

# **MINUTES**

CPE Meeting

**April 28, 2011**



# AGENDA

Council on Postsecondary Education

Thursday, April 28, 2011

9:00 a.m., EDT

Regional Postsecondary Center (RPC), Room 229

Elizabethtown Community and Technical College, Elizabethtown, Kentucky

Welcome

Roll Call

Approval of Minutes ..... 1,9

Strategic Agenda Focus Area – College Readiness

1. Overview and Update on College Readiness Objectives and Strategies ..... 11
2. Expanding College Outreach and Student Support: A Report on Kentucky’s College Access Challenge Grant Programs and Activities (Ted Franzeim and Susan Hopkins, KHEAA)

CPE President’s Report to the Council

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Reports from the Institutions ..... 65

Other Business

Next Meeting – Transylvania University, June 9-10, 2011

Adjournment

CPE meeting materials are available on the Council Web site at <http://cpe.ky.gov/about/cpe/meetings/>.



**MINUTES**  
**Council on Postsecondary Education**  
**April 28, 2011**

The Council on Postsecondary Education met Thursday, April 28, 2011, at 9 a.m. at the Elizabethtown Community and Technical College in Elizabethtown, Kentucky. Chair Paul Patton presided.

**ROLL CALL**

The following members were present: Ellen Call, Glenn Denton, Dan Flanagan, Joe Graviss, Dennis Jackson, Nancy McKenney, Pam Miller, Donna Moore, Lisa Osborne, Paul Patton, Jim Skaggs, and Joe Wise. Aaron Price attended via ITV. Terry Holliday, Marcia Ridings, and Joe Weis did not attend.

**WELCOME**

President Thelma White welcomed the Council to the Elizabethtown Community and Technical College campus.

Governor Patton thanked President White and ECTC for hosting the CPE.

**APPROVAL OF  
MINUTES**

The minutes of the February 3 CPE meeting and February 4 CPE retreat were approved as distributed.

**STRATEGIC AGENDA  
FOCUS AREA –  
COLLEGE READINESS**

Dr. Aaron Thompson, CPE's senior vice president for academic affairs, and Dr. Heidi Hiemstra, CPE's assistant vice president for information and research, provided an overview and update on the college readiness objectives and strategies.

Mr. Robert King, CPE's president, said that the institutions will begin reporting on the focus areas at the June Council meeting.

Governor Patton expressed concern on using IPEDS statistics as the data source for assessing graduation success. IPEDS allows the data to be tracked for students in Kentucky but not when students leave Kentucky for out of state schools. He said the statistics need to be clean, fair, and understandable. Dr. Hiemstra responded that Council staff has worked on clearing up the statistics and can share the reports that have been developed.

Staff from the Kentucky Higher Education Assistance Authority, Mr. Ted Franzeim, KHEAA's senior vice president of customer relations, and Ms. Susan Hopkins, KHEAA's outreach services manager, provided a report on Kentucky's College Access Challenge Grant programs and activities.

**CPE PRESIDENT'S  
REPORT TO THE  
COUNCIL**

A written report from President King was distributed. Highlights of his report include:

- *Kentucky Adult Education*: The Council is sponsoring free GED testing through June 30. Kentuckians taking the test from mid-March to the end of June will not have to pay the usual \$55 fee. This incentive is expected to help many Kentuckians jumpstart their education and career.

- *Licensure Update:* During the 2011 regular legislative session, a bill was introduced that would have moved the licensure of for-profit associate degree granting institutions from the State Board for Proprietary Education to the Council. The bill did not pass; however the Council anticipates the issue may arise in future sessions. There also is increasing federal interest in for-profit schools and distance education. The U.S. Department of Education has new federal regulations currently scheduled to go into effect July 1, 2011. CPE has been active in the reviews of institutions it licenses, and has tightened up procedures and enforcement. The Council currently is exercising its authority to do site visits to institutions to ensure quality and consumer protection.
- *Kentucky General Assembly 2011 Regular Session and Special Session Key Postsecondary Education Summary:* Key legislation passing in the regular session included SB 130 addressing advanced practice doctoral degrees at the comprehensive institutions, and SR 235 and SR 236, the Senate's gubernatorial confirmations of Marcia Ridings and Dennis Jackson to the Council. The Governor's proposed budget amendments regarding Medicaid and postsecondary education institutions did not pass nor did HB 125, the proprietary education accountability legislation. However, in the special session, HB 1, the Governor's Medicaid and postsecondary education budget amendments bill, including the maintenance of effort technical changes, did pass.
- *Postsecondary Budget Update:* As anticipated, the ending result of the 2011 Extraordinary Session of the General Assembly for the public postsecondary education institutions was a technical increase of \$18.9 million in base funding in FY11, and a corresponding decrease in General Funds in FY12 in order to satisfy a federal maintenance of effort requirement that enabled Kentucky to receive additional K-12 education funds. Similar to the adjustments made for the federal ARRA State Fiscal Stabilization Funds several years ago, the Governor and the State Budget Director have been assured by state policy leaders that this technical adjustment is not intended to negatively impact the starting base for public postsecondary education institutions as part of the next budget development process. Even though the 2011 Extraordinary Session provided a temporary budget solution for the current biennium for Medicaid and education, there is still approximately \$169 million in additional "efficiency" savings that the Governor and the Office of the State Budget Director need to balance the budget in FY12. The timing and the financial impact of these additional "efficiency" reductions is uncertain.

The Chief Budget Officers (CBOs) from all the public postsecondary institutions have been meeting regularly over the last six months to discuss ideas and concepts for the 2012-14 operating and capital budget request. The major budget categories being discussed are adjustments to base funding, incentive/performance funding, and capital investments. In particular, the CBOs have discussed new approaches to performance funding that would help stimulate progress on the new 2011-15 Strategic Agenda, as well as a different approach for capital investments that would provide a more realistic and balanced approach to maintaining and growing the infrastructure for postsecondary education over the next five to six years. The 2012-14 budget development timeline calls for a concept paper to be drafted by May, a first draft of the budget request due by the end of July, a second draft due by the end of the September, and a final draft due by early October in time to review for Council action in November 2011.

- *CPE VP presented keynote addresses on student success and internationalization in the Netherlands:* It is particularly gratifying that the caliber of our staff and our efforts to promote student success are being recognized both nationally and internationally. We were very pleased that Aaron Thompson was invited to present two keynote addresses at the Education Conference at the Hogeschool van Amsterdam (HvA), University of Applied Sciences, in the Netherlands in March. This is an exceptional honor both for Aaron and Kentucky.
- *KCTCS and MoSU Online Transfer Agreement:* The new program is unique in that it is geared toward place-bound working adults who have earned an Associate of Applied Science degree (AAS) from KCTCS but need to earn a bachelor's degree to become upwardly mobile in the workforce. AAS graduates typically do not take general education, so this program will provide the necessary general education for students after they transfer. Students seeking admission to MoSU must (1) complete an AAS degree, (2) meet MoSU's requirements for admission which include a minimum grade point average of 2.0 for all classes taken, and (3) apply to and be accepted into MoSU's Bachelor of University Studies in Professional Studies program. This transfer program is a great example of innovative and cooperative planning in the area of student transfer.
- *Communications Tools:* As discussed at the retreat in February, the Council has several communications projects under way that are now complete and available to you. The By the Numbers handout is a versatile "quick take" on the size and scope of our system and provides a concise snapshot with numbers. The one-page handout covers four key categories - colleges, universities, and adult education; students; finance; and performance metrics. Some of the highlights included are numbers for student diversity, ACT performance, student financial aid awarded, and total public funds for public institutions. The second handout is an FAQ on the role and responsibilities of the Council, and the key legislation that impacts our work. The third handout is an FAQ on the new 2011-15 Strategic Agenda, Stronger by Degrees. We will make these materials available to you in the quantities you request. Finally, we developed a basic PowerPoint presentation that can be customized for your purposes. If you are interested in making presentations in your local communities, we will be happy to help you make those arrangements. Council staff are available to accompany you. The materials are available on our website for easy access.

2011-12 TUITION  
AND MANDATORY  
FEE  
RECOMMENDATION

Dr. John Hayek, CPE's senior vice president for budget, planning, and policy, provided an overview of the Council staff's 2011-12 resident, undergraduate tuition and mandatory fee ceilings recommendation. The recommendation equates to maximum base rate increases of 4 percent for KCTCS, 5 percent for the comprehensive universities, and 6 percent for the research institutions, rounded to the nearest whole dollar. The staff also recommends that the Council maintain the current floor for nonresident, undergraduate tuition and mandatory fees of two times the resident, undergraduate rate.

In addition, the Council staff recommends that the public universities be allowed to submit for Council approval market competitive resident and nonresident tuition and mandatory fee rates for graduate and online courses.

MOTION: Ms. Miller moved that the proposed 2011-12 tuition and mandatory fee recommendation be approved. Mr. Flanagan seconded the motion.

VOTE: The motion passed per roll call vote.

2011-12 TUITION  
SETTING PROCESS  
SPECIAL USE FEES  
EXCEPTION POLICY

Dr. Hayek presented the staff recommendation for the 2011-12 tuition setting process special use fees exception policy.

During the 2010-11 tuition setting process, campus officials requested that the Council consider excluding student endorsed fees from its mandatory fee definition, thus omitting consideration of such fees when assessing institutional compliance with Council approved tuition and fee rate ceilings.

The underlying rationale for the request was that administrators wanted to accommodate desires of students to assess a fee on themselves to improve campus activities and services, without sacrificing revenue necessary to support institutional operations. Such fees, under the Council's current approach, when implemented in the same year that the Council adopts tuition and fee rate ceilings, reduce the amount of additional, unrestricted tuition and fee revenue available for the institution to support its E&G operations.

Mr. King stated that the proposed policy is supported by the institutional presidents and students. He said the institutions will be required to meet certain criteria outlined in the policy and will need to submit a written exemption request to the Council for its consideration and approval.

Mr. Price said he has spoken with students as well and the students do support the policy. A caveat from the students is that tuition rates remain reasonable.

MOTION: Ms. Call moved that the 2011-12 tuition setting process special use fees exception policy recommendation be approved. Ms. Miller seconded the motion.

VOTE: The motion passed.

2011-12 TUITION  
AND MANDATORY  
FEE  
RECOMMENDATION,  
MURRAY STATE  
UNIVERSITY

Dr. Hayek presented the staff recommendation that the Council approve the 2011-12 tuition and mandatory fee proposal for Murray State University. The MuSU proposal submitted to the Council is in compliance with the 5 percent ceiling.

MuSU is not requesting any exceptions to the nonresident rate floor established by the Council.

MOTION: Ms. Miller moved that the 2011-12 tuition and mandatory fee recommendation for Murray State University be approved. Ms. Moore seconded the motion.

VOTE: The motion passed.



2011-12 TUITION  
AND MANDATORY  
FEE  
RECOMMENDATION,  
WESTERN KENTUCKY  
UNIVERSITY

Dr. Hayek presented the staff recommendation that the Council approve the 2011-12 tuition and mandatory fee proposal for Western Kentucky University. The WKU proposal submitted to the Council is in compliance with the 5 percent ceiling.

Western Kentucky University is requesting an exception to the nonresident rate floor for their undergraduate Tuition Incentive Program (TIP) rate. As was the case last year, the proposed nonresident TIP rate is 1.28 times the resident rate. The university's regular nonresident rate is 2.5 times the resident rate. The Council staff recommends approval of WKU's nonresident TIP rate as proposed by the university. This request is in line with what the university proposed and the Council approved last year (i.e., 2010-11).

MOTION: Ms. Moore moved that the 2011-12 tuition and mandatory fee recommendation for Western Kentucky University be approved. Mr. Skaggs seconded the motion.

VOTE: The motion passed.

PURCHASE  
AMBULATORY  
ELECTRONIC HEALTH  
RECORD  
EQUIPMENT/SYSTEM,  
UK

Mr. Sherron Jackson, CPE's assistant vice president for finance, presented the request of the University of Kentucky to purchase an Ambulatory Electronic Health Record Equipment/System with \$26 million of federal incentive funds, \$15 million tax-exempt lease/purchase agreement, and \$11 million from UK Health Care operations funds. The project scope is \$51,767,000. The project is required by federal legislation.

The project is required for the University of Kentucky to comply with federal requirements enacted in the 2009 Health Information Technology for Economic and Clinical Health Act and the 2010 Patient Protection and Affordable Care Act. The legislation requires health care providers to implement electronic medical records in ambulatory (outpatient) care operations. Financial incentives are available for providers that do so, and those that do not are penalized. UK faces a penalty of up to a 3 percent reduction in Medicare reimbursements if the changes are not implemented.

MOTION: Mr. Graviss moved that the University of Kentucky's request to purchase an ambulatory electronic health record equipment/system be approved. Ms. Osborne seconded the motion.

VOTE: The motion passed.

REPLACE VIDEO  
BOARDS AT  
COMMONWEALTH  
STADIUM, UK

Mr. King said that the University of Kentucky has requested that its request to replace video boards at the Commonwealth Stadium be removed from the Council agenda for action.

CPE 2012-18  
CAPITAL  
IMPROVEMENTS  
PLAN

Mr. Jackson reported that the Council's 2012-18 Capital Improvements Plan was submitted to the Capital Planning Advisory Board (CPAB) April 19, 2011. The plan includes a description of the Council, a list of capital-related needs for the agency, and strategies for addressing capital issues. The plan contents will be reviewed by CPAB staff. If needed, and based on CPAB staff review, refinements to the Council's plan will be made over the next two months. The CPAB will discuss the Council's plan and the plans of other state agencies and postsecondary institutions during a series of public meetings in the June to July timeframe.

The projects included in the Statewide Capital Improvements Plan, if implemented, will enable the postsecondary system to provide services to students and the public in a coordinated and collaborative fashion. The Council's plan identifies enhancements to the existing lease for agency operations, information technology, and related projects to support the agency and the 2011-15 Strategic Agenda for Kentucky Postsecondary and Adult Education. The plan identifies \$32.3 million of general/bond funds and agency funds across the planning period 2012-18. The Council's priorities will be considered along with other statewide priorities for the postsecondary system and other state agencies.

The Council staff anticipates that successful implementation of these projects will increase collaboration and efficiency, strengthen the postsecondary education system, and help to achieve the Strategic Agenda. The projects will extend the reach of Kentucky postsecondary education to students and citizens where needs have not been fully met.

DOCTORATE OF  
NURSING PRACTICE  
PROPOSALS

Dr. Thompson presented the staff recommendation that the Council approve the Doctorate of Nursing Practice degree programs at Eastern Kentucky University, Northern Kentucky University, and Western Kentucky University.

The 2010 Kentucky General Assembly approved Kentucky's comprehensive universities to offer advanced practice doctorate degrees [KRS 164.295(5)]. The Kentucky Board of Nursing revised 201 KAR 20:60 to allow Kentucky's public regional universities to offer Doctorate of Nursing Practice (DNP) degree programs. In accordance with the 2010 legislation, Council staff worked in concert with the Kentucky Board of Nursing to develop a joint application process for those institutions wishing to implement DNP degree programs. Council staff has reviewed applications presented by Eastern Kentucky University, Northern Kentucky University, and Western Kentucky University. Reviews have been conducted by both the Council and KBN and are hereby recommended for approval.

MOTION: Ms. Miller moved that the Doctorate of Nursing Practice proposals be approved. Ms. Moore seconded the motion.

VOTE: The motion passed.

KENTUCKY CENTER  
FOR MATHEMATICS

Dr. Thompson presented the staff recommendation that the Council continue the contract for the Kentucky Center for Mathematics at Northern Kentucky University.

The Kentucky Center for Mathematics was created by House Bill 93, codified as KRS 164.525, in March 2005. Northern Kentucky University was selected as the host institution for the Center as a result of a request for proposals process, and the Council approved this selection in January 2006.

KRS 164.525 requires that the selection process be repeated every five years. Council staff issued a request for proposals for the 2011 competition in the fall of 2010. Input into the RFP was solicited and received from the Committee for Mathematics Achievement and the commissioner of the Kentucky Department of Education. Northern Kentucky University was the only applicant. A thorough review of their proposal was conducted by a committee consisting of representatives from the Kentucky Department of Education, the Education Professional Standards Board, and Council staff.

This review concluded that NKU has done an excellent job administering the Center during the last five years and has a strong plan for work in the future. Not only has the Center excelled in its delivery of primary intervention and coaching strategies to K-12 teachers, it has been an active partner across the state in all of the reforms surrounding 2009's Senate Bill 1.

Based on this background of excellent work and the proposed efforts over the next five years, Council staff recommends continuing the Center at NKU.

MOTION: Ms. Miller moved that the Council continue the contract for the Kentucky Center for Mathematics at Northern Kentucky University. Ms. Call seconded the motion.

VOTE: The motion passed.

## CEO REPORT

Dr. Rana Johnson, CPE's chief diversity officer, reported on the Committee on Equal Opportunities activities and its March 28 meeting.

*Revised Kentucky Administrative Regulation Implementing Diversity Policy:* The revised Kentucky Administrative Regulation, 13 KAR 2:060, that supports the Kentucky Public Postsecondary Education Diversity Policy and Framework for Institution Diversity Plan Development was forwarded to the Legislative Research Commission February 11, 2011. The revised KAR was posted to the Council website to allow the general public to review and comment on the modified regulation between March 1 and March 31, 2011. Additionally, the proposed regulation was published in the LRC Administrative Register.

Council administrators scheduled a public hearing for the revised regulation; however, the hearing was cancelled because no requests were made to attend. Significant public input to the regulation has already occurred. Written comments were accepted through March 31, 2011. The revised Kentucky Administrative Regulation is expected to be complete June 2011.

*Institutional Diversity Plan Submission/Review/Action:* The Kentucky Public Postsecondary Education Diversity Policy and Framework for Institution Diversity Plan Development requires each institution to develop and submit an institutional diversity plan. The original date for submission was March 15, 2011; however, Council staff revised the date to April 30 to enable institutions to receive additional input from campus interest groups. The next steps include a review/approval process conducted by the Council Institutional Diversity Plan

Review Team; KCTCS and universities' board of regents or trustees approval of institutional plans; CEO/Council action on the institutional diversity plans; and implementation of the institutional diversity plans.

*Governor's Minority Student College Preparation Program:* The GMSCPP Annual Conference will be hosted by Western Kentucky University at the Bowling Green campus, June 14-15, 2011. Approximately 20 programs will be included with 225 students and directors expected to participate. Because of limited funding, programs are limited to 10 students each.

The Academically Proficient African American High School Juniors and Seniors Conference will be hosted by Morehead State University in Morehead, Kentucky, June 17-18, 2011. Participation in this conference by students and parents is voluntary. Currently there are approximately 100 students and parents registered for the conference, and 50 registrants requested an opportunity to stay on campus overnight. The partners for this conference are the Council on Postsecondary Education, the Kentucky Department of Education, and Morehead State University.

COMMISSIONER OF  
EDUCATION REPORT

Commissioner Holliday was not available to attend the April 28 meeting but did provide a written report.

The report included:

- The Kentucky Board of Education adopted the following important positions: the board voted to give its full support to continuing the implementation of Senate Bill 1; the board is still committed to pursuing a Program Review for World Language as the first priority after the three Program Reviews mandated by Senate Bill 1 are in place; and the board unanimously supports House Bill 225, the Graduation Bill.
- On April 13, final approval of 703 KAR 5:200, Next Generation Learners, the regulation that defines the first component of the new accountability system, occurred. The regulation was passed unanimously and will enable the Kentucky Department of Education to move forward with the portion of the accountability model that affects achievement, gap, growth, readiness for college/career, and graduation rate. Passage of this part of the accountability system is a major step toward ensuring that all students graduate college and/or career ready!
- The Kentucky Board of Education joined all 174 local school districts on April 13 in committing to help prepare more of our graduates for college and career by passing a resolution in support of "The Commonwealth Commitment to College and Career Readiness." This is a bold commitment made by all of the local board chairs and superintendents across the state as well as a commitment made by the Council on Postsecondary Education and college presidents. The pledge made by school districts is to increase by 50 percent the number of college and career ready graduates by 2015.

REPORTS FROM  
INSTITUTIONS

Governor Patton called attention to the reports from the institutions included in the agenda book.

OTHER BUSINESS

Governor Patton reported on the Executive Committee Meeting earlier in the morning. The committee has begun the 2010-11 president evaluation process. The committee expects the process to be the same as the previous year. The surveys will be anonymous. Council staff will work with its independent accounting agency, Blue & Co., LLC, to receive the surveys. The Executive Committee will meet in early June to tabulate the surveys and will report to the full Council at its June 10 meeting.

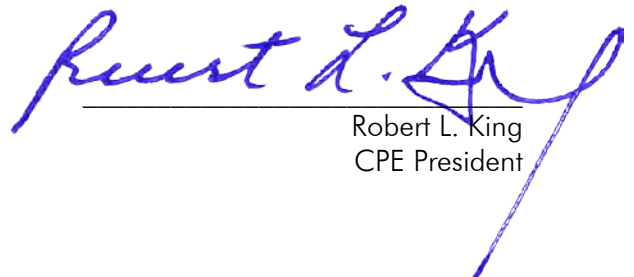
Dr. McKenney requested that Ms. Peg Munke, the chair of the Coalition of Senate and Faculty Leadership (COSFL), be included in the state stakeholders list receiving the survey. Governor Patton agreed to the request.

NEXT MEETING

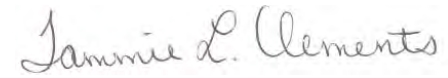
The next Council meeting is June 9-10 at Transylvania University in Lexington, Kentucky.

ADJOURNMENT

The meeting adjourned at 12:20 p.m.



Robert L. King  
CPE President



Tammie L. Clements  
Associate, Executive Relations



**Council on Postsecondary Education  
April 28, 2011**

**Overview and Update on  
College Readiness Objectives and Strategies**

In February, the Council on Postsecondary Education approved “Stronger by Degrees: A Strategic Agenda for Kentucky Postsecondary and Adult Education” for 2011-15. Over the next year, Council staff will present an update at each Council meeting on efforts to implement the objectives of one of the four focus areas of the agenda.

The first of these updates focuses on college readiness, an issue of high priority since the passage of Senate Bill 1 in 2009. The agenda advances three main policy objectives to guide the postsecondary system’s work in this area.

- Policy Objective 1 – Increase the number of college-ready Kentuckians entering postsecondary education.
- Policy Objective 2 – Increase the number of college-ready GED graduates.
- Policy Objective 3 – Increase the effectiveness of Kentucky’s K-12 teachers and school leaders.

The update will highlight collaborative initiatives underway to expand college and career readiness advising, develop intervention strategies for high school students who have not met college readiness benchmarks, align GED coursework with the common core standards, improve teacher training and faculty professional development, and other efforts to decrease the number of college students needing developmental education.

Staff preparation by Melissa Young





# STRONGER by DEGREES

## Focus on College Readiness



A Presentation to the  
Council on Postsecondary Education  
April 28, 2011



## **VISION:**

All Kentuckians will be prepared to succeed in a global economy.

## **MISSION:**

To deliver a world-class education to students, create and apply new knowledge, and grow the economy of the Commonwealth.

# FOUR AREAS OF FOCUS



**COLLEGE  
READINESS**

**STUDENT  
SUCCESS**

**RESEARCH,  
ECONOMIC, &  
COMMUNITY  
DEVELOPMENT**

**EFFICIENCY &  
INNOVATION**

# COLLEGE READINESS

## What are our Policy Objectives?

- 1) Increase the number of college-ready Kentuckians entering postsecondary education.
- 2) Increase the number of college-ready GED graduates.
- 3) Increase the effectiveness of Kentucky's K-12 teachers and school leaders.



# COLLEGE READINESS

## How do we Measure our Success?

- Readiness of Kentucky High School Graduates  
Who Enter College
- Number of GED® Graduates
- New Teacher Excellence  
(top 15 percent nationally on PRAXIS II)
- College readiness of all high school graduates
- College-going rate of high school graduates

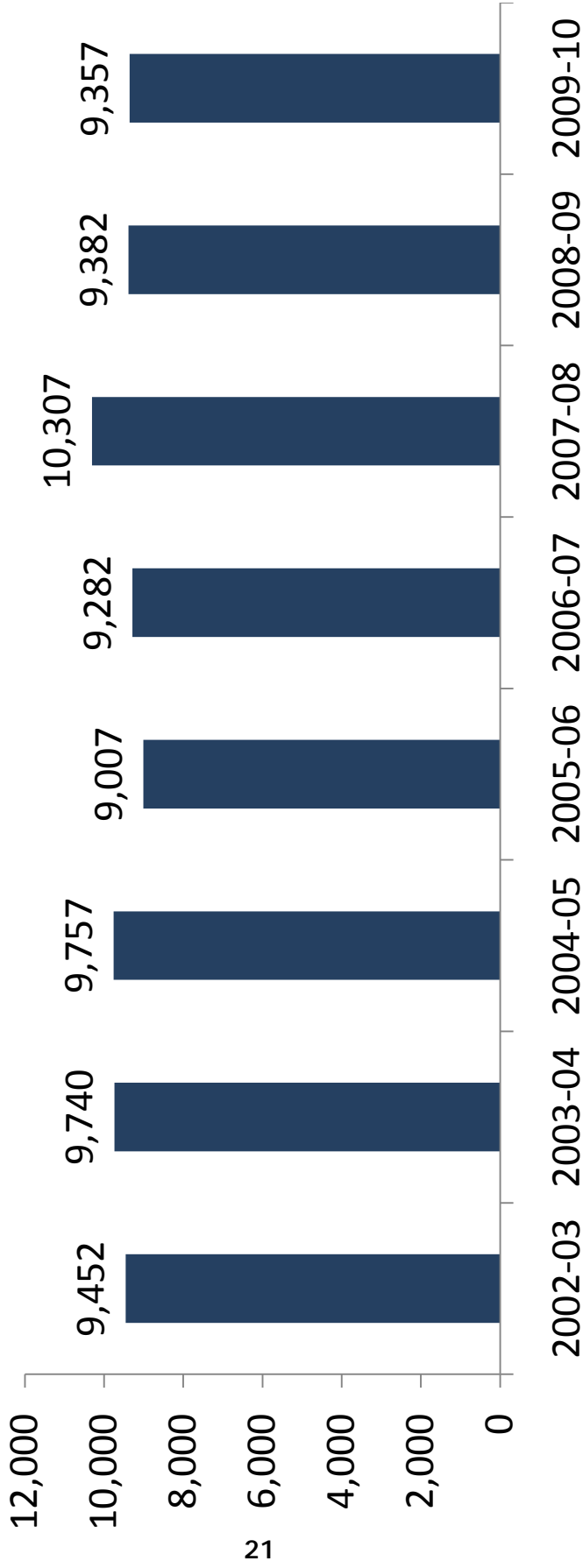


# Statewide Performance Metric: Readiness of Kentucky High School Graduates Who Enter College



Percent of recent Kentucky high school graduates entering college in Kentucky who meet statewide standards for readiness in English, mathematics, and reading. To be college-ready in a subject, students must have scored an 18 or higher on the relevant subject exam, or have earned an equivalent score on another college admissions or placement exam. For the entering class of 2010 and subsequent classes, the benchmark score for mathematics will rise to 19 and reading will rise to 20. Source: CPE KPEDS

# Statewide Performance Metric: Number of GED® Graduates

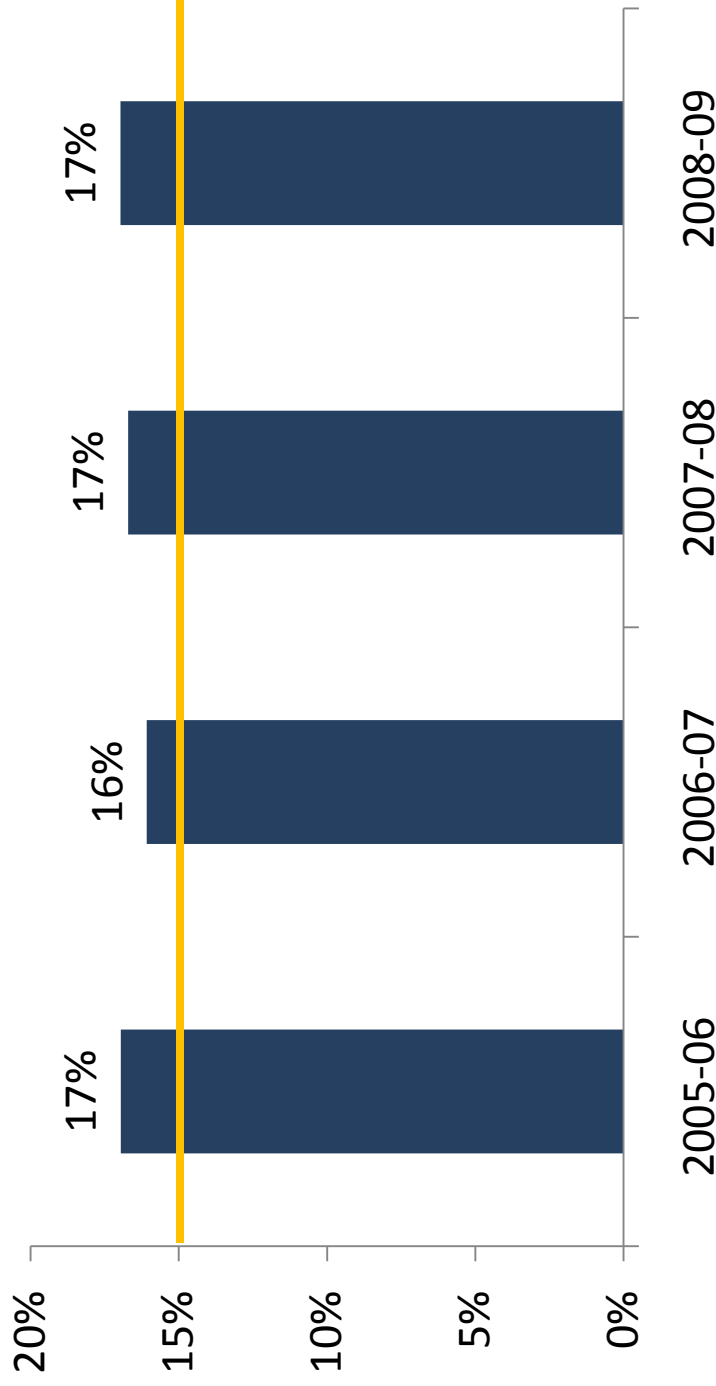


Number of GED® graduates between July 1 and June 30

Source: KYAE Adult Education Reporting Information Network (AERIN) and NRSpro



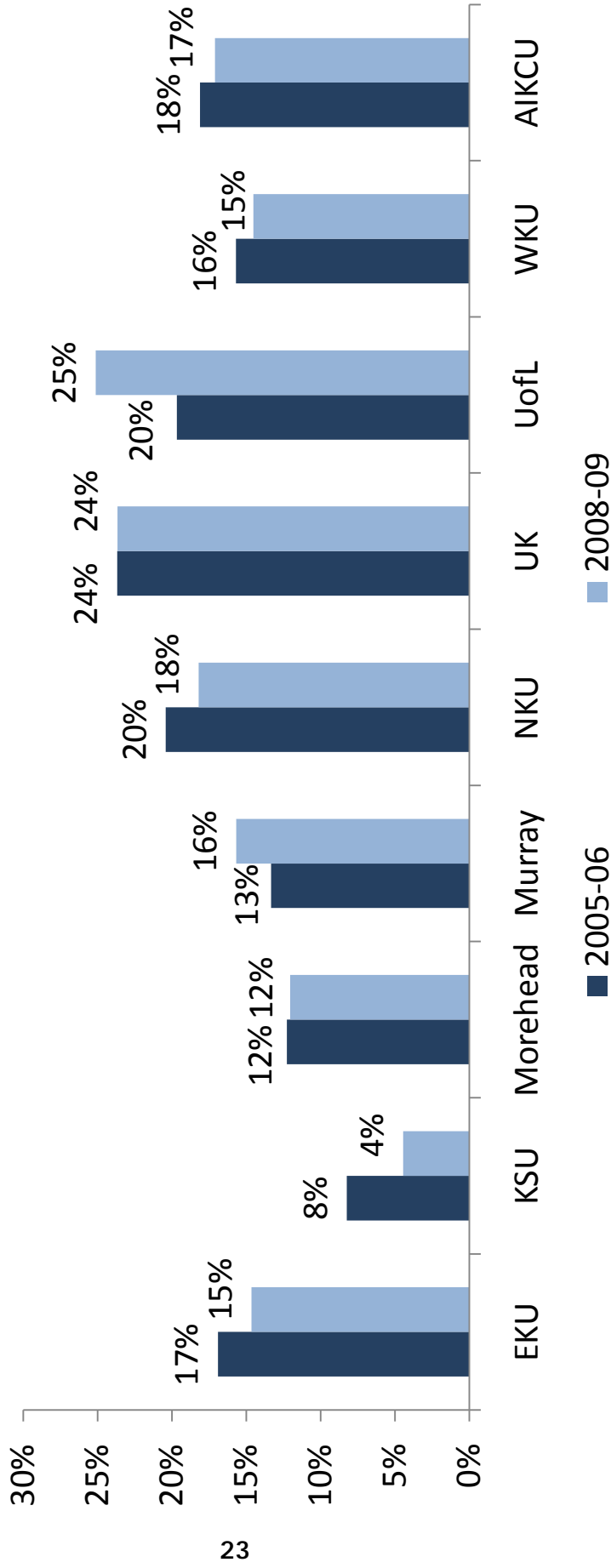
# Statewide Performance Metric: New Teacher Excellence (top 15 percent nationally on PRAXIS II)



Three-year smoothed average percent of teaching program graduates who score in the top 15 percent nationally on the Praxis II Principles of Learning and Teaching exit exams at all grade levels (ETS Recognition of Excellence Award).  
Source: EPSB



# Institutional Performance Metric: New Teacher Excellence (top 15 percent nationally on PRAXIS II)



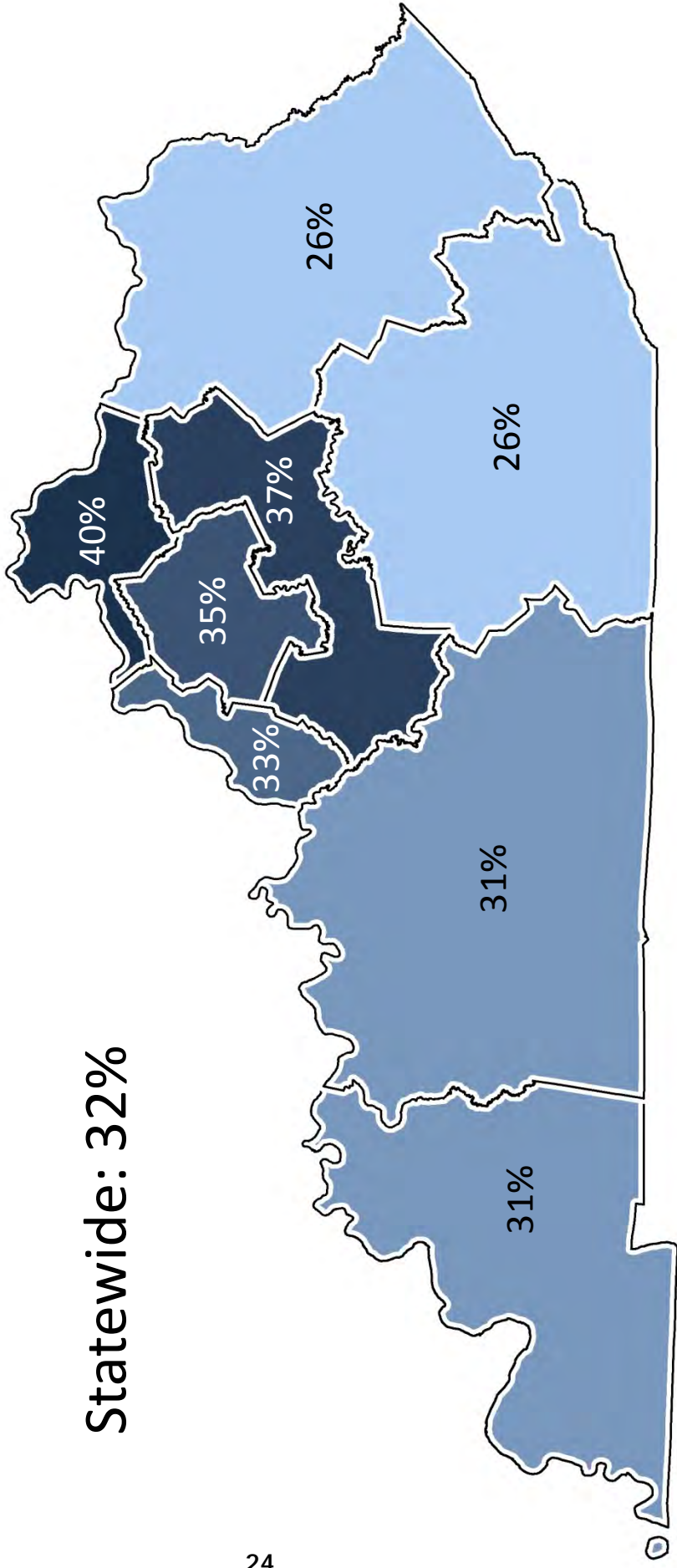
Three-year smoothed average percent of teaching program graduates who score in the top 15 percent nationally on the Praxis II Principles of Learning and Teaching exit exams at all grade levels (ETS Recognition of Excellence Award).

Source: EPSB

# Regional Performance Metric: College readiness of all high school graduates



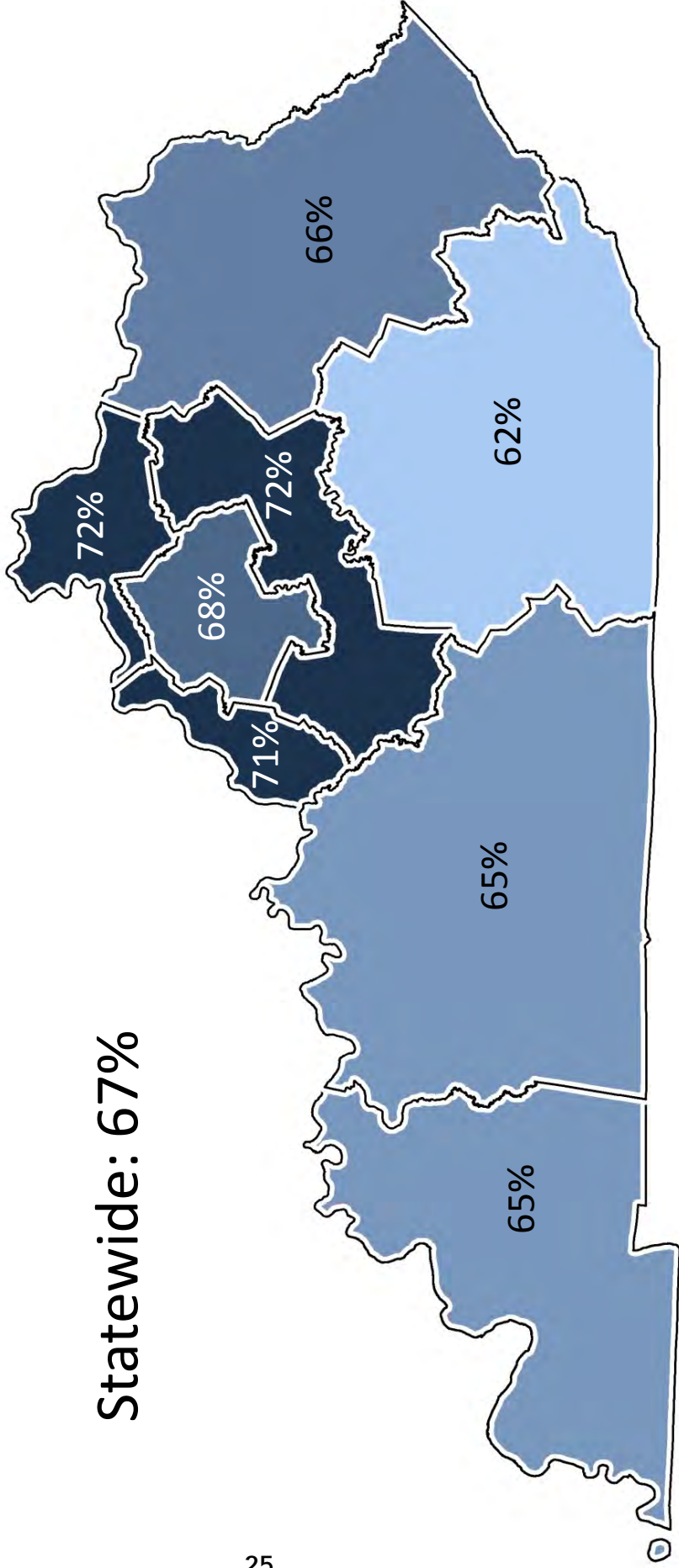
Statewide: 32%



# Regional Performance Metric: College-going rate of high school graduates



Statewide: 67%



# Strategies to Improve

**Objective 1:** Increase the number of college-ready Kentuckians entering postsecondary education.

- Align K-12, adult education, and postsecondary education standards, curriculum, and assessment processes as directed by *Senate Bill 1 (2009)*.
- Support effective intervention strategies for underprepared students prior to postsecondary admission.
- Strengthen the college-going and completion culture in Kentucky.



# Strategies to Improve

**Objective 2:** Increase the number of college-ready GED graduates.

- Increase enrollment and retention in Kentucky Adult Education programs and services.
- Implement initiatives to increase the number of Kentucky Adult Education students transitioning to postsecondary education.
- Attract, retain, and prepare highly effective adult educators.



# Strategies to Improve

**Objective 3:** Increase the effectiveness of Kentucky’s K-12 teachers and school leaders.

- Ensure K-12 educator preparation programs attract, retain, and prepare highly effective teachers and school leaders.
- Expand the role of higher education in the delivery of professional development for teachers, school leaders, guidance counselors, adult education instructors, and faculty members.





# STRONGER by DEGREES

## Focus on College Readiness



A Presentation to the  
Council on Postsecondary Education  
April 28, 2011







# 2011-15 Strategic Agenda

## Focus on College Readiness

*Goal: Kentucky will be stronger by ensuring more high school graduates, GED graduates, and working-age adults enter college prepared for credit-bearing work.*

**Policy Objective 1: Increase the number of college-ready Kentuckians entering postsecondary education.**

**Strategy 1.1: Align K-12, adult education, and postsecondary education standards, curriculum, and assessment processes as directed by *Senate Bill 1 (2009)*.**

- Council staff worked with the Kentucky Department of Education (KDE) and the Educational Professional Standards Board (EPSB) to design a **comprehensive process for revising literacy and mathematics standards and expectations** aligned from elementary to high school to postsecondary. The common standards are rigorous, in-depth, evidence-based, and consider international benchmarks.
- The Council convened a series of **postsecondary work team meetings** (comprised of teacher education and content faculty from all sectors, Kentucky Adult Education, representatives from K-12, and other statewide organizations) to review each draft of the common core standards and benchmarks for college and career readiness. These groups were assembled to create a broad-based understanding of Senate Bill 1, the progression and alignment of the English literacy and mathematics standards from elementary to high school to postsecondary introductory coursework ensuring that college readiness expectations were met.
- Council staff convened another series of meetings with campus college readiness representatives to create an understanding of Kentucky's revised standards and Senate Bill 1 and its impact for colleges and universities. These discussions led to a review of the literature of practices that impact student success, retention, and graduation rates. In addition, individual institutions identified and discussed evidence-based college readiness programs and services supporting high school and adult students entering college underprepared for credit-bearing coursework.
- The Council, in partnership with KDE, led the development of the ***Unified Strategy for College and Career Readiness***. Four goals were identified to reduce the need for remediation of recent high school graduates by 50 percent by 2014 and to increase college completion rates of students entering without being prepared for credit-bearing coursework. The defined unified strategies include: (1) accelerated learning opportunities; (2) secondary intervention programs; (3) college and career readiness advising; and (4) postsecondary college persistence and degree completion.
- Council staff represents Kentucky higher education on **multi-state assessment consortia teams to build assessments around the common core standards** and to make sure that those assessments align to postsecondary introductory course placement expectations.
- The Council **convened placement and readiness work teams to define learning outcomes** for developmental, transitional, and supplemental courses that align with the common core standards and entry into college credit-bearing coursework. In addition, these teams have made decisions on common placement assessments and benchmarks for college readiness. By fall 2012, students who meet the defined learning outcomes through placement testing or coursework will be guaranteed placement into college credit-bearing coursework without need of remediation across the Commonwealth.



# 2011-15 Strategic Agenda

## Focus on College Readiness

- The **Commonwealth Commitment for College and Career Readiness** was signed by the presidents of all public colleges and universities. This initiative expands efforts to build stronger linkages between K-12 schools and postsecondary education, improve student transitions from high school to college, support an ongoing model of collaboration, and lead the postsecondary system in the successful implementation of Kentucky's Unified Strategy for College and Career Readiness.

### **Strategy 1.2: Support effective intervention strategies for underprepared students prior to postsecondary admission.**

- High school teachers and postsecondary faculty developed **transitional courses for writing, reading, and mathematics** during the spring 2010 and 2011. High school students who successfully complete the transitional courses or other intervention programs and score at pre-defined college readiness levels will be guaranteed placement into credit-bearing courses in college.
- An **Advising Toolkit for all middle and high school teachers** is being developed which focuses on the skills and attitudes needed to enter college or the 21st century workplace prepared. The toolkit will be completed and ready for use by fall 2011.
- **Eight educational leadership networks** have been established throughout the state to support the full implementation of the common core standards. The leadership networks and postsecondary faculty training are described in the overview of Policy Objective 3 (separate document).

### **Strategy 1.3: Strengthen the college-going and completion culture in Kentucky.**

- Students are encouraged to take advantage of **AP and International Baccalaureate (IB) programs**. In 2010, nearly 10,000 Kentucky students took AP courses. Nearly 5,000 of those students scored three or higher and will earn college credit. AdvanceKY, a statewide initiative lead by the Kentucky Science and Technology Corporation, has expanded access to, participation in, and success of such programs for underrepresented students in the areas of mathematics, science, and English.
- **Dual credit opportunities** are being provided across the higher education system, and KCTCS has partnered with high schools to promote career readiness within dual credit programs. These programs create opportunities for students who may not consider college an option to receive a postsecondary credential and then continue the educational opportunities through degree completion.
- Kentucky recently became one of 41 states participating in a **Jobs for the Future (JFF) initiative to expand early college programs** for students who may not have considered postsecondary education. JFF develops, implements, and promotes new education and workforce strategies that help communities, states, and the nation compete in a global economy. Through this initiative, KDE and CPE will work closely with JFF advisors, postsecondary institutions, and school districts to expand effective early college options in Kentucky.



## 2011-15 Strategic Agenda Focus on College Readiness

- **Summer bridge programming**, offered on most public postsecondary campuses, builds skills for students entering college not yet prepared for credit-bearing coursework. Student mentoring, academic advising, and tutoring are essential components of these programs and have demonstrated success in closing the gaps for first generation students and other diverse student populations.
- **The Kentucky College Coaches program**, established in 2010, is a statewide, near-peer advising program for recent college graduates who help K-12 students navigate the steps from high school into college. In its first year, the program funded 65 full time and 19 quarter time AmeriCorps members to serve as College Coaches in high schools and middle schools across the Commonwealth. The coaches work alongside guidance counselors and other faculty and staff to support students as they transition from high school to college. The program will especially focus on students who might not envision themselves going to college, first generation college students, and/or populations with low rates of college enrollment.
- **Gaining Early Awareness and Readiness for Undergraduate Programs (GEAR UP) Kentucky** is a federally-funded program administered by the Council that encourages middle and high school students to stay in school, study hard, and take the right courses to go to college. GEAR UP Kentucky is focused on improving the skills of at-risk students and influencing their educational choices through enhanced guidance and support. GEAR UP also helps students prepare and plan for college and become aware of financial aid opportunities.





# 2011-15 Strategic Agenda

## Focus on College Readiness

*Goal: Kentucky will be stronger by ensuring more high school graduates, GED graduates, and working-age adults enter college prepared for credit-bearing work.*

### **Policy Objective 2: Increase the number of college-ready GED graduates.**

#### **Strategy 2.1: Increase enrollment in Kentucky Adult Education (KYAE) programs and services.**

- In recognition of the current economy and high unemployment rates, KYAE is offering free GED testing through June 30, 2011, and is waiving the usual \$55 test fee.
- Through a partnership with the Kentucky Broadcaster's Association, KYAE is conducting a 12-month outreach campaign featuring a television public service announcement produced by the National Ad Council. The partnership will also include a short-term radio campaign to promote free GED testing.
- In addition to statewide marketing, KYAE will help sustain local marketing efforts by providing ongoing support to local programs through resources, technical assistance, and training.
- In the 2010-11 Program Director Institutes, KYAE has promoted innovative uses of technology and its ongoing partnership with KET in an effort to appeal to younger, more tech-savvy students as well as those who have different learning styles.

#### **Strategy 2.2: Implement initiatives to increase the number of Kentucky Adult Education (KYAE) students transitioning to postsecondary education.**

- With input from adult education programs across the state, KYAE is finalizing the parameters and requirements for the statewide transition to a managed program model as an important recruitment, instruction, and retention strategy. Research suggests that the managed program model results in increased student retention and results.
- The implementation of KYAE Common Core Standards and the alignment of curricula to the standards will lead to higher GED scores and the potential that GED graduates will transition into credit-bearing college coursework.
- KYAE has expanded its SkillUp Kentucky pilots to seven counties. SkillUp is an innovative contextualized instructional program that combines GED attainment with stackable certificates and an opportunity to earn college credit toward a certification or degree program.
- In a four-county pilot, KYAE is partnering with the University of Kentucky to place transition navigators in adult education programs to provide students with career, academic, and personal counseling to minimize barriers hindering students from earning a GED and enrolling in postsecondary education.
- KYAE will provide an opportunity for adult education programs to apply for grants to test selected transitions curricula leading to college-ready GED graduates.



# 2011-15 Strategic Agenda

## Focus on College Readiness

### **Strategy 2.3 Attract, retain, and prepare highly effective adult educators.**

- KYAE has been in conversations with other states to explore best practices and replicable models for the development of a potential adult education credential based on ongoing participation in specific continuing education courses.
- KYAE's professional development model will be focused for the next two years on aligning curricula to KYAE Common Core Standards and preparing instructors to teach the higher-level skills required by the standards.
- To ensure high-quality, research-based professional development, KYAE is contracting for services from the Adult Education Academy, Morehead State University; Kentucky Center for Mathematics, Northern Kentucky University; Collaborative Center for Literacy Development, University of Kentucky; and the National Center for Family Literacy.



# 2011-15 Strategic Agenda

## Focus on College Readiness

*Goal: Kentucky will be stronger by ensuring more high school graduates, GED graduates, and working-age adults enter college prepared for credit-bearing work.*

### **Policy Objective 3: Increase the effectiveness of Kentucky's K-12 teachers and school leaders.**

#### **Strategy 3.1: Ensure K-12 educator preparation programs attract, retain, and prepare highly effective teachers and school leaders.**

- Council staff members are working closely with the Educational Professional Standards Board (EPSB) to **design and review Teacher Leader Masters Degrees for rank change**. Among other things, programs must collaborate with districts in design of programs; focus on student achievement; provide job-embedded professional experiences; allow for multiple career pathways; easily transfer across institutions; emphasize differentiated learning and classroom management; and emphasize leadership development.
- The Council also is working with the EPSB to revise **Principal Preparation Programs**. Programs must focus on instructional leadership, student achievement, and gap closure; provide access to ongoing mentoring services from successful school leaders; include successful school and district practitioners as faculty members; provide ongoing professional development for program faculty; and provide a structure for continuous program review of graduates' success as school leaders.
- The Committee to Review Superintendent Programs made recommendations to EPSB concerning the current state of **superintendent preparation in Kentucky**, including the inclusion of high-quality clinical experiences; the alignment of superintendent preparation with teacher leader master's programs and principal programs; and the review of programs that prepare supervisors of instruction and directors of pupil personnel.
- Council staff were represented on the **Committee to Review Admissions and Clinical Experiences for Pre-Service Programs (CRACE)** which recommended several key changes to educator preparation programs, including requiring that all new teacher candidates pass rigorous basic skills tests; raising the required GPA for admission; requiring teacher candidates to demonstrate the essential teacher dispositions and 21st Century Skills, supporting the Kentucky Teacher Internship Program (KTIP); and ensuring a sufficient number of high-quality clinical experiences across the program.
- The Committee to Review Cut Scores for Assessments (CRCSA) is examining assessment performance data to recommend an updated cut score framework for teacher licensure to the EPSB.
- The Program and Accreditation Review Committee (PARC) is charged with reviewing current program approval and accreditation processes and then making recommendations for improving those processes.
- Council staff is working with EPSB to design an **evaluation process of teacher preparation programs** that will include, but not be limited to, redesigning the current seven-year cycle of accreditation; providing a continuous electronic program review and approval of all preparation programs; developing a Quality Performance Index (QPI) for teacher preparation programs; including a value-added measure of teacher preparation programs that uses K-12 student performance data; and evaluating the effectiveness of Teacher Leader and principal preparation programs.







# 2011-15 Strategic Agenda

## Focus on College Readiness

**Strategy 3.2:** Expand the role of higher education institutions in the delivery of professional development programs for teachers, school leaders, guidance counselors, adult education instructors, and faculty members.

- **Leadership networks have been established** to disseminate and implement the new common core standards. Networks are facilitated by teams representing local education cooperatives, the Kentucky Department of Education, and institutions of higher education. Council staff members were instrumental in the design of these networks and the discussions that ensured the inclusion of higher education faculty.
- Per a MOU with the Council, universities have committed at least the equivalent of two full-time faculty members to the work of P-12 professional development networks, using institutional resources and faculty expertise as appropriate and consistent with the missions and the faculty personnel practices of the individual institutions.
- Through an RFP process, **three academies are being established to promote college readiness and success** through the development and implementation of collaborative activities with public universities and KCTCS institutions, P-12 schools, adult education programs, and independent colleges and universities. The monies will encourage the development of sustainable plans for promoting college and career readiness using placement and diagnostic assessments.
- The Council has developed several online professional development modules for faculty. ***Introduction to Senate Bill 1 (2009) and the Kentucky Core Academic Standards*** describes the main components of Senate Bill 1 (2009) and explains the impact of Senate Bill 1 (2009) on postsecondary institutions. ***The Impact of Senate Bill 1 (2009) on Assessment and Accountability*** provides an introduction to assessment and accountability and includes examples of P-12 and postsecondary assessment being used in the classroom. Other online modules are in development for Mathematics, English/Language Arts, and Literacy.
- The Council is **hosting eight workshops (including two webinars) for postsecondary faculty**. These informational workshops reinforce the material found in the modules and include sessions on assessment, English/Language Arts and Literacy Standards, Mathematics Standards, and teacher education.
- The Council is represented on the KDE work group designing **a new statewide teacher evaluation rubric**. All aspects of teacher performance will be included, particularly growth in student achievement. The goal is to create an instrument that better allows administrators to work with teachers to improve overall performance. The rubric is in draft stage and will soon be released for pilot testing in several districts.

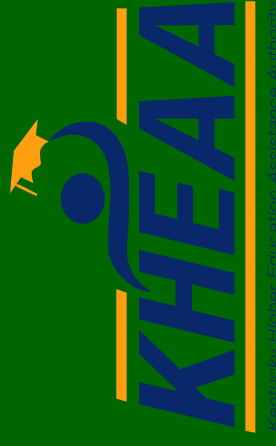




# PAVING THE WAY TO COLLEGE ACCESS AND SUCCESS

Reaching Out to Kentucky Students and Families

**Council on Postsecondary Education  
Board of Directors Meeting  
April 28, 2011**



# WHY DO OUTREACH?

KHEAA was created by the Kentucky General Assembly in 1966 for the purpose of **providing vital public purposes that improve the public welfare and prosperity of the people of the Commonwealth of Kentucky by *promoting the availability of and enhancing accessibility to higher educational opportunities.*** - KRS 164.742

# WHY DO OUTREACH?

*Together, the mission of both KHEAA and KHESLC is to provide resources to make higher education accessible for Kentucky's current and future generations.*

# WHY DO OUTREACH?

- 🎯 Kentucky ranks 48<sup>th</sup> in per-capita income and has the **5<sup>th</sup> highest poverty rate in the nation**. This environment breeds a social culture that does not often see the value of higher education.
- 🎯 Research has demonstrated a direct correlation between educational attainment and per-capita income.

# OUTREACH PROGRAM GOALS

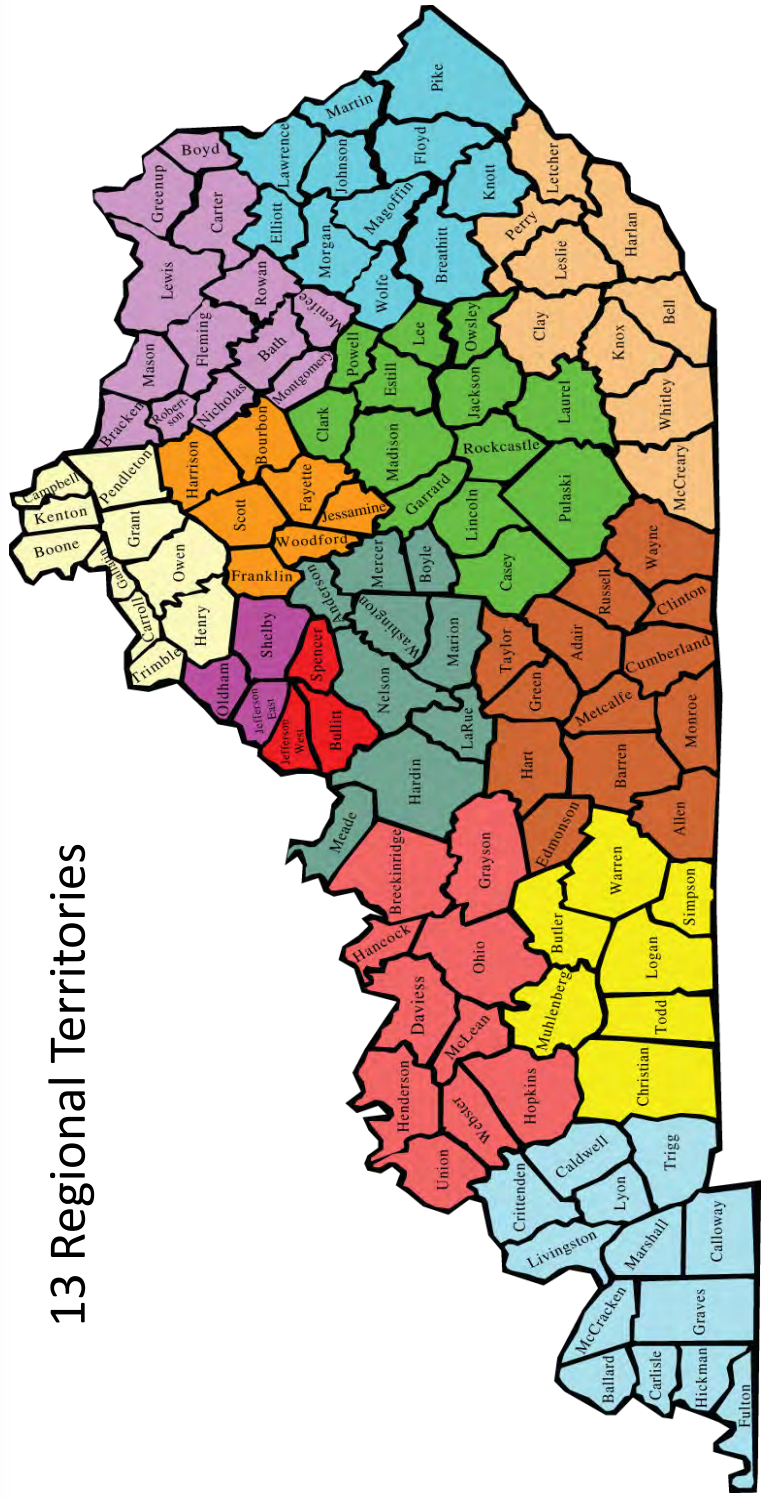
- ① **Increase KY's college-participation rate by:**
  - ① Informing students about the **benefits** of higher education and the many **opportunities** to continue their education beyond high school.
  - ① Giving **information** to students and parents to assist them in making informed decisions on planning and paying for college or technical school.
  - ① Many other college access programs/providers use our publications and resources in their work with students and families.
  - ① Familiarizing Kentuckians with our **financial aid programs and services.**

# REGIONAL OUTREACH

- ⦿ Outreach counselors live and work in 13 regions across the state. They promote higher education opportunities by:
  - ⦿ **Disseminating KHEEA information**, materials, media packets and publications;
  - ⦿ **Providing** financial aid, admissions, financial literacy and other **presentations and workshops**;
  - ⦿ **Participating in** career fairs, college nights, Reality Stores and other related **events**.
  - ⦿ **Making personal, on-site visits** to service providers.

# OUTREACH TERRITORIES

13 Regional Territories



# RELATIONSHIPS\*

- ⊙ KHEAA Outreach staff is relied upon as a trusted resource.
  - ⊙ Community-Based Agencies
  - ⊙ Cooperative Extension (4-H) Offices
  - ⊙ Faith-Based Organizations
  - ⊙ Family Resource/Youth Services Centers
  - ⊙ **GEAR UP Programs**
  - ⊙ Health Department/Hospitals
  - ⊙ Libraries
  - ⊙ Local Government Agencies
  - ⊙ Nonprofit Foundations and Groups
  - ⊙ Professional Organizations
  - ⊙ Social Service Programs
  - ⊙ TRIO Programs

\* Contacts include, but are not limited to, these groups.





# PRESENTATIONS

- 🎯 **Age-appropriate presentations/workshops**  
designed for:
  - 🎯 Middle school (6<sup>th</sup>, 7<sup>th</sup> and 8<sup>th</sup> grade) students (KEES)
  - 🎯 High school freshmen/sophomores
  - 🎯 High school juniors/seniors
  - 🎯 Students and parents
  - 🎯 Adults returning to school
  - 🎯 Community service providers and others

# COLLEGE INFO ROAD SHOW

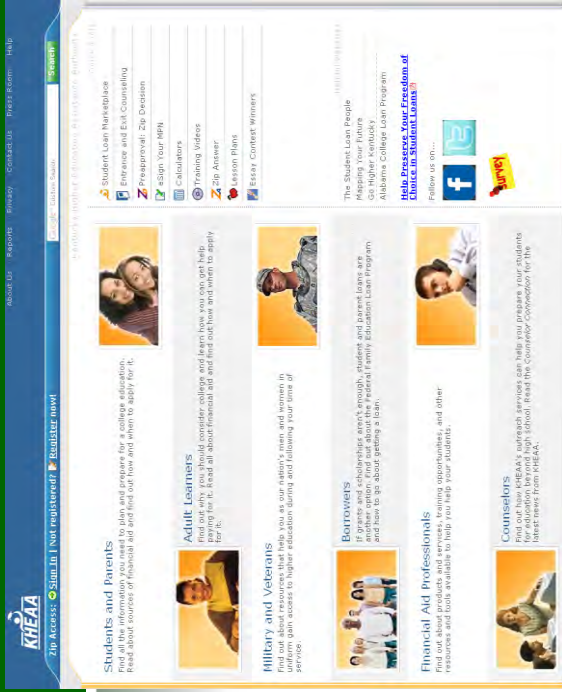


🎯 KHEAA's **mobile center** is

designed to improve access to higher education by providing a host of critical services to students and parents in their own communities.

- 🎯 **Uses technology to connect students** with the information they need to research, pursue and succeed in their educational future beyond high school.
- 🎯 12 computer workstations with automatic satellite Internet access
- 🎯 3 LCD monitors (external monitor for outdoor events)
- 🎯 Wireless/digital sound (with DVD player)

# ENHANCED KHEAA.COM



Interactive web portal

KHEAA's single point of information for students, parents, counselors and others, including:

- Career/college exploration
- Financial aid information
- College admissions/school evaluation tools
- Scholarship searches
- Distance and online learning
- Publications and newsletters
- Personalized ZIPAccess account
  - KES award amounts
  - Individualized college planning profile

# FREE RESOURCES

- 🎯 Adults Returning to School
- 🎯 Affording Higher Education
- 🎯 The College Circuit
- 🎯 Getting Started (online only)
- 🎯 Getting Set (online only)
- 🎯 Getting Ready (online only)
- 🎯 Getting In
- 🎯 I Can Go to College, Too!



Many publications can be found at [kheaa.com](http://kheaa.com).



# INDICATORS OF SUCCESS

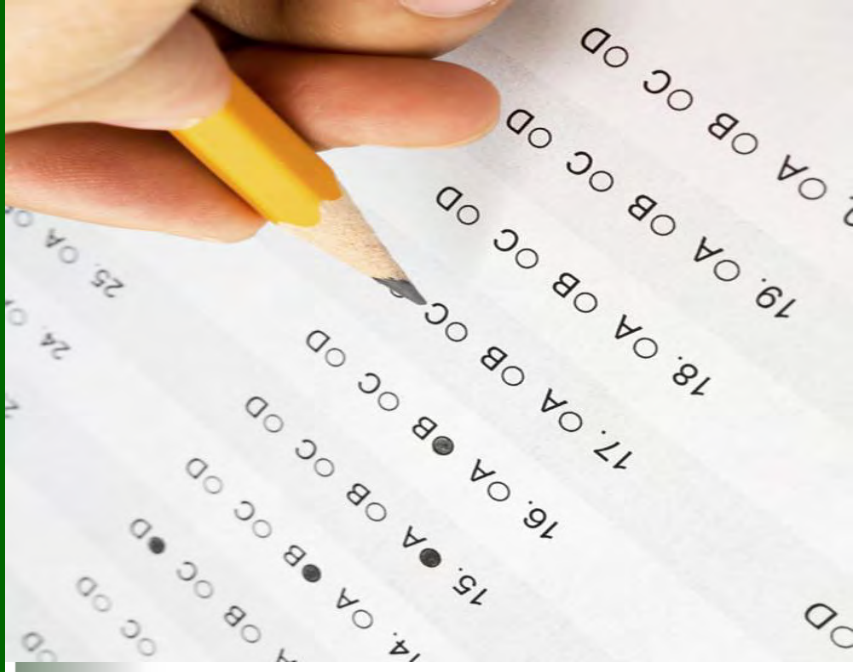
- ⊙ College participation rates
- ⊙ College participation rate of low-income students
- ⊙ Postsecondary enrollment
  - ⊙ Student Tracker (National Student Clearinghouse)
- ⊙ Adults enrolling in college
- ⊙ Change in students applying for financial aid
- ⊙ Use of on-line tools



# TOOLS FOR PROGRAM EVALUATION (STUDENT)

## Student Surveys

- Pre- and post-presentation questionnaires
  - Factual Information
  - Warm and fuzzies
- Analysis indicates:
  - Outreach activities are altering participants attitudes and expectation toward higher education and the information presented is alleviating students' anxieties about being able to afford college.
  - Participants gain a significantly better base of knowledge regarding what types of aid are available, how to apply for aid and are more aware of resources.



# TOOLS FOR PROGRAM EVALUATION (COUNSELORS)

## Counselor Surveys

- Evaluate
  - Presentations/workshops
  - Exhibits
- Rate resources/services:
  - Outreach counselor contact/set-up
  - Publications
  - KEES information
  - Financial aid information
  - Case study/EFC illustrations
  - Web resources



# COLLEGE ACCESS CHALLENGE GRANT

- ⊙ KHEAA is once again the state's designated agency for the College Access Challenge Grant.
- ⊙ The new grant cycle runs through 2014
- ⊙ With this funding, KHEAA is planning several new initiatives to enhance our college access efforts across the state.
- ⊙ KHEAA contributes \$1.6 million of agency funds as match.



# SEEKING INPUT & FEEDBACK



**SurveyMonkey.com**  
because knowledge is everything

- ⦿ Prior to determining how our College Access Challenge Grant funds would be used, we contacted our stakeholders and partners asking for their suggestions and comments.
- ⦿ **Electronic surveys** were sent to school counselors, GEAR UP and TRIO providers, community access programs and others asking for their input.



# CONSIDER THIS

## Students

- Just another “face in the crowd”
- Rank counselors poor in helping them:
  - Select a college
  - Find ways to pay for college
  - Think about different career paths



## Counselors

- ASCA recommends a student/counselor ratio of 250:1
  - Average in KY 468:1
- Counselor Feedback:
  - Prefer to be doing individual and small group counseling and consultations
  - Doing many more “other activities” than they’d like

- Presentations
- Exhibits
- Electronic tools
- Newsletters



# ENHANCEMENTS AND NEW INITIATIVES

- ② Additional Field Staff
- ② Mentors in High-Risk Schools
- ② Individual Learning Plan (ILP) Expansion
- ② Enhancements to Electronic Tools

# KY COLLEGE COACHES

- ⊙ Partnership with Berea College and the Kentucky Campus Compact.
- ⊙ Participating schools selected according to **low-income and college-going-rate statistics.**
- ⊙ **Near-peer mentors** assigned to work one-on-one with students at specific schools.
- ⊙ Pilot program will include College Coaches across the state. KHEAA will sponsor 24 of these.
- ⊙ Hiring, placement and training is currently taking place.

# ILP EXPANSION

- ① KHEAA has expanded availability of Career Cruising's Individual Learning Plan (ILP), previously available only to public school students.
- ① **Kentucky's private, adult and home school students** now have access to this tool.
- ① Summer and fall trainings now being scheduled.

# TRANSCRIPT EXCHANGE

- ⦿ KHEAA provides an **electronic transcript option** to Kentucky schools.
- ⦿ The system optimizes the application process to Kentucky universities and colleges for all participants:
  - ⦿ **Students** can initiate the process through their [kheaa.com](http://kheaa.com) account.
  - ⦿ **Counselors** can review and process batches of transcript requests more much efficiently than through the paper process.
- ⦿ In the future, the transcript exchange will be moved to [kheaa.com](http://kheaa.com).

# KHEAA COLLEGE COST & PLANNING REPORT™

- ③ **Personalized College Planning Tool**
  - ③ Provides a personalized breakdown of college costs and anticipated financial aid.
    - Individuals log on to **kheaa.com** and enter income information to determine their Expected Family Contribution (EFC).
    - Aid is awarded based on NCES data.
  - ③ Helps families make informed decisions about their higher education options.



# CPE PARTNERSHIP: GEAR UP

- ⊙ KHEAA is a major partner in the Council on Postsecondary Education's GEAR UP Kentucky II Grant. As part of this partnership we provide:
  - ⊙ In-kind services for **match dollars**
  - ⊙ **\$1.5 million** in GEAR UP scholarships
    - ⊙ Administrative support for disbursement
  - ⊙ **Concentrated effort** from KHEAA's Regional Outreach Team: 18 visit minimum to GEAR UP schools
  - ⊙ **Priority scheduling** of the College Info Road Show



# CPE PARTNERSHIP: SREB'S GO ALLIANCE

🎯 KHEAA has recently combined our resources with those from CPE for a shared membership in the Southern Regional Education Board's *Go Alliance* initiative.

🎯 *Go Alliance* is an interstate cooperative that works to increase college access, awareness, and attendance through the use of applied social marketing techniques. *Go Alliance* aims to send clear messages to students about the importance of graduating from high school as well as how to get ready for college and overcome common financial and personal barriers.

# Q & A

⦿ **What questions can we answer for you about our outreach programs and services?**

⦿ **Contact Info:**

**Ted Franzeim**

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(502) 696-7284

**Susan Hopkins**

Outreach Services Manager  
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## President's Report to the Council on Postsecondary Education

Robert L. King – April 28, 2011



**Kentucky Adult Education** – The Council on Postsecondary Education is sponsoring free GED testing through June 30. Kentuckians taking the test from mid-March to the end of June will not have to pay the usual \$55 fee. This incentive is expected to help many Kentuckians jumpstart their education and career. Most of us have been affected in some way by the tough economic times over the past couple of years, and the impact is even greater for those looking for work and do not have a high school diploma. Free GED testing is a way to help the individual student and increase GED attainment in Kentucky at the same time. As the economy recovers, this is an excellent time for people who do not have a high school credential to earn their GED and transition to postsecondary education so that they are prepared for the workforce. In 10 years, nearly 105,848 Kentuckians have earned a GED, ranking Kentucky 13th highest in the nation in the percentage of non-high school completers earning a GED.

**Licensure Update** – During the 2011 regular legislative session, a bill was introduced that would have moved the licensure of for-profit associate degree granting institutions from the State Board for Proprietary Education to the Council on Postsecondary Education. The bill did not pass, however I anticipate the issue may arise in future sessions. There also is increasing federal interest in for-profit schools and distance education. The U.S. Department of Education has new federal regulations currently scheduled to go into effect July 1, 2011. CPE has been active in the reviews of institutions it licenses, and has tightened up procedures and enforcement. The Council currently is exercising its authority to do site visits to institutions to ensure quality and consumer protection.

**Kentucky General Assembly 2011 Regular Session and Special Session Key Postsecondary Education Summary** – Key legislation passing in the regular session included SB 130 addressing advanced practice doctoral degrees at the comprehensive institutions, and SR 235 and SR 236, the Senate's gubernatorial confirmations of Marcia Ridings and Dennis Jackson to the Council. The Governor's proposed budget amendments regarding Medicaid and postsecondary education institutions (federal Ed Jobs "maintenance of effort" technical changes) did not pass nor did HB 125, the proprietary education accountability legislation. However, in the special session, HB 1, the Governor's Medicaid and postsecondary education budget amendments bill, including the maintenance of effort technical changes, did pass.

**Postsecondary Budget Update** – As anticipated, the ending result of the 2011 Extraordinary Session of the General Assembly for the public postsecondary education institutions was a technical increase of \$18.9 million in base funding in FY11, and a corresponding decrease in General Funds in FY12 in order to satisfy a federal maintenance of effort requirement that enabled Kentucky to receive additional K-12 education funds. Similar to the adjustments made for the federal ARRA State Fiscal Stabilization Funds several years ago, the Governor and the State Budget Director have assured the campuses that this technical adjustment is not intended to negatively impact the starting base for public postsecondary education institutions as part of the next budget development process. Even though the 2011 Extraordinary Session provided a temporary budget solution for the current biennium for Medicaid and education, there is still approximately \$169 million in additional "efficiency" savings that the Governor and the Office of the State Budget Director need to balance the budget in FY12. The timing and the financial impact of these additional "efficiency" reductions is uncertain.

The Chief Budget Officers (CBOs) from all the public postsecondary institutions have been meeting regularly over the last six months to discuss ideas and concepts for the 2012-14 operating and capital budget request. The major budget categories being discussed are adjustments to base funding, incentive/performance funding, and capital investments. In particular, the CBOs have discussed new approaches to performance funding that would help stimulate progress on the new 2011-15 Strategic Agenda, as well as a different approach for capital investments that would provide a more realistic and balanced approach to maintaining and growing the infrastructure for postsecondary education over the next five to six years. The 2012-14 budget development timeline calls for a concept paper to be drafted by May, a first draft of the budget request due by the end of July, a second draft due by the end of the September, and a final draft due by early October in time to review for Council action in November 2011.

**CPE VP presented keynote addresses on student success and internationalization in the Netherlands** – It is particularly gratifying that the caliber of our staff and our efforts to promote student success are being recognized both nationally and internationally. We were very pleased that Aaron Thompson was invited to present two keynote addresses at the Education Conference at the Hogeschool van Amsterdam (HvA), University of Applied Sciences, in the Netherlands in March. This is an exceptional honor both for Aaron and Kentucky. As you know, Aaron is a leading authority on student success and diversity. His keynote was titled “From Diversity Awareness to Cultural Competence.” I am very pleased to share with you that one of the outcomes of his participation is a plan to arrange exchange programs in the areas of education, physical education, sports management, and nutrition with Kentucky universities. HvA is one of the largest institutes for higher professional education in the Netherlands.

**KCTCS and MoSU Online Transfer Agreement** – I was pleased to participate in the announcement of an agreement signed last week between KCTCS and Morehead State University announcing a new completely online transfer program. The new program is unique in that it is geared toward place-bound working adults who have earned an Associate of Applied Science degree (AAS) from KCTCS but need to earn a bachelor’s degree to become upwardly mobile in the workforce. AAS graduates typically do not take general education, so this program will provide the necessary general education for students after they transfer. Students seeking admission to MoSU must (1) complete an AAS degree, (2) meet MoSU’s requirements for admission which include a minimum grade point average of 2.0 for all classes taken, and, (3) apply to and be accepted into MoSU’s Bachelor of University Studies in professional studies program. This transfer program is a great example of innovative and cooperative planning in the area of student transfer.

**Communications Tools** – One of my goals continues to be to communicate more effectively and efficiently with our key audiences. As we discussed at our retreat in February, we have several communications projects under way that are now complete and available to you. The By the Numbers handout is a versatile “quick take” on the size and scope of our system and provides a concise snapshot with numbers. The one-page handout covers four key categories - colleges, universities, and adult education; students; finance; and performance metrics. Some of the highlights included are numbers for student diversity, ACT performance, student financial aid awarded, and total public funds for public institutions. The second handout is an FAQ on the role and responsibilities of the Council, and the key legislation that impacts our work. The third handout is an FAQ on the new 2011-15 Strategic Agenda, Stronger by Degrees. We will make these materials available to you in the quantities you request. Finally, we developed a basic PowerPoint presentation that can be customized for your purposes. If you are interested in making presentations in your local communities, we will be happy to help you make those arrangements. Council staff are available to accompany to you. The materials are available on our website for easy access.

**Council on Postsecondary Education  
April 28, 2011**

## **2011-12 Tuition and Mandatory Fee Recommendation**

*The Council staff used a collaborative process to develop its 2011-12 tuition and mandatory fee ceiling recommendation, which included sharing information and engaging in discussions between and among campus presidents and their staffs, Council members, student groups, and executive and legislative leadership.*

*Based on feedback received from multiple stakeholders, there is a general sentiment that, during this period of sustained economic stringency, increases in resident, undergraduate tuition and mandatory fees should be moderate to support a necessary balance between students' and families' ability to pay for college and adequate institutional funding to support continued progress toward achieving the goals of HB1 and the new Strategic Agenda.*

**ACTION:** The staff recommends that the Council approve 2011-12 resident, undergraduate tuition and mandatory fee ceilings that equate to maximum base rate increases of 4 percent for KCTCS, 5 percent for the comprehensive universities, and 6 percent for the research institutions, rounded to the nearest whole dollar.

The staff also recommends that the Council maintain the current floor for nonresident, undergraduate tuition and mandatory fees of two times the resident, undergraduate rate.

Finally, the staff recommends that the public universities be allowed to submit for Council approval market competitive resident and nonresident tuition and mandatory fee rates for graduate and online courses.

These recommendations are consistent with Council approved Tuition and Mandatory Fee Policy objectives, including funding adequacy, shared benefits and responsibility, affordability and access, attracting and importing talent, and effective use of resources.

### **2011-12 Resident, Undergraduate Tuition and Mandatory Fees**

The table on the next page contains staff recommended dollar ceilings by sector for 2011-12 resident, undergraduate tuition and mandatory fees.

### **Nonresident, Undergraduate Tuition and Mandatory Fees**

The current floor for nonresident, undergraduate tuition and mandatory fees is two times the resident, undergraduate rate. The staff recommends that the Council maintain the current floor. Any institution desiring to assess a nonresident, undergraduate rate that is less than two times the resident, undergraduate rate is expected to request an exception to this policy when they submit their 2011-12 tuition and mandatory fee rates for final Council action.

**2011-12 Resident, Undergraduate Tuition and Mandatory Fee  
Dollar Ceilings by Sector (@ 4%, 5%, and 6% Increases)**

Sector Percent Ceiling Institution	2010-11 Tuition and Fee Base Rates	2011-12 Tuition and Fee Dollar Ceilings <sup>(a)</sup>	Dollar Increase at Ceiling
<b>Increase up to 4%</b>			
KCTCS	\$130 per credit hour	\$135 per credit hour	\$5 per credit hour
<b>Increase up to 5%</b>			
EKU	\$6,624	\$6,960	\$336
KSU <sup>(b)</sup>	\$230 per credit hour	\$242 per credit hour	\$12 per credit hour
MoSU <sup>(c)</sup>	\$246 per credit hour	\$258 per credit hour	\$12 per credit hour
MuSU	\$6,264	\$6,576	\$312
NKU	\$7,128	\$7,488	\$360
WKU	\$7,560	\$7,944	\$384
<b>Increase up to 6%</b>			
UK – Lower Division	\$8,610	\$9,128	\$518
UK – Upper Division	\$8,859	\$9,392	\$533
UofL	\$8,424	\$8,930	\$506

**Notes:**

- <sup>(a)</sup> To calculate dollar ceilings, multiply 2010-11 base rates by one plus the sector percent ceiling and round to the nearest whole dollar. Recommended ceilings may deviate slightly from this calculation to accommodate differences across institutions in ways rates are assessed.
- <sup>(b)</sup> The \$242 rate shown for KSU is assessed on 1-12 credit hours per semester. In 2010-11, KSU discounted their \$230 resident undergraduate rate by 50% for hours 13 and above. University officials are undecided at this time regarding possible changes in the discount rate for FY12, but such changes will be taken into consideration when their final rates are approved.
- <sup>(c)</sup> The \$258 rate shown for MoSU is assessed on 1-12 credit hours per semester. That rate will be discounted by 60% to \$103 for hours 13 and above. This is the same discount rate as applied in FY11.

**Graduate and Online Tuition and Mandatory Fees**

The staff recommends that the public universities be allowed to submit for Council approval market competitive resident and nonresident tuition and mandatory fee rates, as approved by their respective boards, for graduate and online courses.

**Fixed Cost Increases**

With passage of the 2010 Budget Bill (HB1), the Kentucky General Assembly enacted a planned reduction of \$9.9 million in public postsecondary institution net General Fund appropriations between FY11 and FY12. This reduction coincides with an estimated \$63.4 million increase in institutional fixed costs for FY12, comprised of unfunded M&O expenses for new facilities coming online next year, mandated increases in employer paid retirement contributions, and other fixed cost increases (see Attachment A). Specific components of the anticipated budget reduction and added costs are provided below.

- The 2010 Budget Bill (HB1) calls for a planned \$9.9 million reduction in public postsecondary institution net General Fund appropriations in 2011-12.
- The Council's 2010-12 Biennial Budget Recommendation included a request for \$9.7 million to support Maintenance and Operation (M&O) of new Education and General (E&G) facilities expected to come online in 2011-12. The budget bill did not fund this request, which will require institutions to divert resources from other programs or functional areas to cover these necessary expenditures. This amount is in addition to a \$27.3 million unfunded request in 2010-11, to provide M&O support for buildings that came online in 2008-09 and 2009-10, and for those expected to come online in 2010-11.
- It is estimated that Kentucky's comprehensive universities and KCTCS institutions will be required to absorb unfunded increases in Kentucky Employee Retirement System (KERS) and Kentucky Teacher Retirement System (KTRS) employer paid retirement contributions and health insurance premiums, totaling \$4.9 million in 2011-12.
- Finally, it is estimated that postsecondary institution fixed costs, such as utilities, contractual obligations, workmen's compensation, and unemployment compensation, will increase by a total of \$48.7 million in 2011-12.

These cost estimates do not include faculty and staff salary increases at Kentucky's public postsecondary institutions.

### **Estimated Tuition Revenue**

The staff recommends that the Council establish maximum tuition and fee rate increase ceilings of 4 percent at KCTCS institutions, 5 percent at the comprehensive universities, and 6 percent at the research universities. As can be seen in Attachment A, using the assumption that these increases are assessed uniformly across all categories of students (i.e., every academic level, residency, and full-time or part-time status) in a flat student enrollment environment, campus officials estimate that the proposed rate increases would generate about \$44.3 million in additional tuition and fee revenue for the system, net of institutional scholarships and waivers.

### **Budgetary Impact**

The estimated increase in net tuition and fee revenue will cover about 60 percent of the anticipated \$73.3 million in planned budget reductions and expected fixed cost increases, resulting in a net operating deficit of \$29 million.

As noted in Attachment A, these figures do not include a transfer of \$18.9 million in General Funds from FY12 to FY11 to maintain Kentucky's eligibility for the federal Education Jobs program. The Governor and the Budget Office have assured postsecondary education that this is a technical transfer and it is not intended to negatively impact the budget development process for 2012-14.

## Financial Aid

Students rarely pay the stated price of postsecondary institution tuition and fees. Financial aid from federal, state, and institutional sources reduces the cost of college attendance for most students. Attachments B1, B2, and B3 illustrate this point graphically, showing that in 2009-10 grants and scholarships covered the full cost of tuition, fees, and books for most of Kentucky's low-income, public postsecondary students. The graphs also show that students in the highest income categories received substantial support through the KEES program and institutional aid.

The conclusion that can be drawn from these data is that Kentucky's public colleges and universities remain accessible for most full-time, resident undergraduate students despite moderate increases in tuition and fee "sticker prices" over the past few years, due in large part to the availability of student financial aid.

Listed below is additional financial aid information relevant to the 2011-12 tuition and fee setting process.

### Federal Financial Aid

- The maximum federal Pell-grant award is expected to stay the same in 2011-12 (i.e., \$5,550) as it was in 2010-11 (News from NASFAA, 2011-12 Federal Pell Grant Payment and Disbursement Schedules).
- In 2009-10, the average Pell-grant award for students attending a KCTCS institution was \$3,318 and the average award for students attending a Kentucky public, four-year institution was \$3,893 (U.S. Department of Education, Distribution of Federal Pell Grant Program Funds by Institution, 2009-10 Award Year).

### State Financial Aid

- The maximum state KEES award is expected to be \$2,500 next year, and the maximum state CAP award is expected to be \$1,900 (Kentucky Higher Education Assistance Authority).
- In 2009-10, the average state KEES award was \$891 for students attending a KCTCS institution and \$1,566 for students attending a Kentucky public, four-year institution (KHEAA Financial Aid Distribution by Institution 2009-10).
- That same year, average state CAP awards were \$1,414 at KCTCS institutions and \$1,648 at Kentucky public, four-year institutions (KHEAA Financial Aid Distribution by Institution 2009-10).
- In 2008-09, Kentucky ranked 12 highest nationwide in state-funded, need-based undergraduate grant dollars per FTE student and 6 highest in state-funded, total undergraduate grant dollars per FTE student (NASSGAP, 40<sup>th</sup> Annual Survey Report, 2008-09).



- General Fund appropriations supporting state-funded financial aid program expenditures are expected to be slightly below the 2010-11 level for CAP and slightly above the 2010-11 level for KEES (2010-12 Budget of the Commonwealth, Budget in Brief).

#### Institutional Financial Aid

- It is anticipated that a portion of the additional tuition revenue generated from any resident, undergraduate rate increase will be used to support increases in institutional need-based grants.

### **Regional Price Comparison**

Every year, the Washington Higher Education Coordinating Board surveys postsecondary institutions in every U.S. state and publishes an annual Tuition and Fee Report that provides a comprehensive breakdown of average tuition and fee charges by sector and state. CPE staff use these data to compare list prices of Kentucky colleges and universities averaged by sector to similar institutions and sectors in surrounding states.

As can be seen in Attachments C1, C2, and C3, the relative rank of Kentucky's public postsecondary institution tuition and required fees varies considerably by sector compared to similar institutions in a contiguous eight-state region.

- In 2009-10, resident, undergraduate tuition and required fees at the University of Kentucky ranked 6 out of 8 among flagship universities in Kentucky and surrounding states and were 104 percent of the U.S. average.
- That same year, average resident, undergraduate tuition and fees at Kentucky's comprehensive universities ranked 5 out of 8 states in the region and were 105 percent of the U.S. average.
- Compared to community colleges in the states surrounding Kentucky, average resident undergraduate tuition and fees at KCTCS ranked 1 and were 124 percent of the U.S. average.

### **Kentucky Price Trends**

As can be seen in Attachments D1 and D2, the pace of increase in tuition and fee sticker prices at Kentucky's public postsecondary institutions has slowed in recent years, compared to a more aggressive growth pattern evident during the early to mid-2000s. The gaps among postsecondary education sectors in terms of their respective resident, undergraduate tuition and fee charges has widened over time, reflecting the Council's strategy of adopting differential tuition and fee ceilings by sector.

## **2011-12 Rate Proposals**

Two institutions, Murray State University and Western Kentucky University, have submitted their 2011-12 tuition and mandatory fee rate proposals for Council consideration and action at today's CPE meeting (April 28). It is anticipated that the remaining institutions will submit proposed tuition and fee rates for Council approval at the June 10 meeting. This will allow campus officials to share tuition and fee ceilings with various constituencies and obtain input from their respective boards prior to setting tuition.

The staff will recommend for Council approval tuition and fee rates that meet the following criteria: (a) increases in resident, undergraduate tuition and mandatory fees that are at or below the approved ceiling; (b) nonresident, undergraduate rates that are at least two times the resident, undergraduate rate; (c) proposed exceptions to the nonresident rate floor that have been previously approved by the Council and are in line with 2010-11 rates; and (d) market competitive tuition and fee rates for graduate and online courses.

**Kentucky Public Postsecondary Institutions  
Budgetary Impact of Net General Fund Reduction and Fixed Cost Increases  
Between Fiscal Years 2011 and 2012**

**Net General Fund Reduction:**

(A)

Institution	2010-11 <sup>(a)(b)</sup>	HB 1 <sup>(c)</sup>	2011-12 <sup>(b)</sup>	Total GF
	Enacted Net General Fund	Reduction	Enacted Net General Fund	Reductions (\$ Change)
University of Kentucky	\$ 306,267,700	\$ (3,068,500)	\$ 303,199,200	\$ (3,068,500)
University of Louisville	152,372,900	(1,523,800)	150,849,100	(1,523,800)
Eastern Kentucky University	72,950,500	(729,500)	72,221,000	(729,500)
Kentucky State University	25,400,800	(254,000)	25,146,800	(254,000)
Morehead State University	44,263,500	(442,600)	43,820,900	(442,600)
Murray State University	51,805,500	(517,300)	51,288,200	(517,300)
Northern Kentucky University	50,543,000	(505,500)	50,037,500	(505,500)
Western Kentucky University	78,158,900	(781,600)	77,377,300	(781,600)
KCTCS	206,774,500	(2,067,800)	204,706,700	(2,067,800)
<b>System Totals</b>	<b>\$ 988,537,300</b>	<b>\$ (9,890,600)</b>	<b>\$ 978,646,700</b>	<b>\$ (9,890,600)</b>

<sup>(a)</sup> Includes state General Fund appropriations and \$57.3 million in American Reinvestment and Recovery Act (ARRA) State Fiscal Stabilization Fund (SFSF) federal stimulus funds.

<sup>(b)</sup> Does not include a transfer of \$18.9 million from FY12 to FY11 to maintain Kentucky's eligibility for the Education Jobs program.

<sup>(c)</sup> Reflects reduction in net General Fund in 2011-12 resulting from passage of HB 1.

**Estimated Fixed Cost Increases:**

(B)

Institution	M&O for <sup>(d)</sup>	KERS & KTRS <sup>(e)</sup>	Other <sup>(f)</sup>	Total Cost
	New Facilities	Contributions	Fixed Costs	Increases
University of Kentucky	\$ (2,179,700)	\$ -	\$ (14,457,500)	\$ (16,637,200)
University of Louisville	(602,800)	-	(12,543,953)	(13,146,753)
Eastern Kentucky University	(3,118,500)	(813,000)	(3,050,000)	(6,981,500)
Kentucky State University	(63,600)	(150,000)	(3,105,000)	(3,318,600)
Morehead State University	(920,200)	(350,460)	(2,608,967)	(3,879,627)
Murray State University	-	(1,019,500)	(1,740,600)	(2,760,100)
Northern Kentucky University	(1,496,500)	(900,000)	(3,200,000)	(5,596,500)
Western Kentucky University	(1,014,600)	(562,000)	(2,001,000)	(3,577,600)
KCTCS	(345,300)	(1,151,000)	(6,000,000)	(7,496,300)
<b>System Totals</b>	<b>\$ (9,741,200)</b>	<b>\$ (4,945,960)</b>	<b>\$ (48,707,020)</b>	<b>\$ (63,394,180)</b>

<sup>(d)</sup> Increases in Maintenance and Operations (M&O) costs for new E&G facilities coming online in 2011-12 that were not funded in HB1. This amount does not include a \$27.3 million unfunded request in 2010-11, to provide M&O for buildings coming online in 2008-09, 2009-10, and 2010-11.

<sup>(e)</sup> Increases in employer retirement contributions and health insurance premiums associated with KERS and KTRS rate increases.

<sup>(f)</sup> Estimated increases in selected fixed costs such as utilities, contractual obligations, workmen's compensation, and unemployment compensation.

**Budgetary Impact:**

Institution	(A+B)	(C)	(A+B+C)
	GF Reductions + Cost Increases	Net Tuition <sup>(a)</sup> & Fee Revenue	Net Operating Surplus/(Deficit)
University of Kentucky	\$ (19,705,700)	\$ 11,937,114	\$ (7,768,586)
University of Louisville	(14,670,553)	8,811,600	(5,858,953)
Eastern Kentucky University	(7,711,000)	5,350,000	(2,361,000)
Kentucky State University	(3,572,600)	718,900	(2,853,700)
Morehead State University	(4,322,227)	1,888,183	(2,434,044)
Murray State University	(3,277,400)	2,970,000	(307,400)
Northern Kentucky University	(6,102,000)	5,000,000	(1,102,000)
Western Kentucky University	(4,359,200)	4,557,000	197,800
KCTCS	(9,564,100)	3,062,000	(6,502,100)
<b>System Totals</b>	<b>\$ (73,284,780)</b>	<b>\$ 44,294,797</b>	<b>\$ (28,989,983)</b>

<sup>(a)</sup> Estimated increases in 2011-12 tuition and mandatory fee revenue, net of institutional scholarships and waivers, assuming a 4% increase at KCTCS, a 5% increase at the comprehensive universities, and a 6% increase at the research institutions (i.e., the same respective tuition and fee increases adopted by the Council in 2010-11).

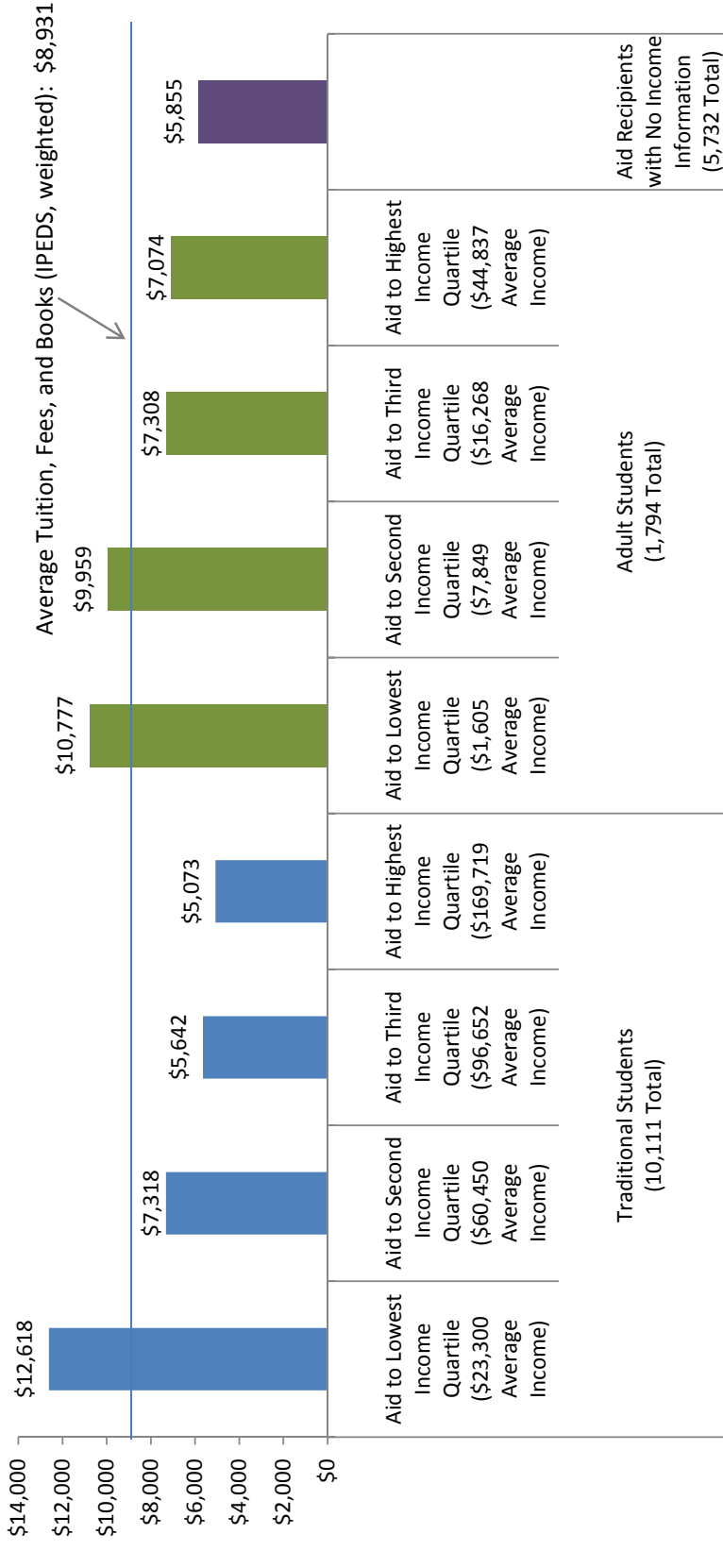


# UK and UofL: Need and Merit-Based Aid by Student Type and Family Income

Full-Time, Resident, Undergraduates at Kentucky's Public Research Universities who Received Grants or Scholarships in 2009-10

## Highlights

- \* Grants and scholarships covered the full cost of tuition, fees, and books for most students in the lowest income categories.
- \* Even students in the highest income categories received significant support through KEES and institutional aid.
- \* Seventy-three percent of full-time, resident, undergraduate students at research universities received grants in 2009-10 (fall 2009 enrollments).
- \* Grants in excess of tuition were used to pay for room and board, which averaged an additional \$8,138 (IPEDS, weighted).



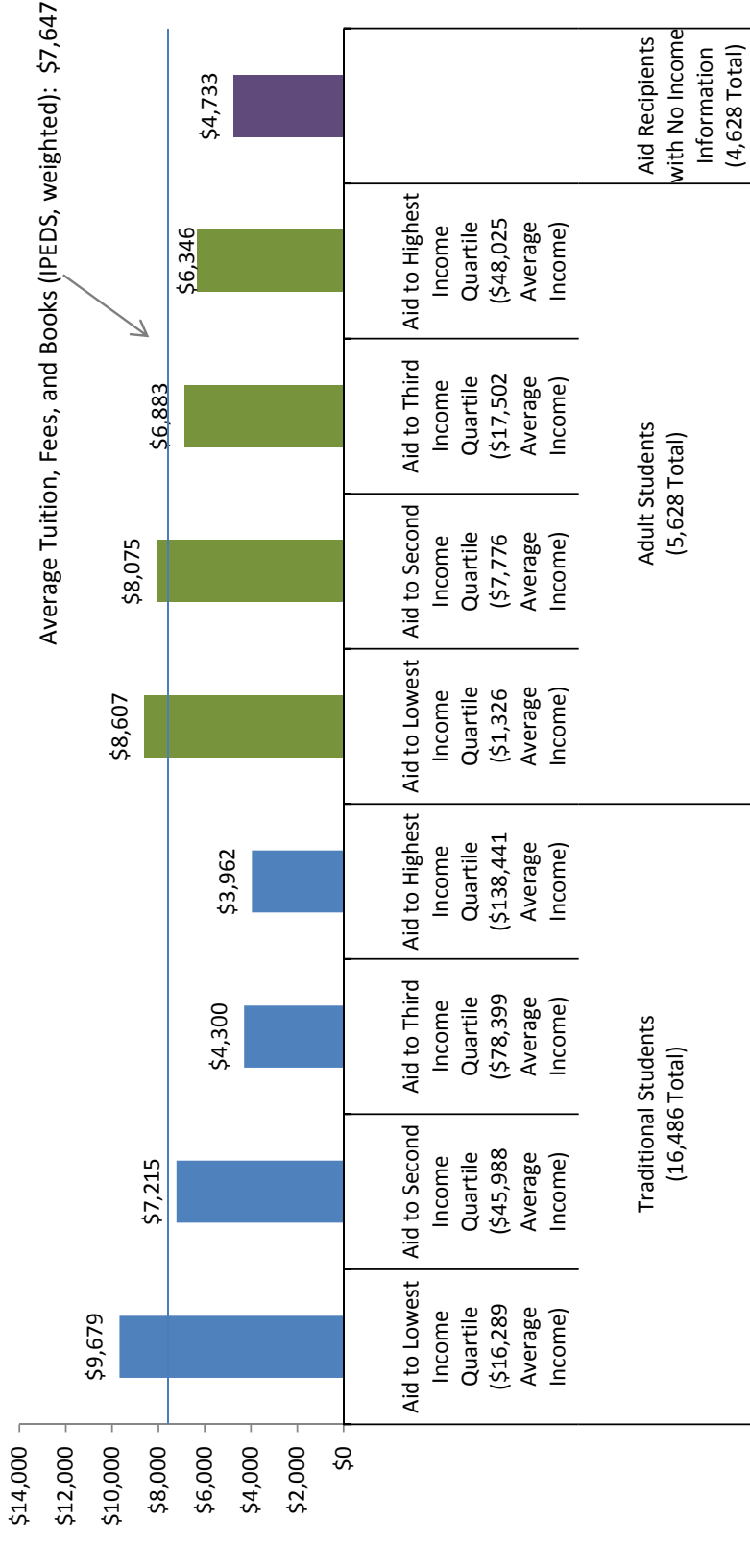
Notes: Aid includes all need and merit-based grants and scholarships from federal, state, institutional, and other sources. Income quartiles rank all students in the sector within their dependency category by family income, and divide them into four equal-sized groups based on their income rank. Traditional students were under 25 years old and were claimed as dependents on their parents' taxes, while adult students filed taxes independently. Students without income information did not file the federal financial aid application, but are still eligible for KEES, institutional, and other grants.

# Comprehensive Universities: Need and Merit-Based Aid by Student Type and Family Income

Full-Time, Resident, Undergraduates at Kentucky's Public Comprehensive Universities who Received Grants or Scholarships in 2009-10

### Highlights

- \* Grants and scholarships covered the full cost of tuition, fees, and books for most students in the lowest income categories.
- \* Even students in the highest income categories received significant support through KEES and institutional aid.
- \* Sixty-nine percent of full-time, resident, undergraduate students at comprehensive universities received grants in 2009-10 (fall 2009 enrollment).
- \* Grants in excess of tuition were used to pay for room and board, which averaged an additional \$6,253 (IPEDS, weighted).



Unmet Tuition, Fee, and Book Costs	Traditional Students (16,486 Total)	Adult Students (5,628 Total)	Aid Recipients with No Income Information (4,628 Total)
	-\$2,032	-\$428	\$2,914
	\$432	\$764	\$1,301
	\$3,347	\$3,685	\$1,301

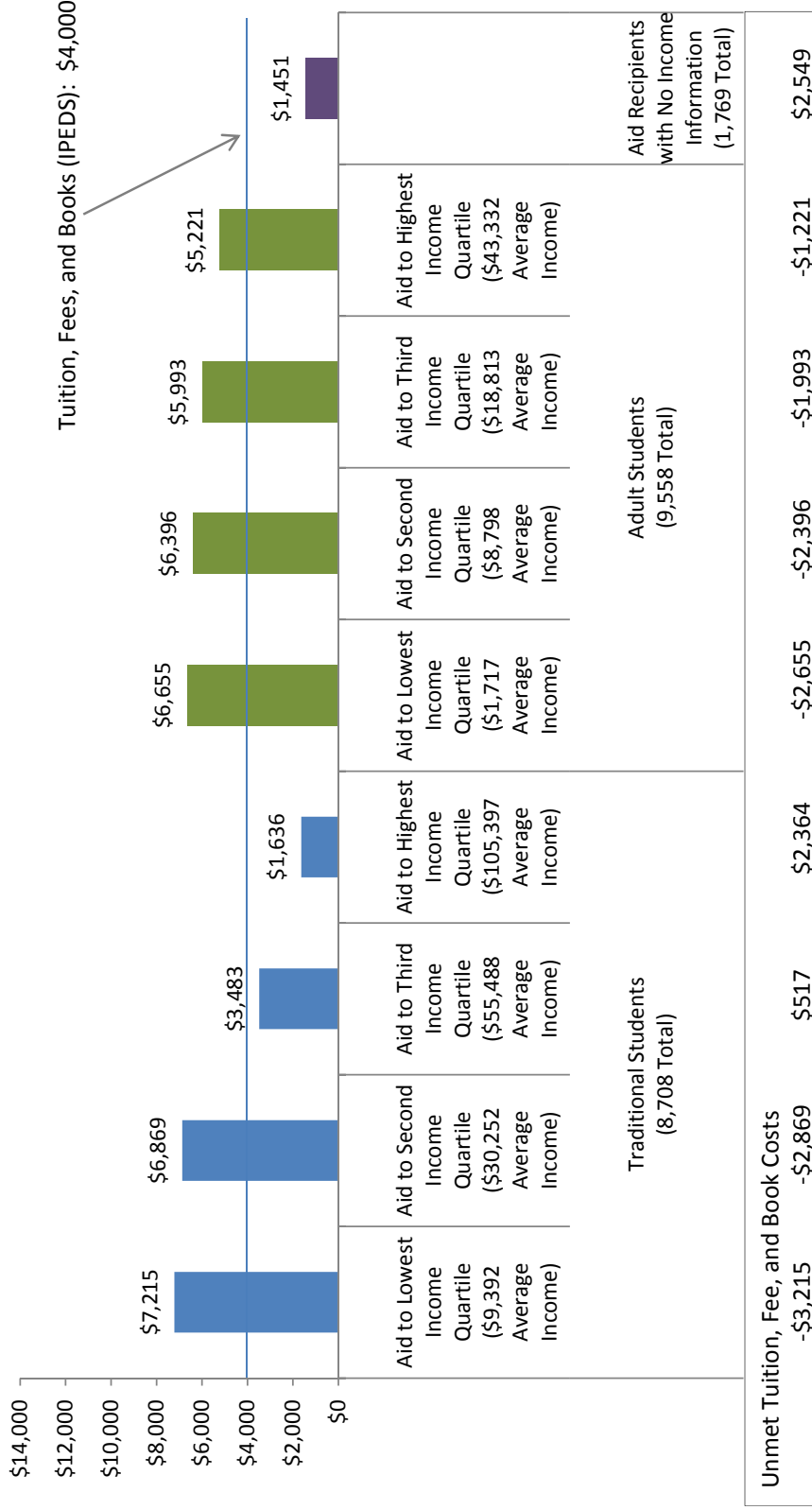
Notes: Aid includes all need and merit-based grants and scholarships from federal, state, institutional, and other sources. Income quartiles rank all students in the sector within their dependency category by family income, and divides them into four equal-sized groups based on their income rank. Traditional students were under 25 years old and were claimed as dependents on their parents' taxes, while adult students filed taxes independently. Students without income information did not file the federal financial aid application, but are still eligible for KEES, institutional, and other grants.

### KCTCS: Need and Merit-Based Aid by Student Type and Family Income

Full-Time, Resident, Students in the Kentucky Community and Technical College System who Received Grants or Scholarships in 2009-10

**Highlights**

- \* Grants and scholarships covered the full cost of tuition, fees, and books for most students in the lowest income categories.
- \* Even students in the highest income categories received significant support through KEES and institutional aid.
- \* Fifty percent of full-time, resident, undergraduate students at KCTCS received grants in 2009-10 (based on fall 2009 enrollment).
- \* Grants in excess of tuition were used to pay for off-campus rent, utilities, and food, which KCTCS estimated at an additional \$6,952 (IPEDS).

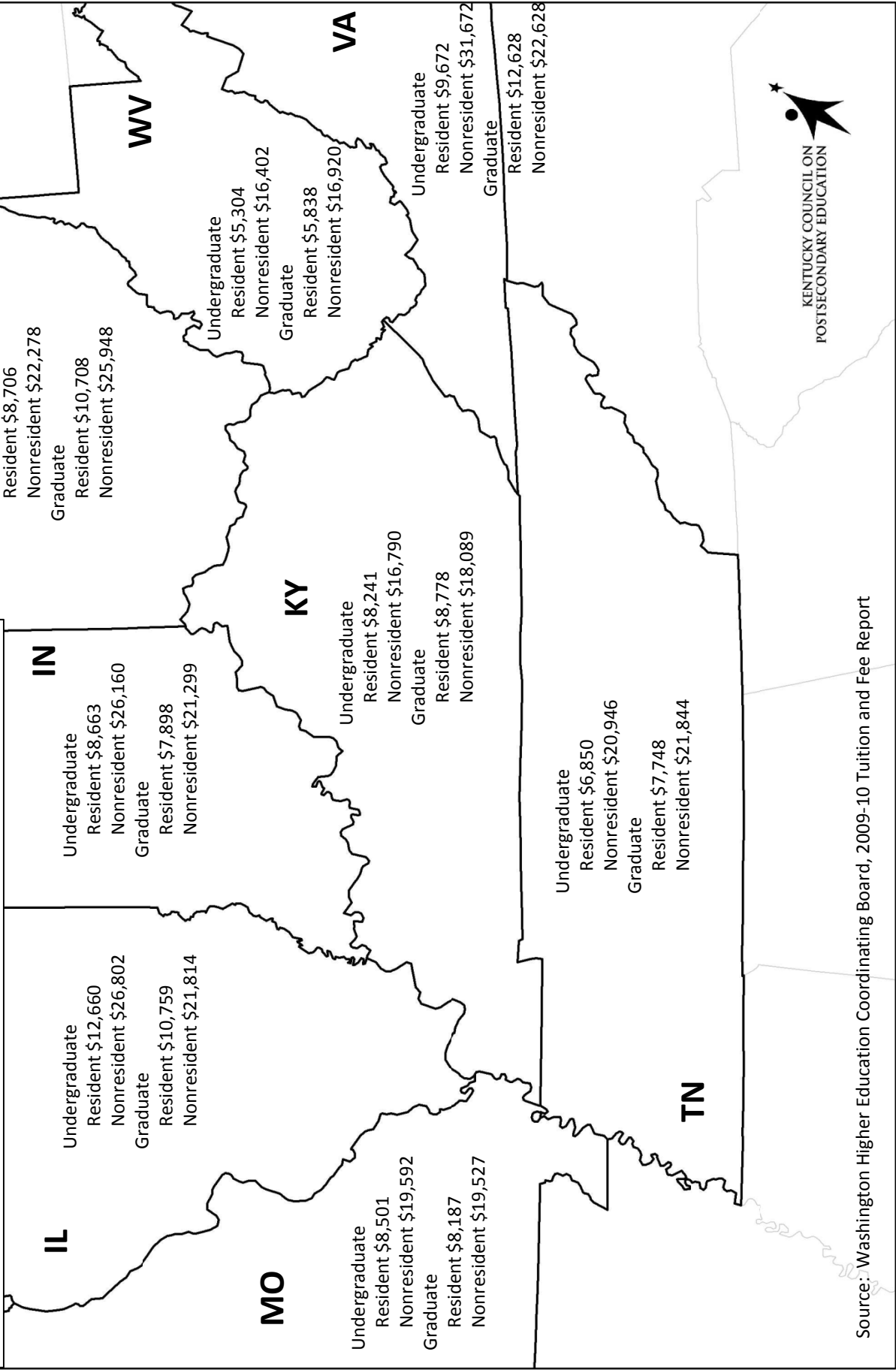


Notes: Aid includes all need and merit-based grants and scholarships from federal, state, institutional, and other sources. Income quartiles rank all students in the sector within their dependency category by family income, and divides them into four equal-sized groups based on their income rank. Traditional students were under 25 years old and were claimed as dependents on their parents' taxes, while adult students filed taxes independently. Students without income information did not file the federal financial aid application, but are still eligible for KEES, institutional, and other grants.



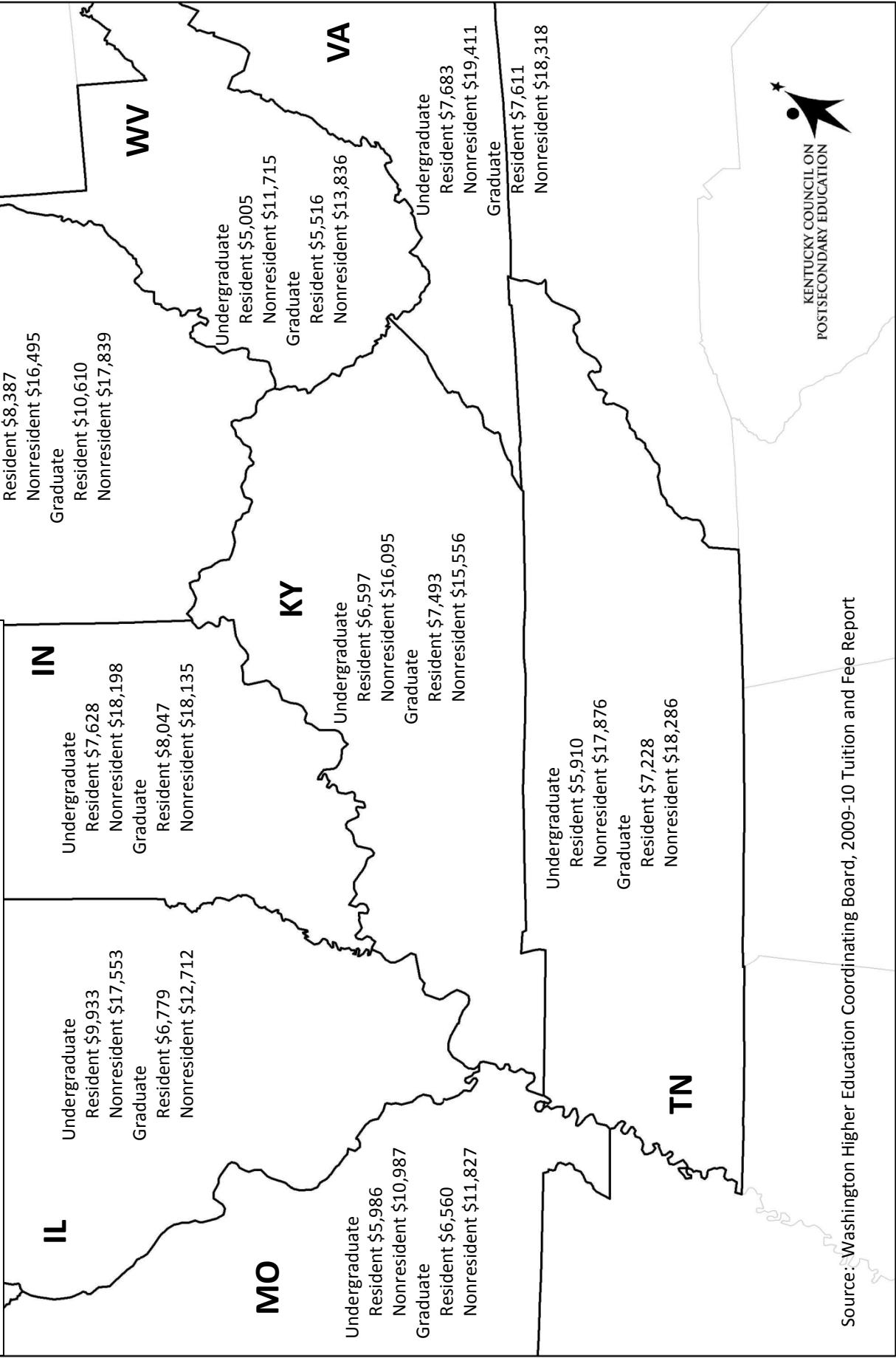


# Flagship Universities 2009-10 Tuition and Required Fees By State, Level, and Residency



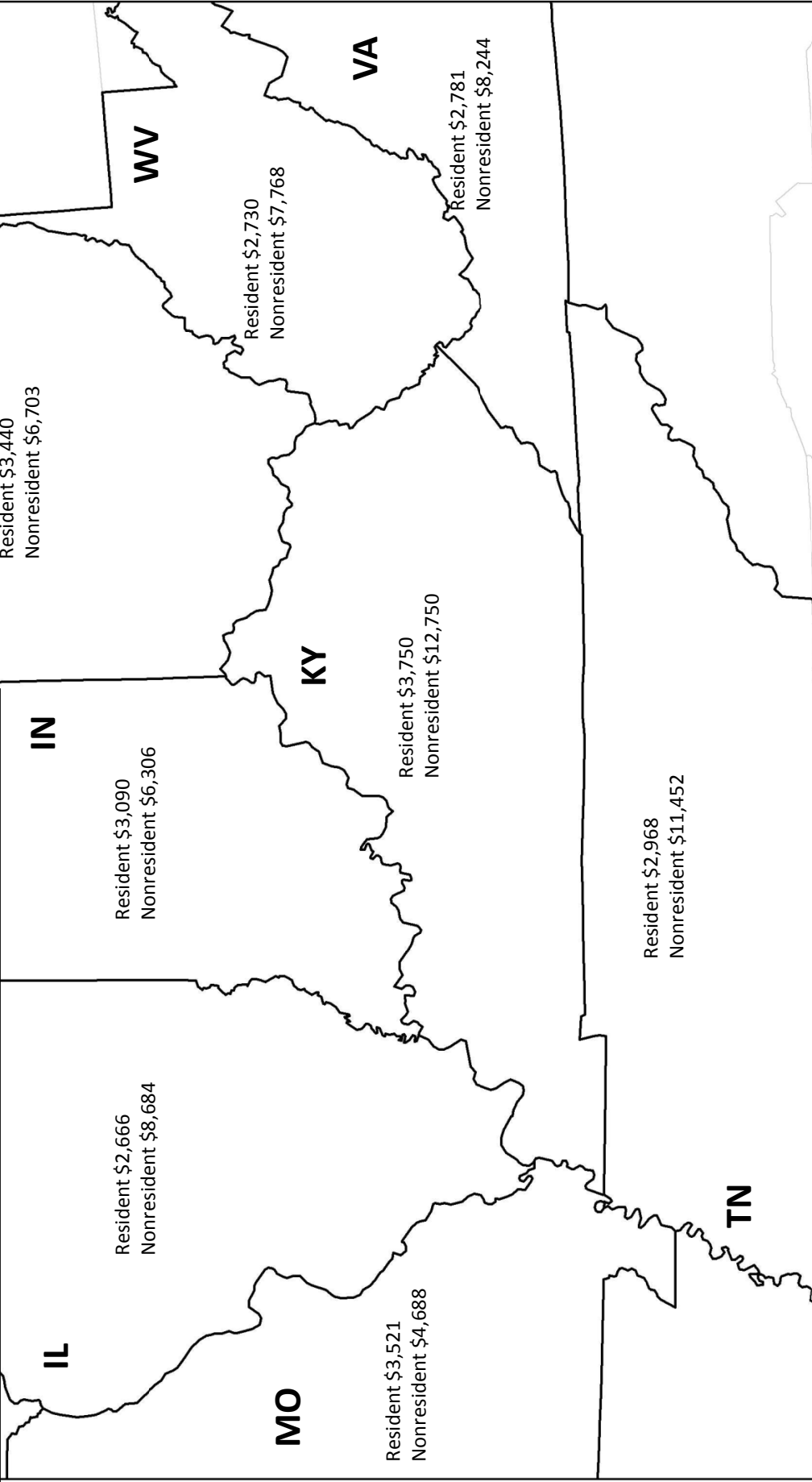
Source: Washington Higher Education Coordinating Board, 2009-10 Tuition and Fee Report

# Comprehensive Colleges and State Universities Average 2009-10 Tuition and Required Fees By State, Level, and Residency



Source: Washington Higher Education Coordinating Board, 2009-10 Tuition and Fee Report

# Community Colleges Average 2009-10 Tuition and Required Fees By State and Residency



Note: For institutions that charge by credit hour, reported rates are based on 30 credit hours per year.  
Source: Washington Higher Education Coordinating Board, 2009-10 Tuition and Fee Report



**Kentucky Public Postsecondary Institutions  
Undergraduate Tuition and Mandatory Fees <sup>(1)</sup>**

**ATTACHMENT D1**

**Kentucky Residents**

Institution	2005-06	2006-07	2007-08	2008-09	2009-10	2010-11	1 Year Change	2 Year AAGR	5 Year AAGR
University of Kentucky <sup>(2)</sup>	\$ 5,896	\$ 6,604	\$ 7,199	\$ 7,848	\$ 8,241	\$ 8,735	6.0%	5.5%	8.2%
University of Louisville	5,532	6,252	6,870	7,564	7,944	8,424	6.0%	5.5%	8.8%
Eastern Kentucky University	4,660	5,192	5,682	6,080	6,312	6,624	4.9%	4.4%	7.3%
Kentucky State University	4,468	4,950	5,320	5,692	5,920	6,216	5.0%	4.5%	6.8%
Morehead State University	4,320	4,870	5,280	5,670	6,038	6,492	7.5%	7.0%	8.5%
Murray State University	4,428	4,998	5,418	5,748	5,976	6,264	4.8%	4.4%	7.2%
Northern Kentucky University	4,968	5,448	5,952	6,528	6,792	7,128	4.9%	4.5%	7.5%
Western Kentucky University	5,316	5,860	6,416	6,930	7,200	7,560	5.0%	4.4%	7.3%
KCTCS	\$ 2,940	\$ 3,270	\$ 3,450	\$ 3,630	\$ 3,750	\$ 3,900	4.0%	3.7%	5.8%

**Nonresidents**

Institution	2005-06	2006-07	2007-08	2008-09	2009-10	2010-11	1 Year Change	2 Year AAGR	5 Year AAGR
University of Kentucky <sup>(2)</sup>	\$ 12,884	\$ 14,063	\$ 14,995	\$ 15,990	\$ 16,790	\$ 17,796	6.0%	5.5%	6.7%
University of Louisville	15,092	16,072	17,664	18,354	19,272	20,424	6.0%	5.5%	6.2%
Eastern Kentucky University	13,070	14,538	15,382	16,612	17,280	18,144	5.0%	4.5%	6.8%
Kentucky State University	10,910	11,500	12,490	13,490	14,208	14,920	5.0%	5.2%	6.5%
Morehead State University	11,480	12,950	13,340	14,742	15,094	16,236	7.6%	4.9%	7.2%
Murray State University	12,036	13,566	14,718	15,612	16,236	17,040	5.0%	4.5%	7.2%
Northern Kentucky University	9,696	10,200	10,776	11,952	12,792	13,896	8.6%	7.8%	7.5%
Western Kentucky University	13,176	14,400	15,470	17,088	17,784	18,840	5.9%	5.0%	7.4%
KCTCS	\$ 8,820	\$ 9,810	\$ 10,350	\$ 11,700	\$ 12,750	\$ 13,350	4.7%	6.8%	8.6%

<sup>(1)</sup> For institutions that charge on a per credit hour basis, reported undergraduate rates are based on 30 credit hours per year.

<sup>(2)</sup> Undergraduate rates for UK are an average of upper and lower division rates.

AAGR -- Average Annual Growth Rate.

Kentucky Public Postsecondary Institutions  
Graduate Tuition and Mandatory Fees

ATTACHMENT D2

Kentucky Residents

Institution	2005-06	2006-07	2007-08	2008-09	2009-10	2010-11	1 Year Change	2 Year AAGR	5 Year AAGR
	University of Kentucky	\$ 6,318	\$ 7,036	\$ 7,670	\$ 8,360	\$ 8,778	\$ 9,306	6.0%	5.5%
University of Louisville	6,006	6,786	7,458	8,206	8,622	9,144	6.1%	5.6%	8.8%
Eastern Kentucky University	5,030	5,610	6,140	6,632	6,894 <sup>(1)</sup>	7,164	3.9%	3.9%	7.3%
Kentucky State University	4,806	5,130	5,400	5,850	6,084	6,336	4.1%	4.1%	5.7%
Morehead State University	4,680	5,280	5,730	6,084	6,318	6,642	5.1%	4.5%	7.3%
Murray State University	4,644	5,680	6,156	6,525	6,786	7,128	5.0%	4.5%	8.9%
Northern Kentucky University	6,408	7,032	8,088	8,856	9,216	9,672	4.9%	4.5%	8.6%
Western Kentucky University	\$ 5,830	\$ 6,520	\$ 7,014	\$ 7,640	\$ 7,920	\$ 8,320	5.1%	4.4%	7.4%

Nonresidents

Institution	2005-06	2006-07	2007-08	2008-09	2009-10	2010-11	1 Year Change	2 Year AAGR	5 Year AAGR
	University of Kentucky	\$ 13,968	\$ 15,154	\$ 16,158	\$ 17,228	\$ 18,089	\$ 19,174	6.0%	5.5%
University of Louisville	16,554	17,348	18,020	18,090	18,504	19,026	2.8%	2.6%	2.8%
Eastern Kentucky University	14,174	15,910	16,838	18,186	13,788 <sup>(1)</sup>	14,346	4.0%	-11.2%	0.2%
Kentucky State University	11,924	12,330	12,600	13,680	14,598	9,504	-34.9%	-16.6%	-4.4%
Morehead State University	12,530	14,130	14,550	15,804	15,804	16,614	5.1%	2.5%	5.8%
Murray State University	12,987	15,966	17,316	18,351	19,089	20,070	5.1%	4.6%	9.1%
Northern Kentucky University	13,128	13,752	14,808	15,576	16,200	16,656	2.8%	3.4%	4.9%
Western Kentucky University <sup>(2)</sup>	\$ 6,390	\$ 7,140	\$ 7,678	\$ 8,360	\$ 8,700	\$ 9,220	6.0%	5.0%	7.6%

<sup>(1)</sup> EKU transitioned to per-credit-hour pricing for graduate resident and nonresident students in 2009-10.

<sup>(2)</sup> Nonresident graduate rates shown for WKU are for full-time domestic students. WKU's nonresident graduate rate for full-time international students is 2.07 times the resident graduate rate.

AAGR -- Average Annual Growth Rate.

# STRONGER by DEGREES

2011-12

Tuition

Ceilings



A Presentation to the Council on  
Postsecondary Education  
April 28, 2011

# Student Success

## Policy Objective 5: Decrease financial barriers to college access

- Process for setting 2011-12 tuition ceilings
  - Collaborative process
  - Data driven
  - Balanced approach consistent with Council's tuition policy objectives
- Linked to multiple strategic agenda strategies





# Collaborative Process

- Public institution presidents
- Student government leaders
- Council members
- Legislators
- Governor



# Data Driven

- General fund reductions
- Fixed cost increases (retirement, health benefits, energy costs, contracts, etc.)
- Unfunded M&O
- Net tuition revenue
- Regional prices and national data
- Financial aid



# Comprehensive Universities: Need and Merit-Based Aid by Student Type and Family Income

Full-Time, In-State, Undergraduates at Kentucky's Public Comprehensive Universities who Received Grants or Scholarships in 2009-10

## Highlights

- \* Grants and scholarships covered the full cost of tuition, fees and books for most students in the lowest income categories.
- \* Even students in the highest income categories received significant support through KEES and institutional aid.
- \* Sixty-nine percent of full-time, in-state undergraduate students at comprehensive universities received grants in 2009-10 (fall 2009 enrollment).
- \* Grants in excess of tuition were used to pay for room and board, which averaged an additional \$6,253 (IPEDS, weighted).



Notes: Aid includes all need and merit-based grants and scholarships from federal, state, institutional and other sources. Income quartiles rank all students in the sector within their dependency category by family income, and divides them into four equal-sized groups based on their income rank. Traditional students were under 25 years old and were claimed as dependents on their parents' taxes, while adult students filed taxes independently. Students without income information did not file the federal financial aid application, but are still eligible for KEES, institutional, and other grants.

Source: Kentucky Council on Postsecondary Education Comprehensive Database, IPEDS  
April 18, 2011

# Balanced Approach

## Consistent with Council tuition policy objectives

- Adequate funding
- Shared benefits and responsibilities
- Affordability and access
- Attracting and importing talent
- Effective use of resources



# 2011-12 Tuition Ceilings

## **ACTION:**

- Approve 2011-12 resident, undergraduate tuition and mandatory fee ceilings that equate to maximum base rate increase of:
  - 4% for KCTCS
  - 5% for comprehensive universities
  - 6% for research universities
- Maintain current floor for nonresident, undergraduate tuition and mandatory fees of two times resident rate
- Allow public institutions to submit market competitive resident and nonresident tuition and mandatory fee rates for graduate and online courses



# STRONGER by DEGREES

2011-12

Tuition

Ceilings



A Presentation to the Council on  
Postsecondary Education  
April 28, 2011

**Council on Postsecondary Education**  
**April 28, 2011**

**2011-12 Tuition Setting Process**  
**Special Use Fees Exception Policy**

*At the request of Kentucky public postsecondary institutions, a workgroup comprised of Council staff and university Chief Budget Officers (CBOs) collaborated on development of a draft Special Use Fees Exception Policy. That draft was shared and vetted with student government leaders, institutional presidents, Chief Academic Officers (CAOs), and Council members during February and March of this year. The product of those discussions is presented today for Council consideration and action.*

**ACTION: The staff recommends that the Council approve the attached Special Use Fees Exception Policy.**

**Policy Development Process**

During the 2010-11 tuition setting process, campus officials requested that the Council consider excluding student endorsed fees from its mandatory fee definition, thus omitting consideration of such fees when assessing institutional compliance with Council approved tuition and fee rate ceilings.

The underlying rationale for the request was that administrators wanted to accommodate desires of students to assess a fee on themselves to improve campus activities and services, without sacrificing revenue necessary to support institutional operations. Such fees, under the Council's current approach, when implemented in the same year that the Council adopts tuition and fee rate ceilings, reduce the amount of additional, unrestricted tuition and fee revenue available for the institution to support its E&G operation.

At a December 2010 meeting, Council staff polled institutional CBOs to assess their level of interest in treating student endorsed fees differently from other mandatory fees. Based on feedback received from that group, a Special Use Fees Workgroup, comprised of Council staff and CBOs, was formed to collaborate on development of a draft Special Use Fees Exception Policy.

In January 2011, workgroup members resolved a number of policy related issues, including crafting a definition of student endorsed fees, determining the extent of student involvement in endorsing a fee, types of facilities that should qualify for an exemption, possible limits on the magnitude and frequency of exemptions, acceptable uses of fee revenue, and conditions for fee reduction upon debt retirement.

During February and March, Council staff shared and vetted the draft policy with student government leaders, institutional presidents, CAOs, and Council members. The product of these discussions is presented today for Council consideration and action.

### **Special Use Fees Exception Policy**

The staff recommends that the Council approve the attached Special Use Fees Exception Policy. Key features of the policy include:

- The policy determines the extent to which a mandatory student fee will be eligible for an exemption from a Council approved tuition and fee rate ceiling.
- It does not restrict or dictate the types of fees, sizes of fees, uses of fee revenue, or longevity of fees that students and administrators may decide to implement on a given campus.
- To request an exception under this policy, campus officials and students will submit a written exemption request to the Council for its consideration and action.
- The Council may elect to award an exemption to its ceiling equivalent to all, or a portion, of the increase resulting from implementation of a student endorsed fee.
- As stated in the policy, it may be appropriate to phase in an exemption over multiple years to maintain affordability and access.
- To be eligible for an exemption, fee revenue must be used to pay debt service and Maintenance and Operations (M&O) expenses on new facilities, or capital renewal and replacement costs on existing facilities and equipment.
- The facilities must support student activities and services, such as student unions, fitness centers, recreation complexes, health clinics, and/or tutoring centers.
- A fee that qualifies for an exemption under this policy will not be assessed at full rate in perpetuity. Such fees will either terminate upon completion of the debt, or in the case of new facilities, may continue at a reduced rate to defray ongoing M&O costs.
- Fees that support M&O costs on existing facilities are not eligible for an exemption under this policy.
- An institution must have a plan for the eventual reduction or elimination of the fee upon debt retirement, and that plan must be shared with students prior to any votes endorsing a fee.
- For a fee to be student endorsed, it must be shown that fee details have been broadly discussed, voted on, and requested by students.

Staff preparation by John Hayek and Bill Payne



## Council on Postsecondary Education Special Use Fees Exception Policy

During the 2010-11 tuition setting process, campus officials requested that the Council consider excluding student endorsed fees from its mandatory fee definition, thus omitting consideration of such fees when assessing institutional compliance with Council approved tuition and fee rate ceilings. Based on feedback received from institutional Chief Budget Officers (CBOs) at their December 2010 meeting, it was determined that there was general interest in treating student endorsed fees differently from other mandatory fees.

In January and February 2011, Council staff collaborated with institutional presidents, CBOs, and their staffs in developing the following Special Use Fees Exception Policy:

- To the extent that students attending a Kentucky public college or university have deliberated, voted on, and requested that their institution's governing board implement a special use fee for the purposes of constructing and operating and maintaining a new facility, or renovating an existing facility, that supports student activities and services;
- And recognizing that absent any exemption such student endorsed fees, when implemented in the same year that the Council adopts tuition and fee rate ceilings, would reduce the amount of additional unrestricted tuition and fee revenue available for the institution to support its E&G operation;
- The Council may elect to award an exemption to its tuition and fee rate ceiling equivalent to all or a portion of the percentage increase resulting from imposition of the student endorsed fee, provided said fee meets certain eligibility requirements.

### Definitions

A student endorsed fee is a mandatory flat-rate fee, that has been broadly discussed, voted on, and requested by students and adopted by an institution's governing board, the revenue from which may be used to pay debt service and operations and maintenance expenses on new facilities, or capital renewal and replacement costs on existing facilities and equipment, that support student activities and services, such as student unions, fitness centers, recreation complexes, health clinics, and/or tutoring centers.

Maintenance and Operations (M&O) expenses are costs incurred for the administration, supervision, operation, maintenance, preservation, and protection of a facility. Examples of M&O expenses include janitorial services, utilities, care of grounds, security, environmental safety, routine repair, maintenance, and replacement of furniture and equipment, and property and facility planning and management.

## Eligibility Criteria

A student endorsed fee will continue to be a mandatory fee within the context of the Council's current mandatory fee definition and may qualify for an exemption from Council approved tuition and fee rate ceilings. Campus officials and students requesting an exemption under this policy must be able to demonstrate that:

- All enrolled students have been afforded ample opportunity to be informed, voice their opinions, and participate in the decision to endorse a proposed fee. Specifically, it must be shown that fee details have been widely disseminated, broadly discussed, voted on while school is in session, and requested by students.
- For purposes of this policy, voted on means attaining:
  - a. A simple majority vote via campuswide referendum, with a minimum of one-quarter of currently enrolled students casting ballots;
  - b. A three-quarters vote of elected student government representatives; or
  - c. A simple majority vote via campuswide referendum, conducted in conjunction and coinciding with general election of a student government president or student representative to a campus board of regents or board of trustees.
- The proposed fee and intended exemption request have been presented to, and adopted by, the requesting institution's governing board. It is anticipated that elected student government representatives will actively participate in board presentations.
- Revenue from such fees will be used to pay debt service and M&O expenses on new facilities, or capital renewal and replacement costs on existing facilities and equipment, which support student activities and services, such as student unions, fitness centers, recreation complexes, health clinics, and/or tutoring centers. The Council expects these uses to be fully explained to students prior to any votes endorsing a fee.
- In any given year, the impact of a student endorsed fee on the overall increase in tuition and mandatory fees for students and their families will be reasonable. It may be appropriate to phase in the exemption over multiple years to maintain affordability and access.
- Requests for student endorsed exemptions are infrequent events. The Council does not expect requests for exemptions under this policy to occur with undue frequency from any single institution and reserves the right to deny requests that by their sheer number are deemed excessive.

- A plan is in place for the eventual reduction or elimination of the fee upon debt retirement, and details of that plan have been shared with students. The Council does not expect a fee which qualifies for an exemption under this policy to be assessed at full rate in perpetuity. Such fees should either terminate upon completion of the debt, or in the case of new facilities, may continue at a reduced rate to defray ongoing M&O costs. In either case, to qualify for an exemption, students should be fully aware of the extent of their obligation prior to any votes endorsing a fee.

## Exemption Process

Requests for an exemption under this policy will be evaluated on a case by case basis. To initiate the process:

- The requesting institution will notify Council staff of any pending discussions, open forums, referendums, or student government actions pertaining to a proposed special use fee and discuss fee details with Council staff as needed.
- After a fee has been endorsed by student referendum or through student government action and approved by the institution's governing board, campus officials and students will submit a written exemption request to the Council for its consideration.
- Council staff will review the request, assess whether or not the proposed fee qualifies for an exemption, and make a recommendation to the Council.

To facilitate the exemption request process, requesting institutions and students are required to provide the Council with the following information:

- Documents certifying that the specific project and proposed fee details have been widely disseminated, broadly discussed, voted on, and requested by students, as well as adopted by the institution's governing board.
- Documents specifying the fee amount, revenue estimates, uses of revenue, impact on tuition and fees during the year imposed (i.e., percentage points above the ceiling), and number of years the fee will be in place.
- Documents identifying the project's scope, time frame for completion, debt payment schedule, and plan for the eventual reduction or elimination of the fee upon debt retirement.



**Council on Postsecondary Education  
April 28, 2011**

**2011-12 Tuition and Mandatory Fee Recommendation  
Murray State University**

*On April 17, 2011, Murray State University submitted its tuition and mandatory fee proposal and tuition revenue estimates to the Council. The Council staff has reviewed the proposed rates and determined that they comply with the resident, undergraduate rate ceiling for a comprehensive university recommended for Council approval at today's meeting. Upon approval by the Council, the recommended rates will establish maximum tuition and mandatory fee charges that may be assessed to students enrolling at MuSU during fall semester 2011, spring semester 2012, and summer term 2012.*

**ACTION: The staff recommends that the Council approve the following 2011-12 tuition and mandatory fee rates for Murray State University. This recommendation is contingent on Council approval of staff proposed tuition and mandatory fee ceilings presented earlier in this meeting and may be modified to reflect Council actions in that regard.**

**Tuition and Mandatory Fees**

On page 13 of this agenda book, staff recommended that the Council approve a 2011-12 resident, undergraduate tuition and mandatory fee ceiling of 5 percent for the comprehensive universities, and that the public four-year institutions be allowed to submit for Council approval market competitive rates for graduate and first-professional students. As can be seen in the table below, the proposal that Murray State University submitted to the Council on April 17 is in compliance with the 5 percent ceiling.

<u>Rate Category</u>	<u>Current 2010-11 Tuition &amp; Fees</u>	<u>Proposed 2011-12 Tuition &amp; Fees</u>	<u>Dollar Change</u>	<u>Percent Change</u>
Undergraduate				
Resident	\$6,264	\$6,576	\$312	5.0%
Nonresident	\$17,040	\$17,892	\$852	5.0%
Nonresident Multiple	2.7	2.7		
Graduate <sup>1</sup>				
Resident	\$7,128	\$7,483.50	\$355.50	5.0%
Nonresident	\$20,070	\$21,073.50	\$1,003.50	5.0%

<sup>1</sup> Annual rates based on students taking 9 credit hours per semester, or 18 hours per year.

Attachment A contains additional categories and rates for undergraduate, resident and nonresident part-time students (per credit hour rate), graduate, resident and nonresident part-time students (per credit hour rate), and undergraduate and graduate web courses. The Council staff recommends approval of rates in these additional categories as proposed by the university.

### **Estimated Tuition Revenue**

MuSU officials estimate that their proposed 2011-12 tuition and mandatory fee charges for all categories of students (i.e., every academic level, residency, and full-time or part-time status) will generate about \$91.6 million in gross tuition and fee revenue, an increase of about \$4.9 million or 5.6 percent over the previous year (see Attachment B). Campus officials also estimate that education and general (E&G) fixed costs will grow by about \$2.8 million in 2011-12. This cost increase does not include an anticipated reduction in the university's net General Fund appropriation of \$517,300 in 2011-12, as called for in the enacted 2010 Budget Bill (HB1).

### **Nonresident Rate Exceptions**

At its February 3 meeting, the Council voted to maintain the existing floor for nonresident, undergraduate tuition and mandatory fees of at least two times the resident, undergraduate rate. The Council also reinforced its practice of allowing institutions to request exceptions to this policy when they submit their 2011-12 tuition and fee rates for final Council action.

On April 15, 2011, Council staff provided MuSU with templates for submitting their tuition and mandatory fee proposal and tuition and fee revenue estimates to the Council. As part of that correspondence, campus officials were asked to identify categories of nonresident students that they desire to charge less than two times the resident rate and provide a brief explanation for the reduced charge.

Murray State University is not requesting any exceptions to the nonresident rate floor established by the Council. The university's proposed 2011-12 full-time, nonresident, undergraduate tuition and fee charge is \$17,892, which is 2.7 times the resident rate and exceeds the established floor.

ATTACHMENT A

Proposed 2011-12 Tuition and Mandatory Fee Charges  
Murray State University

Category	Fall 2011	Spring 2012	Annual 2011-12	Summer 2012
<b>Undergraduate</b>				
Resident				
Full-time (12 credit hours and above) <sup>1</sup>	\$ 3,288.00	\$ 3,288.00	\$ 6,576.00	
Per Credit Hour	274.00	274.00		\$ 274.00
Nonresident				
Full-time (12 credit hours and above) <sup>1</sup>	8,946.00	8,946.00	17,892.00	
Per Credit Hour	745.50	745.50		745.50
(WEB courses 130% Resident) Per Credit Hour	344.75	344.75		344.75
<b>Graduate</b>				
Resident				
Full-time	3,741.75	3,741.75	7,483.50	
Per Credit Hour	415.75	415.75		415.75
Nonresident				
Full-time	10,536.75	10,536.75	21,073.50	
Per Credit Hour	1,170.75	1,170.75		1,170.75
(web courses 130% Resident) Per Credit Hour	\$ 529.00	\$ 529.00		\$ 529.00

<sup>1</sup> Credit hours over 19 by permission only.

ATTACHMENT B

Estimated 2011-12 Gross Tuition and Mandatory Fee Revenue  
Murray State University

<i>Category</i>	Estimated 2010-11	Estimated 2011-12
<i>Undergraduate</i>		
Resident	\$29,663,972	\$31,146,511
Nonresident	35,041,651	36,642,954
Online	3,989,887	4,580,369
<i>Graduate</i>		
Resident	5,204,200	5,496,443
Nonresident	8,847,150	9,160,739
Online	3,989,900	4,580,369
<i>Total</i>	\$86,736,760	\$91,607,385



**Council on Postsecondary Education  
April 28, 2011**

**2011-12 Tuition and Mandatory Fee Recommendation  
Western Kentucky University**

*On April 14, 2011, Western Kentucky University submitted its tuition and mandatory fee proposal and tuition revenue estimates to the Council. The Council staff has reviewed the proposed rates and determined that they comply with the resident, undergraduate rate ceiling for a comprehensive university recommended for Council approval at today's meeting, and that the requested exception to the nonresident rate floor is in line with those approved by the Council last year. Upon approval by the Council, the recommended rates will establish maximum tuition and mandatory fee charges that may be assessed to students enrolling at WKU during fall semester 2011, spring semester 2012, and summer term 2012.*

**ACTION: The staff recommends that the Council approve the following 2011-12 tuition and mandatory fee rates and the requested exception to the nonresident rate floor for Western Kentucky University. This recommendation is contingent on Council approval of staff proposed tuition and mandatory fee ceilings presented earlier in this meeting and may be modified to reflect Council actions in that regard.**

**Tuition and Mandatory Fees**

On page 13 of this agenda book, staff recommended that the Council approve a 2011-12 resident, undergraduate tuition and mandatory fee ceiling of 5 percent for the comprehensive universities, and that the public four-year institutions be allowed to submit for Council approval market competitive rates for graduate and first-professional students. As can be seen in the table below, the proposal that Western Kentucky University submitted to the Council on April 14 is in compliance with the 5 percent ceiling.

<u>Rate Category</u>	<u>Current 2010-11 Tuition &amp; Fees</u>	<u>Proposed 2011-12 Tuition &amp; Fees</u>	<u>Dollar Change</u>	<u>Percent Change</u>
Undergraduate				
Resident	\$7,560	\$7,944	\$384	5.08%
Nonresident	\$18,840	\$19,876	\$1,036	5.50%
Nonresident Multiple	2.5	2.5		
Graduate				
Resident	\$416 pch	\$438 pch	\$22	5.29%
Nonresident	\$461 pch	\$482 pch	\$21	4.56%

pch - per credit hour

Attachment A contains additional categories and rates for undergraduate, resident and nonresident part-time and distance learning students, nonresident Tuition Incentive Program (TIP) students, graduate, resident and nonresident distance learning students, graduate, nonresident international students, and graduate, professional MBA program students. The Council staff recommends approval of rates in these additional categories as proposed by the university.

### **Estimated Tuition Revenue**

WKU officials estimate that their proposed 2011-12 tuition and mandatory fee charges for all categories of students (i.e., every academic level, residency, and full-time or part-time status) will generate about \$162.8 million in gross tuition and fee revenue, an increase of about \$7.1 million or 4.6 percent over the previous year (see Attachment B). Campus officials also estimate that education and general (E&G) fixed costs will grow by about \$2.6 million in 2011-12. This cost increase does not include an anticipated reduction in the university's net General Fund appropriation of \$781,600 in 2011-12, as called for in the enacted 2010 Budget Bill (HB1), nor does it include \$1,014,600 in unfunded M&O costs for E&G facilities coming online in 2011-12.

### **Nonresident Rate Exceptions**

At its February 3 meeting, the Council voted to maintain the existing floor for nonresident, undergraduate tuition and mandatory fees of at least two times the resident undergraduate rate. The Council also reinforced its practice of allowing institutions to request exceptions to this policy when they submit their 2011-12 tuition and fee rates for final Council action.

On April 12, 2011, Council staff provided WKU with templates for submitting their tuition and mandatory fee proposal and tuition and fee revenue estimates to the Council. As part of that correspondence, campus officials were asked to identify categories of nonresident students that they desire to charge less than two times the resident rate and to provide a brief explanation for the reduced charge.

Western Kentucky University is requesting an exception to the nonresident rate floor for their undergraduate Tuition Incentive Program (TIP) rate (see Attachment C). As was the case last year, the proposed nonresident TIP rate is 1.28 times the resident rate. The university's regular nonresident rate is 2.5 times the resident rate. The Council staff recommends approval of WKU's nonresident TIP rate as proposed by the university. This request is in line with what the university proposed and the Council approved last year (i.e., 2010-11).

Proposed 2011-12 Tuition and Mandatory Fee Charges  
Western Kentucky University

Category	Fall 2011	Spring 2012	Annual 2011-12	Summer 2012
<b>Undergraduate</b>				
Resident				
Full-time - Main Campus (12-18 credit hours) <sup>1</sup>	\$ 3,972	\$ 3,972	\$ 7,944	
Part-time - Main Campus (Per Credit Hour)	331	331		\$ 331
Part-time - Distance Learning (Online Courses)	397	397		397
Nonresident				
Full-time - Main Campus (12-18 credit hours) <sup>1</sup>	9,938	9,938	19,876	
Full-time - Tuition Incentive Program	5,090	5,090	10,180	
Part-time - Main Campus (Per Credit Hour)	828	828		828
Part-time - Tuition Incentive Program (Per Credit Hour)	424	424		424
Part-time - Distance Learning (Online Courses)	397	397		397
<b>Graduate</b>				
Resident				
Per Credit Hour	438	438		438
Distance Learning (Online Courses)	526	526		526
Nonresident				
Domestic Per Credit Hour	482	482		482
International Per Credit Hour	955	955		955
Distance Learning (Online Courses)	526	526		\$ 526
Professional MBA, Continuing	4,350	4,350	8,700	
Professional MBA, New	\$ 4,566	\$ 4,566	\$ 9,132	

<sup>1</sup> There is an additional charge of \$331 per credit hour for every hour above 18. Credit hours over 18 are permitted with approval. Students taking more than 18 hours must carry a 3.3 GPA. A waiver is applied for music practicums.

ATTACHMENT B

Estimated 2011-12 Gross Tuition and Mandatory Fee Revenue  
Western Kentucky University

<i>Category</i>	Estimated 2010-11	Estimated 2011-12
<i>Undergraduate</i>		
Resident	\$91,149,000	\$95,701,000
Nonresident	28,784,000	30,222,000
<i>Graduate</i>		
Resident	7,523,000	7,899,000
Nonresident	8,831,000	9,272,000
<i>Division of Extended Learning and Outreach</i>	12,856,000	13,222,000
<i>Student Athletics Fee</i>	6,542,000	6,516,000
<i>Total</i>	\$155,685,000	\$162,832,000



**WESTERN KENTUCKY UNIVERSITY  
TUITION PARAMETER EXCEPTIONS REQUEST FOR NONRESIDENT STUDENTS**

**REQUEST:** Western Kentucky University is requesting Council on Postsecondary Education's approval to continue its Tuition Incentive Program (TIP).

**BACKGROUND:**

WKU requests that we be able to continue our Tuition Incentive Program that ensures economic access and geographic access to students that meet or exceed our admissions requirements. For decades, the Tuition Incentive Program has been marketed to students, parents and counselors as an affordable alternative to a residential school. The TIP rate is 1.28 the in-state tuition and fee rate in comparison to the out-of-state rate which is 2.5 times the in-state rate. The CPE parameter is two times the in-state rate. We are requesting that the CPE continue to approve the TIP rate which continues to attract students to Kentucky. Not approving this rate would certainly cause a significant drop in enrollment and loss of revenue.

Potential number of students impacted: 2,000 full-time students or 14% of total full-time students. The number of part-time students is minimal; it will be approximately 100 students.



**Council on Postsecondary Education  
April 28, 2011**

**Purchase Ambulatory Electronic Health  
Record Equipment/System  
University of Kentucky**

**ACTION:** The staff recommends that the Council approve the request of the University of Kentucky to purchase Ambulatory Electronic Health Record Equipment/System with \$26 million of federal incentive funds, \$15 million tax-exempt lease/purchase agreement, and \$11 million from UK Health Care operations funds. The project scope is \$51,767,000. The project is required by federal legislation.

The project is required for the University of Kentucky to comply with federal requirements enacted in the 2009 Health Information Technology for Economic and Clinical Health Act and the 2010 Patient Protection and Affordable Care Act. The legislation requires health care providers to implement electronic medical records in ambulatory (outpatient) care operations. Financial incentives are available for providers that do so, and those that do not are penalized. UK faces a penalty of up to a 3 percent reduction in Medicare reimbursements if the changes are not implemented.

The University of Kentucky proposes to purchase Ambulatory Electronic Health Record Equipment/System (EHR) technology from Allscripts, which was recommended by a team of 50 UK clinicians who tested various options, and by UK Health Care IT Governance. The technology is estimated to cost \$51,767,000. UK expects to receive \$26 million in federal funds (financial incentives to implement the technology), \$15 million tax-exempt lease-purchase agreement, and \$11 million from UKHC operations funds. University of Kentucky Healthcare currently participates in Kentucky Health Information Exchange (KHIE) which provides a common, secure, electronic information infrastructure for sharing health information. KHIE is designed according to national standards to ensure interoperability among health records systems. The UK system will be uniquely configured to meet the needs of the university and to insure that the new system allows for interoperability of the KHIE system.

The Capital Projects and Bond Oversight Committee approved the project, contingent on CPE action, at its meeting March 15, 2011. The University of Kentucky Board of Trustees approved the project at its meeting February 22, 2011.

Typically, the Council considers capital projects after the institution governing board has approved the project and prior to action by the Capital Projects and Bond Oversight Committee. However, the university indicates that compliance guidelines for the federal Health Information Technology for Economic and Clinical Health Act (2009) and the Patient Protection and Affordable Care Act (2010) require the EHR to be implemented by 2014. This request allows the university to comply with the legislative requirements, maximize the financial incentives, and avoid penalties. Timing of the meetings of the UK Board of Trustees, the CPE, and the Capital Projects and Bond Oversight Committee, and compliance with the federal legislation requires the Council to consider the project out of sequence.

The Council has the statutory responsibility to review and approve postsecondary education capital projects costing \$600,000 or more, and equipment items costing \$200,000 or more, regardless of fund source, that have been approved by an institution's governing board. Since the estimated cost of this project exceeds the threshold, the Council and the Capital Projects and Bond Oversight Committee must approve the project before it is initiated. During the interim, capital projects are evaluated under KRS 45.760 (5), (7) and KRS 45.763. The project meets the requirement of KRS 45.760 (5), (7) that the source of funds be at least 50 percent federal or private.

Pursuant to KRS 45.763, the University of Kentucky will request authorization for the \$15 million lease/purchase agreement in its 2012-14 capital project and operating budget request.

Following Council action, staff will notify the secretary of the Finance and Administration Cabinet, the Capital Projects and Bond Oversight Committee, and the University of Kentucky of the project status.

Staff preparation by Sherron Jackson



**Council on Postsecondary Education  
April 28, 2011**

**Replace Video Boards at Commonwealth Stadium  
University of Kentucky**

**ACTION:** The staff recommends that the Council approve, contingent on favorable action by the University of Kentucky Board of Trustees at its May 3, 2011, meeting, the request of the University of Kentucky to replace video boards at Commonwealth Stadium with \$3.15 million of UK Athletic Association private funds and a \$3.1 million loan from the university. The project scope is \$6,250,000.

The University of Kentucky is requesting that the Council, out of sequence and contingent on favorable action by the University Board of Trustees May 3, 2011, approve this interim capital project to replace video boards at Commonwealth Stadium with new Light-Emitting Diode (LED) technology video panels and sound system. The Cathode Ray Tube (CRT) technology that supports the existing video boards is over ten years old (installed in July 1999) and the boards are approaching their useful life expectancy. Parts and service are becoming difficult to obtain. CRT technology is no longer available for the type of video boards at Commonwealth Stadium. The university plans to replace the electronic components of the two (2) existing structures with LED technology video panels, install ring or strip board video on the upper deck sidelines and suite corners, and replace the sound system. Ribbon boards will increase advertising revenue along with providing additional spectator requested information (statistics, score updates, and closed captioning information). The project will include all "front end" production equipment to generate production level video. The existing scoreboard structures will remain. The existing utility infrastructure will be used or upgraded to service the new video boards.

The scope of this project is \$6,250,000 and will be funded with \$3,150,000 in private funds from the University of Kentucky Athletics Association (UKAA) and a \$3,100,000 internal loan from the university. UKAA will repay the loan over a period not to exceed five years.

The University of Kentucky Board of Trustees has not acted on this project but is expected to do so at its meeting May 3, 2011. Typically, the Council considers capital projects after the institution governing board has approved the project and prior to action by the Capital Projects and Bond Oversight Committee. However, the university indicates that in order to complete this project prior to the beginning of the 2011 football season the project is being submitted to the Council on Postsecondary Education for approval at its April 28, 2011, meeting contingent upon approval by the Board of Trustees. Timing of the meetings of the UK Board of Trustees, the CPE, and the Capital Projects and Bond Oversight Committee and a willingness of the Council to consider the project out of sequence will allow the university to complete the project prior to the beginning of the 2011 football season.

The university confirms that the private and institutional loan funds are available to implement the project. The project will result in improvements to state-owned property with ownership of the improvements to accrue to the university upon completion. The University of Kentucky's Capital Project Management Division will implement the project, the university will pay the operations and maintenance, and it does not represent a demand on the General Fund.

The Council has the statutory responsibility to review and approve postsecondary education capital projects costing \$600,000 or more and equipment items costing \$200,000 or more, regardless of fund source, that have been approved by an institution's governing board. Since the estimated cost of this project exceeds the threshold, the Council and the Capital Projects and Bond Oversight Committee must approve the project before it is initiated. During the interim, capital projects are evaluated under KRS 45.760 (5), (7) and KRS 45.763. The project meets the requirement of KRS 45.760 (5), (7) that the source of funds be at least 50 percent federal or private.

Following Council action and upon receipt of evidence of subsequent favorable action by the University of Kentucky Board of Trustees, the staff will forward the Council's recommendation to the secretary of the Finance and Administration Cabinet and to the Capital Projects and Bond Oversight Committee.

Staff preparation by Sherron Jackson

**Council on Postsecondary Education**  
**April 28, 2011**

## **CPE 2012-18 Capital Improvements Plan**

The Council's 2012-18 Capital Improvements Plan was submitted to the Capital Planning Advisory Board (CPAB) April 19, 2011. The plan includes a description of the Council, a list of capital-related needs for the agency, and strategies for addressing capital issues. The plan contents will be reviewed by CPAB staff. If needed, and based on CPAB staff review, refinements to the Council's plan will be made over the next two months. The CPAB will discuss the Council's plan and the plans of other state agencies and postsecondary institutions during a series of public meetings in the June to July timeframe.

KRS 7A.120(3) directs that in odd-numbered years each state agency shall submit information about its facilities and facilities-related needs to the Capital Planning Advisory Board. The individual agency plans are used by the CPAB to develop a comprehensive Statewide Capital Improvements Plan, encompassing all state agencies and postsecondary institutions. The Governor, the Chief Justice, and the Legislative Research Commission receive the plans November 1 of each odd-numbered year.

The projects included in the Statewide Capital Improvements Plan, if implemented, will enable the postsecondary system to provide services to students and the public in a coordinated and collaborative fashion. The Council's plan identifies enhancements to the existing lease for agency operations, information technology, and related projects to support the agency and the 2011-15 Strategic Agenda for Kentucky Postsecondary and Adult Education. The plan identifies \$32.3 million of general/bond funds and agency funds across the planning period 2012-18. The Council's priorities will be considered along with other statewide priorities for the postsecondary system and other state agencies. (A project list with a brief description is attached.)

The Council staff anticipates that successful implementation of these projects will increase collaboration and efficiency, strengthen the postsecondary education system, and help to achieve the strategic agenda. The projects will extend the reach of Kentucky postsecondary education to students and citizens where needs have not been fully met.

Staff preparation by Sherron Jackson



**COMMONWEALTH OF KENTUCKY**  
**2012-18 CAPITAL PLANNING SYSTEM**  
**Projects involving the General Fund (Cash/Bonds)**  
**Brief Descriptions**

**2012-18 Capital Improvements Plan**

Priority	Project Name / Description	Scope
1.	<b>Franklin County – Lease:</b> This project allows the Council on Postsecondary Education to continue the existing lease of real property that houses the offices of KYVC/KYVL, Adult Education, Governor’s Scholars, and the CPE operations. Also, it allows CPE, with assistance from the Finance Cabinet's Division of Real Property, to assess the appropriateness of the existing space and seek more appropriate quarters if greater efficiencies might be gained.	\$1,000
2.	<b>KY Virtual Library Infrastructure Rebuild:</b> The Kentucky Virtual Library, created as a result of the historic 1997 Kentucky Higher Education Improvement Act (HB1) Higher Education Reform Act, has been proven to be an extraordinary success in bringing colleges and universities, schools, and public libraries together to lower costs and improve efficiencies through joint purchasing and shared resources. Through the KYVL, Kentucky has been able to expand access to library services and resources for millions of students and Kentucky citizens at a fraction of what it would have cost had the libraries done it alone. The request would address critical KYVL infrastructure and database needs in the following areas: Electronic Research Databases, Kentuckiana Digital Library, Learning Depot, Hardware Systems Upgrades, Interlibrary Loan System, Interactive Library Tools, and Institutional Repositories.	16,550,000
3.	<b>Course Redesign Project:</b> The Course Redesign Initiative intends to utilize the proven program developed by the National Center for Academic Transformation (NCAT) to improve learning outcomes while reducing costs by redesigning some of the top 20 courses offered by the Kentucky public postsecondary institutions. This course redesign is called for as a part of the new five-year Strategic Agenda, Stronger by Degrees. The redesign will incorporate specific technologies as each course is evaluated. Technologies such as Blackboard Learning Management Suite, iPads, laptops, iPhones, newly designed computer laboratory space, interactive video, student advising software, instructional design software, lecture capture equipment, streaming media servers, SharePoint, mobile wireless student response devices (clickers), electronic content, servers, and broadband networks will be included as required for each course.	2,000,000
4.	<b>Enterprise Data Collection, Analysis, and Reporting System:</b> This project expands and modernizes the data infrastructure of the Council on Postsecondary Education to support mandated agency functions such as the licensure and oversight of nonpublic colleges and universities operating in Kentucky and the approval and review of academic programs. An enhanced data environment will also provide a more complete picture of postsecondary education for transparency and accountability and will improve reporting on legislative priorities such as college readiness (Senate Bill 1, 2009) and the seamless transfer of college credit across the Commonwealth (HB 160, 2010).	2,000,000
5.	<b>Expand KY Regional Optical Network Infrastructure:</b> This is phase I of a multi-phased project to build an infrastructure to support the integration of Internet2 applications into the K-20 teaching and learning environment. It includes the Kentucky Regional Optical Network (RON), a fiber network or dedicated optical wavelengths between major concentration points, at all public postsecondary education institutions to be extended out to community colleges and schools when needed.	4,250,000

6.	<b>KnowHow2GoKY.org Learner Portal:</b> This project provides a dynamic and interactive approach to meeting the college and career exploration needs of the at-risk and other student populations and school systems across the Commonwealth. In a direct response to both the spirit and specifics of Senate Bill 1 (2009), the KDE and CPE Unified Strategy, and the Commonwealth Commitment, this program will offer real-time exploration of careers, the disciplines that support them, the institutions and programs that provide educational access, and the current and forecasted jobs available in the visitors' community. Integrated into the KNOWHOW2GOKY.org platform and building on the established college and career readiness brand – KNOWHOW2GOKY, college and career exploration and lifelong learning will take a new personalized approach not currently available to Kentucky citizens.	1,200,000
7.	<b>Purchase Interactive Video Control Center:</b> The costs associated with bringing individuals together for meetings have become a stress point for budgets across the Commonwealth. Technologies available today allow for virtual face to face meetings, document sharing, and the inclusion of various communications devices to include cell phones. Providing this level of service to the postsecondary community would drive costs down while improving efficiency. This project supports the statewide IP-based interactive videoconference system by unifying videoconference networks at postsecondary institutions across the state. It will redesign and implement a single, unified IP-based interactive videoconference system that can be integrated into not only teaching and learning but also health care and economic development activities.	1,000,000
8.	<b>Upgrade CPE Technology Infrastructure:</b> Virtualization would allow Council members, university presidents, legislators, and Adult Education Learning Centers direct access to information that would otherwise require multiple layers of user intensive security. A private cloud would allow these parties a much more robust and secure environment to collaborate on the necessary work to continue reform.	500,000
9.	<b>Purchase Asset Management System:</b> This item has been considered over the past couple of years, and the target has moved away from electronic devices to more of an overall asset management problem. Whether the asset is intellectual (application code, data, digital learning objects) or physical (network clients, servers, smartphones, removable media), it must be managed and protected. This project will also allow for remote management and tracking of computing systems including the ability to remotely wipe hard drives conforming to NIST Special Publication 800-88. The end result of this project will be protection of both the physical systems and the information contained on the storage devices associated with those systems.	500,000
10.	<b>KYVC/KYVL Create Statewide Technology Laboratory:</b> The Kentucky Virtual Campus and the Kentucky Virtual Library will purchase hardware and statewide licenses for a pool of software products that would be available to every school, college, university, or library in the state. These licenses would include such items as Plagiarism Detection Software, Laboratory Enactment Software (such as chemistry, biology, etc.), and Homework Help/Tutoring Services. The cost savings realized through statewide purchasing and management would be significant. This project will use mobile web-based technologies to deliver instruction and resources so learners can participate wherever they are. This will retrofit existing learning systems and ensure that new systems are integrated with mobile web technology.	4,000,000
11.	<b>Purchase Portable Training Laboratory Hardware/Software:</b> This project will support the purchase of hardware and software needed for three separate training labs for the Kentucky Virtual Campus (KYVC), the Kentucky Virtual Library (KYVL), and Kentucky Adult Education. These training labs would be portable and would increase each entity's ability to respond to the increased demand for training on curriculum and management software used in their daily operations.	300,000
	<b>2012-18 Total</b>	<b>\$32,301,000</b>

**Council on Postsecondary Education  
April 28, 2011**

## **Doctorate of Nursing Practice Proposals**

<p><b>ACTION:</b> The staff recommends that the Council approve the Doctorate of Nursing Practice degree programs at Eastern Kentucky University, Northern Kentucky University, and Western Kentucky University.</p>
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The 2010 Kentucky General Assembly approved Kentucky's comprehensive universities to offer advanced practice doctorate degrees [KRS 164.295(5)]. The Kentucky Board of Nursing revised 201 KAR 20:60 to allow Kentucky's public regional universities to offer Doctorate of Nursing Practice (DNP) degree programs. In accordance with the 2010 legislation, Council staff worked in concert with the Kentucky Board of Nursing to develop a joint application process for those institutions wishing to implement DNP degree programs.

Council staff has reviewed applications presented by Eastern Kentucky University, Northern Kentucky University, and Western Kentucky University. Reviews have been conducted by both the Council and KBN and are hereby recommended for approval.

Council staff also recommends review of these programs in three years which will include a review of efficiencies comparing actual costs to proposed costs, revenues, and enrollment and graduation rates, along with evidences of collaboration with other institutions, the sharing of content through The Learning Depot, analysis of availability of clinical experiences, and updated data on employment opportunities within the universities' service regions. Other review metrics may be established in consultation with the institutions and the KBN.

Staff preparation by Linda Linville





**Council on Postsecondary Education  
April 28, 2011**

**Kentucky Center for Mathematics**

<p><b>ACTION: The staff recommends that the Council continue the contract for the Kentucky Center for Mathematics at Northern Kentucky University.</b></p>
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The Kentucky Center for Mathematics was created by House Bill 93, codified as KRS 164.525, in March 2005. Northern Kentucky University was selected as the host institution for the Center as a result of a request for proposals process, and the Council approved this selection in January 2006.

KRS 164.525 requires that the selection process be repeated every five years. Council staff issued a request for proposals for the 2011 competition in the fall of 2010. Input into the RFP was solicited and received from the Committee for Mathematics Achievement and the commissioner of the Kentucky Department of Education. Northern Kentucky University was the only applicant. A thorough review of their proposal was conducted by a committee consisting of representatives from the Kentucky Department of Education, the Education Professional Standards Board, and Council staff.

This review concluded that NKU has done an excellent job administering the Center during the last five years and has a strong plan for work in the future. Not only has the Center excelled in its delivery of primary intervention and coaching strategies to K-12 teachers, it has been an active partner across the state in all of the reforms surrounding 2009's Senate Bill 1. A short summary of the Center's accomplishments is attached.

Based on this background of excellent work and the proposed efforts over the next five years, Council staff recommends continuing the Center at NKU.

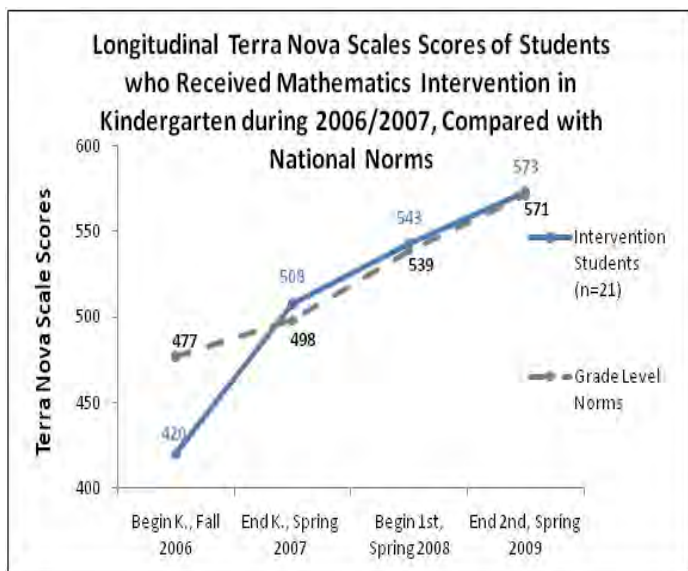
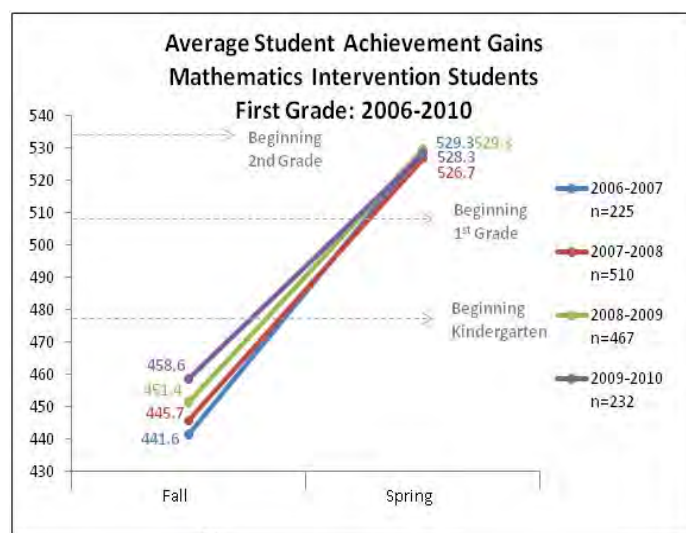
Staff preparation by John DeAtley

## Highlights from the First Five Years 2006 - 2011

### Primary Mathematics Intervention Program

The 120 intervention schools located throughout Kentucky each have a Mathematics Intervention Teacher (MIT) who is trained and supported by the KCM. As a group, the MITs work directly with over 3,000 struggling students per year. By offering professional development to other teachers in their schools, the MITs indirectly impact over 30,000 students each year.

**Individual student growth:** Evaluation of the program shows that many intervention students gain significantly more than one grade level during an intervention period of one year or less. The improvement in mathematics achievement scores for intervention students is significantly greater than their peers.

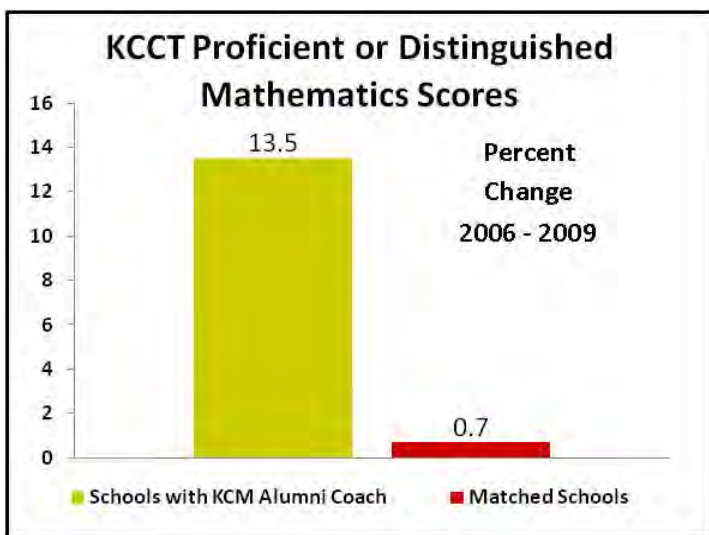
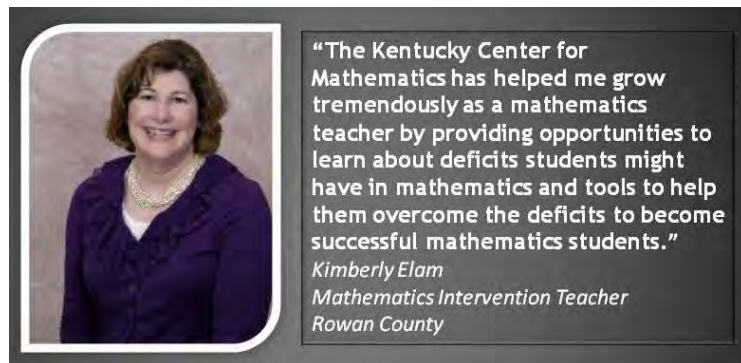


**Sustained student growth:** Of the (low-achieving) 1<sup>st</sup> grade students who received intervention from a KCM-trained MIT in 2006-07, over 50% of students were, as 4th graders, rated as proficient or distinguished on the 2010 Kentucky Core Content Test (KCCT). Data from the Terra Nova (a nationally normed test) verifies that many intervention students “catch up” during intervention and maintain these gains over time.

**School-wide growth:** In 2010, the mean percent of students scoring proficient or distinguished on the mathematics portion of the KCCT in intervention schools was 73.5%, exceeding the mean percent of students rated as proficient or distinguished in non-intervention schools. From 2007 to 2010 the percent of students scoring proficient or distinguished increased by 14.4% in intervention schools which was significantly greater than the 10.1% growth in non-intervention schools.

## Kentucky Numeracy Project (KNP)

This project is an extension of the Primary Mathematics Intervention Program. The goal of the KNP is to create a freely available dynamic, sortable Intervention Guide incorporating proven numeracy development strategies that are aligned with the Kentucky Core Academic Standards. A free webinar series began in January 2011 to facilitate the use of the Intervention Guide. There are currently 565 participants registered for the webinar series.



## The Mathematics Coaching Program

The KCM's coaching program (2006-2010) trained 125 coaches who worked with mathematics teachers across Kentucky to assist them in developing and adopting instructional methods for improving student learning of mathematics.

Schools with a KCM Coach who had completed the 3-year program increased the percentage of students reaching proficiency on the mathematics portion of the KCCT by 13.5% from 2006 to 2009. In comparison, a group of matched schools, without a KCM coach, experienced an increase of less than 1%.

## Adult Education Program

The KCM, in collaboration with Kentucky Adult Education, provides professional development for experienced adult education instructors. To date nearly 200 adult educators have participated in professional development institutes designed to assist them in strengthening their content and pedagogical content knowledge so that they may more effectively teach their students.

"The PD you provide...helps strengthen our instructors, which strengthens our programs, which leads to increased student success. What you do is vital to the services we provide."

*Cris Crowley*  
ACE2 Adult Education Director  
Madisonville Community College





**Council on Postsecondary Education**  
**April 28, 2011**

## **Committee on Equal Opportunities Report**

The Committee on Equal Opportunities met Monday, March 28, 2011, in Frankfort, Kentucky. Following is the status of initiatives, recurring activities, and discussions related to the CEO.

### **2011 Meeting Schedule**

The remaining CEO meeting dates are May 16 and October 17, 2011. It may be necessary to hold a special meeting of the Committee to take action on the institution diversity plans.

### **Revised Administrative Regulation 13 KAR 2:060 Degree Program Approval**

The revised Kentucky Administrative Regulation, 13 KAR 2:060, that supports the Kentucky Public Postsecondary Education Diversity Policy and Framework for Institution Diversity Plan Development was forwarded to the Legislative Research Commission Friday, February 11, 2011. The revised KAR was posted to the Council website to allow the general public to review and comment on the modified regulation between March 1 and March 31, 2011. Additionally, the proposed regulation was published in the LRC Administrative Register.

Council administrators scheduled a public hearing for the revised regulation; however, the hearing was cancelled because no requests were made to attend. Significant public input to the regulation has already occurred. Written comments were accepted through March 31, 2011. The remaining steps of the process include:

- A Statement of Consideration filed by the Council responding to proposed changes.
- A review of the Kentucky Administrative Regulation, 13 KAR 2:060, conducted by LRC staff, followed by an appearance before the Administrative Regulations Review Subcommittee.
- A review of the Kentucky Administrative Regulation, 13 KAR 2:060, conducted by the Education Committee.

The revised Kentucky Administrative Regulation is expected to be complete June 2011.

## **Institutional Diversity Plan Submission/Review/Action**

The Kentucky Public Postsecondary Education Diversity Policy and Framework for Institution Diversity Plan Development requires each institution to develop and submit an institutional diversity plan. The original date for submission was Tuesday, March 15, 2011; however, Council staff revised the date to enable institutions to receive additional input from campus interest groups. The revised date is April 30, 2011. The next steps include:

- Institutional diversity plans will be submitted to the CPE offices between March 31 and April 30, 2011.
- Review/approval process conducted by the CPE Institutional Diversity Plan Review Team.
- KCTCS and universities' board of regents or trustees approve institutional plans.
- CEO/CPE action on the institutional diversity plans.
- Implementation of the institutional diversity plans.

## **Governor's Minority Student College Preparation Program**

The GMSCPP Annual Conference will be hosted by Western Kentucky University at the Bowling Green campus, June 14-15, 2011. Approximately 20 programs with 225 students and directors are expected to participate. Because of limited funding, programs are limited to 10 students each.

The Academically Proficient African American High School Juniors and Seniors Conference will be hosted by Morehead State University in Morehead, Kentucky, June 17-18, 2011. Participation in this conference by students and parents is voluntary. Currently there are approximately 100 students and parents registered for the conference, and 50 registrants requested an opportunity to stay on campus overnight. The partners for this conference are the Council on Postsecondary Education, Kentucky Department of Education, and Morehead State University.

Staff preparation by Rana Johnson



**UPDATE FROM COMMISSIONER TERRY HOLLIDAY  
FOR THE  
APRIL 27-28, 2011, COUNCIL ON POSTSECONDARY EDUCATION MEETING**

**Highlights of the February 2, 2011 Kentucky Board of Education meeting were as follows:**

***IMPORTANT POSITIONS ADOPTED BY THE KENTUCKY BOARD OF EDUCATION***

At the February 2 meeting, the Kentucky Board of Education adopted the following important positions:

- The board voted to give its full support to continuing the implementation of Senate Bill 1.
- The board is still committed to pursuing a Program Review for World Language as the first priority after the three Program Reviews mandated by Senate Bill 1 are in place.
- The board unanimously supports House Bill 225, the Graduation Bill. In fact, five members of the board attended the House Education Committee meeting where the bill was heard in order to indicate the board's position.

**Highlights of the April 13, 2011 Kentucky Board of Education meeting were as follows:**

***BOARD GIVES FINAL APPROVAL TO 703 KAR 5:200, NEXT GENERATION LEARNERS***

On April 13, final approval of 703 KAR 5:200, Next Generation Learners, the regulation that defines the first component of the new accountability system, occurred. The regulation was passed unanimously and will enable the Kentucky Department of Education to move forward with the portion of the accountability model that affects achievement, gap, growth, readiness for college/career and graduation rate. Passage of this part of the accountability system is a major step toward ensuring that all students graduate college and/or career ready!

***RESOLUTION PASSED SUPPORTING "THE COMMONWEALTH COMMITMENT TO COLLEGE AND CAREER READINESS"***

The Kentucky Board of Education joined all 174 local school districts on April 13 in committing to help prepare more of our graduates for college and career by passing a resolution in support of "The Commonwealth Commitment to College and Career Readiness". This is a bold commitment made by all of the local board chairs and superintendents across the state as well as a commitment made by the Council on Postsecondary Education and college presidents. The pledge made by school districts is to increase by 50% the number of college and career ready graduates by 2015.

**Next Meeting:** June 7-8, 2011, Frankfort

**Other items of interest from Commissioner Holliday are as follows:**

***KENTUCKY ANNOUNCES ASSESSMENT VENDOR***

Senate Bill 1 (SB 1), enacted in the 2009 Kentucky General Assembly, requires a new public school assessment program beginning in the 2011-12 school year. The legislation requires the use of a blended criterion-referenced and norm-referenced assessment in grades 3-8 in four content areas (reading, mathematics, science and social studies) and writing assessments at elementary, middle and high school. These new assessments will be administered in 2012 during the last 14 days of school in a district's instructional calendar.

Kentucky has completed the state-required procurement process for selecting a new assessment vendor. NCS Pearson has been awarded the contract to provide all assessments for grades 3-8 and writing on-demand at high school. Pearson currently provides large-scale assessment services in more than 25 states and for the U.S. Department of Education.

The Kentucky Board of Education has adopted an end-of-course (EOC) assessment program for high school. The procurement process for the EOC exams is ongoing.

***REPORT ON THE TELL KENTUCKY SURVEY***

Final results are in for the *TELL Kentucky Survey* and I am thrilled to report that 42,025 educators or 80.27% participated in it. This is the highest rate of participation for any state during the first year of the survey's implementation. Additionally, 91% of schools met the 50% response threshold necessary to receive school level results. Superintendents, principals and all of our education partners are to be congratulated and commended for encouraging educators to respond and are responsible for the tremendous response rate.

The results of this survey will be returned to schools and districts and will provide information on school working conditions that can be used by school staff to implement policies and practices focused on improving student achievement and teacher retention.

On April 25, the embargoed release of the data will occur to superintendents and principals. Along with access to the data, each district will receive a district improvement guide and each school will receive a school improvement guide that provides assistance in interpreting and using the data for school improvement purposes. As follow-up, the Kentucky Department of Education will be providing webcasts and technical support on use of the data.

The public release of the data will occur on May 2. The Kentucky Board of Education will review the results of the survey at its June meeting for potential state policy and budget impacts.

***For questions on any of the items in this report, contact Mary Ann Miller at (502) 564-3141 or via e-mail at [maryann.miller@education.ky.gov](mailto:maryann.miller@education.ky.gov).***



# EKU Report

Eastern Kentucky University News for the Council on Postsecondary Education

April 2011



## EKU One of 12 Sites Vying to Host Presidential Debate

EKU is one of 12 applicants nationwide vying to host a Presidential Debate in 2012.

According to The Commission on Presidential Debates, the other colleges and universities hoping to host a debate next year are: Belmont University, Nashville, Tenn.; Centre College, Danville, Ky.; Dominican University of California, San Rafael, Calif.; Hofstra University, Hempstead, N.Y.; Indiana University, Bloomington, Ind.; Lynn University, Boca Raton, Fla.; Saint Mary's College of California, Moraga, Calif.; The Richard Stockton College of New Jersey, Pomona, N.J.; University of Denver, Denver, Colo.; Wake Forest University, Winston-Salem, N.C.; and Washington University in St. Louis, St. Louis, Mo.

If EKU is accepted as a host, the event will be held in the University's 2,012-seat Center for the Arts, expected to open this fall. It is anticipated that a Presidential Debate would attract approximately 5,000 guests (including approximately 3,000 media personnel) to the community and area, some staying for as long as a week.

Site surveys, conducted by members of the Commission, will be scheduled for April-June. Proposals and site surveys will be reviewed this summer, and the Commission plans to announce the 2012 sites and debates this fall. In 2007, 16 proposals were submitted and three Presidential Debates were held the following year.

"The prospect of hosting a 2012 President Debate excites me for our University, community, region, and state," EKU President Doug Whitlock said at a news conference announcing the bid. "It is particularly exciting when I think of what it would mean for our students educationally to witness history in the making and to see an event of national, even international, importance play out before them.

"We are truly grateful for the support shown to this project by Richmond, Berea, Madison County, Lexington, and the Commonwealth of Kentucky," Whitlock added Tuesday. "Governor Beshear, Senator McConnell, Representative Chandler and many others here in the state and in the nation's capital have given us encouragement. We would not be making this application without their endorsements and unless we thought we had a legitimate chance."

EKU's proposal emphasizes several strengths University officials believe make Eastern and the Richmond-Berea-Lexington area ideally suited to host such an event.

## EKU at "Vanguard of Campus Safety"

As a beta site nationally for its implementation of the Campus Aggression Prevention System (CAPS), EKU is at "the vanguard of campus safety."

So says John D. Byrnes, founder and CEO of the Center for Aggression Management, who was on the Richmond campus earlier this year to help train dozens of Eastern staff and faculty in the use of CAPS, which is based on the measurement of emerging aggression.

Because CAPS is grounded in the principles of prevention and mitigation, it meshes well with the University's Student Assistance and Intervention Team, according to Dr. Claire Good, associate vice president of Student Affairs and dean of students. Core members of the team have met regularly for the past two years to discuss potential developing situations and work toward policy development. Others join the team as situations demand.

## OT Leads Way among Nationally Ranked Graduate Programs

Led by a 24<sup>th</sup>-place national ranking among graduate programs in Occupational Therapy, four graduate programs at EKU have earned placement in the 2012 edition of Best Graduate Schools, published by U.S. News Media Group.

Other Eastern programs ranked among the nation's best graduate programs are: Public Affairs (MPA), 165; Nursing, 193; and Speech-Language Pathology, 209. For a full list of the rankings, visit [www.usnews.com/grad](http://www.usnews.com/grad). Highlights of the rankings were published in the Best Graduate Schools 2012 edition book.



## New Somerset Campus Opens

Addressing a large crowd that had gathered at grand opening ceremonies for EKU's new Somerset campus, Terri Reynolds could hardly contain her excitement.

"Finally, Somerset has its first four-year, state-sponsored university," beamed Reynolds, coordinator for the campus. "The Lake Cumberland area has long awaited this morning."

The 5,000-square-foot facility enables EKU to offer its entire paralegal degree program as well as its RN-to-BSN (Registered Nurse to Bachelor of Science in Nursing) program, among other courses that contribute toward degree completion.

EKU President Doug Whitlock said the new Somerset campus "sits right at the intersection" of the University's twin commitments to student success and regional stewardship.

Noting Somerset Community College President Dr. Jo Marshall in the audience, Whitlock said the facility "will enable us to pursue more 2-plus-2 programs. We look forward to working hand-in-hand with Somerset Community College to continue serving the people of this area."

Already, 87 students are attending classes at EKU's Somerset campus, a number EKU officials expect to rapidly grow. Twenty-eight course sections are offered, and this semester students are taking 400-plus credit hours.

## Hoskins New Director for Center for the Arts

The new executive director of the 2,012-seat EKU Center for Arts, expected to open this fall, brings 20 years of experience of helping to bring leading entertainment acts to central Kentucky.



Debra Hoskins served as assistant managing director and director of programs and public relations at the Norton Center for the Arts at Centre College in Danville from 1991 until December 2010.

For Hoskins, who earned two degrees at EKU and just last year was honored with a Distinguished Alumnus Award from the EKU Department of Communication, the new position marks a homecoming.

"Eastern Kentucky University has a long and treasured tradition of enhancing the lives of its students and the citizens of Kentucky," Hoskins said. "EKU's Center for the Performing Arts will mark a new chapter in that heritage. EKU is not an institution that is satisfied to just do enough and stop. Rather, it constantly strives to do better and more, and that will set the tone as we develop the ongoing goals for the new Center. We will work to make the EKU Center a model of achievement not only for the region but on the national scene as well."

## PLCs Target Teacher Prep

Five professional learning communities have been established at EKU to better prepare future teachers in content knowledge and to enhance the success of Eastern students.

In response to Senate Bill 1, which seeks to improve college and career readiness in Kentucky, five professional learning communities (one each in English, mathematics, social sciences, natural sciences and teacher education) are studying the new Common Core standards to determine how they relate to introductory general education classes, the University's developmental program, and teacher preparation.

Because the PLCs reflect a bottom-up rather than a top-down approach to attacking the problem, EKU officials are optimistic about the impact of what they have termed The Eastern Initiative. "We are building capacity," said Dr. Dorie Combs, chair of EKU's Department of Curriculum and Instruction.

## Students Receive Prestigious Scholarships

Two EKU students have recently received prestigious national scholarships, and another has received a Fulbright English Teaching Assistantship.

Miles Owen, a junior emergency medical care major from Newberry, S.C., was one of only 60 students nationally to receive a Harry S. Truman Scholarship; and Ethan Kilgore, a junior physics and mathematics major from Paint Lick, was one of only 275 nationally to earn a Barry M. Goldwater Scholarship.

The Truman Foundation recognizes college juniors with exceptional leadership potential who are committed to careers in government, the nonprofit or advocacy sectors, education or elsewhere in the public service; and provides them with financial support for graduate study, leadership training, and fellowship with other students who are committed to making a difference through public service.

Owen has initiated and successfully led his own trips to hurricane-ravaged St. Bernard Parish in Louisiana. Since arriving at EKU, Owen worked with the University's Office of Student Life to plan seven "Alternative Spring Break" trips for Eastern students, participating in four and serving as leader for two.

He plans to apply for the Washington Summer Institute and pursue a master's of public policy degree at the John F. Kennedy School of Government at Harvard University, specializing in crisis leadership. After his graduate studies, Owen hopes to launch a career with the Federal Emergency Management Agency (FEMA).

Kilgore plans to pursue a doctoral degree in theoretical physics toward his career goal of becoming a college professor of physics.

Avery Scherer, an EKU student from Jeffersonville, Ind., earned Honorable Mention recognition from the Goldwater Foundation.

John Simpson, an EKU senior from Burlington, will apply his Fulbright award in the Republic of Georgia.

## Eastern Kentucky University College Readiness Initiatives Update for Academic Year 2010-11

18 April 2011

Following is an update regarding the successful implementation of some of the most impactful college readiness initiatives at EKU during the 2010-11 academic year:

### Pre-Matriculation Initiatives:

- The EKU Now! dual enrollment program continues to work closely with high schools in the EKU service region. During the 2010-11 academic year, 27 high schools and one homeschool program were served by EKU Now! During the last three semesters for which data is available, EKU Now! students earned a total of 3,698 college credit hours.
- Collaborative endeavors between Eastern Kentucky University and high schools in the regional service area have resulted in the growth of the number of high schools being served by EKU high school-to-college transition courses. The 2010-11 academic year saw an increase in the number of schools served by the Mathematics Transition Courses (MTC) program, as well as the successful completion of an English Transition Courses program pilot. The EKU MTC program has helped students from more than 40 high schools in the twenty-two county EKU service region, as well as several high schools in contiguous counties, reach math readiness standards before entering their first year of college. The EKU ETC program completed a successful pilot program in the 2010-11 academic year, with four high schools in three counties participating. Ten additional high schools have been recruited to be a part of the program in the 2011-12 academic year.
- Academic readiness and developmental education policy and procedure were reviewed by the Offices of Academic Readiness and Testing, Academic Advising, and the Registrar for purposes of strengthening policies that promote student college readiness. Changes adopted include policies that will promote accelerated completion of college readiness and developmental education courses. Testing policy and test offerings were also reviewed as a means of remediating college readiness needs and promoting progress toward degree.
- The EKU College of Arts and Sciences received a grant that has allowed the Department of English and Theatre to develop an online reading program for high school seniors who do not meet college readiness reading benchmarks. The 8-week, tuition-free summer program will provide high school students who are interested in attending college with the opportunity to enhance their reading skill level through online coursework. The pilot for this program will take place during summer 2011.
- Faculty in the Department of English and Theatre collaborated with reading faculty from other institutions to develop a Kentucky Online Testing (KYOTE) exam for reading. This new exam, along with the KYOTE mathematics exam currently in place, may be used by high schools throughout the commonwealth to assess college reading preparedness among Kentucky high school students.
- Several programs designed to provide underserved students with exposure to postsecondary education continue to thrive on the Eastern campus. These include Upward Bound, Educational Talent Search, GEAR UP, and the EKU Education Extension Agents program.

### Post-matriculation Initiatives

- The 2010 First Step to College Success program saw an increase in participation. The program helps students who have one or more college readiness needs to transition successfully to the university by improving skills in mathematics, reading, composition, classroom readiness, and

social readiness. During the second session of the Summer 2010 semester, 102 students participated in the program, an increase of 9% over the summer 2009 program. Of these 102 students, 95% were retained from summer to fall.

- The ECU Early Alert and Retention System (EARS) resulted in a combined 3,058 faculty referrals for at-risk students during the fall 2010 and spring 2011 semesters. Students received intrusive advising contacts from the Office of University Advising and were encouraged to meet with advisors to develop individualized success plans.
- University Advising worked closely with many offices across campus to successfully implement Hobson's student recruiting, retention, and enrollment management technology.
- The Office of Veteran Affairs worked to transition former military personnel from the armed services to academia. Initiatives included the development of veteran-centered course cohorts, programs and services designed to address issues specific to veterans, and individualized one-on-one advising for veterans. Successful initiatives have gained Eastern Kentucky University a designation as a veteran-friendly school, and have received positive mention in a number of publications, including Military Times and Military Edge magazines.

#### **Other Initiatives**

- The Eastern Initiative is engaging faculty from Arts & Sciences and Teacher Education in extended professional development and planning around Kentucky's Senate Bill 1. Coordinated through ECU's Teaching and Learning Center, the Initiative has sponsored workshops and developed professional learning communities for faculty from English, mathematics, the social sciences, natural sciences, and education. Faculty have increased their knowledge regarding the requirements of SB1, the Common Core Standards and their impact on content areas, the alignment of common core standards in English and mathematics for pre-service teachers, and the integration of literacy standards for the social and natural sciences in freshmen orientation and introductory classes.



# KCTCS GOOD NEWS REPORT

HIGHER EDUCATION BEGINS HERE

APRIL 2011

## GOVERNOR PROCLAIMS FEB. 27 KCTCS SUPER SUNDAY DAY

More than 150 KCTCS college representatives, Super Sunday pastors, and Hall of Fame alumni joined Governor Steve Beshear on Thursday, February 17 to proclaim February 27, 2011, as KCTCS Super Sunday Day in Kentucky. Hosted by Jefferson Community and Technical College, the press event featured testimonials from five KCTCS outstanding African-American alumni, including KCTCS Board of Regents Vice Chair P.G. Peeples, Sr.; Carltez Hampton, Owensboro Community and Technical College; Charlotte Davis, Elizabethtown Community and Technical College; James Barue Wilson, II, Bluegrass Community and Technical College; and Verná Goatley, Jefferson Community and Technical College. Each of the alumni reiterated the Super Sunday theme of “*Yes You Can Go To College... Yes You Can Get a College Degree... Yes You Can Have a High Paying Job!*”



ABOVE: Governor Steve Beshear signs the proclamation declaring February 27, 2011, as KCTCS Super Sunday Day in Kentucky. Looking on are KCTCS President Michael B. McCall and members of the Super Sunday Hall of Fame.

LEFT: Porter G. Peeples, Sr., Vice Chair of the KCTCS Board of Regents, speaks at the Super Sunday press event.

Media coverage of the announcement was extensive with more than 12 stories, including a *Courier-Journal Metro Section* (front page above the fold) story and an op-ed from KCTCS President Michael B. McCall. In order to garner additional statewide coverage, interviews with all individual college attendees were conducted and placed on a website for media to download for local broadcasts.

To view the press conference and all interviews, go to [kctcssupersunday.com](http://kctcssupersunday.com).



# KCTCS GOOD NEWS REPORT

HIGHER EDUCATION BEGINS HERE

APRIL 2011

## SUPER SUNDAY HALL OF FAME

One of the features of the February 27 Super Sunday event is a KCTCS Alumni Hall of Fame featuring successful African-American alumni from each of the colleges. These individuals are being showcased in college viewbooks, the Super Sunday website, and college flyers. Celebrating the successes of KCTCS alumni will not only provide aspirational messages to prospective students and their parents but will also give the colleges an opportunity to share student success stories with the local community.

### SUPER SUNDAY TOTALS

<b>Number of Churches</b>	<b>Total Attendance</b>	
46	4,005+	
<b>Student Prospects</b>	<b>College/SO Volunteers</b>	<b>Hall of Famers Present</b>
243+	353	14+

## PROMOTIONAL MATERIALS

Calling Cards ▲  
 Postcards ▲  
 Attendee Response Cards ▲  
 Ads ▶  
 Banners ▼  
 Hall of Fame Templates ▶  
 Posters ▶  
 Buttons ▼







Kentucky State University

April 2011

## Green Hill Cemetery Revitalization Project One Of KSU's 125th Anniversary Community Service Projects



*Kentucky State University students Ricardo Cooper and Asia Dubose pair up to paint the fence at Green Hill Cemetery in Frankfort, Ky., one of the activities during Earth Week and KSU's 125th Anniversary Celebration.*

More than 25 students began the task of clearing debris and straightening, mending and painting the fencing of Frankfort's historic Green Hill Cemetery on April 18, 2011. The project, named the Green Hill Cemetery Revitalization Project, is part of Kentucky State University's Earth Week celebration. KSU students and others volunteered to participate in this project through April 20.

The revitalization project is also an activity under the university's yearlong 125th anniversary celebration, during which community service is a key component.

Green Hill Cemetery, which is located at the corner of East Main Street and Versailles Road, was established in 1800 and is a historic African American cemetery that features the only monument in the state, and only one of four in the country,

to honor African American Civil War soldiers. The Franklin County U.S. Colored Troops Monument honors African American soldiers from the central Kentucky area who fought for the Union. Erected by the Colored Women's Relief Corps in 1924, 141 names are engraved on the monument.

"We chose this project because we wanted Green Hill to be a classroom for our students," says Irma Johnson, KSU's community outreach coordinator. "Some of the graves are unmarked because the headstones have disintegrated. We are trying to figure out who is buried in those unmarked graves. And KSU is doing a map of the cemetery."

Asia Dubose, 21, from Cincinnati, Ohio, is one of KSU's women's basketball team members who volunteered for the project. The junior criminal justice major says about her participation, "Our coach asked if anyone would like to do it, and I volunteered. I like to give back to the community. This is where I am right now, so I'd like to help make it (Frankfort) beautiful."

Ricardo Cooper, 21, is president of the KSU chapter of Alpha Phi Omega, a national service fraternity. He says, "Our core values are leadership, friendship and service. That's why I'm here." Cooper is a senior with a double major in mass communications and criminal justice.

Brooklyn, N.Y., native Paulina Lyons, 19, wanted to get out of the big city but realized she wasn't venturing out enough in the relatively smaller city of Frankfort. "I need to get out more, and I wanted to help the Frankfort community," she says. Lyons is a freshman majoring in business and marketing.

A member of the Green Hill Cemetery Board and KSU alumna welcomed the help from the students. "As a member of the board, I'm delighted that KSU has adopted the cemetery as one of its community-service projects. I hope that this project will continue for years to come," says Cornelia Calhoun '64, '94. "It's a tremendous boost because

we operate the cemetery on a limited budget, and with the economy in the shape it's been in, we've not had a major fundraiser in the last several years."

Dr. Anne Butler, a KSU professor and director of regional stewardship and public engagement, is taking her Kentucky 1861 class to tour the cemetery on May 3. The Kentucky 1861 course is designed to show students what it was like to live in Kentucky on the eve of the Civil War. On April 12, students taking the course recreated events leading up to the start of the Civil War in Kentucky in the House chambers of the Old Capitol in Frankfort.

Other community-service projects will be scheduled later during KSU's 125th anniversary commemorative year. "This first project is just the beginning," says Hinfred McDuffie, vice president for administration, external relations and

development at KSU. "We are pleased and thankful for the great support we have received from our community partners, the city of Frankfort, the Green Hill Cemetery Committee and members of the National Guard. I would also like to thank Domino's Pizza, Sherwin Williams, KSU Dining and Frankfort Parks and Recreation for their support."

Other Earth Week activities at KSU include a Green Festival, which will take place from 11 a.m. to 1:30 p.m. Friday, April 22, at the Carl M. Hill Student Center Courtyard. This event will include lunch; "Recyclemania," a contest to clean up recyclable trash from campus; exhibits and displays of green innovations; and the Earth Day Art Contest. Recyclemania is a three-day event occurring Wednesday through Friday.

## KSU Places Third At Honda Campus All-Star Challenge National Tournament

A team of four KSU students won third place April 12 at the Honda Campus All-Star Challenge National Championship Tournament in Orlando, Fla.

The Honda Campus All-Star Challenge, sponsored by American Honda Motor Co. Inc., is a Q & A competition among students from America's historically black colleges and universities. **James Lawson Whites** (captain),

**Kendra Joseph, Leland W. Reynolds and Joseph Franklin** are the members of the Thorobred team. Dr. Ivory Griskell is the team coach and Vernell Bennett is the campus coordinator and practice moderator.

KSU competed against 47 other teams in Florida. The KSU team had previously won a pre-tournament competition at Morris College in Sumter, S.C.



*Campus coordinator Vernell Bennett (far left) and team coach Dr. Ivory Griskell (middle) join KSU's Honda Campus All-Star teammates Leland Reynolds, Kendra Joseph, Joseph Franklin and James Lawson Whites.*





We aspire to be the best public regional university in the South.

April 2011



Dean Roger McNeil

### Dr. Roger McNeil named Science & Technology dean

A California educator has been named dean of the College of Science and Technology at Morehead State University. Dr. Roger McNeil assumed the post on Monday, March 21. Science and Technology is the largest college at the University, with nine academic departments and three auxiliary units.

Dr. McNeil received his B.A. degree in physics from the University of California, San Diego, and M.A. and Ph.D. degrees in physics from University of California, Davis.

Dr. McNeil is an experimental high-energy particle physicist. He has worked on research projects at laboratories and accelerator centers in the U.S., Asia, Europe and South America. His most recent project is at the Pierre Auger Astronomical Observatory, with a southern site in western Argentina, and involving more than 300 scientists from 17 nations, studying the ultra-high energy cosmic ray particles showering through the Earth's atmosphere.

In his 25 years, Dr. McNeil has coauthored more than 280 peer-reviewed research articles. He has received funding to support his research and educational activities from federal and state agencies with grant awards totaling more than \$10 million during his career.

Since spring 2007, Dr. McNeil has been with California State University (CSU), Stanislaus, where he was the founding dean of the College of Natural Sciences. CSU Stanislaus, one of the 23 CSU campuses, is a comprehensive master's granting and Hispanic serving institution. The college has five departments, 50 full-time and 10 part-time faculty members, and more than 1,000 undergraduate and graduate students. The college has an annual state budget of approximately \$5 million and its faculty was awarded \$2 million annually in new extramural funding. In three years, the number of science majors has increased by 30 percent.

He has received a number of awards and highest distinctions for excellence in teaching physics at LSU (1998, 2000, 2001 and 2003). He has been active in science and math teacher preparation and in-service teacher professional development. He served on the secondary education redesign committee at LSU, overhauling the secondary education physics program.

At CSU Stanislaus, the faculty was awarded a \$900,000 NSR Robert Noyce Scholarship grant to support STEM teacher preparation. Dr. McNeil also is involved in two California Math and Science Partnership grant projects establishing summer teacher institutes on the university campus.

### Trusty captures prize in Met competition

Morehead State University's Andrea Trusty, a soprano, captured first prize in the Mid-South Regional Metropolitan Opera Competition on Saturday, Feb. 5, at the Germantown Performing Arts Center in Germantown, Tenn.

A 2004 MSU graduate, Trusty is a native of Ezel (Morgan County) and a lecturer in MSU's Department of Music, Theatre and Dance.

She is the daughter of Leila Trusty of Ezel and Bill Trusty of Mize.

After winning the Kentucky District auditions in November, Trusty claimed the top prize in the region. The Mid-South Region comprises the districts in Arkansas, Kentucky, Tennessee, Alabama and Mississippi.

She began vocal study as a student at Morgan County High School with Dr. Roma Prindle, associate professor, and continued to study with her until she graduated from MSU.



**Kenneth Faried**

**Faried named National Defensive Player of the Year by NABC**

The National Association of Basketball Coaches have named Morehead State senior Kenneth Faried Under Armour Defensive Player of the Year.

Faried was presented with the award at the annual AT&T NABC Guardians of the Game Awards Show held at the Cullen Theater in Wortham Center. Tim Brando, CBS television and Sporting News Radio broadcaster, was the host of the show.

The two-time Ohio Valley Conference Player of the Year and three-time OVC Defensive Player of the Year, Faried led Morehead State to its second OVC Tournament championship in the last three years. He established the NCAA modern-era (post-1973) career rebounding record with 1,673 rebounds. Faried's 86 career double-doubles place him just one behind only Tim Duncan in double-doubles in NCAA Division I history.

Faried led the Ohio Valley Conference in blocks per game at 2.3 and was tied for second in the league in steals per game at 1.9. Faried and the Eagles tied a school record with 25 wins this season. He led the team with 17.3 points and 14.5 rebounds per game while shooting 62.3 percent from the field.

**Simms named to Ky Pro Football Hall of Fame**

Former Eagle football player Phil Simms will be one of six to be inducted in the 2011 class of the Kentucky Pro Football Hall of Fame. The announcement was made Feb. 4 during a press conference in Lexington.

Simms may be the best known Eagle athletic alumnus. A four-year letterman, Simms was the Ohio Valley Conference's (OVC) Offensive Player of the Year in 1977, and finished his MSU career with 5,545 passing yards and 32 touchdowns.

After his playing days at MSU, he was the New York Giants' first selection (seventh overall) in the 1979 NFL Draft. Simms enjoyed a 15-year NFL career and when retired held 19 team records.

As an All-Pro quarterback, he led the New York Giants to two Super Bowl titles. He completed 22 of 25 passes in Super Bowl XXI, defeating the Denver Broncos, 39-20, to give the Giants their first Super Bowl title and earning him MVP honors.

**Professors' work on display in Lexington**

The Mezzanine Gallery at the Government Center above Lexington's Mayor Jim Gray's office will feature recent works by two Morehead State University professors, Elizabeth Mesa-Gaido and Gary Mesa-Gaido.

The works will be on display April 15 starting with Gallery Hop and will run through June 10.

**Middleton's film to air on KET**

MSU's Steven Middleton, instructor of mass communication, will have his documentary "Zen Furnace" shown on Kentucky Educational Television's "Reel Visions: Spotlight on Kentucky Filmmakers."

The film began running Wednesday, April 6, and will air throughout the spring and summer. The documentary focuses on of Zen Buddhists in rural Powell County.

**Flippin scores documentary**

MSU faculty retiree Jay Flippin has composed the score and attended the premiere for a documentary, "Steven Caras: Watch Them Dance," about Steven Caras, the famous ballet photographer, at Lincoln Center in New York on March 7. The documentary, sponsored by Arizona Public Television, was produced by well-known filmmakers Deborah Novak and John Witek.

In 2006, Flippin was awarded the Artist Award by the The Kentucky Arts Council.





**MURRAY**  
STATE UNIVERSITY

## MSU student joins original Freedom Riders on journey



Senior journalism major John Walker of Nicholasville, Ky., was one of 40 out of 1,000 applicants chosen to join America's original Freedom Riders on a journey that will retrace the historic civil rights bus rides that changed the face of the country. The project is also the subject of a PBS documentary launched by America's most-watched history series, American Experience. Walker will use both social and traditional media to share his experiences and encourage friends and classmates to think more seriously about civic activism and its role in democracy.



## SGA president joins delegation to Russia

MSU Student Government Association President Kirby O'Donoghue joined a delegation of 15 university student body presidents and one student newspaper editor from across the United States on a trip to Russia in March at the invitation the International Relations Department of the



Federal Agency on Youth Affairs of the Russian Federation. The process was facilitated by the Open World Leadership Center, a Congressional agency with a mission to partner emerging leaders from the countries of Eurasia with their counterparts in the United States.

## MSU fundraising campaign tops \$58 million mark

Murray State University reached the \$58.7 million in February — a record amount that comes with two years still to go in its \$60 million comprehensive campaign. *Hold Thy Banner High: The Campaign for the Students of Murray State University* officially ends in Dec. 31, 2012, but with the extraordinary success experienced thus far, officials have good reason to expect the \$60 million mark to be shattered. The campaign FY 2011 total is now at \$8.7 million, which tops the former yearly record set in 2008, and is on pace to exceed \$10 million.

## Good grades for Murray State

Corroboration of Murray State's quality recently came from the American Council of Trustees and Alumni. During an evaluation of general studies programs at more than 700 institutions, universities were assigned a letter grade from "A" to "F" based on the strength of each school's program across seven core areas: composition, literature, U.S. government or history, foreign language, mathematics, economics and science. While no Kentucky school — public or private — received an "A" grade (a mere 17 did nationally), Murray State University and the University of Kentucky were the only Kentucky publics to score in the "B" range. Georgetown College was the only Kentucky private institution to receive a "B" grade in the state.

## University hosts national fishing championship, high school fishing open

Murray State hosted the National Guard FLW College Fishing National Championship on campus the first week of April. Collegiate bass fishing teams from across the country assembled in the Murray lakes area for three days of fishing. Official weigh-ins were held on campus at MSU's CFSB Center with record crowds attending. The university also hosted the Bass Federation/FLW High School Fishing Open, which netted 70+ teams, all fishing for college scholarships.



## Presidential Lecture Series hosts Ben Stein

Ben Stein — actor, author, economist and pop culture icon — spoke at MSU's seventh annual Presidential Lecture in February. The lecture attracted a full house in historic Lovett Auditorium with MSU students, faculty and staff, as well as members of the Murray and surrounding community members attending.



## **BAVEL offers online dual credit opportunities through MSU**

The Barren Academy of Virtual and Expanded Learning (BAVEL), a Kentucky diploma-granting virtual high school operated by Barren County Schools, has expanded its offerings for students, thanks to a partnership with Murray State University. Fifteen online dual credit courses were offered this spring. In order to participate, BAVEL students must be in their junior or senior year, have a minimum of a 3.00 GPA and a minimum ACT score of 18.

## **Mateja receives prestigious honor**

Dr. John Mateja, director of the Undergraduate Research and Scholarly Activity Office and McNair Scholars Program at Murray State, was elected a Fellow of the American Physical Society (APS), an honor bestowed upon only one half of one percent of APS members. For over 25 years, Mateja has been a leader at the national, regional and local levels of the movement to incorporate undergraduate research and scholarly work into the undergraduate educational experience. His election as a Fellow of APS recognizes this work.

## **MSU receives Fit-Friendly recognition**

The American Heart Association and Start! recognized Murray State University as a Gold Start! Fit-Friendly Company for 2010-11. A Gold Level Award recipient, Murray State is cited for recognizing the importance of a healthy workplace for employees and has taken important steps to create a culture of physical activity at work.



## **Murray State University recognized as a leader in social media**

BlueFuego, a social media consulting firm, which focuses on higher education, named MSU one of "Fuego's Finest." MSU launched a Facebook fan promotion in January to double its numbers by March 5; the 12,000-fan goal was achieved two weeks early.

## **Murray student named Kentucky Dietetic Intern of the Year**

Murray State University student Courtney Langdon is the Outstanding Dietetic Intern of the Year in Kentucky. The award is given by the Kentucky Dietetic Association. Langdon was selected for the award based on her excellence in clinical practice, academic work and community service. This is the seventh year in a row that an MSU student has been selected to receive this award.

## **All Campus Sing's 53rd year finds new twist**

The 53rd Annual All Campus Sing (ACS) was held on the steps of historic Lovett Auditorium, uniting the campus with friends and alumni for an evening of singing and dancing. One of Murray State's most cherished traditions, ACS saw a new twist this year with the use of social media platforms to make the event more interactive. Both participants on campus and viewers watching the live stream were able to directly comment about the show on the Murray State website via Facebook or Twitter. Throughout the performances, viewer feedback was read during the streaming, hosted by MSU campus show, *Roundabout U*.



## **Orchestra performs for Chicago audience**

The Murray State University Symphony Orchestra performed in March at Orchestra Hall in downtown Chicago. The orchestra is under the direction of Dennis Johnson, director of bands and symphony orchestra at Murray State.







## NKU Academic Programs Rank Among Nation's Best by Rugg's Recommendations

A number of NKU undergraduate academic programs have been recognized as being in the top 14 percent in the United States by *Rugg's Recommendations on the Colleges*.

Created by former guidance counselor Frederick E. Rugg, the book is a staple in guidance counselor offices across the nation, helping countless high school students decide the best place to pursue their dreams.

NKU's up-close-and-personal approach and student-centered faculty are a key reason it

shows up in *Rugg's*: the author seeks out departments that are "student oriented," where the professors aren't always in a different city "doing their own thing" with nary a student in sight.

*Rugg's* highlights 10 NKU programs.

Among the 16 public and private colleges and universities from Kentucky listed in the publication, three share NKU's size and selectivity. Among that group, NKU is the only one recommended

for business administration, chemistry, dance/drama/theater and English.

Northern is one of fewer than 90 institutions nationwide and the only one in Kentucky recognized for its entrepreneurship program, which has also been listed in the Top 25 Undergraduate Programs for Entrepreneurship by Princeton Review and *Entrepreneur* magazine.

NKU was also noted for its education, journalism/communication, mathematics and music programs and was one of only three Kentucky schools recognized for forensic sciences/technology.

*The 2011 edition of Rugg's highlights 10 NKU undergraduate academic programs.*

## NKU Among Region's Healthiest Employers

NKU recently received the 2011 Healthiest Employers of Greater Cincinnati award in the 1,500-4,999 employee category.

NKU employees are provided access to a number of health and well-being supports, including on-site health coaching, weight management classes, diabetes education, tobacco cessation support, physical activity classes, community gardens, workstation ergonomics, yearly comprehensive health screenings and massage and pain management services.

"This award recognizes our efforts to create a culture of health, not just a series of programs," said NKU Wellness Coordinator Karen Campbell.

## NKU Cyber Security Team Finishes Second at Regional Competition

An NKU cyber security team recently spent their Spring Break pulling out a second-place finish in its third trip to the annual Southeast Collegiate Cyber Defense Competition (SECCDC).

The SECCDC is an intense three-day event that throws teams of eight students into a high-pressure "cyber attack" environment. Teams are given eight hours to harden a medium-sized corporate network against attack, then 12 hours to complete a set of business tasks in the face of hacking attacks, hardware failures and other deliberate disruptions.

Admission of a team is selective, open only to universities with established computer security programs.

NKU's team was comprised of computer information technology and computer science majors in the NKU College of Informatics.

"The competition really lets you experience the stress and excitement of maintaining a company's infrastructure while being attacked by hackers from the outside world," said Justin Shelton, a junior studying computer science. "Nothing you can learn in class or at

your co-op can prepare you for that intensity."

Computer security is one of the research strengths of the NKU's computer science department. The network/security track in the computer information technology major is NKU's most popular technical degree, with over 200 enrolled students.

The computer science and computer information technology majors combined enroll over 500 undergraduates, a figure that has increased 40 percent over the past two years.

NKU President James Votruba recently noted publicly that the digitarium located within NKU's state-of-the-art Griffin Hall informatics center will be an ideal environment for hosting such a cyber defense competition. Griffin Hall is set to open this summer.

## DID YOU KNOW?

*The average ACT score for incoming NKU freshmen (21.4) has rapidly increased since the adoption of admission standards just a few years ago and is now higher than the Kentucky and national averages.*



## UK's Patterson School Launches iPad Initiative



The Patterson School of Diplomacy and International Commerce has launched a major 18-month trial of the value of Apple's iPad in supporting professional graduate education. This is the first instance where an entire professional school will use the device. With support from Apple, application developers, media companies and hardware providers, the goal is to integrate the iPad fully into the Patterson School's internationally-recognized master's program: student recruitment, admissions, seminars, graduation, and everything in between.

This semester, about 50 Patterson School students, faculty, and staff will begin the iPad trial, with another 35 students joining once the 2011 entering class is selected. The project's focus is two-fold: faculty are exploring how to take full advantage of the device in the classroom setting; and current students are assessing and selecting which off-the-shelf applications best meet the needs of studying diplomacy and international commerce.

## Research Challenge Trust Fund Reception

On March 30, the University honored endowed chairs and professors supported by the Commonwealth's Research Challenge Trust Fund (RCTF) and thanked the legislators and donors for their generous support of this important program.

The RCTF is responsible for creating 91 endowed chairs, 211 endowed professorships, and 259 fellowships and research support programs. As of March 2011, the endowment has accounted for \$235.5 million in gifts and pledges matched by \$235.5 million in state funds. It has accounted for over 33,000 gifts from almost 13,000 donors representing alumni, non-alumni, businesses, foundations, and other organizations and trusts.

The day-long event featured faculty and research success stories benefitting both the University and the Commonwealth. "We need to do the work that maybe you and only 20 other people understand," UK President Lee Todd said during Wednesday's luncheon, "but we also have to make research real for the people of Kentucky, and I appreciate the fact that you are doing it."

## TIF to Accelerate Development at Coldstream

The 2011 Kentucky General Assembly passed and Governor Steve Beshear signed House Bill 310. HB 310 allows the University of Kentucky to establish a tax increment financing (TIF) district on Coldstream Research Campus. This multi-million dollar economic development initiative will take the next step with a request for proposals to select a qualified master developer to design and build the town center, laboratory buildings, and restore the historic Carnahan House in a 35 acre TIF district.





## \$1 Million Lexmark Gift to Support STEM Education

Lexmark International, Inc. recently presented a \$1 million gift to the University of Kentucky in support of science, technology, engineering and mathematics (STEM) education outreach initiatives, in celebration of the company's 20-year anniversary. UK will match the gift to renovate the Northside Library building on Russell Cave Road, next to the UK Department of Biology's Ecological Research Facility.

UK's Partnership Institute for Math and Science Education Reform (PIMSER) P-12 Math and Science Outreach Unit staff will be housed in the building. The unit will conduct teacher training workshops on site. The UK Department of Biology will benefit from a new lab near the outdoor ecological research ponds already on site. The building is slated to be up and running by August 2012. Lexmark announced the gift at a press conference March 29.

President Lee T. Todd Jr. said the gift reflects a long-standing relationship with Lexmark and a shared vision of STEM education excellence. "Lexmark and the University of Kentucky share a commitment to education in science, technology, engineering and math," Todd said. "This generous gift will help to ensure our ongoing support of educational excellence at all levels for these important disciplines that fuel innovation and a brighter future for the Commonwealth."

## Women: It's About You

UK HealthCare will present its third annual "Women, It's About You" conference Saturday, June 4, 2011, from 8:30 a.m. to 2 p.m. at Embassy Suites in Lexington.

The conference is designed to educate women with the most up-to-date health care information in a fun, relaxed setting. This year's conference will feature 10 sessions on the following women's health topics:

- General gynecology and gynecological cancer, presented by Dr. Rachel W. Miller
- Breast health, presented by Dr. Heather Wright and Dr. Margaret Szabunio
- Concussions, presented by Dr. Dan Han
- Steps to ward off depression, presented by Dr. Terry Gevedon
- Eye health, presented by Dr. John Conklin and Dr. Peter Blackburn
- General aging; the Sanders-Brown Center on Aging, presented by Dr. Gregory Jicha
- The aging heart, presented by Dr. Navin Rajagopalan
- Latest advances in plastic surgery (including laser-assisted liposuction and cool sculpting), presented by Dr. Leslie Wong
- Menopause, presented by Dr. Deidra Beshear and Dr. Kathryn Dillon
- Kentucky allergies, presented by Dr. Beth Miller

In addition, the conference will include live entertainment and an exhibitor showcase highlighting health screenings and wellness products and services from throughout Central Kentucky. The cost for this event is \$10 and the deadline to register is Friday, May 13. Registration is available online at the "Women, It's About You" Conference website. To register by mail, call UK Health Connection toll free at (800) 333-8874 to request a registration kit.







# The President's Report

Issue 21 March 2011

SITY OF  
VILLE®



## See UofL in a New Way: *Get on the Bus* President's Bus Tour Shows Campus Transformation

The bus isn't the only thing at UofL on the move. With its sights on the future, the University of Louisville is transforming into a campus that is home to thousands of students, hundreds of researchers and professors. We're creating a neighborhood, a community of scholars centered in a place where they can learn and play. We have great academic facilities, and are adding new restaurants and dining halls, interesting shops and nearby lofts.



*"I'm looking forward to seeing all of the improvements at UofL. Many of us have no idea how much impact UofL has had on all facets of life in Louisville and I look forward to Jim Ramsey showing me and telling me."*

**- The Honorable Greg Fischer  
Mayor of Louisville**

*"It was an impressive tour. The growth at UofL has been tremendous and turned the university into a great asset for the community."*

**-Rep. Darryl T. Owens  
43rd District**

*"This isn't the same UofL I attended."*

**- Keith L. Runyon (A&S '72, Law '82),  
Editor of Opinion Pages and Book  
Editor, The Courier-Journal**

### A Letter from the President

Dear Friend,

Have you seen the new entrances, student housing and plaza areas on UofL's Belknap campus? Were you aware that UofL is responsible for \$300 million in new construction, additions and renovations at nine buildings in the downtown Louisville medical district since 2002? Well, I'm not sure even the new mayor, Greg Fischer, is aware of the extent of UofL's reach and the monumental economic impact the university has had on his hometown and its citizens. So I'm going to show him on a whirlwind tour of the Health Sciences and Belknap campuses. Other community leaders who have gone on the tour, including state legislators, the *Courier-Journal* and *Business First* editors have all been impressed by the transformation of UofL. It's a residential campus now with more than 25% of our students living on campus or in affiliated housing. That number will surpass 30% next school year. With our academic successes and an economic impact of \$1.2 billion, UofL is a happening place. I'm confident Mayor Fischer will be impressed. I hope you'll visit and be impressed too.




See you on campus,



# A Cardinal Bird's Eye View of the UofL Tour

Among the many parts of the tour, there are stops with new construction or recent research discoveries. Take a look at the maps below to see what's new:



**Clinical & Translational Research**  
The CTR provides state-of-the-art biomedical research facilities, designed to promote collaboration between scientists. It's also one of the few research buildings in the nation to be LEED Gold designated by the USGBC.



**Dental School Renovation**  
Set for completion in Fall 2011 the renovation will add more than 20,000 square feet to the dental building and renovate another 200,000 square feet.



**Cardiovascular Innovation Institute**  
Researchers at the Cardiovascular Innovation Institute, a partnership between UofL and Jewish Hospital, are exploring measures to help save hearts or develop new devices that may one day replace them.

University of Louisville Health Sciences Campus

## University of Louisville Belknap Campus



**College of Business Expansion**  
With the goal of completion in 2011, the College of Business is undergoing an expansion to accommodate the expectations and requirements of high-quality students and the demands of their prospective business careers.



**The Ville Grill**  
The newest dining venue on campus, The Ville Grill prides itself on its locally grown cuisine and serves thousands of students, faculty, staff and area diners each week.



**Belknap Campus Gateway**  
A welcoming spot at the University of Louisville that features a new gateway which is symbolic of many of the improvements on UofL's Belknap Campus.



**Cardinal Towne**  
This future residential and commercial space will provide a place to live, play, shop and dine.

**UNIVERSITY OF LOUISVILLE**  
**It's Happening Here.**

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Western Kentucky University news for the Council on Postsecondary Education, April 2011

**Dr. Dero G. Downing, WKU's fourth president, dies**

Dr. Dero G. Downing, fourth president of WKU, died April 4 at the age of 89.

"Dero Downing's passing is the closing of an era for our beloved institution and for higher education in Kentucky," WKU President Gary Ransdell said. "From the principal's office at College High to the Presidency of WKU, he exuded leadership, style, eloquence, and empathy for the thousands of students, faculty, and staff with whom he worked and for whom he labored."

Dr. Downing began his long affiliation with WKU as a student in 1939, earning his undergraduate degree in mathematics in 1943. He was a prominent student leader during his undergraduate career, serving as president of the senior class. He was a star member of some of Coach Ed Diddle's finest basketball teams, including the first Western team to participate in the National Invitation Tournament in New York's Madison Square Garden.

After graduation, Dr. Downing joined the U.S. Navy as an ensign and served as an officer on a ship carrying troops and supplies in the first wave to hit the beaches at Normandy on D-Day in June 1944. In late 1945 he was released from active service with the rank of lieutenant.

In 1946 he returned to Western as a mathematics teacher and basketball coach at College High School, the high school division of Western's Training School, and completed his Master of Arts degree at Western in 1947. He gave up coaching in 1950 to devote himself full time to teaching mathematics and in 1956 was named director of the training school.

Dr. Downing received his Ed. S. degree in 1958 from George Peabody College for Teachers in Nashville. He was named registrar for the WKU in 1959, director of admissions in 1962 and served as dean of business affairs before being named vice president for administrative affairs in 1965. In 1969, Dr. Downing became WKU's fourth president, a position he held until he resigned in 1979, being named president emeritus. From there he became president and chair of the College Heights Foundation.

Dr. Downing was inducted into the second class of the WKU Hall of Distinguished Alumni in 1993 and is also a member of the WKU Army ROTC Hall of Fame. In 2002, WKU named its International Center for Dr. Downing and former international student and longtime Downing friend Zuheir Sofia. Dr. Downing is also the namesake of WKU's student union, the Downing University Center, which opened in 1970.



A tribute to Dr. Downing can be found at <http://www.wku.edu/downing/>.



**WKU wins Hearst photojournalism championship for 19th time**

WKU's School of Journalism & Broadcasting has won the Hearst Intercollegiate Photojournalism Championship for the third consecutive year and the 19th time in the past 22 years.

WKU junior Tyler Cacek of Columbia, Mo., won the Picture Story/Series in the third photojournalism competition in the 2010-11 Hearst Journalism Awards Program and received a \$2,600 award. WKU senior Byran Anselm of Carmel, Ind., finished fifth and received a \$1,000 award.

The School of Journalism & Broadcasting received matching awards as well as \$10,000 for winning the Hearst photojournalism championship.

The top 10 in this year's Hearst Intercollegiate Photojournalism Competition were: WKU; University of North Carolina, Chapel Hill; Ohio

University; University of Florida; Central Michigan University; University of Missouri; University of Nebraska-Lincoln; Indiana University; University of Montana; San Francisco State University.

### **WKU forensics team wins AFA national championship, 21<sup>st</sup> consecutive state championship**

The WKU Forensics Team has won its seventh American Forensic Association National Individual Events Tournament championship, hosted this year by the University of Nebraska at Kearney.

WKU was crowned team sweepstakes champion with 412 points, while Bradley University placed second with 378.5 points and George Mason University placed third with 354.5 points.

In February the team won its 21<sup>st</sup> consecutive Kentucky Forensics Association championship.

### **Seven WKU students earn national recognition for academic excellence**

Seven WKU students have earned honors in the form of national scholarships for 2011:

#### ***J. William Fulbright***

Dunja Zdero of Louisville is working in Brazil as an English Teaching Assistant on a 2011-12 Fulbright grant. Zdero is a graduate of the WKU Honors College with degrees in news/editorial journalism and Spanish. Zdero is the latest WKU graduate to be recognized by the J. William Fulbright Foreign Scholarship Board for her exemplary application. In 2009, The Chronicle of Higher Education named WKU as one of the top U.S. producers of Fulbright students among master's degree-granting institutions. Since 2007, 10 WKU students have won the competition.

#### ***U.S. Department of State Critical Language***

Three WKU students have been awarded Critical Language Scholarships from the U.S. Department of State. Allie Surina of Brentwood, Tenn., Will Meredith of Bowling Green and J.P. Stovall of Owensboro, all students in the WKU Honors College and the Chinese Flagship Program, will travel to China for 7-10 weeks of embedded, intensive language instruction and cultural enhancement. The award covers all costs for travel, study and living expenses during the program. Meredith and Stovall will study in Beijing, while Surina will be placed at the Institute of Xi'an.

The CLS is an elite scholarship with 50 selected from 800 applicants. With these awards, eight WKU students have received CLSs: four in Chinese and four in Arabic.

#### ***Barry M. Goldwater***

Three WKU students have been recognized by the Barry M. Goldwater Scholarship and Excellence in Education Program. Brittany Morgan of Glasgow, a junior in the WKU Honors College, and Sarah Schrader of Bowling Green, a student in the Gatton Academy of Mathematics and Science in Kentucky, were awarded Goldwater Scholarships. Clarice Esch of Somerset, also a Gatton Academy student, received an honorable mention.

The Goldwater Scholarship Program seeks to recognize excellence in the sciences and to encourage talented students to pursue research careers. The program limits four-year institutions to four nominations each year. In 2011, 275 scholarships were awarded from nearly 1,100 nominees. Scholarship recipients receive \$7,500 annually to cover undergraduate tuition, fees, books, or room and board. Since 2007, the Goldwater program has recognized more students from WKU than from any other college or university in Kentucky.

### **Hopkinsville CC, WKU sign joint admissions agreement**

Students can now be jointly admitted to Hopkinsville Community College and WKU thanks to an agreement signed March 14 by HCC President James Selbe and WKU President Gary Ransdell. The joint admissions program will help students who complete an associates program at HCC move seamlessly into a bachelor's degree program at WKU. Qualifying students will be admitted to both schools and have access to student services at both, including academic advising.

WKU has more than 200 students jointly admitted through agreements with Owensboro Community and Technical College and Madisonville and Henderson community colleges.

For more WKU news, visit <http://wkunews.wordpress.com/>.



*WWKU President Gary Ransdell and HCC President James Selbe.*



## News from the Association of Independent Kentucky Colleges and Universities April 2011

### AIKCU members partner to attract students from China

AIKCU members have banded together to raise awareness of Kentucky's independent college sector among Chinese students, parents, and recruiters and to increase the number of students from China who enroll in Kentucky private colleges. AIKCU's Chinese Student Recruitment Initiative (CSRI) has been developed in partnership with the Kentucky China Trade Center office in Beijing and in consultation with the Kentucky Cabinet for Economic Development.

### Campbellsville and KCTCS sign transfer agreement



*CPE President Bob King looks on as Dr. Michael McCall, center, president of KCTCS, and Dr. Michael V. Carter, right, president of Campbellsville University, sign a multi-program transfer agreement.*

**Campbellsville University** and the **Kentucky Community and Technical College System (KCTCS)** signed a multi-program transfer agreement April 5 allowing students from KCTCS to almost “seamlessly” transfer to CU. The programs included in this agreement are: criminal justice, business administration, business management and early childhood education and interdisciplinary early childhood education.

Since 2005, 433 students from KCTCS have transferred to Campbellsville University; and their rate of graduation is 70 percent. More: <http://www.aikcu.org/2011/04/07/campbellsville-university-and-kctcs-sign-transfer-agreement/>

### Basketball teams bring home three national titles

This spring AIKCU member basketball programs garnered the attention of fans and media alike by bringing home three national titles: the **Pikeville College Bears** won the NAIA Division I Men's Basketball title; the **Bellarmino University Knights** won the NCAA Division II Men's Basketball national championship; and the **Kentucky Christian University Lady Knights** won their 5th straight National Christian College Athletic Association Division II title. AIKCU



congratulates these student-athletes on their extraordinary achievements. More: <http://www.aikcu.org/2011/03/28/aikcu-teams-bring-home-national-titles/>

### **Spalding University commits \$1.5 million to scholarships for teachers**

In March **Spalding University** announced the establishment of over 1.5 million dollars in scholarships to support individuals who want to enter the teaching profession or further their education by enrolling in one of the College of Education's master's degree programs. The initiative is designed to support the Louisville community's 55,000 Degrees Initiative. More: <http://www.aikcu.org/2011/03/01/spalding-establishes-over-15-million-dollars-in-education-scholarships/>

### **Presidential news: Berea's Shinn announces plans for retirement, Transylvania inauguration events set for April 26-29**

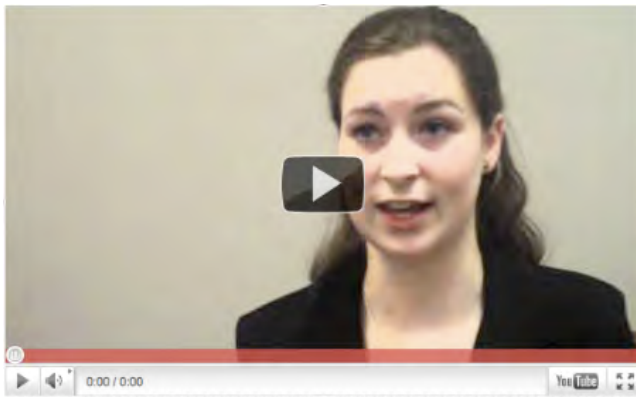
**Berea College** President Larry Shinn recently announced his plans to retire on June 30, 2012. Berea's 8<sup>th</sup> president, Shinn has served since 1994. More: <http://www.aikcu.org/2011/04/07/berea-college-president-larry-shinn-announces-plan-to-retire/>

**Transylvania University** will celebrate the inauguration of its x president, R. Owen Williams, with a series of public events from April 27-29. The installation ceremony will take place on the lawn of historic Old Morrison at 10 a.m. on April 29. The event is free and open to the public. More: <http://www.aikcu.org/2011/04/08/transylvania-inauguration-events-set-for-april-27-29/>

### **Kentucky Private College Week is July 18-22**

AIKCU members will once again collaborate to present Kentucky Private College Week this summer. PCW makes it easy for prospective students and their families to visit college campuses and learn more about the admissions and financial aid process. From July 18-22 each campus will offer campus tours and information sessions at 9 a.m. and 2 p.m. each day. Students can register for all sessions via a single online form. For more information, see: <http://www.aikcu.org/2011/03/28/kentucky-private-college-week-is-july-18-22/>

### **AIKCU interns talk about successful semester in Frankfort**



The **AIKCU Frankfort Semester Internship Program** recently completed its 12th year. Eleven students from six AIKCU institutions were placed with state government agencies, including two students at CPE. Interns worked 30 hours per week and complete two seminars during the semester. Interns from **Alice Lloyd College, Berea College, Union College, and University of the Cumberlands** recently sat down to reflect on their experiences. See the videos:

<http://www.aikcu.org/2011/03/24/interns-speak-out-about-time-in-frankfort/>



# By the Numbers

Kentucky Postsecondary and Adult Education Facts  
April 2011



## Colleges, Universities, and Adult Education

### Colleges and Universities

Public universities	8; 24 campuses
KCTCS	16; 70 campuses
AIKCU nonprofit institutions	20; 60 campuses
Other CPE-licensed nonprofit KY institutions	13
CPE-licensed for-profit KY institutions	5
Out-of-state institutions licensed by CPE to operate in KY	27

### Enrollment (Fall 2010 Total Headcount)

5-year growth	19%
Public research universities	50,327
Public comprehensive universities	75,327
KCTCS	106,664
AIKCU nonprofit institutions	34,404
Other CPE-licensed nonprofit KY institutions	6,222
CPE-licensed for-profit KY institutions	7,961
Out-of-state institutions licensed by CPE to operate in KY	7,605

### Degrees and Credentials Conferred

5-year growth, associate's and above	17%
Sub-associate's credentials (at least 1 year in length)	18,549
Associate's	10,878
Bachelor's	20,413
Graduate degrees and certificates	10,272

### Adult Basic Education (2009-10)

Number of adult education providers	120
Number of GEDs awarded	9,357
GED grads enrolling in college (2 years)	23%
GED pass rate	80%

## Students

### Enrollment (Fall 2010, Public and AIKCU only)

Undergraduate enrollment	234,926 (64% full-time)
Graduate enrollment	31,796 (58% full-time)

### Student Diversity (Fall 2010, Public and AIKCU only)

Female/Male	56% / 44%
Underrepresented minority enrollment	29,502 (11%)
Black, Non-Hispanic (only)	23,626 (9%)
American Indian, Alaskan Native/Native Hawaiian, Other Pacific Islander (only)	983 (<1%)
Hispanic, any race	4,893 (2%)
White/Caucasian (only)	217,901 (82%)
Asian (only)	3,326 (1%)
Two or more races/unknown	11,058 (4%)

### Adult Undergraduates (Fall 2010, Public and AIKCU only)

Undergraduates ages 25 and older	76,748 (33%)
Percent attending full-time	43%

### International and Out-of-State Students (Fall 2010, Public and AIKCU only)

Out-of-state	37,277 (14%)
International	5,301 (2%)

### Academic Performance

Average ACT, 2009 public university entrants	22.1
Average ACT, 2009 KCTCS entrants	19.0
Bachelor's graduation rate in 4 years (2003 entering class)	
Public universities	19% (23% in US)
Nonprofits	34% (37% in US)
For-profits	25% (22% in US)
Bachelor's graduation rate in 6 years	
Public universities	42% (45% in US)
Nonprofits	47% (51% in US)
For-profits	38% (29% in US)

For technical definitions, visit <http://cpe.ky.gov/info/>



## Finance

### State Financial Aid (2009-10)

KEES recipients (merit awards)	69,024 (Average \$1,353)
CAP recipients (need-based awards)	40,982 (Average \$1,544)
KTG recipients (need-based awards, non-publics only)	12,523 (Average \$2,587)
Qualified, unfunded students for need-based programs	63,321
Federal Pell grant recipients (FY 2008-09)	89,781 (Average award \$3,026)

### Undergraduate Tuition and Fees (Academic Year 2010-11)

Public Universities	
In-state tuition and fees	\$7,180
Out-of-state tuition and fees	\$17,162
KCTCS	
In-state tuition and fees	\$3,900
Out-of-state tuition and fees	\$7,800

### Employees of Public Colleges and Universities

Total	44,151
Faculty	
	14,457 (59% full-time)
Universities	
	9,291 (72% full-time)
KCTCS	
	5,166 (37% full-time)
Non-faculty	
	29,694
Universities	
	25,745
KCTCS	
	3,949

### Fiscal Information for Public Colleges and Universities

Total public funds	\$2,324 million
Net state general fund appropriations	\$936 million
Tuition and fee revenue	\$1,260 million
State student financial aid	\$129 million
Total expenditures	\$4,371 million

## Stronger by Degrees Performance Metrics

### College Readiness

College readiness of KY high school graduates who enter college	61.8%
GED graduates	9,357
New teacher excellence (percent in top 15% nationally)	17%

### Student Success

Degrees and credentials conferred	55,106
Bachelor's graduation rate (6-year)	47%
Associate's graduation rate (3-year, KCTCS)	23%
Graduation rate gap of low-income students	-8
Graduation rate gap of underrepresented minority students	-14
State appropriations for public higher education	\$1,006 million
State financial aid funding deficit	\$105 million

### Research, Economic, and Community Competitiveness

External research and development funding	\$375 million
Degrees and credentials in science, technology, engineering, mathematics, and health-related fields	6,782 (12%)
Educational attainment at the associate's or higher degree level, ages 25-44	32%

### Efficiency and Innovation

College credits taken online	14.3%
Completions per \$1 million in education and related expenditures	23





## Council on Postsecondary Education

### What is the Council on Postsecondary Education?

The Council on Postsecondary Education is Kentucky's statewide postsecondary and adult education coordinating agency charged with guiding the reform efforts envisioned by state policy leaders in the Kentucky Postsecondary Education Improvement Act of 1997 and the Adult Education Act of 2000. The Governor appoints the Council members, including 13 citizens, one faculty member, and one student member; the Commissioner of Education is an ex-officio member.

### What key legislation impacts the Council's work?

- The Kentucky Postsecondary Education Improvement Act of 1997 (HB 1) established six goals for raising Kentucky's standard of living and quality of life to at least the national average by year 2020.
- The Kentucky Adult Education Act (2000), which transferred the administration and oversight of Kentucky's statewide basic adult education program, located in 120 counties, from Workforce Development to CPE.
- The Kentucky Innovation Act (2000), which calls on Kentucky to move to a more entrepreneurial economy and charges the Council to implement funding programs that promote research, innovation, and technology.
- College and Workforce Readiness Legislation (SB1, 2009), which calls on the Council to work closely with the Department of Education to significantly increase college and career readiness, and the success rates of students enrolled in postsecondary education.

### Key duties of the Council —

- Serve as the Commonwealth's chief postsecondary education advocate and policy advisor to the Governor and the General Assembly.
- Develop and implement a strategic agenda for postsecondary and adult education that includes measures of educational attainment, effectiveness, and efficiency.
- Produce and submit a biennial budget request for adequate public funding on behalf of public postsecondary and adult education.
- Monitor and approve tuition rates and admission criteria at public postsecondary institutions.
- Approve academic programs at public institutions.
- License non-public postsecondary institutions.
- Coordinate statewide efforts to improve college and career readiness, access to postsecondary education, and student success, including statewide transfer agreements, adult learner initiatives, KY GEAR UP, and postsecondary work related to SB1.
- Administer Kentucky's statewide adult education program and GED testing centers.
- Collect and analyze comprehensive data on postsecondary education performance.
- Ensure the coordination and connectivity of technology among public postsecondary institutions.
- Administer the Kentucky Virtual Campus and Kentucky Virtual Library to provide online resources and services to K-12, universities, state agencies and other organizations across the state.
- Administer contract spaces for veterinary medicine and optometry.



### **What is the *Stronger by Degrees* strategic agenda?**

In February, the Council on Postsecondary Education approved a statewide public agenda. *Stronger By Degrees* will guide postsecondary and adult education through 2015. The agenda is focused on four key policy areas—college readiness, student success, research, economic and community development, and efficiency and innovation. The agenda also includes performance metrics, which provide transparency and accountability.

### **What is the Council's relationship with campuses?**

As a coordinating agency, the Council works closely with the state's eight public universities, the Kentucky Community and Technical College System, and Kentucky's private colleges--all autonomous institutions with their own governing boards--to achieve state goals. Forty-eight states have either a statewide higher education coordinating agency or a governing agency.

### **When was the Council formed?**

The Council on Higher Education was created in 1934, but it lacked broad coordinating authority until 1966 when duties were expanded to determine the overall needs of higher education, develop comprehensive plans, review the public institutions' funding requests, and approve professional schools. In May 1997, during the First Extraordinary Session called by the Governor to reform higher education, the Council was abolished and the Council on Postsecondary Education was created (KRS 164.011).

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*The Council on Postsecondary Education believes in the transformative power of postsecondary education. Stronger by Degrees, the new strategic agenda for Kentucky's postsecondary and adult education system, is powering a stronger Kentucky economy and improving the lives of Kentuckians. To learn more about Stronger by Degrees, visit <http://cpe.ky.gov/strategicagenda>.*



## **STRONGER** by DEGREES

*A Strategic Agenda for Kentucky Postsecondary and Adult Education*

***Vision:*** All Kentuckians will be prepared to succeed in a global economy.

***Mission:*** To deliver a world-class education to students, create and apply new knowledge, and grow the economy of the Commonwealth.

### **What is Stronger by Degrees and what will it accomplish?**

*Stronger by Degrees* is a comprehensive and measurable plan to elevate Kentuckians' standard of living through postsecondary education.

*Stronger by Degrees* will guide statewide public policy priorities through 2015 to fulfill the vision first articulated by Governor Paul Patton and the Kentucky General Assembly in the Postsecondary Education Improvement Act of 1997 (House Bill 1). That legislation set out important challenges that recognized postsecondary education as the driver for economic prosperity for the Commonwealth.

This new strategic agenda builds on the decade of success since the passage of HB 1, and brings focus and renewed energy to a shared mission. The plan lays out specific objectives that target the four areas of policy focus: college readiness; student success; research, economic and community development; and efficiency and innovation.

### **Why is the strategic agenda needed?**

Kentucky statutes require that the Council develop and implement an agenda every four years. While Kentucky has made significant progress since HB 1, significant challenges remain, including:

- The percentage of Kentuckians, ages 25-44, with an associate's degree and higher is lower than the national average, 32% compared to 39%
- Only 57% of Kentucky freshmen meet all college readiness standards
- 50% of first-year students graduate in six years
- Low ranking on national measures of economic competitiveness

### **Who was involved in this effort?**

The Council on Postsecondary Education, Kentucky Adult Education and the state's colleges and universities with the help of:

- Kentucky Department of Education
- Kentucky Higher Education Assistance Authority
- Education Professional Standards Board
- Workforce and Economic Development Cabinet
- Business leaders and others

### **What are the next steps?**

- Performance targets will be established for each institution and region, and for the state.
- A state accountability system is being developed. It includes a dashboard to gauge quantitative progress, regular status reports to the Governor and legislature, and annual campus reports to the Council.



## 2011-2015 Strategic Agenda Snapshot

	Objectives	Metrics
<b>College Readiness</b>	<ul style="list-style-type: none"> <li>• Decrease financial barriers to college access and completion</li> <li>• Increase college-ready Kentuckians entering postsecondary education</li> <li>• Increase college-ready GED graduates</li> </ul>	<ul style="list-style-type: none"> <li>• Readiness of Kentucky high School graduates who enter college</li> <li>• Number of GED graduates</li> <li>• New teacher excellence (top 15% nationally on PRAXIS II)</li> </ul>
<b>Student Success</b>	<ul style="list-style-type: none"> <li>• Increase effectiveness of K-12 teachers and school leaders</li> <li>• Increase degree production and completion rates and close achievement gaps</li> <li>• Decrease financial barriers to college access and completion</li> </ul>	<ul style="list-style-type: none"> <li>• Degrees and credential conferred</li> <li>• Graduation rates (Bachelor's and Associate)</li> <li>• Graduation rate gaps of underrepresented minority, low-income, and less-prepared students</li> <li>• State appropriations for public higher education</li> <li>• State financial aid funding deficit</li> </ul>
<b>Research, Economic, and Community Development</b>	<ul style="list-style-type: none"> <li>• Increase basic, applied, and translational research</li> <li>• Increase educational attainment and quality of life through regional stewardship, public service, and community outreach</li> </ul>	<ul style="list-style-type: none"> <li>• Research and development funding</li> <li>• Degrees and credentials in science, technology, engineering, math, and health-related fields</li> <li>• Educational attainment at the Associate degree level and higher (ages 25-44)</li> </ul>
<b>Efficiency and Innovation</b>	<ul style="list-style-type: none"> <li>• Increase academic productivity through program innovations</li> <li>• Maximize use of postsecondary and adult education resources</li> </ul>	<ul style="list-style-type: none"> <li>• Online learning</li> <li>• Degrees produced per \$1M of education and related expenditures</li> </ul>

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