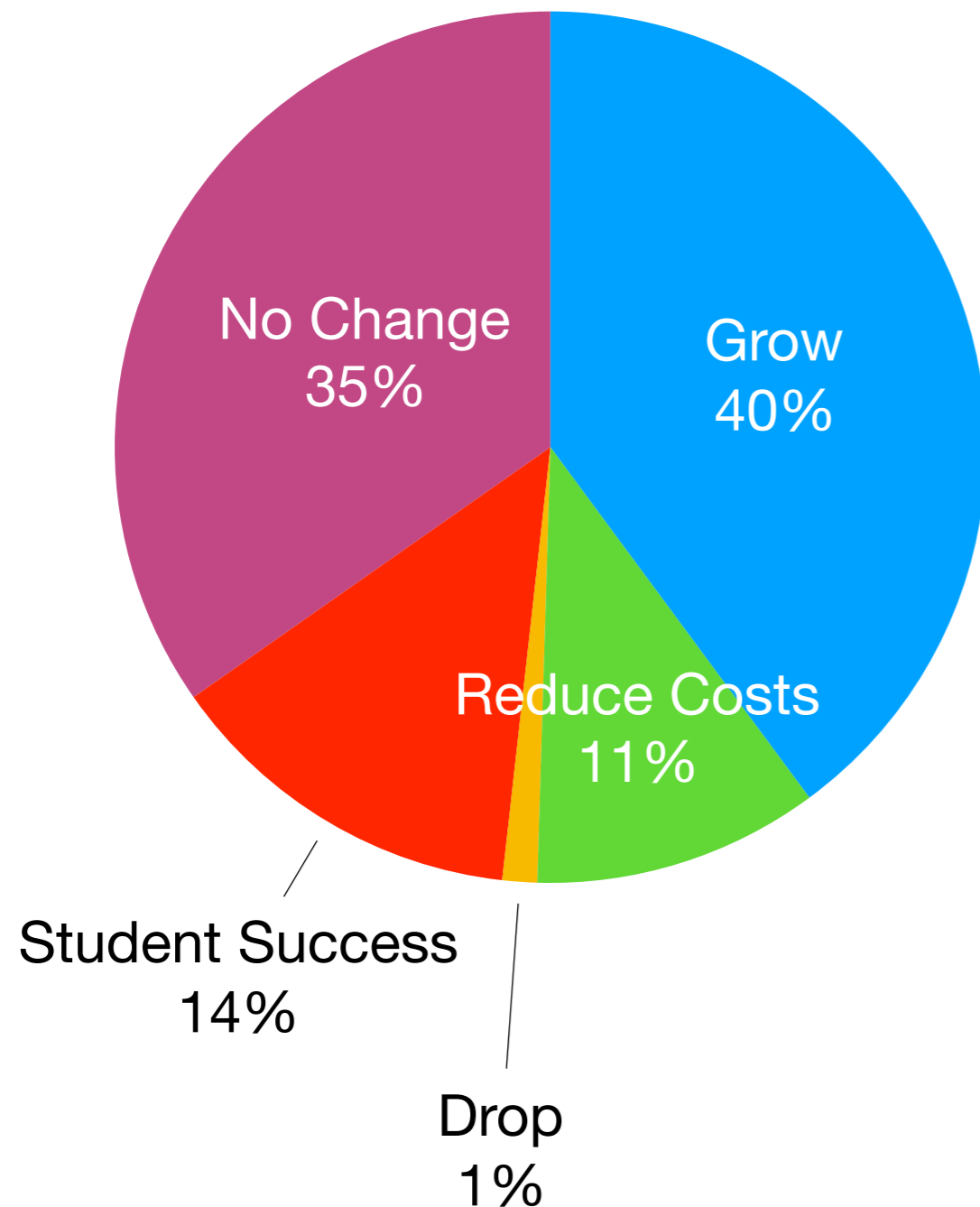


Figure 8: Number of Programs to Consider for Growth, Student Success, or Dropping



Evaluated 311 total majors



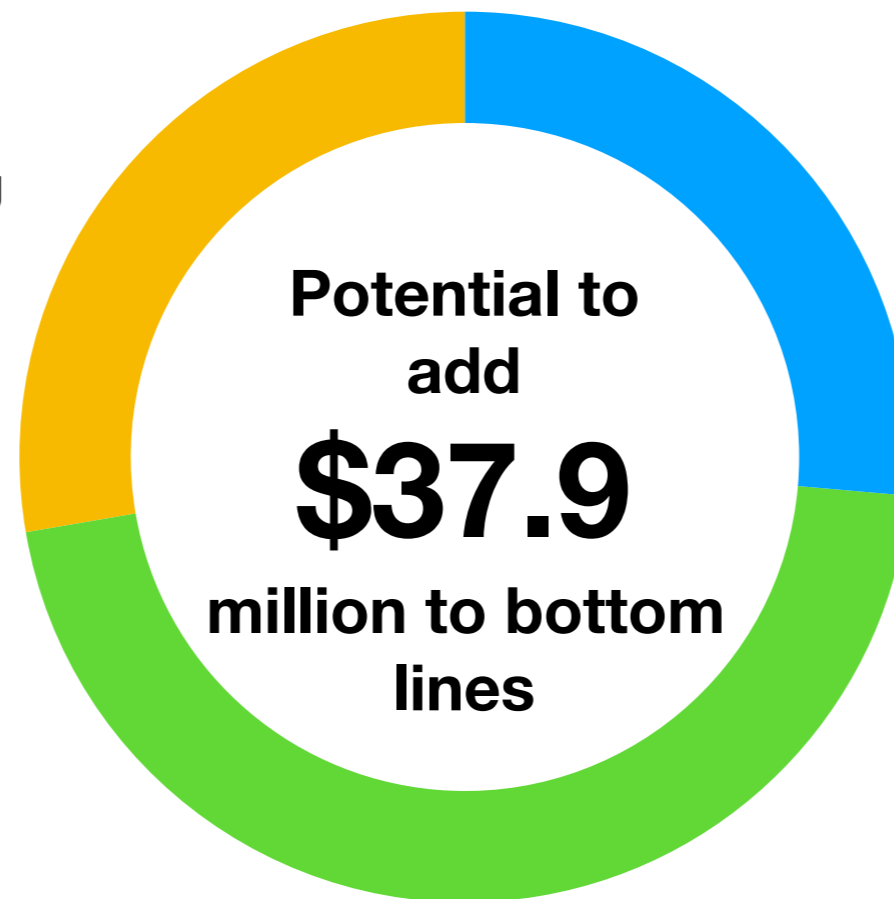
- 35% (n=108) no change recommended
- 40% (n=124) potential to grow
- 14% (n=42) need to address student success issues
- 11% (n=33) need to reduce costs
- 1% (n=4) recommended dropped

Identified nearly \$38 million in potential annual bottom line improvements

STUDENT SUCCESS:

\$10.5 million

Identified 42 programs with student success issues. Assuming each lost student costs \$25,000 (net tuition revenue + recruitment costs + financial aid provided), retaining an additional 10 students per program could recapture \$10.5 million/yr.



COST REDUCTIONS:

\$10 million

33 programs have potential to reduce costs; 4 were recommended dropped. Combined cost reductions could result in savings of \$10 million/yr.

GROWTH POTENTIAL: \$17.4 million

124 programs show potential to grow enrollment. If each of these programs grew by 10 students it could generate an additional \$17.4 million/yr. in net tuition revenue.



Best Practices
Strategic Solutions

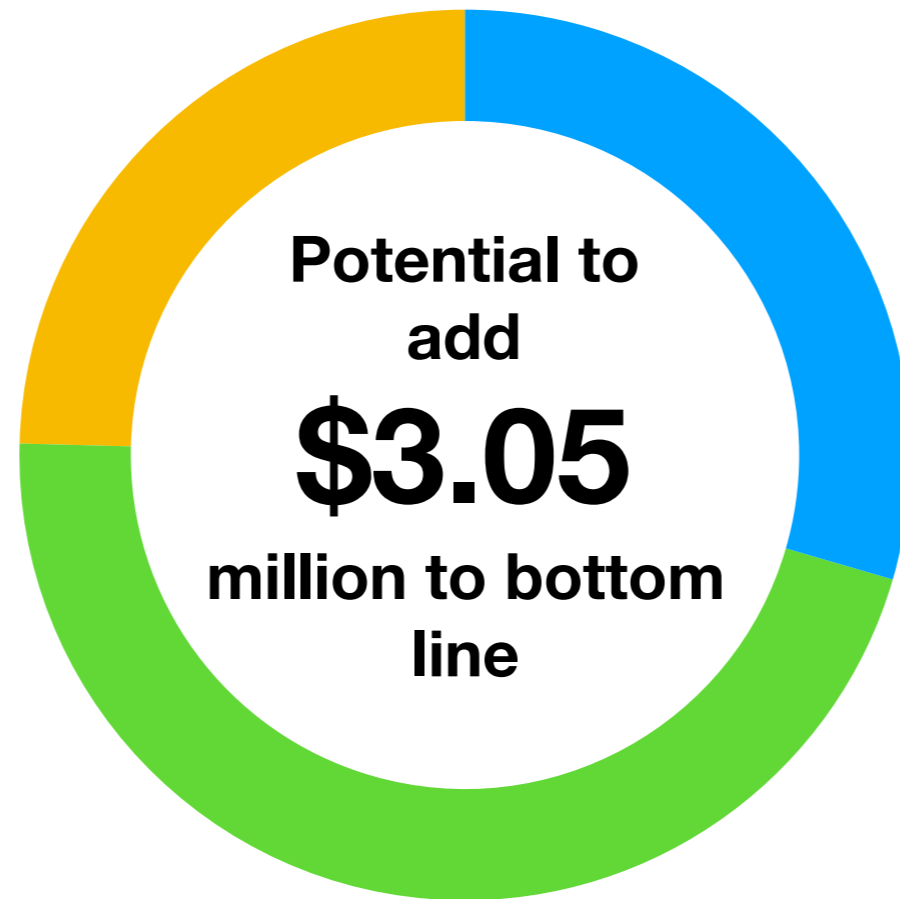
Potentially adding \$3 million to the bottom line at a typical institution

(based on average across 13 institutions)

STUDENT SUCCESS:

\$750,000

3 programs improve student success & retain 10 more students each = \$750,000 savings/yr.



COST REDUCTIONS:

\$900,000

3 programs could reduce costs for \$900,000 in total savings.

GROWTH POTENTIAL: \$1.4 million

10 programs show potential to grow enrollment. If each of these programs grew by 10 students it could generate an additional \$1.4 million/yr. in net tuition revenue.



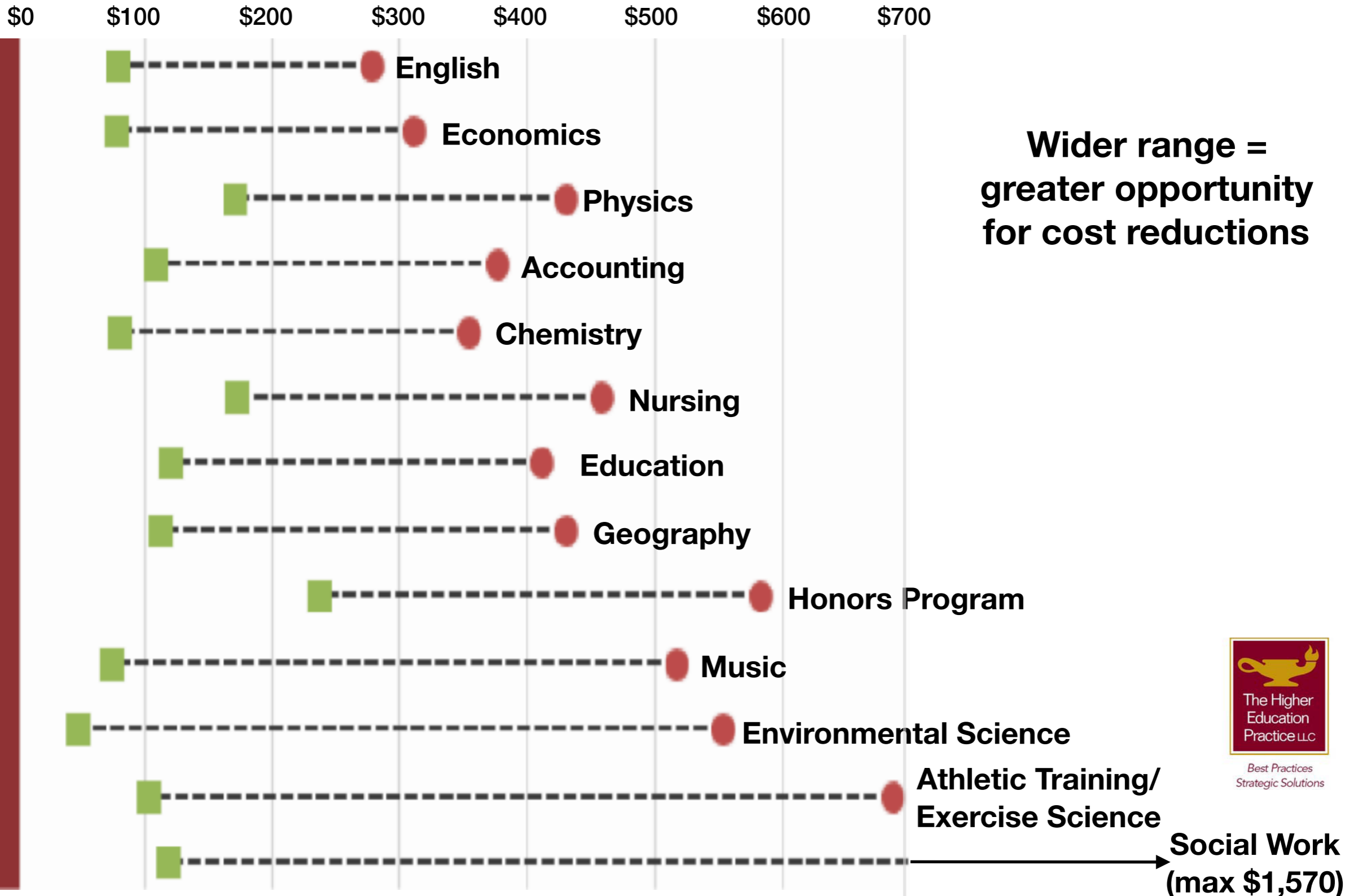
Best Practices
Strategic Solutions

Comparative cost per student credit hour (SCH) data shows where costs are out of line with other Kentucky institutions, prompts deeper analysis

Range of Total Cost Per Student Credit Hour (SCH)

(Majority of programs have ranges <\$150 and not shown)

Green = lowest cost/student credit hour Red = highest cost/student credit hour

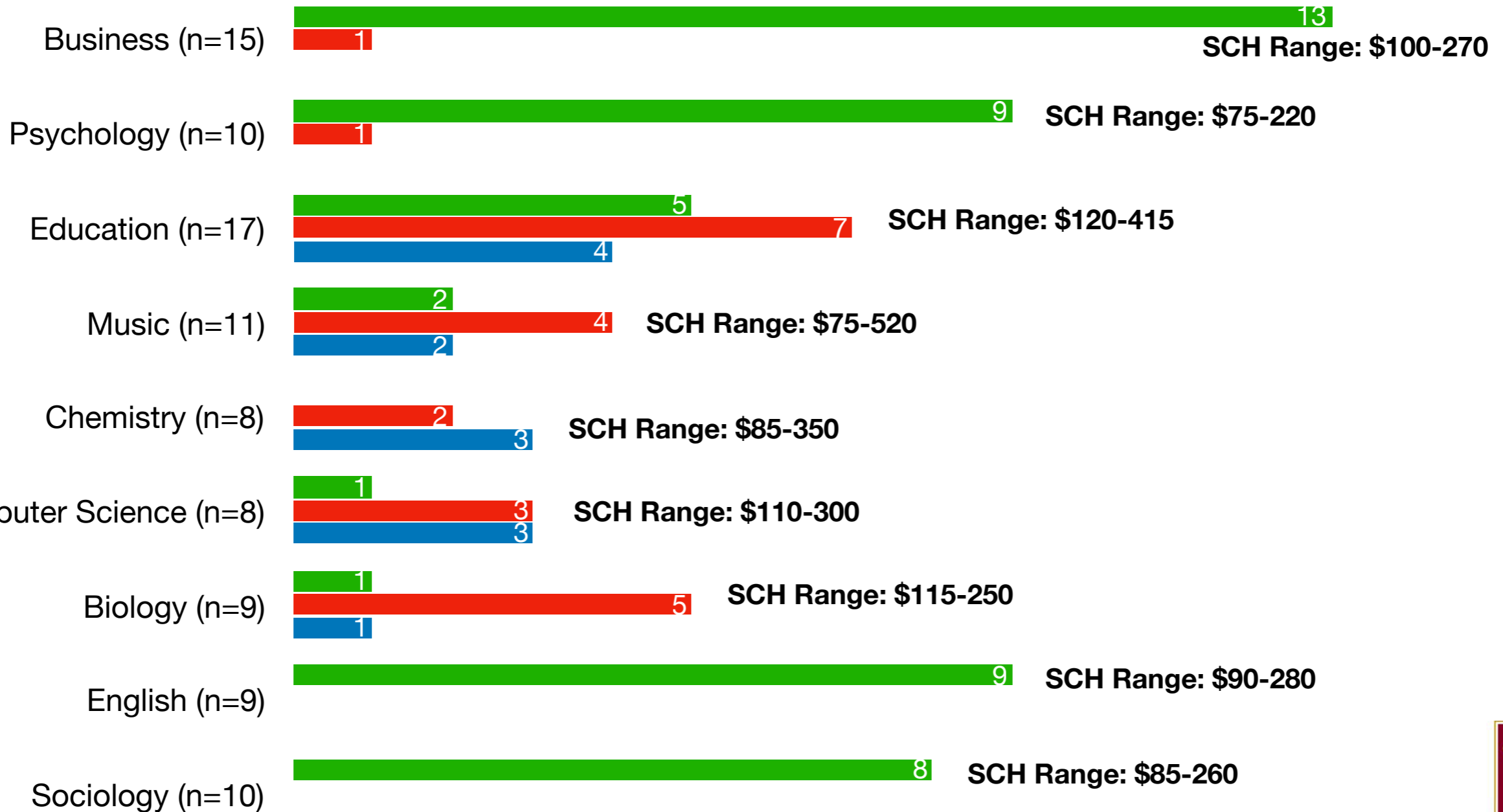


**Wider range =
greater opportunity
for cost reductions**



Common programs with recommendations

■ Grow
 ■ Reduce \$
 ■ Improve Student Success



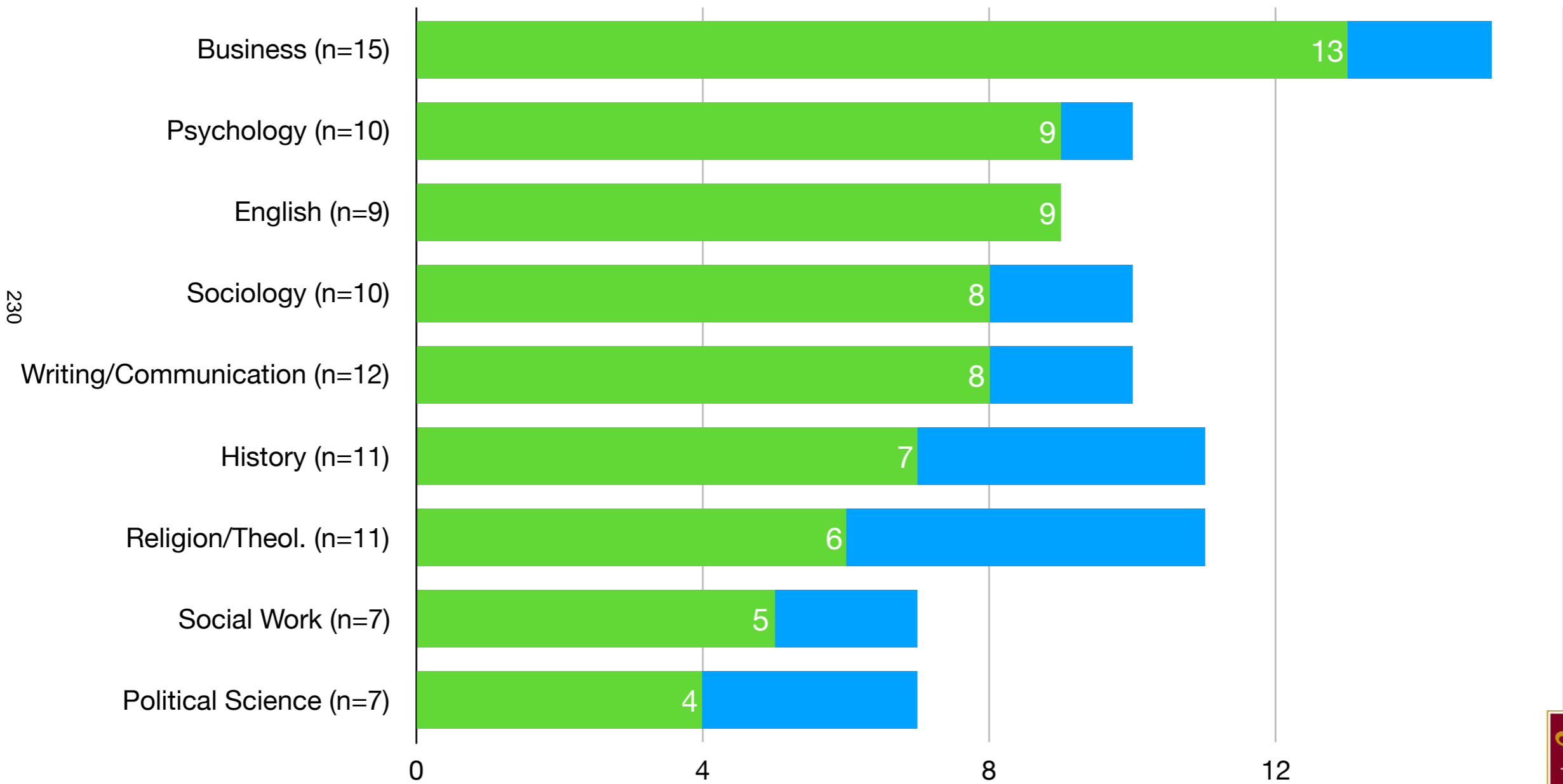
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Best Practices
Strategic Solutions

Surprise?

Programs with high opportunities for growth (green) relative to totals

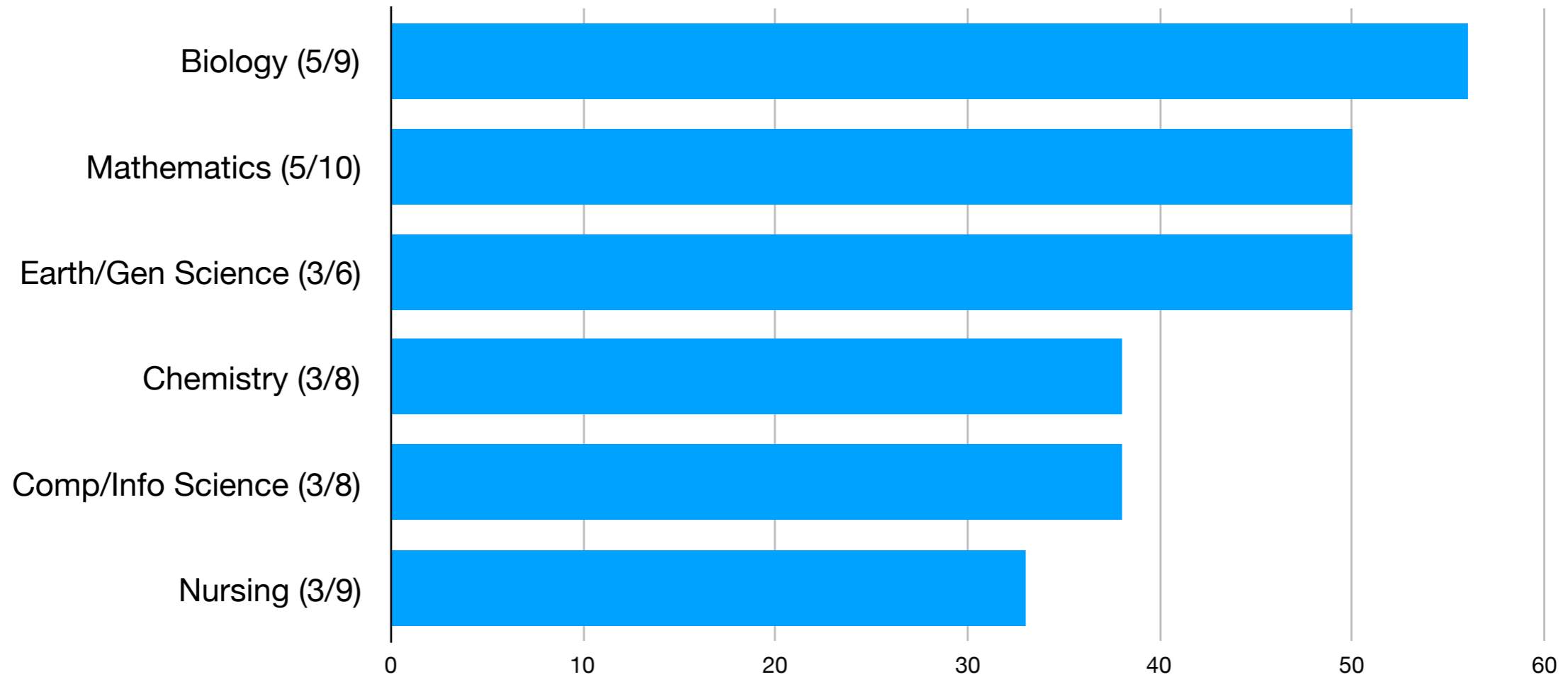


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Student success issues prevalent in STEM+H majors

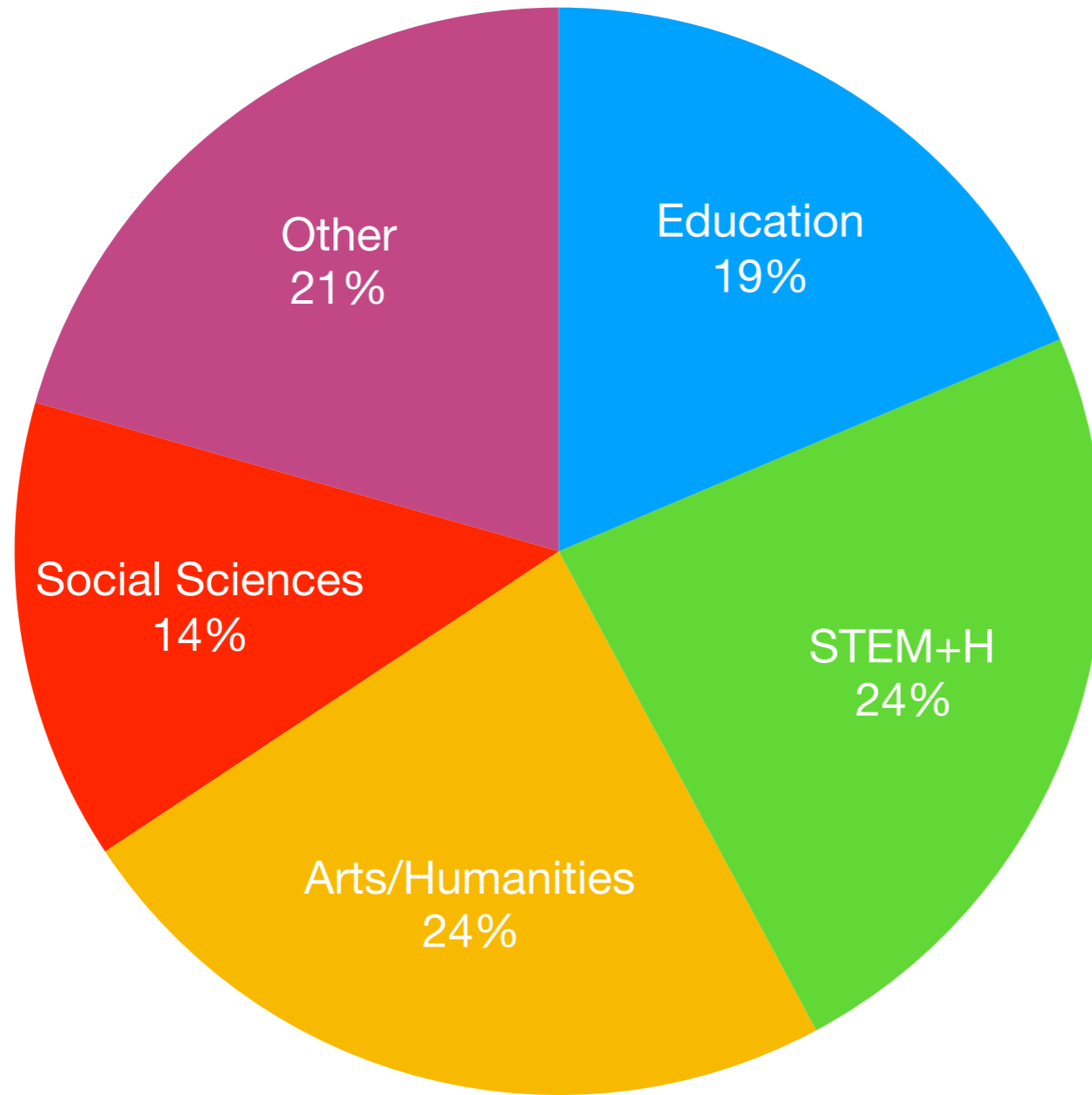
(as percentage of total programs assessed in that area)



The Higher Education Practice LLC
Best Practices Strategic Solutions

Recommended cost reductions

33 programs identified for cost reductions; 4 recommended dropped



(Recommend Reduce/Total n)

- Education (7/17)
- Comp/Info Science (3/8)
- Music (4/11)
- Chemistry (2/8)
- Theater (2/8)
- Art/Art History (2/12)
- Classics (1/4)
- General Studies (1/4)
- Accounting (1/4)
- Philosophy (1/6)
- Economics (1/6)
- Earth/Gen. Science (1/6)
- Social Work (1/7)
- Political Science (1/7)
- Physics (1/7)
- Biology (1/9)
- Nursing (1/9)
- Psychology (1/10)
- Religion (1/11)
- Business (1/15)



Best Practices
Strategic Solutions

Questions?

gary.cox@aikcu.org
klhoyt@higheredpractice.com



TITLE: Proposed Council Resolutions

RECOMMENDATION: CPE Chair Zimmerman will present the resolution for approval.

SUPPORTING INFORMATION

Periodically, the Council recognizes certain individuals for their support of and service to postsecondary education in Kentucky. At the meeting, resolutions for the following individuals will be proposed for approval by the Council:

- Gary Cox, President of the Association of Independent Kentucky Colleges and Universities
- Sebastian Torres, student member of the Council
- Shawn Reynolds, member of the Council

A RESOLUTION HONORING AND COMMENDING

GARY S. COX, Ph.D.

for his service to postsecondary education in the Commonwealth

WHEREAS, Dr. Gary S. Cox served as President of the Kentucky Association of Independent Kentucky Colleges and Universities (AIKCU) from 1997 through 2019; and

WHEREAS, prior to that, Dr. Cox served as President of the Council on Higher Education (CHE) for 20 years; and

WHEREAS, as President of AIKCU, Dr. Cox has fostered collaboration between Kentucky's public and private institutions and has effectively advocated for private non-profit institutions and their students; and

WHEREAS, as President of CHE, Dr. Cox led the development of the Kentucky Higher Education Desegregation Plan and was instrumental in the development and adoption of Kentucky's landmark higher education reform act, 1997's House Bill 1; and

WHEREAS, Dr. Cox has devoted his career to public service, first as an assistant professor of political science and director of the Public Affairs Internship Program at Morehead State University, then as Deputy Director of the Legislative Research Commission, and as Dean of the School of Public Affairs at Kentucky State University; and

WHEREAS, Dr. Cox has volunteered countless hours to state and national non-profit organizations, serving on the boards of the Kentucky Easter Seals, the Education Commission of the States, the Kentucky Board of Education, the Kentucky Higher Education Assistance Authority, and the Cottey College Board of Trustees; and

WHEREAS, Gary has been an unwavering advocate for and friend of the Council on Postsecondary Education and a fount of knowledge and wisdom pertaining to Kentucky higher education and state government;

NOW, THEREFORE, BE IT RESOLVED, that the Council on Postsecondary Education does hereby adopt this resolution on June 28, 2019, thanking Gary S. Cox for his dedication and service, and wishing him the best in his retirement.

Sherrill Zimmerman, Chair

Aaron Thompson, Ph.D., President

A RESOLUTION HONORING AND COMMENDING

SEBASTIAN TORRES

for his service to postsecondary education in the Commonwealth

WHEREAS, Sebastian Torres served as the student member of the Council on Postsecondary Education from August 2016 through June 2019; and

WHEREAS, Sebastian championed issues important to Kentucky's students, like lower tuition parameters, freedom of speech rights on campuses, improved financial literacy and other affordability and access measures; and

WHEREAS, Sebastian served on the Tuition Development Work Group, the Budget Development Work Group, and the Committee on Equal Opportunities, effectively balancing his school work with the duties of board membership; and

WHEREAS, he has demonstrated exceptional leadership abilities, serving on several university committees as an undergraduate, including Executive Vice President of the Student Government Association at Eastern Kentucky University, and holding leadership positions in several political organizations, as Kentucky State Chair of the Young Americans for Liberty and as State Coordinator for Students for Rand; and

WHEREAS, as a graduate of Eastern Kentucky University and as a student at Northern Kentucky University's Chase College of Law, Sebastian has distinguished himself as someone with great political promise; and

WHEREAS, he has been an unwavering advocate for and friend of the Council on Postsecondary Education;

NOW, THEREFORE, BE IT RESOLVED, that the Council on Postsecondary Education does hereby adopt this resolution on June 28, 2019, thanking Sebastian Torres for his dedication and service, and wishing him the best in his future endeavors.

Sherrill Zimmerman, Chair

Aaron Thompson, Ph.D., President

A RESOLUTION HONORING AND COMMENDING

SHAWN REYNOLDS

for his service to postsecondary education in the Commonwealth

WHEREAS, Shawn Reynolds served as a member of the Council on Postsecondary Education from March 2017 through June 2019; and

WHEREAS, during his tenure, he served as Chair of the Committee on Equal Opportunities and as a member of the CPE Presidential Search Committee; and

WHEREAS, Mr. Reynolds has been a passionate advocate for issues of equity of inclusion, and helped the Council implement a more comprehensive diversity policy and process; and

WHEREAS, he has been a friend to Kentucky education throughout his career, serving on the Murray State University Foundation Board, Junior Achievement of Murray/Calloway County, and the Murray State Alumni Board of Governors; and

WHEREAS, Mr. Reynolds served his country in the United States Marine Corps Reserves while attending Murray State University and receiving a degree in business administration; and

WHEREAS, he has been an unwavering advocate for and friend of the Council on Postsecondary Education;

NOW, THEREFORE, BE IT RESOLVED, that the Council on Postsecondary Education does hereby adopt this resolution on June 28, 2019, thanking Shawn Reynolds for his dedication and service, and wishing him the best in his future endeavors.

Sherrill Zimmerman, Chair

Aaron Thompson, Ph.D., President



CPE REPORT

Eastern Kentucky University News for the Council on Postsecondary Education



Amanda Moses is ready to work for the KSP

EKU GRADUATE GETS DREAM JOB WITH KENTUCKY STATE POLICE

When Eastern Kentucky University and the Kentucky State Police joined forces to open a digital forensics laboratory on the Richmond campus last fall, Lt. Col. Jeff Medley with KSP said the facility would help prepare “some of the brightest students, who will hopefully come to the Kentucky State Police one day and work with us to help keep the Commonwealth safe.”

For recent EKU graduate Amanda Moses, that day has arrived. And both parties couldn't be happier.

At the completion of an internship with KSP in the new campus lab this spring, Moses accepted a full-time position as a forensic computer examiner I with the State Police at its Frankfort facilities. “She's the youngest examiner (at 23) we have ever hired and the first to be hired directly from college,” noted Kim Bradley, a forensic examiner III with the KSP and fellow EKU graduate. “It doesn't always happen this way, but Amanda hit the sweet spot.”

That “sweet spot,” Bradley explained, is the nexus of book knowledge and an inner drive to do the painstaking work and get it right. “Amanda quickly saw that it was second nature to her to figure it out. It seemed to come easy to her. She has qualities you can't teach.”

Moses, a 2013 graduate of Harlan High School and the daughter of Mandy and Clarence Longworth of Harlan, grew up in the shadows of KSP Post 10 in her hometown and “always looked up to the guys in grey.” As soon as she took her first class in the digital forensics field at Eastern, she was hooked.

The internship “solidified” what Moses learned in her EKU classes. “The combination of my courses and the internship, and the way they expanded on each other, was fantastic,” she said.

While pursuing her bachelor's degree in computer science with

concentrations in forensics and security, Moses was also a member of the student chapter of the High Technology Crime Investigation Association and co-captained the campus team for the Collegiate Cyber Defense Competition.

“The computer science program at EKU is filled with faculty and peers who are willing to teach, share and explain what they know in an effort to better the community,” Moses said. “There is a genuine sense of camaraderie and cooperation. From someone who may not know where to start in the field to someone who has been here for many years, they've all been willing to learn new things from each other.”

“The internship is no different,” she continued. “Expressing a genuine curiosity and desire to learn is met with a willingness to teach and improve upon the classroom foundations. They've made it clear that this is a team effort and not a competition.”

Thousands of crimes happen every day in Kentucky, most leaving behind some type of electronic footprint. Moses and her colleagues stay busy uncovering evidence on hard drives, servers, tablets, cell phones, GPS devices and other electronics. The new laboratory on the EKU campus serves as a satellite to KSP's Electronic Crime Branch in Frankfort, helping manage that workload and accelerating evidence recovery while also reducing travel time for law enforcement agencies in eastern Kentucky that rely on KSP forensic services.

The EKU facility is staffed by two full-time computer forensic examiners, supported by student interns pursuing bachelor's degrees in EKU's Digital Forensics and Cybersecurity program. The interns work 10-15 hours per week in administrative and support roles as they earn academic credit. “We learn as much from the student interns as they learn from us,” Bradley said.



EKU GRADUATE CIARA PEREZ AWARDED FULBRIGHT SCHOLARSHIP

Ciara Perez has traveled more miles and been to more places than most EKU students or, frankly, most people her age. From a semester studying abroad in Bangkok, Thailand and visiting countries like China, India, and Vietnam, to several family visits in Mexico, to studying abroad in Granada, Spain and traveling through Europe and parts of Africa, Perez is accustomed to being overseas. A May 2018 EKU graduate with a degree in Globalization and International Affairs, at just 22 she's already a world traveler.

Her next trip in January, a ten-month grant to Guatemala as an English Teaching Assistant, will be different though. She'll be representing EKU as a Fulbright Scholar, the first student from the university in three years.

"When composing my essays for the application, I really wanted to emphasize my bicultural background and sense of dual identity. I used it as a means to stand out in a competitive pool of applicants" Perez said. "When I got the finalist email, I couldn't believe that I really did it!"

A Mexican-American, Perez was raised both biculturally and bilingually in Grand Rapids, Michigan. The goal in Guatemala is to help the indigenous people better navigate living in a society that shifts between Mayan dialects and the Spanish language.

"I want to create bonds with the local people and experience their culture," Perez said. "My travels abroad have allowed me to appreciate peoples' differences. You can't learn from a book how a society works, or what traditions mean. You have to go there and live within it."

While traveling in Asia, she saw extreme poverty for the first time. It was that experience and her Latina heritage that shaped her desire to bring education and opportunity to those who need it.

"English literacy is a gateway to academic and economic opportunities," Perez said. "Many of the world's greatest universities are in English-speaking cities. I'm privileged to a quality education simply for being a native English speaker, and I'm going to Guatemala to ensure that others have the tools to pursue the same education."

Dr. Minh Nguyen, associate director of the Honors Program and scholarship coordinator, said Perez is unique in her pursuit of service and opportunity.

"She's highly motivated, and the best part about it is it is so genuine," Nguyen said. "She's already a great ambassador for EKU, and her experience abroad will help her be successful in Guatemala as a teacher."

Once she's back from Guatemala, Perez plans on pursuing a master's degree in international affairs with the goal of becoming a Foreign Service Officer with the Department of State. It was this goal that drove Perez to apply for a Fulbright in the first place.

"I love to travel and bridge cultural boundaries. I can't wait to begin a career that allows me to experience cultures, customs and people of different nations while serving public interests abroad," Perez said.

EKU'S STORY TOLD IN 100 OBJECTS

What does a 50-year-old football have in common with the Lord's Prayer written in Chinese, or an antique dog collar with the Turley House? These items represent seminal moments in EKU's history, and they are all featured in the latest exhibit by EKU Special Collections & Archives.

The department has painstakingly curated 50 historical objects from the University's long history, and identified 50 influential buildings and campus sites, to create the EKUx100 exhibition.

Individually, each item tells a piece of a story or shares an important moment in time. Together, they give a remarkably thorough glance at EKU's history, from its origins in 1874 as the tiny Central University to a modern-day public university that serves 16,000 students.

"EKU Special Collections & Archives preserves, organizes and provides access to materials that document University history," said Jackie Couture, who leads the department. "As archivists, we love sharing that history with students, alumni and other researchers in fun and interesting ways. We're firm believers that, to know where you're going, you have to know where you've come from."

The collection includes a diverse array of items, including:

- The pen used Gov. Edward T. Breathitt to sign legislation granting EKU university status in 1966.
- The game ball from EKU's legendary Grantland Rice Bowl championship in 1967 coached by Roy Kidd.
- The collar worn by Mozart, the beloved dog who lived on campus in the 1950s and 1960s.
- The first edition of the Eastern Progress, EKU's student newspaper, and other publications.
- Photos and publications that show the unique challenges and successes EKU faced during the Civil Rights Movement.

EKU Special Collections & Archives has turned EKUx100 into a digital exhibit, which can be viewed at go.eku.edu/EKUx100. To see the items and buildings in person, download the Clio app to access a walking tour on your smartphone.

This story was originally featured in the Spring 2019 issue of EKU Magazine under the title "Object Lessons."

KCTCS GOOD NEWS REPORT

JUNE 2019

KCTCS, KAM CELEBRATE KENTUCKY MANUFACTURING GOING PRO SIGNING DAY



Kentucky Community and Technical College System President Jay K. Box, Kentucky Governor Matt Bevin, Kentucky Association of Manufacturers Executive Director Lee Lingo and Jefferson Community and Technical College President Ty Handy

Rookies in advanced manufacturing and the companies they'll be working for participated in the first ever Kentucky Manufacturing Going Pro Signing Day on May 17. The Kentucky Community and Technical College System (KCTCS) and the Kentucky Association of Manufacturers (KAM) sponsored celebrations for new hires in advanced manufacturing at all 16 KCTCS colleges across the state.

Signees are recent KCTCS graduates from one of seven fields that support advanced manufacturing.



KENTUCKY
COMMUNITY & TECHNICAL
COLLEGE SYSTEM

KCTCS GOOD NEWS REPORT

- Industrial Maintenance
- Computerized Machining and Manufacturing
- Welding Technology
- Engineering and Electronics Technology
- Electrical Technology
- Computer Assisted Drafting
- Air Conditioning Technology

“What an incredible day for these graduates, their employers and for communities across the Commonwealth,” said Gov. Matt Bevin. “I commend KCTCS and KAM for spotlighting these in-demand careers and for designing opportunities like this to showcase the ambition and drive of these exceptional students. We are excited to celebrate this occasion, and we look forward to seeing these young men and women take Kentucky to new heights as America’s center for engineering and manufacturing excellence.”

KCTCS is the primary provider of manufacturing training in Kentucky. In the last year, more than 2,600 graduates were prepared to go pro in high paying, in-demand careers in advanced manufacturing that lead to a better life. The colleges align their programs with local business needs, which prepares KCTCS students to walk out of college and into a high paying career.

“Right now, in Kentucky, there are 8,000 open positions in advanced manufacturing related jobs, second only to healthcare,” said KCTCS President Jay K. Box. “KCTCS is the solution to this because we offer relevant programs based on what employers tell us they need. We’re also looking at innovative ways to get our students trained and into the workforce faster.”

KCTCS has more than 400 advanced manufacturing partners statewide and 135 business partners who offer work and learn experiences through the Kentucky Federation for Advanced Manufacturing Education (KY FAME.)

“KAM is proud to partner with KCTCS to celebrate the individuals who received manufacturing related credentials, certificates and degrees in 2018–2019,” KAM Executive Director Lee Lingo said. “Manufacturing and industry are the backbone of many communities across the Commonwealth and their decision to follow this path will provide them with a rewarding and satisfying career while supporting their



local community. We look forward to seeing what they will accomplish!”

Although salaries vary by job and company, the Statewide Occupational Employment Wages data provided by the Kentucky Center for Statistics shows the average starting salary is nearly \$34,000 with a median annual salary of \$51,000.

More information on these programs and others can be found at kctcs.edu.



KENTUCKY
COMMUNITY & TECHNICAL
COLLEGE SYSTEM



GOOD NEWS

JUNE 2019

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KENTUCKY STATE UNIVERSITY CONFERS MORE THAN 220 DEGREES AT *commencement*

More than 220 Kentucky State University graduates crossed the stage Friday, May 10 during the Spring 2019 Commencement Convocation in the William Exum Center. Nurses, educators, business professionals, computer scientists, farmers and future leaders of all kinds now go forth from the University, ready for the next level of success.

The 22nd National President of Delta Sigma Theta Sorority, Inc., Dr. Gwendolyn E. Boyd, who received the Doctor of Humane Letters honorary degree from Kentucky State, delivered the commencement address. “Today we have come to celebrate all of your effort, enthusiasm, and all the sacrifices you have made,” Boyd said. “You did it. This is your day.” Boyd offered advice to the class of 2019.

“Remain relevant in your field,” Boyd said. “Stay up-to-date. Stay focused. On the job, be the one that’s indispensable. Be outspoken. Don’t let anybody put you in a box and tell you what you can or cannot do, because you are a graduate of Kentucky State University.”

John M. Bradley was the class valedictorian but was unable to attend commencement. His advisor, Dr. Suzette Polson, gave remarks on his behalf. Bradley retired from Kentucky State Police and finished his biology degree. Bradley is applying for medical school. Bradley said he admired the courage of his classmates. Bradley said he watched classmates persist to earn a degree despite illness, raising

a family or leaving support systems behind to come to a new place to learn. “The class of 2019 embrace the ideals of inclusiveness, academic excellence and courage,” Polson said on Bradley’s behalf.

President Brown announced other interesting facts about the class of 2019: the class is comprised of four military veterans; the youngest graduate is 20 and the oldest graduate – Lila Johnson – is 59 years old. A posthumous degree was awarded to the family of Robert Scott Jones, a student who passed away before finishing his degree.

Musical guest and national recording artist Chrisette Michele brought the house down with musical selections “Total Praise” and “A Couple of Forever’s.”

President Brown honored and acknowledged the golden graduates of the class of 1969, celebrating their 50th anniversary. President Brown also recognized the first graduating class of nursing students (1969) and Dr. Lee Charles Harris, who was instrumental in developing the nursing program.

The Kentucky State University marching band and cheerleaders made a surprise appearance, playing “Da Butt” for graduates one more time before they left the hill. President Brown gave each graduate their first \$2, a challenge coin and a special graduation t-shirt for everyone in attendance.



**A KENTUCKY STATE
UNIVERSITY JUNIOR**
WAS SELECTED TO REPRESENT THE
COMMONWEALTH DURING THE
**2019 COLLEGE
STUDENT CONGRESS**
IN LEXINGTON AND WASHINGTON, D.C.

One rising college senior from each state is selected by The Henry Clay Center for Statesmanship to participate in the College Student Congress. Madison Paige Powell, a political science major from Lawrenceburg, represented Kentucky during the May event. “I was elated to have the opportunity to represent Kentucky in the 2019 College Student Congress,” Powell said. “I believe that holding a position in the College Student Congress is a tremendous opportunity and I looked forward to networking.”

Powell said the experience served as good personal development, while also developing her potential to be a global leader. Powell also said the experience provided training for her future endeavors in public law.

According to The Henry Clay Center for Statesmanship website, the College Student Congress gathers 50 students together with lawmakers, academics, politicians, journalists and business leaders to learn about the dynamics of public policy and public policy decision-making through the lens of the legacy of Kentucky statesman and compromiser, Henry Clay. Students focus on different dimensions of four unique policy challenges currently facing the country. Previous topics have included health care, net neutrality, national security, immigration, transportation and national debt.

Students participated in intensive curriculum and project designed by the University of Kentucky Martin School of Public Policy and Administration and the Henry Clay Center staff. Afterward, students had the opportunity to travel to Washington D.C. to take what they’d learned about public policy and see it in action.

As part of the program, the Henry Clay Center assists students by cultivating summer internship opportunities across government and the private sector in the Washington D.C. area. Powell said she was encouraged to apply by Dr. Charles Holloway, assistant vice president for student success, and Kentucky State University President M. Christopher Brown II.

Powell is extremely active at Kentucky State, serving as president of the Whitney M. Young Honors Student Council, a member of KSU Girls Rock, Student Government Association junior class vice president, former president and current vice president of the Pre-Law Society, member of the Honda Campus All-Stars quiz bowl team and many other organizations.

For more information about the College Student Congress, visit henryclaycenter.org.

KENTUCKY STATE UNIVERSITY REDUCES NON-RESIDENT TUITION

The Kentucky State University Board of Regents approved an agreement to lower tuition for out-of-state students.

The Board of Regents approved a Memorandum of Agreement (MOA) with the Kentucky Council on Postsecondary Education (CPE) to reduce non-resident tuition from \$9,500 per semester to \$5,775 per semester for students in the seven states contiguous to Kentucky, as well as Michigan.

Out-of-state tuition will be reduced beginning with the Fall 2019 semester. Students under the Thoroughbred Promise (a four-year tuition guarantee) will have their guarantee adjusted to

reflect the lower tuition levels. This initiative reduces financial barriers for students to graduate in four years.

According to Kentucky State University President M. Christopher Brown II, “This tuition reduction enables Kentucky State University to be a more competitive option for students and parents seeking a quality education at an affordable price.”

Brown also stated that recruitment strategies involving the new rate will assist the campus community and alumni in their enrollment efforts of out-of-state students.

Office of Multicultural Initiatives, Student Leadership and Inclusive Excellence rededicates Dr. Marvin D. Mills, Sr. Multicultural Center

The Murray State University Office of Multicultural Initiatives, Student Leadership and Inclusive Excellence hosted a rededication of the new Dr. Marvin D. Mills, Sr. Multicultural Center on April 12 at the Center's location on the first floor of the Curris Center.

The late Mills served as one of Murray State's first African-American professors from 1977-88. He was instrumental in establishing the University's Department of Occupational Safety and Health.

"I'm very appreciative of the work that was put into this," said Eunice Mills, Marvin's wife, to a crowd of some 80-plus students that had gathered in the center along with University administrators. "I know he would be proud."



“I know he would be proud.”

- Eunice Mills



Center for Economic Education hosts Stock Market Game winners

On April 29, the Murray State University Center for Economic Education, part of the Arthur J. Bauernfeind College of Business, honored more than 100 students and teachers from area schools who participated in the annual statewide Stock Market Game™ competition.

The Stock Market Game™ is a statewide financial literacy program and online investment simulation where more than 3,500 Kentucky students across 75 middle and high school teams receive a virtual \$100,000 fund that they can invest in stocks of real companies, with winners determined by portfolio values after several weeks.

Murray State University student named Fulbright Scholar

Murray State University senior Autumn "Grace" Anderson has earned an English Teaching Assistant award from the Fulbright Program to teach English in Estonia.

Anderson, an English education/secondary certification graduate, will be working with local English teachers in the classroom to help students with reading and writing the

language while hosting American culture-related programs.

"Murray State gave me everything I needed to get here," Anderson said. "I'm from Huntsville, and people ask me all the time, 'How did you end up at Murray State?' The short answer is that Murray State has one of the best education programs in the region."



Murray State history professor featured on Netflix's 'Roman Empire'

Murray State University associate professor of history Dr. Aaron Irvin has made his second television appearance as a historical consultant for the Netflix documentary series "Roman Empire," which chronicles the rise and fall of larger-than-life Roman emperors from Commodus to Julius Caesar and Caligula.

"With Roman history in particular, these are topics and periods and people who I absolutely love studying and being able to talk about, and so as I get excited talking about this material, I tend to move around a lot," Irvin said. "I'm sure my students could attest to this, but it was something I was unaware of until I suddenly had to sit still and stand in one place for the camera."

Speech and Debate Union Travel Team wins national competition



The Murray State University Speech and Debate Union Travel Team took home the first place title in the novice

category of the National Parliamentary Debate Association team debate as well as several other debate and individual event awards in this year's Pi Kappa Delta National Speech and Debate tournament.

The Murray State team emerged as the overall novice category winner against a field of over 1500 entries and 75 universities at the competition held at Hofstra University in Hempstead, New York.

Dr. Claire Fuller named dean of the Jesse D. Jones College of Science, Engineering and Technology



Dr. Claire Fuller has been named dean of the Murray State University Jesse D. Jones College of Science, Engineering and Technology, effective July 1, 2019.

Fuller is a biological sciences professor who has served as interim dean since July 1, 2018, after former dean Dr. Steve Cobb stepped down from the position to return to teaching.

"I'm honored and humbled to serve as a dean of this institution," Fuller said. "I look forward to continuing the tradition of academic excellence set by this college and Murray State as a whole."

Murray native earns Clinical Mental Health Counseling EdS after seven years of sobriety



Jared Hill of Murray, Kentucky, will earn his Clinical Mental Health Counseling Educational Specialist degree this summer. Hill has overcome a bevy of personal challenges in earning his degree; now seven years

sobber, the single father has carved out his own path at Murray State through his work with Phi Kappa Phi and Chi Sigma Iota, ahead of a full-time therapist position with Emerald Therapy.

"Whatever it is you want to do, go do it," he said. "Don't let anyone tell you it's not possible. All you have is your fire and the place you want to be."

Read the full story at: bit.ly/JaredHill

Murray State University announces partnership with Primary Care Medical Center of Murray as campus health services provider

Murray State University has announced a new partnership with Primary Care Medical Center of Murray as the campus health services provider for students, faculty and staff. Primary Care Medical Center will occupy a location within the first floor of Wells Hall on the quadrangle-facing side of Murray State's campus.

"A campus health service that provides the best in quality care is an extremely important part of Murray State University's commitment for our students, faculty and staff," said Dr. Bob Jackson, president of Murray State University. "We are excited about this new, innovative partnership which will provide vital on-campus health services to Murray State University."

Racer Athletics earns Ohio Valley Conference Commissioner's Cup

The Murray State Racers, 15 teams strong, learned last month that their collective effort has brought Racer Athletics the Ohio Valley Conference Commissioner's Cup for the first time since 2008-09.

The award is given based on each team's finish in regular season and conference tournament play.

"Murray State University is a special place because of the people in our program: the student athletes, coaches and administrators who work tirelessly to represent our state and region," said Murray State Director of Athletics Kevin Saal. "2018-19 marks one of the most successful years in the history of our program. We're all very proud to earn this accomplishment."



Hutson School of Agriculture hosts NACTA judging conference

The Murray State University Hutson School of Agriculture hosted the 2019 North American Colleges and Teachers of Agriculture (NACTA) judging competition on campus April 10-13.

Over 700 contestants representing teams from over 50 colleges and two-year universities participated. Visiting students had the opportunity to compete in events in areas such as agriculture communications, horticulture, livestock judging and precision agriculture.

"As part of our commitment to serve our region and profession, we were proud to host these competitive events for college students on our campus," said Hutson School of Agriculture Dean Dr. Tony Brannon. "It was a great opportunity for us to showcase our people, programs, students and University to prospective students and peers from across the country."



GOLD RUSH

JUNE 2019

NORTHERN KENTUCKY UNIVERSITY

GO FIGURE

STUDENT RESEARCH AND CREATIVITY EVENT

7

Colleges involved

17TH

Year celebrating research

300

Student projects



NKU HONORS OVER 1,700 GRADUATES AT SPRING COMMENCEMENT

NKU honored nearly 1,700 students at its Spring Commencement on May 10 and 11, 2019. Family and friends packed BB&T to celebrate the students' achievement in completing this significant milestone.

NKU's recently unveiled Success by Design strategic framework identified three pillars of student success—access, completion and career and community engagement. The framework also shaped the university's singular focus on advancing student success aligned with the needs of the region. Commencement brings the three pillars of student success together in support of NKU's mission.

On Friday evening, the Salmon P. Chase College of Law honored 132 graduates at their commencement ceremony. Erik M. Crew was awarded the Salmon P. Chase Award.

Saturday morning's ceremony awarded 722 degrees to graduates of the Haile/US College of Business, the College of Health Professions and the College of Informatics. Saturday afternoon's ceremony honored 867 graduates from the College of Arts and Sciences and the College of Education and Human Services.

NKU alumnus Garren Colvin ('96), the president and CEO of St. Elizabeth Healthcare, served as the guest speaker for both morning and afternoon ceremonies. Colvin was also awarded a Doctor of Business honorary degree.

NKU bestowed a total of 1,721 degrees during the 2019 Spring Commencement weekend.

LEARN MORE: <https://tinyurl.com/y2gxetvd>

COLLEGE CORNER

The College of Informatics was recently ranked as a top university in Kentucky for awarding the most computer science degrees to women among state universities.

The Chronicle of Higher Education, an independent news and information organization, compiled a list of best institutions for women in computer science and engineering. The COI ranked 40th nationally, awarding 171 Computer Science degrees with 21.1 percent going to women. NKU also outpaced other institutions in the Commonwealth and region.

LEARN MORE: <https://tinyurl.com/y65qdl9p>



NKU WELCOMES BONITA J. BROWN AS VICE PRESIDENT AND CHIEF STRATEGY OFFICER

NKU is proud to announce Bonita J. Brown as its vice president and chief strategy officer (VP & CSO), tasked with campus-wide oversight and execution of the university's *Success by Design* strategic framework. President Ashish Vaidya created the VP & CSO position to oversee campus-wide framework implementation efforts.

"The *Success by Design* framework resonated with me and my work over the past few years and I look forward to leading the efforts to begin implementation," said Brown. "The excitement from the faculty, staff and students about the plan and Dr. Vaidya's leadership are the key ingredients to make this a success, and it will ultimately have a significant impact on the Northern Kentucky region."

Brown currently serves as the vice president for network engagement at Achieving the Dream, a national nonprofit leader that champions evidence-based institutional improvement in community colleges across the country. In this role, Brown is responsible for supporting a network of over 220 community colleges in 41 states as they work to implement sustainable student success efforts. **READ MORE:** <https://tinyurl.com/y4wb8sbb>

THE SCOREBOARD

NKU announced Darrin Horn as the sixth head coach in the history of the men's basketball program in April. A native of Glasgow, Kentucky, Horn played at Western Kentucky University from 1991-95. From there, he made assistant coaching stops at his alma mater, Morehead State, and Marquette before serving as the head coach at both WKU and South Carolina.

FACULTY FOCUS

Bob Wallace awarded UK Medallion

Dr. Robert Wallace was presented with the 2019 University of Kentucky Libraries Medallion for Intellectual Achievement in May. Dr. Wallace was recognized for his exceptional intellectual achievement and contribution to the lasting value of the Commonwealth.

Dr. Wallace is a regents professor and has taught English, literature and art at NKU since 1972. A Herman Melville expert, Dr. Wallace is co-founder of the Melville Society Cultural Project at the New Bedford Whaling Museum in Massachusetts, where he curates the Melville Society Archive's art collection. A past president of the Melville Society, he has lectured on *Moby-Dick* and the arts across the country and around the world.

READ MORE: <https://tinyurl.com/y2dg9q43>

BRIEFS

NKU AND ION/APEX SIGN PATHWAY PROGRAM AGREEMENT

Leaders from NKU and Ion/Apex, one of Greater Cincinnati's largest electrical contractors, came together in May to sign a partnership that will enable paid apprentices in the electrical construction trade to earn college credit toward a degree at NKU. The pathway program between NKU and Ion/Apex is a collaborative answer to workforce demands and plays a critical role in the rise of the electrical construction trade across the region. This partnership also complements the NKY Chamber's GROW NKY initiative.

LEARN MORE: <https://tinyurl.com/y5wbx78a>

NKU AND THE TLC LAUNCH THE SUPPLY CHAIN AND LOGISTICS HUB

NKU, in partnership with the Tri-State Logistics Council (TLC), launched the Supply Chain and Logistics Hub to address a workforce need in the region. The hub brings together the Haile/US Bank College of Business' Global Supply Chain Management program and TLC to connect students' capabilities with industry jobs. The hub will develop students to fit industry needs through joint development of mentoring programs, internships and student-industry events..

LEARN MORE: <https://tinyurl.com/y5zjopyd>

NKU WELCOMES NEW DEANS

On July 1st, Judith Daar will begin her term as dean of the Salmon P. Chase College of Law. Daar comes to NKU from the University of California, Irvine (UCI), where she served as a visiting professor at the School of Law and a clinical professor at the School of Medicine.

The Dean of the Haile/US Bank College of Business, Dr. Hassan R. HassabElnaby, and Andrea Falcone, dean of the W. Frank Stealy Library, will assume their new roles on July 15. Dr. HassabElnaby serves as the associate dean for graduate studies and research at the University of Toledo's College of Business and Innovation. Falcone is the associate director for education and public services at the Auraria Library, a tri-institutional library in Colorado.

LEARN MORE: <https://tinyurl.com/y2sxfqzg> // <https://tinyurl.com/y39fmhw7>
<https://tinyurl.com/yxgbgoaz>

NKU'S MAYERSON STUDENT PHILANTHROPY PROJECT AWARDS \$34,000 TO LOCAL NONPROFITS

Northern Kentucky University students awarded \$34,000 to 22 agencies through the university's nationally recognized Mayerson Student Philanthropy Project.

This spring, 364 students from 19 classes integrated philanthropy into their classroom experience. Students learned about nonprofits that serve the community and evaluated where to invest grants provided by local funders. Additionally, each class raised funds to support nonprofit organizations.

LEARN MORE: <https://tinyurl.com/y46fy366>

ALUMNI NEWS

CHANGING THE CULTURE



When Brady Jolly ('14) was named CEO of Jolly Plumbing in 2014, most people expected the young executive to lead with an "If it ain't broke, don't fix it" mentality.

In his five years as CEO, Jolly has tripled both revenue and workforce and, in 2017, enacted one of the biggest changes in company history, expanding services to offer cleaning and restoration, property maintenance and event management, as well as venturing into real estate, under the umbrella of Jolly Enterprises. He's also built a digital strategy for attracting more customers.

"There is a stigma around plumbing, and I think it's good to be different," he says. "It's appealing to both employees and customers. That's how you drive performance and value to your business."

LEARN MORE: <https://tinyurl.com/y2gm9fzn>

A Message from President Eli Capilouto

Each year, as we celebrate the academic achievements of our graduates, I am reminded of the incredible spirit of the UK family—a family of students, scholars, and staff that collectively is challenging each other to imagine what is possible.

UK's mission—steadfast and steady for more than 150 years—continues to guide us today: opening doors so that more students can access the distinctive educational experience we provide; healing more Kentuckians who suffer from the most complex and serious of illnesses; and serving every county of our state, and by extension, the world in attacking the biggest challenges. Throughout this past academic year, we have demonstrated our resolve and our capacity to answer the question of what is possible in compelling and creative ways.

In early May, we gathered in the tradition of recognizing the collective efforts of the UK family with the awarding of more than 5,300 degrees to graduates prepared to lead lives of meaning and purpose. Among these graduates was one who returned to UK after 25 years to finish her degree through the University's Project Graduate program: a statewide initiative to assist adult learners in finishing their bachelor's degrees. She was among 100 undergraduate students to earn their degrees through Project Graduate this year.

Increasingly, we are finding more students who want to be a part of this family, students who want to follow in the steps of our alumni. As of the May 1 decision deadline, more than 5,400 prospective students have committed to join the Big Blue Nation in Fall 2019.

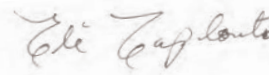
I am inspired by the partners who see in us an opportunity to transform lives, change communities, and bolster and support

industries. It is that opportunity that led to a significant partnership that has the potential to transform Kentucky.

Researchers at UK'S Center on Drug and Alcohol Research (CDAR) and from across our campus—in partnership with the Kentucky Cabinet for Health and Family Services as well as the Justice and Public Safety Cabinet—will lead a project as part of the National Institutes of Health's Helping to End Addiction Long-term (HEAL) initiative. The four-year, more than \$87 million study has an ambitious but profoundly important goal: reducing opioid overdose deaths by 40 percent in 16 counties that represent about 40 percent of Kentucky's population.

Another partnership, one in which Jim Beam Bourbon will donate \$5 million to the University to establish the James B. Beam Institute for Kentucky Spirits, will help define the future of one of Kentucky's signature industries.

These stories represent the promise of partnership and the resulting progress that has defined the academic year at the University of Kentucky. It is that same promise that will guide us as we continue in our journey to ensure that student success is at the center of all that we do—through sustained and continued improvement of retention and graduation rates, meaningful progress on building a more diverse and inclusive campus community, and serving and healing Kentuckians across our state in ways that can be replicated across our country and around the world.




University of Kentucky Awarded \$87 Million to Lead Effort in Combating Nation's Opioid Epidemic



Recently, the federal government awarded the University of Kentucky \$87 million to research and combat the opioid epidemic. Researchers from UK's Center on Drug and Alcohol Research (CDAR), the Kentucky Cabinet for Health and Family Services, and the Justice Public Safety Cabinet (JPSC), will lead a project as part of the HEALing Communities study.

Kentucky and UK represent one of only four study sites across the United States selected by the National Institute on Drug Abuse – part of the National Institutes of Health (NIH) – for this groundbreaking effort.

The \$87 million grant – the largest grant UK has ever received – comes with a lofty goal: reduce opioid overdose deaths by 40% in 16 counties that represent more than a third of Kentucky's population.

In 2017, more than 47 thousand Americans died as a result of opioid overdose and more than 2 million struggle with the disease every day. Currently, Kentucky is ranked fifth in the nation for opioid-related deaths, and the University of Kentucky is dedicated to lowering that number.

The study is part of the NIH HEAL (Helping End Addiction Long-term) Initiative. The overall goal is to develop evidence-based solutions for the opioid crisis and identify which solutions in different communities across Kentucky are successful. Ultimately, the HEAL Initiative hopes to find those successes, scale them up, and replicate them across the nation.

Researchers will collaborate with community coalition partners to ensure a community-centered approach and to maximize engagement. Importantly, a comprehensive health communication strategy will be used to reach the public, reduce stigma, and increase awareness of – and access to – the interventions available through the program.

This grant is a testament to the strong relationship between UK and the state. As the University for Kentucky we recognize the crucial role we play in the opioid epidemic. As a result of this generous grant, we have the necessary tools to partner and collaborate with the state to find a cure that could potentially help the nation.

University of Kentucky and Jim Beam partner to create the James B. Beam Institute for Kentucky Spirits



Seth Debolt
Director of the James B. Beam
Institute for Kentucky Spirits

Jim Beam Bourbon will donate \$5 million to the University of Kentucky to establish the James B. Beam Institute for Kentucky Spirits. This institute will support a curriculum to educate the next generation of distillers with the skills necessary to succeed in the distilled spirits industry at the undergraduate, graduate, and professional levels.

The \$5 million gift is Beam Suntory's largest, single philanthropic or education gift in the company's history. It is an investment in the future of bourbon and Kentucky's workforce.

The UK College of Agriculture, Food and Environment will lead the James B. Beam Institute for Kentucky Spirits. Classes in the Institute will range from engineering, chemistry, business, law, horticulture, forestry, food science, and entomology to address spirits industry needs in sustainable agriculture, research, and development.

As Kentucky's land-grant institution, UK is driven to make decisions that serve the economy of Kentucky, and the partnership between UK and Jim Beam Bourbon fulfills that duty.

University of Kentucky Chapter of MANRRS is No. 1 in the Nation Again

At the 34th annual Minorities in Agriculture, Natural Resources, and Related Sciences (MANRRS) conference, the University of Kentucky delegation was named National Chapter of the Year for the seventh consecutive year.

The MANRRS program began in 1986 with a goal of developing a network between minority agriculture and natural resource students and professionals from the academic institutions, government sector, and industry. Today, the organization is a national society that serves over 40 different academic institutions nationwide.

The UK MANRRS chapter helps promote the advancement of members who are underrepresented in agricultural and related sciences. MANRRS members have the opportunity to participate in diverse activities that support their academic and professional development.

The chapter's seventh consecutive recognition as National Chapter of the Year is a testimony to the dedication and hard work of those campus community members who strive to make UK more welcoming.



THE PRESIDENT'S REPORT

ISSUE 105 | MAY/JUNE 2019

Dear Friends,

Summertime is off to a very positive start at the University of Louisville. While many of us will work right through the next few months, I have encouraged all of our students, faculty and staff to carve out some time to relax, refresh and recharge during the summer to ensure that they are well prepared when things ramp back up again this fall.

The spring semester ended on a strong note with one of the university's largest graduating classes in decades. Nearly 3,200 students received their degrees. It was incredibly uplifting to preside at my first spring commencement and to personally congratulate students and their families on that special day. Commencement always is a reminder of why we do what we do.

Every day, our students make us so proud through their efforts and achievements. I'm happy to report that this has been another banner year for prestigious scholars at the university, highlighted by our recent announcement of 12 Fulbright scholars this year. Our track record as a Fulbright producer is outstanding and on par with many Ivy League institutions. It is noteworthy that it is not only the students, but also their faculty and staff mentors who have made our institution a Fulbright powerhouse.

The past couple of months have been marked

by heightened activity on our Health Sciences Campus. We recently concluded a deal to sell our majority stake in Passport Health Plan to Evolent Health. This transaction is significant because it allows Passport to continue providing services to more than 300,000 Medicaid enrollees without any disruption. It also enables UofL to remain a minority partner in Passport.

The university, in conjunction with its UofL Health affiliate, also continues to work on contingency plans related to KentuckyOne Health's local assets. While we are disappointed that we could not come to an agreement with Catholic Health Initiatives for an acquisition, we simply could not accept any agreement that may bring undue financial risk to our institution. Our top priority is our patients, students, medical residents and community, and we will continue to work out details and agreements that support and protect these priorities.

Additionally, the university's strategic planning process is rolling along at an impressive pace. Our teams of faculty, staff and students have submitted plans aimed at turning our vision into concrete strategies around the themes of learn, work and invest. We are readying our plan for review by the Board of Trustees this summer and plan to implement it later this year. I am so thankful for

the many hours of work from so many people that have gone into this project and believe the resulting product will be an excellent guidepost for the entire university community.

Lastly, I was so excited this spring when we introduced a new partnership with IBM that we believe will be a game changer. The formation of the IBM Skills Academy within the university's Center for Digital Transformation will help close the technology gap for the next-generation workforce. The academy will provide curriculum and educational tools in several fast-growing tech fields, including cloud computing and artificial intelligence. What could be more important for UofL than helping fill the workforce needs of the future?

As you can see, much is happening at the University of Louisville, but your ongoing support is necessary for our continued success. Thanks for all you do for the university. It is truly appreciated. I look forward to seeing you soon. Go Cards!



Neeli Bendapudi
President, University of Louisville



UofL graduates nearly 3,200 students

A massive spring graduating class meant extra big crowds during commencement at the KFC Yum! Center on May 11.

University officials estimate over 10,000 people attended each ceremony (there are two). It was the first time extra seating was opened in the upper level of the Center and those seats were nearly filled at both ceremonies.

Nearly 3,200 students graduated during the spring semester and more than 2,100 of those graduates took part in commencement.

CLOSING THE TECH GAP: PARTNERSHIP AIMS TO PREPARE NEXT-GENERATION WORKFORCE

UofL and IBM announced in April a partnership that establishes the IBM Skills Academy.

The academy focuses on digital learning and technology and will be housed in the newly created Center for Digital Transformation in the Miller Information Technology Center, Belknap Campus. It will open by the start of the fall semester.

Specifically, the academy will provide curriculum and educational tools concentrated on eight fast-growing technology areas: artificial intelligence, blockchain technology, cybersecurity, cloud computing, internet of things, quantum computing, data science and design thinking.

Through IBM's existing Academic Initiative, IBM will make available software and cloud technology with an estimated value up to \$5 million a year.

"But the value of this far exceeds that figure. When you have two great institutions working together, who can say where the opportunity lies?" said President Neeli Bendapudi, who made the partnership happen along with Naguib Attia, IBM's vice president of Global University Programs, after the two met recently at an event.

This skills academy is the first of its kind that IBM has developed with a higher education institution. The company is in discussions with four universities to open similar academies in the United States. Attia said the initiative is starting here because of Bendapudi's "passionate leadership."

Students will benefit from the academy through course credit and IBM certification, while faculty will be trained on skills curriculum to then be able to teach colleagues and students.

Attia said over 120 million jobs will be affected within the next three years by emerging technologies such as artificial intelligence.



University officials, IBM executives and state and local leaders took part in a news conference to announce the establishment of an IBM Skills Academy.

Researchers developing cancer vaccine

Vaccinations protect us from all kinds of terrible diseases — polio, small pox, malaria. But what if a vaccine could protect you from cancer?

Work is now underway by UofL researchers who are developing a new vaccine for lung cancer. The vaccine has shown tremendous promise in the prevention of certain tumor growths in mice.

Prevention is key because, while conventional treatments and therapies may kill most of the cancer, a small percentage of cells are resistant. Those are cancer stem cells, which have the ability to reproduce and sustain the cancer.

"Those cells sit there, and they

have the capability of regrowing the entire tumor by themselves," said co-inventor and assistant professor of medicine, Dr. Kavitha Yaddanapudi.

A vaccine sidesteps those cells by helping the body build immunity to cancer before it develops. So far, the UofL vaccine has been tested against lung cancer and melanoma in mice, and 80 to 100% of the vaccinated mice used in each animal trial were cancer free when compared to the non-vaccinated control group.

The research team hopes to develop the vaccine to be effective against all cancer types and is now working toward human trials.

UofL TEAMS UP WITH HUMANA FOUNDATION, INTERAPT TO LAUNCH TECH TRAINING PROGRAM

The Humana Foundation, Interapt and the University of Louisville are partnering to bring a paid, immersive training program that teaches high-demand IT skills to the underemployed and unemployed in the Louisville community.

The program, called "Louisville Skills," will provide up to 25 individuals the opportunity to build the technical, business and life skills necessary to work in a fast-paced, high-tech environment, preparing them to launch promising careers in the tech industry.

Training will be done by Interapt, a Louisville-based technology firm, that also trains software developers. The Humana Foundation has earmarked \$325,000 for the project, which will be targeted to residents in Louisville Metro, particularly in West Louisville.

The training will be at UofL's Hite Art Institute in West Louisville and will include foundational software programming concepts and software development skills. To ease the burden for students, they will receive a stipend of \$100 per week during the program.

A FULL FULBRIGHT HOUSE

A dozen UofL alumni have earned 2019 Fulbright scholarships, a prestigious international award coveted by many high-achieving scholars.

The university has had outstanding results as a Fulbright producer. Since 2003, there have been 133 Fulbright student scholars, more than all other Kentucky public institutions combined.

Students and recent alumni also earned many other competitive awards this year. There were five Critical Language Scholars, two Goldwater Scholars and one Rotary International award winner. Five students received Boren Award offers, but three had to decline this scholarship because they won two or more awards.

One such scholar, Ben Anderson of Louisville, may be the most-awarded student in university history. The Brown Fellow, Ali Scholar and Porter Scholar winner won, this year, a Fulbright, Boren and Critical Language scholarship. The recent graduate and political science major decided to accept the Fulbright. He will spend the summer in Taiwan as an English teacher.



The 2019 student Fulbright awardees are (top row): Chelsea Flint, Alexander Kaliannan, Elizabeth Schaaf, Seth Drake, Miranda Hale, Noela Botaka. Second row: Samir Kusmic, Eric Hahnert, Natasha Mundkur, Ben Anderson, Ethan Libby Pelletier, Macey Mayes.

Western Kentucky University news for the Council on Postsecondary Education, June 2019

For more WKU news, visit www.wku.edu/news.



WKU President Timothy C. Caboni joined members of the 10th annual Storm Chase Class (Field Methods in Weather Analysis and Forecasting) as they put their classroom skills to the test this summer in the midwest.



Six WKU students recognized by Fulbright program, two others in summer institute

Five WKU students have been offered Fulbright U.S. Student Grants for the 2019-20 academic year from the U.S. Department of State and the J. William Fulbright Foreign Scholarship Board, and one has been designated as an alternate.

Nicole Childress, a graduating senior from Crestwood, will study in the MSc Marketing program at Trinity College Dublin. Keightley Dudgeon, a graduating senior from Crestwood, will teach English in Morocco. Amelia Kolb, a graduating senior from Louisville, will teach English in Mexico. Deven Richardson, a graduating senior from Bowling Green, will conduct research on Japanese university students' perceptions of Americans and the Japanese-American relationship. Corinne Warlick, a graduating senior from Louisville, will conduct research at the Wallenberg Wood Science Center in Stockholm, Sweden, exploring the possibility of preserving byproducts of the wood pulping process for use in other applications. Max McGehee, a 2017 graduate, was named an alternate for a Fulbright to study management at the Instituto de Empresa in Madrid, Spain.

For the third year in a row, two WKU students have been selected to participate in Fulbright Summer Institutes in the United Kingdom. Derek Collins of Hattiesburg, Mississippi, and Nathan Terrell of Morehead are the ninth and 10th WKU students to be selected for the prestigious nationally-competitive program since 2012.

WKU places fifth overall in Hearst competition, wins photo

WKU's School of Journalism & Broadcasting has continued a tradition of national success in the Hearst Journalism Awards Program with a fifth-place overall finish in 2019.

WKU has finished in the top eight nationally in the Hearst program for 26 straight years and has won four overall national championships -- 2000, 2001, 2005 and 2018.



Earlier this spring, WKU won the Intercollegiate Photojournalism Competition for the 25th time in the past 30 years and finished second in the Intercollegiate Multimedia Competition. Three WKU students – Gabriel Scarlett, Skyler Ballard and Kathryn Ziesig -- competed for national championships in photojournalism and multimedia in San Francisco. Ballard finished second in multimedia.



Members of the WKU Concrete Canoe Team pose with StalacTOP. The team finished fifth in the American Society of Civil Engineers national competition.

WKU finishes fifth in National Concrete Canoe Competition

WKU civil engineering students finished fifth overall in the 2019 National Concrete Canoe Competition in Melbourne, Florida. The team also finished fifth in technical paper, fifth in final product, ninth in oral presentation, sixth in women’s slalom, seventh in men’s slalom, eighth in women’s sprint, eighth in co-ed sprint and ninth in men’s sprint.

WKU’s StalacTOP (207 pounds, 20 feet long) was one of 24 entries from around the world in the American Society of Civil Engineers competition, hosted by the Florida Institute of Technology. The top five finishers in overall results were University of Florida; California Polytechnic State University, San Luis Obispo; Université Laval; Tongji University; and WKU.

Gatton Academy named a top-performing school for the 10th time

The Gatton Academy of Mathematics and Science at WKU has been named to Jay Mathews’ list of top-performing public schools with elite students for the 10th consecutive time.

Since 1998, educational columnist Jay Mathews has ranked public high schools using the Challenge Index, his measure of how effectively a school prepares its students for college. The Challenge Index is the oldest high school ranking system in the country. Prior to the 2019 list being posted on Mathews’ website (<https://jaymathewschallengeindex.com/>), the list could be found annually in The Washington Post or Newsweek. The Gatton Academy first appeared on Mathews’ list in 2009 and has been recognized in each subsequent publication of the list, making this the 10th consecutive appearance.

Kentucky Archaeological Survey joins WKU Department of Folk Studies and Anthropology

WKU’s Department of Folk Studies and Anthropology welcomed the award-winning Kentucky Archaeological Survey (KAS) effective June 1.

For more than two decades, KAS has worked with teachers, students, landowners, communities and government agencies to protect archaeological sites and educate the public about Kentucky’s rich archaeological heritage.

“We are thrilled about working with KAS to provide all Kentuckians opportunities to experience our past through archaeology,” said Dr. Darlene Applegate, archaeologist and head of WKU’s Department of Folk Studies and Anthropology. The KAS is nationally known for its leadership in public archaeology, she said.

“Having that reputation at Western is going to increase our profile,” Dr. Applegate said. “We expect it’s going to help us recruit students in our program because we’re going to have opportunities here beyond the ones we already offer. We anticipate that WKU is going to be the hub for public archaeology in the state going forward.”